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## Functional restoration of HCV-specific CD8 T-cells by PD1 blockade is defined by PD1 expression and compartmentalization

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### Abstract

**Background & Aims**—The immuno-inhibitory receptor Programmed Death-1 (PD-1) is upregulated on dysfunctional virus-specific CD8 T-cells during chronic viral infections and blockade of PD-1:PD-ligand (PD-L) interactions can restore their function. As hepatitis C virus (HCV) persists in the liver with immune-mediated disease pathogenesis, we examined the role of PD1/PD-L pathway in antigen-specific CD8 T-cell dysfunction in the liver and blood of HCV-infected patients.

**Methods**—PD-1 expression and function of circulating CD8 T-cells specific for HCV, EBV and Flu were examined *ex vivo* and following antigenic stimulation *in vitro* in patients with acute, chronic and resolved HCV infection using class I tetramers and flow cytometry. Intrahepatic CD8 T-cells were examined from liver explants of chronically HCV-infected transplant recipients.

**Results**—Intrahepatic HCV-specific CD8 T-cells from chronically HCV-infected patients were highly PD-1-positive, profoundly dysfunctional and unexpectedly refractory to PD-1:PD-L blockade, contrasting from circulating PD-1-intermediate HCV-specific CD8 T-cells with responsiveness to PD-1:PD-L blockade. This intrahepatic functional impairment was HCV-specific and directly associated with the level of PD-1 expression. Highly PD-1-positive intrahepatic CD8 T-cells were more phenotypically exhausted with increased cytotoxic T-lymphocyte antigen 4 (CTLA-4) and reduced CD28 and CD127 expression, suggesting that active antigen-specific

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GF has a patent licensing arrangement for antibodies blocking the PD-1/PD-ligand pathways.

stimulation in the liver induces a profound functional exhaustion not reversible by PD-1:PD-L blockade alone.

**Conclusion**—HCV-specific CD8 T-cell dysfunction and responsiveness to PD-1:PD-L blockade are defined by their PD-1 expression and compartmentalization. These findings provide new and clinically relevant insight to differential antigen-specific CD8 T-cell exhaustion and their functional restoration.

### Keywords

Cellular immunity; immune exhaustion; immune tolerance; immune regulation; effector T-cell dysfunction; co-stimulation; PD-1; immunotherapy; viral pathogenesis; intrahepatic T-cell response; vaccine

## INTRODUCTION

Programmed Death-1 (PD-1) is an inhibitory co-stimulatory receptor with a key role in peripheral tolerance and immune regulation<sup>1,2</sup>. Binding of PD-1 to its ligands PD-L1 and PD-L2 activates a critical immunosuppressive pathway. Sustained PD-1 expression in antigen-specific CD8 T-cells is associated with impaired effector function in acute and chronic viral infections, suggesting that it is a marker of T-cell exhaustion<sup>3</sup>. Importantly, PD-1:PD-L blockade by anti-PD-L1 can augment virus-specific effector CD8 T-cell function and suppress viral replication in mice chronically infected with lymphocytic choriomeningitis virus (LCMV)<sup>4</sup>. In HIV-infected patients, PD-1 expression on virus-specific T-cells is associated with clinical and virological outcomes while anti-PD-L1 enhances HIV-specific T-cell function *in vitro*<sup>3, 5,6</sup>. Similarly, virus-specific CD8 T-cells from patients infected with hepatitis B virus (HBV) or hepatitis C virus (HCV) exhibit improved proliferation and cytokine production *in vitro* with anti-PD-L1<sup>7–11</sup>. These findings raise the hope that modulation of PD-1/PD-L interactions may provide therapeutic benefit by rejuvenating exhausted T-cells<sup>3</sup>.

However, PD-1:PD-L interactions also provide an important negative signal that limits inflammatory responses. In this respect, PD-1:PD-L blockade might have serious inflammatory consequences, particularly when vital organs such as the liver are involved. For example, severe hepatitis (albeit transient) occurred in adenovirus-infected PD-1-null mice<sup>12</sup> and PD-1:PD-L1 blockade precipitated autoimmune insulinitis in nonobese diabetic mice<sup>13</sup>. As CD8 T-cells play an important role in HCV pathogenesis and HCV replicates primarily in the liver<sup>14–16</sup>, PD-1:PD-L signaling in antiviral CD8 T-cells in the liver might be pivotal for immune-mediated control of HCV and the disease.

In this study, we confirm that PD-1 expression in HCV-specific CD8 T-cells directly correlates with their functional impairment and that PD-1:PD-L blockade can restore the effector function of peripheral HCV-specific CD8 T-cells. However, in the liver, HCV-specific (but not influenza-specific) CD8 T-cells were highly PD-1+, profoundly dysfunctional and poorly responsive to PD-1:PD-L blockade, suggesting a differential level of HCV-specific CD8 T-cell exhaustion in HCV-infected patients that is defined by their compartmentalization and PD-1 expression.

## MATERIALS & METHODS

### Study subjects

Patients were recruited at the Philadelphia Veterans Affairs Medical Center (PVAMC) and the Hospital of the University of Pennsylvania with informed consent approved by the Institutional Review Boards. They included 10 patients with acute hepatitis C (**Group A**) diagnosed by

acute serum alanine amino-transferase (sALT) elevation with HCV-seroconversion and/or viremic fluctuations greater than 10-fold without prior liver disease<sup>17</sup>; 27 patients with chronic hepatitis C (**Group C**) including 16 cirrhotic patients undergoing liver transplantation; 8 HCV-seropositive but RNA-negative resolvers (**Group R**) without prior antiviral therapy, and 12 healthy HCV-seronegative controls (**Group H**). Patients with acute hepatitis C were examined within 24 weeks of clinical presentation (median 4.5 weeks, range 1–24) with sALT elevation (median 281 IU/ml, range 40–1517) and viremia. Spontaneous HCV clearance occurred in 1 subject whereas 6 began antiviral therapy with sustained virological response in one thus far. HCV viremia was quantified by quantitative RT-PCR by Roche COBAS or TaqMan assays (Roche Diagnostics, Indianapolis, IN). The patient characteristics are shown in Table 1.

### Fluorescent antibodies and reagents

All monoclonal antibodies (mAbs) were purchased from BD Bioscience (San Jose, CA) except for anti-CD27, anti-CD28 and anti-granzyme B from eBioscience (San Diego, CA). Dead cells were excluded with 7-AAD. PD-1 expression in all subjects was examined using FITC-labeled anti-human CD279 (PD-1) (clone M1H4, BD Bioscience). Comparison with PE-labeled anti-human CD279 (PD-1) clone EH12 from the Dana Farber Institute<sup>5, 8</sup> showed that anti-CD279-FITC (clone M1H4) used in our study detects highly PD-1+ cells (including tetramer+ cells) whereas PE-labeled EH12 mAb also identifies PD-1-intermediate cells (Supplementary Fig. 1A/B). Anti-PD-L1 and anti-PD-L2 mAbs from the Dana Farber were used for functional blocking as previously described<sup>8, 18</sup>.

### Peptides and HLA class I tetramers

Fluorochrome-labeled peptide-HLA-A2 tetramers were used as previously described<sup>19, 20</sup>. They included HCV NS3 1073 (CINGVCWTV), NS3 1406 (KLVALGINAV) and NS5B 2594 (ALYDVVSKL); Flu matrix (GILGFVFTL) and EBV BMLF1 (GLCTLVAML)<sup>21–23</sup>. Overlapping 15mers were synthesized as previously described<sup>17, 24</sup>.

### Isolation of Peripheral Blood Mononuclear Cells (PBMC) and Liver Infiltrating Lymphocytes (LIL)

PBMC were isolated by Ficoll-Histopaque (Sigma Chemical Co., St Louis, MO) density centrifugation<sup>24, 25</sup>. LIL were isolated in a protocol modified from Heydtmann *et al*<sup>26</sup>. Briefly, explant liver tissue was processed within 24 hours of explant (usually 1–3 hours). Tissue was diced into 5mm<sup>3</sup> pieces and incubated at 37°C for 30 min with 1mg/ml collagenase (Type 1a; Roche Molecular) and 1µg/ml DNase (Sigma Aldrich). T-cell marker expression including PD-1 was maintained after 30 minutes of collagenase digestion (data not shown). Digested liver samples were washed in RPMI, mechanically dissociated by the Seward Stomacher 400 Lab Blender (Brinkman Instruments, Westbury, NY) for 5 minutes and passed through a 70µm nylon mesh filter before Ficoll-Histopaque density centrifugation.

### Immunophenotyping and functional analysis by flow cytometry

Cells were stained by fluorescent antibodies per manufacturer's instructions; events were acquired with a FACSCalibur or FACSCanto (Becton Dickinson, San Jose, CA) and analyzed with FlowJo (Tree Star Inc., San Carlos, CA)<sup>17</sup>. PD-1 positivity was determined by an isotype control-defined cutoff (99.5%). MFI analysis was restricted to samples acquired by FACSCanto. Antigen-specific CD107a mobilization and IFN $\gamma$  expression was quantified by adding APC-conjugated tetramers and anti-CD107a-FITC before peptide stimulation (10µg/ml) in the presence of brefeldin A (10 µg/ml) for five hours before surface staining, permeabilization and intracellular IFN $\gamma$  staining.

### ***In vitro* expansion with and without anti-PD-L1**

PBL and LIL ( $2 \times 10^6$  cells/ml) from HLA-A2+ subjects were stimulated with antigenic peptides (10 $\mu$ g/ml) in complete media with rIL2 (100 IU/ml) with and without 10 $\mu$ g/ml anti-PD-L1 before analysis on day 7.

### **Carboxy fluorescein succinidyl ester (CFSE) proliferation assay**

Lymphocytes were labeled with 5 $\mu$ M CFSE (Molecular Probes, Eugene, OR) as previously described<sup>27</sup> and cultured for 7 days with 100 IU/ml rIL-2 and antigenic peptides (10 $\mu$ g/ml) before FACS analysis. In selected assays, anti-PD-L1 and/or anti-PD-L2 were added at 10 $\mu$ g/ml.

### **IFN $\gamma$ ELISpot assay**

IFN $\gamma$  ELISpot assay was performed with 200,000 PBL or LIL per well in triplicates stimulated by overlapping HCV-derived 15-mers (2 $\mu$ M)<sup>17,24</sup> with and without anti-PD-L1 and/or anti-PD-L2 at 10 $\mu$ g/ml. After 45 hours, plates were developed and IFN $\gamma$  spot-forming units (SFUs) counted by an ELISpot reader (Hitech Instruments, Media, PA)<sup>17,24</sup>. Antigen-specific IFN $\gamma$  + T-cells were quantified by subtracting the mean IFN $\gamma$  SFU in negative control wells from the mean SFU in antigen-stimulated wells and expressed as IFN $\gamma$  SFU/10<sup>6</sup> cells.

### **Statistics**

Clinical and immunological parameters were compared by the non-parametric Mann-Whitney U, paired t-test and Kruskal-Wallis test. Frequency differences were compared by Fisher's Exact or the Chi-square test as appropriate. Correlations were tested for significance by the Spearman rank correlation test. P-values below 0.05 were considered significant.

## **RESULTS**

### **PD-1 expression is increased in circulating HCV-specific CD8 T-cells in patients with acute or chronic hepatitis C**

We began by examining the level of PD-1 expression in T-cell subsets from patients with acute (A), chronic (C) and resolved (R) HCV infection as well as healthy controls (H) (Table 1). As shown in Fig. 1A, PD-1 expression was increased in CD8 (but not CD4) T-cells from patients with acute hepatitis C compared to those with chronic or resolved HCV infection ( $p=0.006$ ). As for antigen-specific CD8 T-cells, CD8 T-cells specific for HCV, EBV and influenza virus (Flu) epitopes expressed variable levels of PD-1 (Fig. 1B/C). As shown in Fig. 1C/D, PD-1 expression was substantially greater in HCV-specific CD8 T-cells from patients with acute than chronic HCV infection (A87.4% vs C26.7%,  $p<0.0001$ ). PD-1 expression was also greater in HCV-specific than Flu- or EBV-specific CD8 T-cells from patients with acute (median 87.4% vs 11.1%;  $p<0.0001$ ) and chronic HCV infection (median 26.7% vs 6.5%;  $p=0.0086$ ) (Fig. 1D). By contrast, HCV-specific CD8 T-cells from the resolvers only expressed low level of PD-1 similar to total, EBV-specific and Flu-specific CD8 T-cells. These results confirm the preferential PD-1 expression reported in HCV-specific CD8 T-cells in our patients with acute and chronic but not resolved HCV infection<sup>7-10</sup>.

### ***Ex vivo* PD-1 expression is inversely correlated with HCV-specific CD8 T-cell expansion and effector function *in vitro***

We next asked if the differential PD-1 expression *ex vivo* in HCV-specific CD8 T-cells correlated with antigen-specific effector function following 7 days of antigenic stimulation with rIL2 *in vitro*. Recall responses to the HLA A2-restricted Flu matrix epitope were examined for comparison. As shown in Fig. 2A, HCV-specific and Flu-specific CD8 T-cells from both chronic and resolved subjects were deficient in perforin and granzyme B expression *ex vivo*,

although perforin was more readily detected in HCV-specific CD8 T-cells from chronic than resolved subjects. Following antigenic stimulation, HCV-specific CD8 T-cells from chronic HCV patients were less able to expand, upregulate perforin and granzyme B expression (Fig. 2A/C) or express IFN $\gamma$  and mobilize CD107a (LAMP-1) (Fig. 2B/C) compared to the resolvers. This defect was HCV-specific because Flu-specific CD8 T-cells from chronic patients expanded and expressed both perforin and granzyme B efficiently following antigenic stimulation. Furthermore, neither antigen-specific CD8 T-cell expansion nor functional changes were observed in cells cultured with rIL2 alone (data not shown). Importantly, these *in vitro* effector functions correlated tightly with PD-1 expression *ex vivo* (Fig. 2D), indicating that PD-1 expression *ex vivo* is a marker of poor antigen-specific CD8 T-cell effector function *in vitro*. However, the perforin or granzyme B expression *ex vivo* did not correlate with PD-1 expression *ex vivo* (data not shown).

### **PD-1 expression is markedly increased in HCV-specific CD8 T-cells in the liver compared to peripheral blood**

As liver is the primary site of HCV replication and disease pathogenesis, PD-1 expression and function of HCV-specific CD8 T-cells were examined in explanted liver and peripheral blood from HCV-infected liver transplant recipients. Fig. 3A shows the representative PD-1 staining characteristics for HCV-, EBV- and Flu-specific CD8 T-cells in the liver and blood from 2 HLA A2+ patients (T51, T9). Compared to blood, HCV-specific CD8 T-cells were enriched in the liver by more than ten-fold (Fig. 3A/B). While both CD8 and CD4 T-cell subsets displayed increased PD-1 expression in the liver compared to peripheral blood (Fig. 3C), intrahepatic HCV-specific CD8 T-cells displayed even greater PD-1 expression compared to peripheral HCV-specific CD8 T-cells based on the percentage and mean fluorescence intensity (MFI) (Fig. 3D/E). Interestingly, Flu- and EBV-specific CD8 T-cells were also detected in the liver of HCV-infected patients (median 0.16% Flu/EBV tetramer+ vs 0.21% HCV tetramer+ CD8 T-cells), although displaying similar PD-1 expression in both the liver and blood. Thus, while CD8 T-cells specific for HCV, Flu and EBV were detected in the liver, PD-1 expression was preferentially increased only on HCV-specific CD8 T-cells.

### **PD-1+ CD8 T-cells in the liver display a highly activated and more exhausted phenotype than circulating PD-1+ CD8 T-cells**

Further phenotypic analysis of PD-1+ CD8 T-cells in peripheral blood and liver of HCV-infected patients (Fig. 4A/B) showed that circulating PD-1+CD8 T-cells displayed increased expression of CD69 ( $p=0.0005$ ), CD45RO ( $p<0.0001$ ), CD27 ( $p=0.0006$ ), CD28 ( $p=0.0007$ ) and intracellular (IC) CTLA-4 ( $p<0.0001$ ), but not CD127, CCR7 or CD62L compared to PD-1- CD8 T-cells, consistent with an activated memory phenotype<sup>5</sup>. In the liver, CD8 T-cells were mostly positive for CD69 and CD45RO but negative for CD62L and CCR7 regardless of PD-1 status. Similar to the peripheral compartment, intrahepatic PD-1+CD8 T-cells expressed increased CD69 ( $p=0.0018$ ) and CD45RO ( $p=0.0103$ ) expression than PD-1-cells. Importantly, intrahepatic PD-1+ CD8 T-cells expressed less CD28 (53% vs 78%;  $p=0.038$ ) and CD127 (14% vs 49%;  $p=0.0002$ ), but more CTLA-4 (29% vs 3%;  $p=0.0005$ ), than peripheral PD-1+ CD8 T-cells. Thus, intrahepatic PD-1+ CD8 T-cells displayed a highly activated but also more exhausted phenotype than circulating PD-1+ CD8 T-cells, a finding relevant for intrahepatic HCV-specific CD8 T-cells which were highly PD-1-positive (although not directly examined for these markers).

### **Highly PD-1-positive intrahepatic HCV-specific CD8 T-cells display a profound functional impairment**

We then compared the antigen-specific expansion and effector function of HCV-specific CD8 T-cells from the liver and blood. Despite their enrichment in the liver, intrahepatic HCV-

specific CD8 T-cells expanded poorly *in vitro* compared to peripheral HCV-specific CD8 T-cells from the same patients (Fig. 5A, gray bars). As shown in Fig. 5B, HCV-specific CD8 T-cells failed to expand from the LIL (1% *ex vivo* to 1% on day 7), contrasting with over a 10-fold expansion from PBL (day 0: 0.06%; day 7: 0.76%) following antigenic stimulation *in vitro*. Moreover, expanded intrahepatic HCV-specific CD8 T-cells expressed very little perforin, unlike expanded peripheral HCV-specific CD8 T-cells (median 9% vs 54%) (Fig. 5A/B). This difference was not specific to the liver compartment, because liver-derived Flu-specific CD8 T-cells expanded efficiently (6–9 fold) with high levels of perforin expression (72–100%). The poor effector function of intrahepatic HCV-specific CD8 T-cells extended to CD107a mobilization and IFN $\gamma$  expression (Fig. 5B).

### **PD-1:PD-Ligand blockade does not restore antigen-specific function to highly PD-1+ HCV-specific CD8 T-cells from the liver of patients with chronic HCV infection**

The effect of anti-PD-L1 on HCV-specific CD8 T-cells was examined in 5 HLA A2+ transplant recipients with chronic HCV infection, including 4 subjects (T9, T29, T37, and T65) also examined both in peripheral and liver compartments. As shown in Fig. 5A/B (black bars), expansion of peripheral HCV-specific CD8 T-cells was enhanced by over 50% by anti-PD-L1 in T9 (12.4 fold), T29 (1.7 fold), and T65 (2.8 fold) but not in T37 or T51. In T54, anti-PD-L1 also increased HCV-specific CD8 T-cell expansion by 2-fold from PBL in a separate CFSE-dilution assay (data not shown). Thus, anti-PD-L1 enhanced peripheral HCV-specific CD8 T-cell proliferation in 4/6 patients. By contrast, HCV-specific CD8 T-cells from the liver failed to proliferate in the presence of anti-PD-L1, even in patients who showed significant augmentations upon PD-1:PD-L1 blockade in peripheral blood. PD-1:PD-L1 blockade did not augment perforin, IFN $\gamma$  or CD107a expression in liver-derived HCV-specific CD8 T-cells. Analysis of the total T-cell IFN $\gamma$  response to the entire HCV NS3 region using overlapping 15mer peptides in IFN $\gamma$  ELISpot assay showed that PD-1:PD-L blockade enhanced HCV-specific IFN $\gamma$  response in 2/6 patients (T2 and T33), but only in peripheral blood (Fig. 5C). Of note, anti-PD-L2 had little effect on the HCV-specific T-cell response, either alone or with anti-PD-L1. Combining both tetramer-based and IFN $\gamma$  ELISpot assay results, PD-1:PD-L1 blockade augmented HCV-specific CD8 T-cell response from peripheral blood in 6/12 patients compared to 0/10 from the liver (50% vs 0%;  $p=0.010$ ). Thus, highly PD-1+ intrahepatic HCV-specific CD8 T-cells from HCV-infected patients were profoundly impaired without functional restoration through PD-1:PD-L blockade.

### **Highly PD-1-positive peripheral HCV-specific CD8 T-cells during acute hepatitis C are also functionally impaired and refractory to PD-1/PD-L blockade**

Since HCV-specific CD8 T-cells are also highly PD-1+ during acute infection, the effect of PD-1:PD-L blockade on circulating CD8 T-cells was examined in patient A29 with acute hepatitis C. As shown in Fig. 6A, HCV NS3 1406-specific CD8 T-cells were readily detectable (1.87%) and almost entirely PD-1+ during acute infection with high sALT activity and viremia. Notably, antigenic stimulation resulted in minimal HCV-specific CD8 T-cell expansion regardless of PD-1:PD-L blockade. By contrast, Flu-specific CD8 T-cells displayed low PD1 expression (13% PD-1+, MFI 282) and expanded vigorously following antigenic stimulation regardless of PD-1:PD-L blockade. Following HCV clearance (week 80), however, HCV-specific CD8 T-cells regained their effector function with reduced PD-1 expression (Fig. 6B), suggesting that HCV-specific CD8 T-cell dysfunction in acute HCV infection can be reversed by viral clearance and reduced PD-1 expression.

## The level of PD-1 expression correlates inversely with functional restoration of HCV-specific CD8 T-cells by PD-1:PD-L1 blockade

Notably, the level of PD-1 expression on HCV-specific CD8 T-cells correlated inversely with their antigen-specific expansion in the presence of PD-1:PD-L1 blockade (Fig. 7A, left graph). For example, antigen-specific CD8 T-cell expanded poorly when displaying PD-1 expression above 50% (Fig. 7A, right graph). Comparison of HCV-specific CD8 T-cells from acute, chronic and resolved subjects (Fig. 7B) showed that %PD-1 correlated tightly with PD-1 MFI ( $R=0.95$ ). In fact, PD-1 expression above 50% (corresponding to MFI 609 by extrapolation) occurred only in HCV-specific CD8 T-cells from the liver (red triangles) of chronic HCV patients or peripheral blood of acutely HCV-infected patients. Collectively, these results suggest that high levels of PD-1 expression on HCV-specific CD8 T-cells represent a profound functional exhaustion refractory to PD-1:PD-L blockade that is particularly prominent in HCV-infected liver or during acute hepatitis C, perhaps reflecting active antigenic exposure. These findings provide new insights into HCV-specific CD8 T-cell dysfunction with therapeutic relevance.

## DISCUSSION

HCV persists with impaired antigen-specific CD8 T-cell function and progressive immune-mediated liver disease<sup>28–30</sup>. Since PD-1 expression has been linked with virus-specific effector T-cell dysfunction in chronic viral infections<sup>3,5–11,31</sup>, we examined the extent to which PD-1 signaling might contribute to immune regulation in HCV-infected patients, particularly within the liver. As expected, PD-1 expression in circulating HCV-specific CD8 T-cells was increased in HCV-infected patients in association with their effector dysfunction and PD-1:PD-L blockade could enhance HCV-specific CD8 T-cell function in some cases. However, HCV-specific CD8 T-cells from the liver of HCV-infected patients displayed uniformly high levels of PD-1 expression with profound functional impairment that was refractory to PD-1:PD-L blockade. A similar lack of response to PD-1:PD-L blockade was also observed for highly PD-1+ HCV-specific CD8 T-cells in acute evolving hepatitis C, suggesting that the level of PD-1 expression and the compartmentalization may define the extent of functional exhaustion in HCV-specific CD8 T-cells and their potential for PD-1:PD-L blockade-mediated restoration.

Although HCV-specific CD8 T-cells were generally impaired in HCV persistence, their level of dysfunction varied considerably between patients in association with PD-1 expression. Within an individual patient, there were further differences in the function and PD-1 expression of HCV-specific CD8 T-cells between the liver and peripheral blood. To our knowledge, simultaneous examination of peripheral and intrahepatic HCV-specific as well as Flu and EBV-specific CD8 T-cell phenotype and function has not been reported previously. In this study, we examined 7 HLA-A2+ and 6 HLA-A2- patients to show a preferential PD-1 upregulation and dysfunction of HCV-specific (but not Flu or EBV-specific) CD8 T-cells in the liver compared to peripheral blood. While the liver is believed to be a tolerizing immunological 'graveyard' in which activated antigen-specific T-cells become trapped, inactivated and even deleted<sup>32,33</sup>, these compartmental differences were specific to HCV since intrahepatic Flu-specific CD8 T-cells were functionally intact without high PD-1 expression. Since PD-1 is also upregulated in activated T-cells, we hypothesize that HCV-specific CD8 T-cells become highly PD-1+ and functionally impaired as they encounter their cognate antigens in the HCV-infected liver, thus limiting both liver inflammation and virus control. In peripheral blood, HCV-specific CD8 T-cells may retain moderate PD-1 expression and partial function due to limited exposure to HCV-expressing cells. Marked PD-1-upregulation with a functionally 'stunned' phenotype<sup>21</sup> was also seen in circulating HCV-specific CD8 T-cells in acute hepatitis C, consistent with active antigenic exposure *in vivo*, although these functional defects did not

persist after viral clearance nor necessarily predict virological outcome<sup>34</sup>. Thus, the level of PD-1 expression defined a hierarchy in HCV-specific CD8 T-cell function perhaps based on the extent of active antigenic exposure in different compartments *in vivo*.

Surprisingly, highly PD-1+ HCV-specific CD8 T-cells were poorly responsive to PD-1:PD-L blockade. For example, while PD-1:PD-L1 blockade could augment the expansion and function of peripheral HCV-specific CD8 T-cells with intermediate PD-1 expression, no enhancement was observed for highly PD-1+ intrahepatic HCV-specific CD8 T-cells in any of the patients. This was not due to inadequate antigen presentation in the LILs since Flu-specific CD8 T-cells from the liver expanded readily upon antigenic stimulation. Furthermore, the addition of CD3-depleted PBL as antigen presenting cells (APC) did not promote intrahepatic HCV-specific CD8 T-cell expansion regardless of PD-1:PD-L blockade (Supplementary Figure 2). Based on increased CTLA-4 with reduced CD28 and CD127 expression in PD-1+ CD8 T-cells in the liver, we speculate that antigenic stimulation in the liver induces further negative costimulatory signals such as CTLA-4 while downregulating positive receptors like CD28 and CD127, collectively resulting in a terminally exhausted state resistant to PD-1:PD-L blockade. If this is correct, PD-1:PD-L blockade may enhance the expansion and effector function of antigen-specific CD8 T-cells with intermediate PD-1 expression (e.g. peripheral blood), but not the terminally exhausted, highly PD-1+ CD8 T-cells (e.g. liver) with the activation of additional negative pathways. Along these lines, increased CTLA-4 expression was recently reported in HIV-specific CD4 (although not CD8) T-cells with a pathogenetic significance<sup>35</sup>.

There were notable differences between our findings and previous reports. For example, PD-1+CD8 T-cells from HCV-infected livers retained considerable CD28 expression in our study (53%), similar to a recent report (65%)<sup>10</sup>. However, it differs from HIV-specific CD8 T-cells which are highly PD-1+ but with much lower CD28 expression (11%). Interestingly, HIV-specific CD8 T-cells displayed efficient functional responses to PD-1:PD-L blockade despite poor CD28 expression<sup>5</sup>. In this respect, the phenotypic and functional characteristics of exhausted virus-specific CD8 T-cells may differ between HCV and HIV infection. Furthermore, anti-PD-L2 did not enhance the HCV-specific CD8 T-cell IFN $\gamma$  response in our study, unlike previous studies<sup>5,10</sup>. While this difference could reflect a shorter antigenic stimulation in our IFN $\gamma$  ELISpot assay (2 days compared to 6–7 days in previous studies)<sup>5,10</sup>, HCV-specific IFN $\gamma$  response in PBL was augmented in 2/6 patients by anti-PD-L1 but not at all by anti-PD-L2, suggesting a greater responsiveness to anti-PD-L1. Of note, our PD-1 detection strategy targeted cells with high (rather than intermediate) PD-1 expression, differing from previous studies<sup>5,8,10</sup>. It would be interesting to explore the potential difference between PD-1 intermediate and high cells in future studies. Nevertheless, %PD-1 positivity in our study associated significantly with antigen-specific effector CD8 T-cell function and correlated tightly with the PD1 MFI. Finally, PD-1:PD-L blockade failed to enhance intrahepatic HCV-specific CD8 T-cell function in 10/10 patients in our study, contrasting with a recent study reporting augmentation in 3 patients<sup>10</sup>. This poor functional response in the liver was HCV-specific, since Flu-specific CD8 T-cells from the same liver were highly functional. Importantly, the functional responses to PD-1:PD-L blockade correlated inversely with PD-1 expression, suggesting that PD-1:PD-L1 blockade may target cells without high PD-1 expression.

These findings have important implications for therapeutic approaches using PD-1:PD-L blockade. In HCV, PD-1:PD-L blockade may not immediately restore function to HCV-specific CD8 T-cells in the liver, thus limiting the likelihood of acute fulminant hepatitis. This is an important safety consideration. Alternatively, if rejuvenated peripheral HCV-specific CD8 T-cells (with intermediate PD-1 expression) subsequently home to the liver, they might induce active liver inflammation or become rapidly impaired upon encountering HCV-infected hepatocytes. In this respect, concurrent virus suppression by antiviral therapy could reduce



PD-1 expression while reducing HCV-expressing hepatocytes, thus promoting better immune induction while limiting liver damage during PD-1:PD-L blockade. Furthermore, PD-1 expression in HCV-specific CD8 T-cells may help predict responsiveness to therapeutic PD-1:PD-L blockade. While our in-vitro findings require further validation in-vivo, these findings in HCV may also be relevant for other conditions in which T-cells are differentially activated or exhausted based on compartmentalization and antigenic exposure.

In conclusion, highly PD-1+ HCV-specific CD8 T-cells in the liver of HCV-infected patients displayed a profound functional impairment that was refractory to PD-1:PD-L blockade, suggesting that the level of PD-1 expression and tissue compartmentalization define the functional capacity of virus-specific CD8 T-cells in chronic HCV infection and their potential for responsiveness to PD-1:PD-L blockade.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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## Abbreviations

APC, allophycocyanin  
 CFSE, carboxyfluorescein succinimidyl ester  
 CTLA-4, cytotoxic T-lymphocyte-associated antigen-4  
 FITC, fluorescein isothiocyanate  
 Flu, influenza virus  
 IFN, interferon  
 LCMV, lymphocytic choriomeningitis virus  
 LIL, liver infiltrating lymphocytes  
 MFI, mean fluorescence intensity  
 NOD, nonobese diabetic  
 PD-1, Programmed Death 1  
 PD-L, PD-ligand  
 PE, phycoerythrin  
 PFA, paraformaldehyde  
 SFU, spot-forming unit

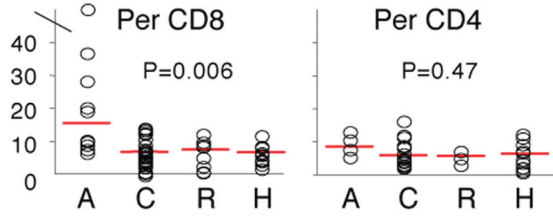
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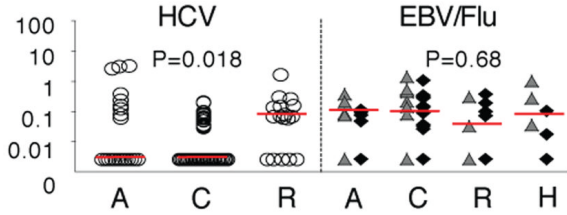
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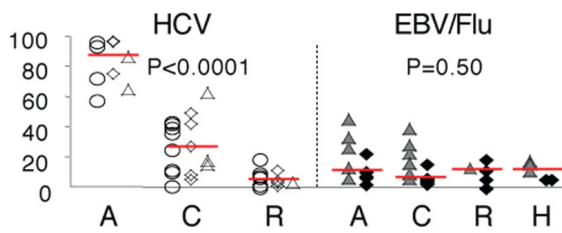
**A. %PD1 per T cell subsets**



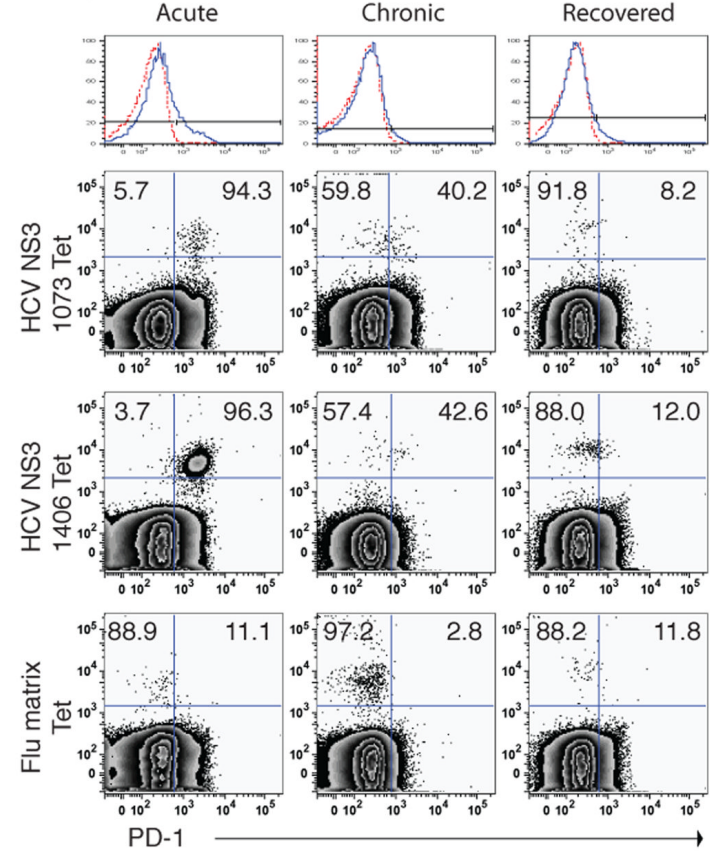
**B. %Tet+/CD8+**



**D. %PD-1+ per Tet+CD8+**

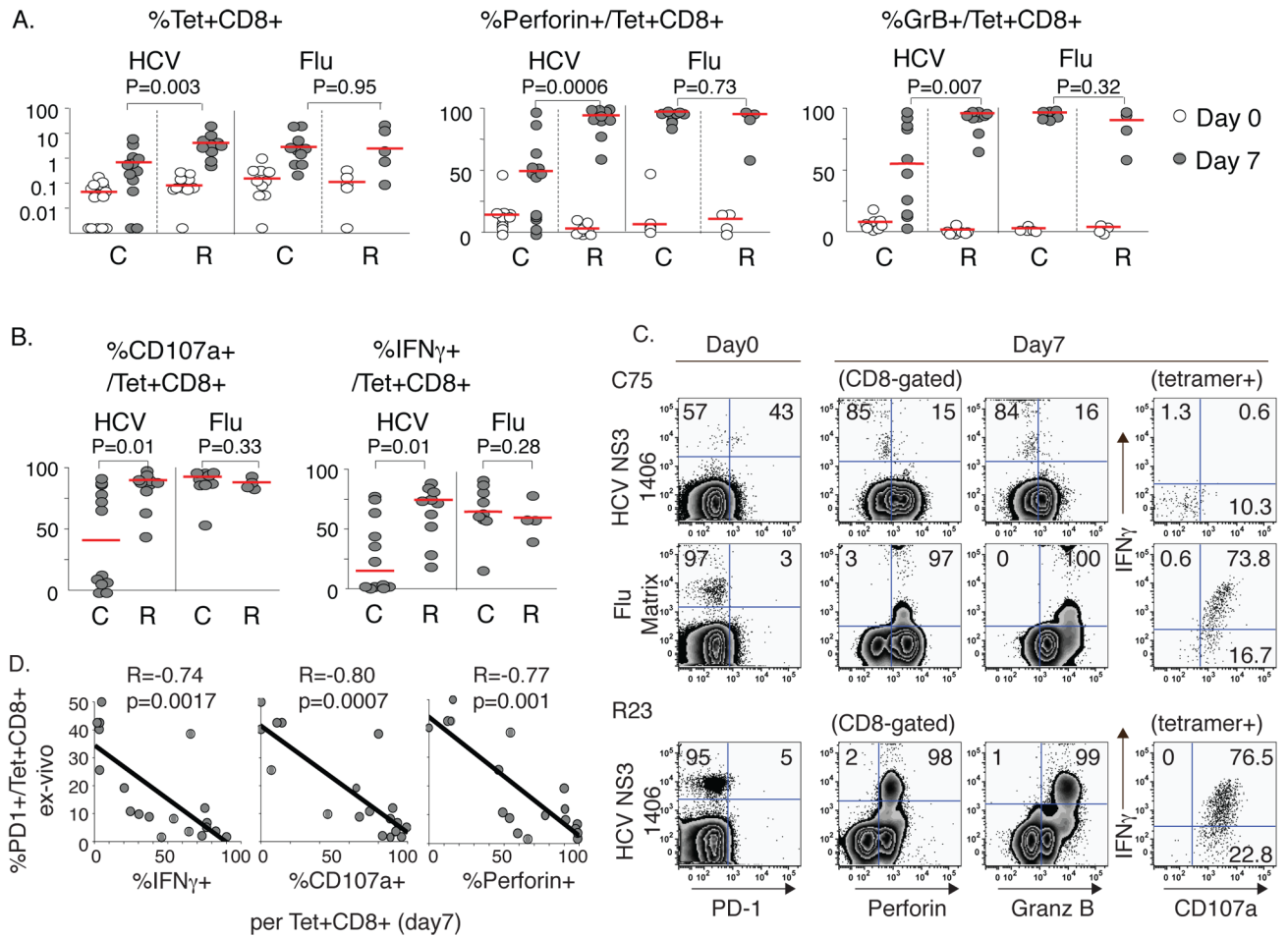


**C. Representative FACS**



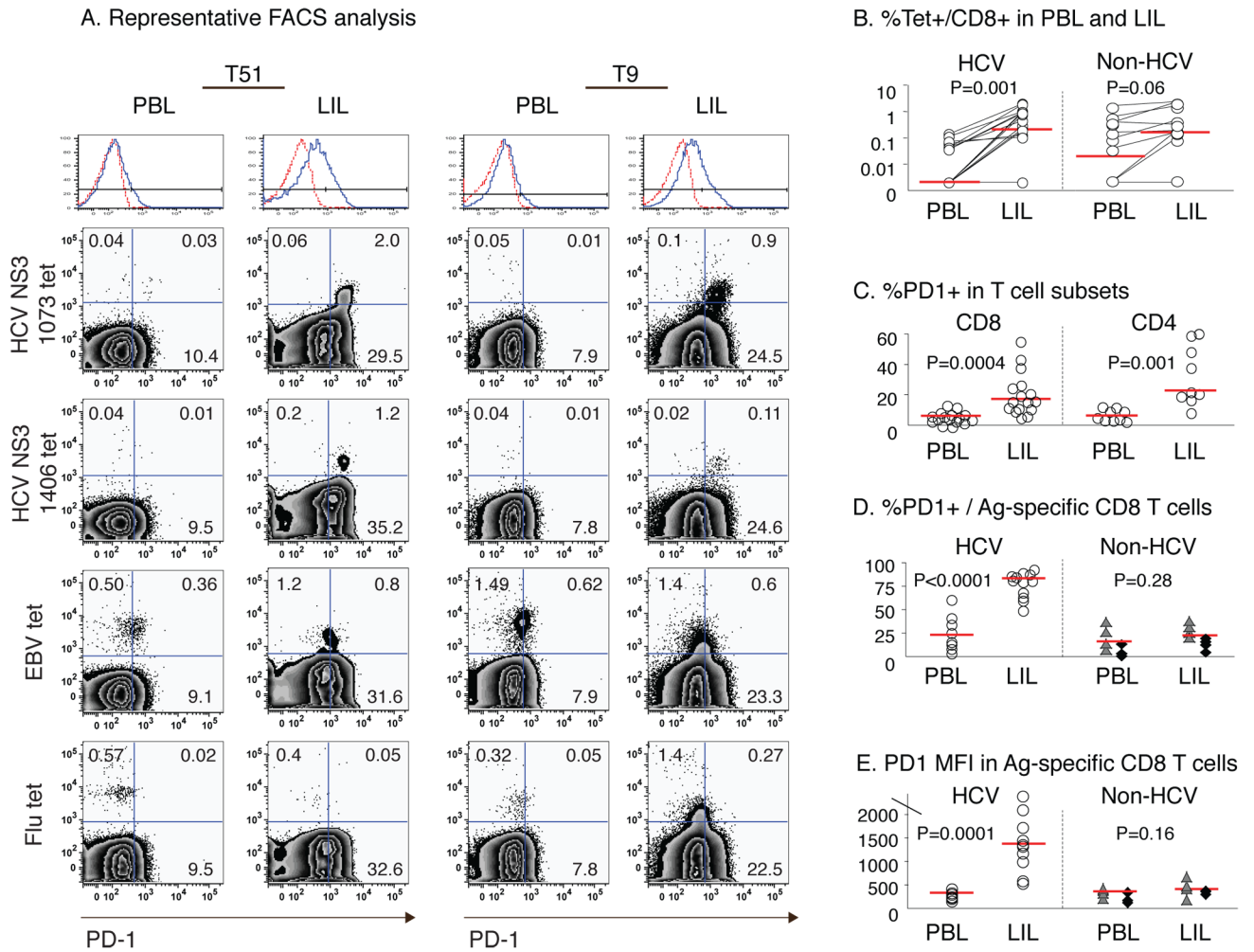
**Figure 1. Increased PD-1 expression in circulating HCV-specific CD8 T-cells from viremic patients with acute and chronic but not resolved hepatitis C**

(A) %PD-1 expression in CD8 and CD4 T-cells in 10 acute (A), 27 chronic (C) and 8 resolved (R) patients with HCV infection, and 12 healthy HCV-seronegative (H) controls. Median % PD-1+/CD8 T-cells: A15.4% vs. C6.8% vs. R7.5% vs. H6.6% (p=0.006). Median %PD-1+/CD4 T-cells: A8.5% vs. C5.8% vs. R5.7% vs. H6.4% (p=0.47). (B) %Tetramer+ CD8 T-cells specific for HCV (circle), EBV (triangle), and Flu (diamond) in 7 Acute, 19 Chronic, 8 Recovered and 3 Healthy patients. Median %HCV-specific (A0.00% vs. C0.00% vs. R0.08%, p=0.018); Median %EBV or Flu-specific (A0.11% vs. C0.10% vs. R0.04% vs. H0.08, p=0.68). (C) Representative PD-1 stainings for peripheral HCV- and Flu-specific tetramer+ CD8 T-cells from acute, chronic and recovered patients. Top panel shows the PD-1 cutoff strategy with isotype control (dotted red line). (D) %PD-1+ per tetramer+ CD8 T-cells (circle, NS3 1073; diamond, NS3 1406; triangle, NS5B 2594), EBV (filled triangle) and Flu (filled diamond) in 7 acute, 19 chronic, 8 resolved and 3 healthy individuals. Median %PD-1+: HCV-specific CD8 T-cells (A87.4% vs. C26.7% vs. R5.1%, p<0.0001); non-HCV-specific CD8 T-cells (A11.1% vs. C6.5% vs. R11.8% vs. H11.8%, p=0.50). Red horizontal bars indicate the medians. P-values were determined by the Kruskal-Wallis test.



**Figure 2. HCV-specific CD8 T-cells in patients with chronic HCV infection display impaired antigen-specific expansion and effector function *in vitro***

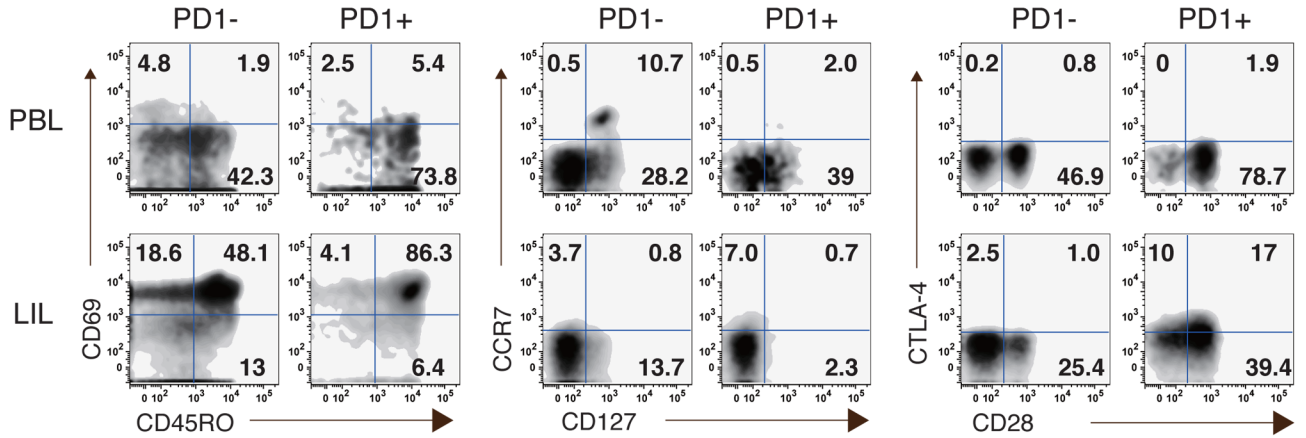
(A) HCV- and Flu-specific tetramer+ CD8 T-cell frequency and expression of perforin and granzyme B on day 0 (empty circle) and day 7 of culture (filled circle) with peptides (10 $\mu$ g/ml) and 100IU/ml rIL2 for 15 chronic (C) and 6 recovered (R) patients. %Tet+CD8+ (median C0.69% vs. R4.16% on day 7; p=0.003). %Perforin+/Tet+CD8+ (median C49.1% vs R92.3% on day 7, p=0.0006). %Granzyme B+/Tet+CD8+ (median C55.2% vs. R96.2% on day 7; p=0.007). Red horizontal bars indicate the median. (B) %CD107a+ and %IFN $\gamma$  in HCV- and Flu-specific CD8 T-cells on day 7. HCV-specific CD8 T-cells: median %CD107a+ (C40.7% vs. R89.8%, p=0.01); median %IFN $\gamma$  (C14.7% vs. R74.7%, p=0.01). (C) Representative FACS plots comparing HCV-specific and Flu-specific tetramer+ CD8 T cell expansion and effector function in chronic (C75) and resolved (R23) patients on day 0 and after 7 days of antigenic stimulation. Events are gated on CD8+ cells except for the far right intracellular staining gating on tetramer+ CD8 T-cells (D) Inverse correlation between %PD-1 expression *ex vivo* and antigen-specific IFN $\gamma$ , CD107a and perforin expression on day 7 by HCV-specific CD8 T-cells. P-values were determined by Mann-Whitney U or Spearman Rank Correlation test).



**Figure 3. PD-1 expression is increased in HCV-specific CD8 T-cells in the liver compared to peripheral blood**

(A) Representative FACS plots for PBL and LIL from HLA-A2+ HCV-infected liver transplant recipients (T51 and T9) gating on CD8 T-cells. The top histogram shows the PD-1 cutoff strategy with isotype control (dotted red line). (B) Frequency of tetramer+CD8 T-cells specific for HCV (NS3 1073, NS3 1406, NS5B 2594), EBV and Flu epitopes in 8 HLA A2+ patients. Median values are indicated by red horizontal line. Median %HCV-specific CD8 T-cells: PBL 0.00% vs LIL 0.21%,  $p=0.001$ . Median %Flu or EBV-specific CD8 T-cells: PBL 0.02% vs. LIL 0.16%,  $p=0.06$ . (C) %PD-1 expression in CD8 and CD4 T-cells in 16 HCV-infected patients: Median %PD-1+/CD8 (PBL 6.1% vs. LIL 17.1%;  $p=0.0004$ ); Median %PD-1+/CD4 (PBL 5.4% vs. LIL 21.9%;  $p=0.001$ ). (D) %PD-1 expression and (E) PD-1 MFI on CD8 T-cells specific for HCV and non-HCV epitopes (EBV: triangle; Flu: diamond) from 8 HLA-A2 + HCV-infected patients. HCV-specific CD8 T cells: median %PD-1+ (PBL 23.0% vs. LIL 83.3%,  $p<0.0001$ ); median PD-1 MFI (PBL 281 vs. LIL 1375,  $p=0.0001$ ). Non-HCV-specific CD8 T-cells: median %PD-1+ (PBL 16.1% vs LIL 22.5%,  $p=0.28$ ); median PD-1 MFI (PBL 356 vs LIL 406,  $p=0.16$ ). P-values were determined by paired t-test or Mann-Whitney U.

A. Representative FACS density plots

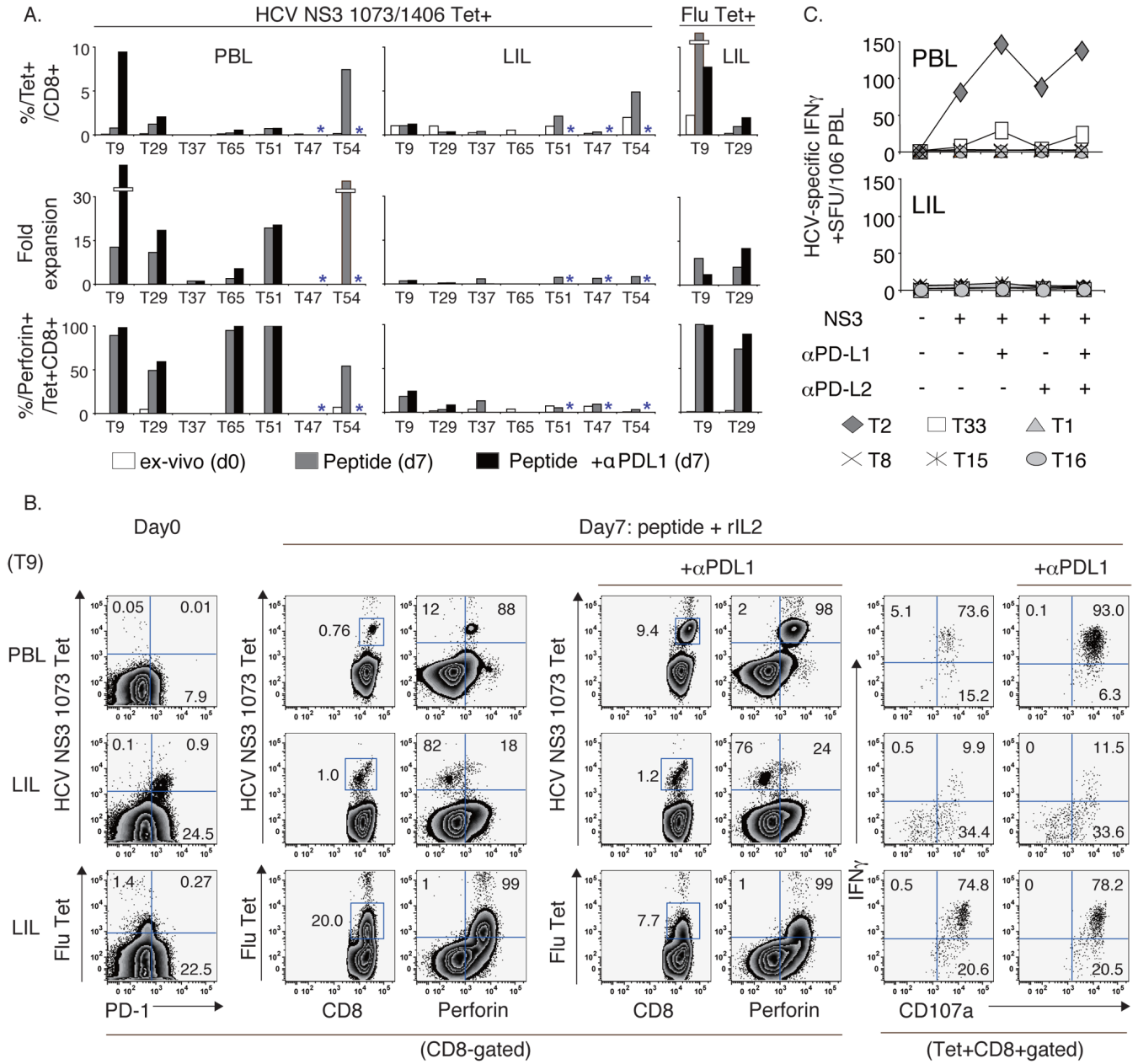


B. Phenotypic characteristics

	PBL			LIL			PD1+ PBL vs LIL p-value
	PD-1-	PD-1+	p-value	PD-1-	PD-1+	p-value	
%CD69	2	10	<b>0.0005</b>	76	89	<b>0.0018</b>	<b>&lt;0.0001</b>
%CD45RO	49	83	<b>&lt;0.0001</b>	67	89	<b>0.0103</b>	0.1246
%CCR7	18	8	0.0558	2	4	<b>0.0083</b>	0.1282
%CD62L	10	8	<b>0.0189</b>	0	1	0.2085	<b>0.0018</b>
%CD27	52	79	<b>0.0006</b>	46	70	<b>0.0009</b>	0.1804
%CD28	52	78	<b>0.0007</b>	32	53	<b>0.0109</b>	<b>0.038</b>
%CD127	53	49	0.9711	26	14	<b>0.0095</b>	<b>0.0002</b>
%IC CTLA4	1	3	<b>&lt;0.0001</b>	5	29	<b>0.0158</b>	<b>0.0005</b>

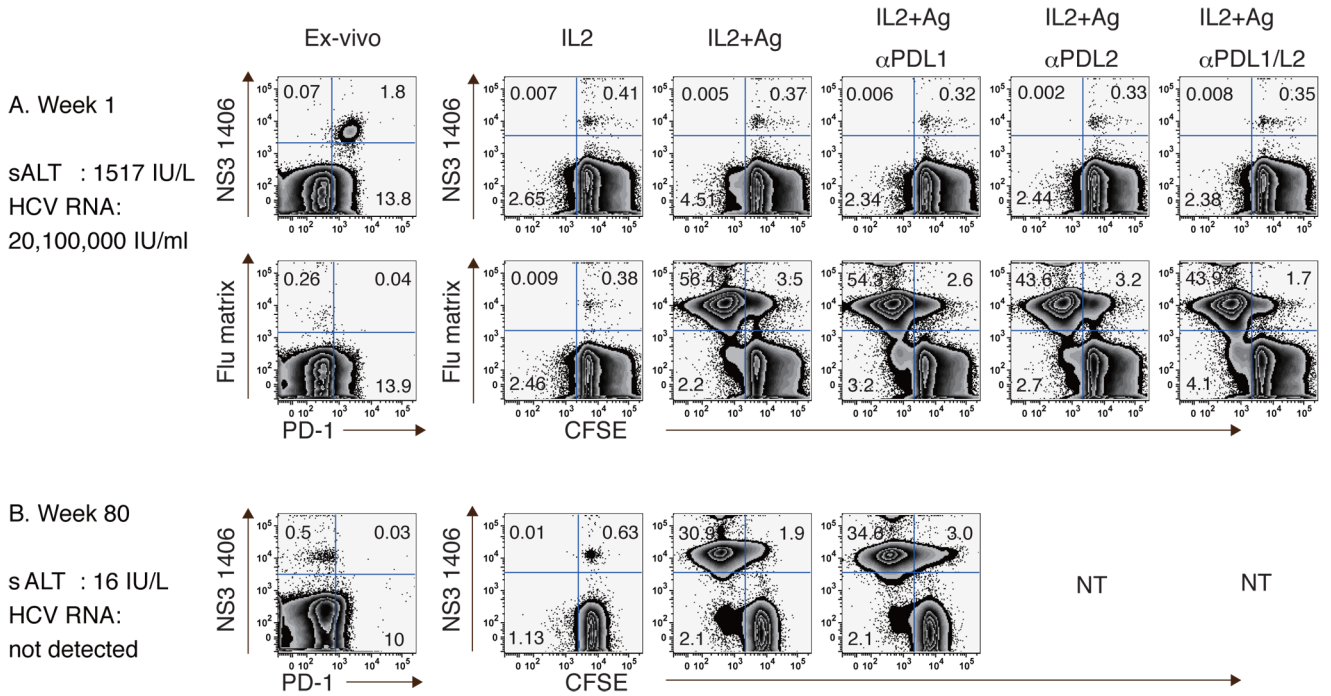
**Figure 4. Phenotypic characteristics of PD-1+ CD8 T-cells in peripheral blood and liver of patients with chronic HCV infection**

(A) Representative FACS density plots comparing phenotypic markers for PD-1- and PD-1+ CD8 T-cells in PBL and LIL from an HCV-infected patient. Numbers in each quadrant reflect percentage of gated PD-1- and PD-1+ CD8 T-cells. (B) Phenotype of PD-1+ and PD-1- CD8 T-cells in PBL (n=24) and LIL (n=14) shown as median percentages. P-values were calculated by Mann-Whitney U.



**Figure 5. Impaired expansion and effector function unresponsive to PD1:PD-L blockade in highly PD1+ HCV-specific CD8 T-cells in the liver of HCV-infected patients**  
**(A)** The frequency, fold expansion and perforin expression of HCV-specific and Flu-specific tetramer+ CD8 T-cells on day 0 (white bars) and on day 7 following antigenic stimulation without (gray bars) or with anti-PD-L1 (black bars) \*concurrent blockade not done. All subjects were studied with NS3 1073 tetramer except T47 and T51 who displayed a dominant NS3 1406 response. **(B)** FACS plots showing HCV-specific and Flu-specific expansion and effector function in PBL and LIL from patient T9. **(C)** HCV-specific T-cell IFN $\gamma$  response by IFN $\gamma$  ELISpot in 6 HLA-A2-negative HCV-infected patients following stimulation with overlapping NS3 peptides +/- PD-1:PD-L blockade.



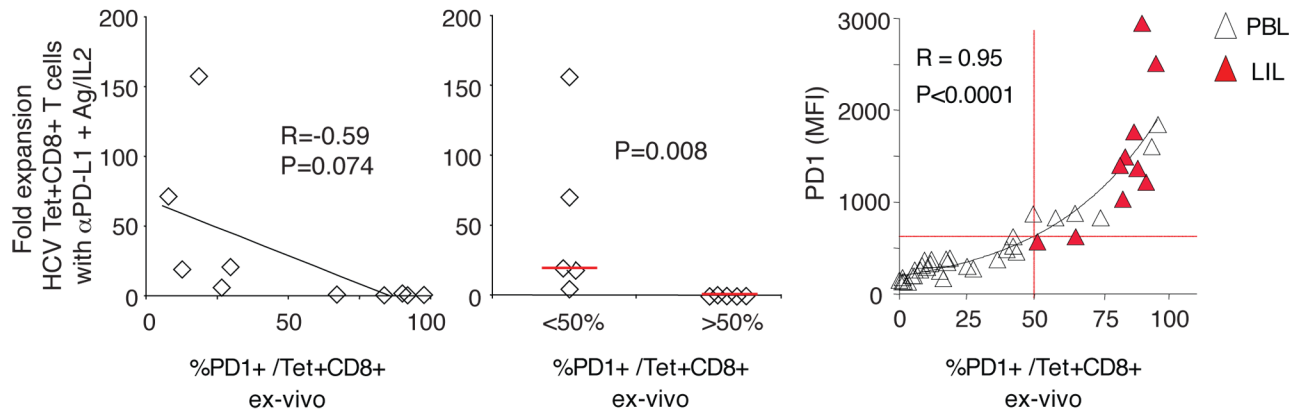


**Figure 6. PD-1:PD-L blockade does not enhance expansion of highly PD-1+ HCV-specific CD8 T-cells in acute HCV infection**

Expansion of HCV- and Flu-specific CD8 T-cells in an acute hepatitis C patient during (A) the acute (week 1) and (B) resolved phase (week 80). CFSE-labeled PBMCs were stimulated with peptides (10μg/ml) and rIL2 (100IU/ml) with/without anti-PD-L1 and/or anti-PD-L2 for 7 days.

**A. Effect of anti-PDL1 on HCV-specific CD8 T cell expansion relative to PD1 expression**

**B. Correlation between %PD1+ cells vs PD1 MFI in HCV-specific CD8 T cells**



**Figure 7. Inverse relationship between PD-1 expression and HCV-specific CD8 T-cell expansion with anti-PD-L1 blockade**

(A) Comparison of HCV tetramer+ CD8 T-cell expansion *in vitro* after 7 days of antigenic stimulation with anti-PD-L1 and *ex vivo* PD-1 expression directly (left) and in subgroups by %PD-1 cutoff of 50% (right). (B) Correlation between the frequency and MFI for PD-1 expression on HCV-specific CD8 T-cells with an exponential trendline (32 PBL, unfilled triangles; 10 LIL, red filled triangles).

Table 1

## Patient Groups

Patient Groups	Sex (M:F)	HLAA2+	Genotype 1	Age (y)	HCV RNA (IU/ml)	ALT (IU/ml)	Albumin (g/dl)	Bilirubin (mg/dl)	Platelets ( $\times 1000/\text{mm}^3$ )
A. Acute (n=10)	7:3	7/10	7/10	35	4,000,000	281	4.4	1.8	224
C. Chronic									
Stable (n=11)	11:0	11/11	9/11	55	850,000	36	4.3	0.6	244
Transplanted (n=16)	14:2	8/16	16/16	53	415,500	53	2.4	2.8	93
R. Rescued (n=8)									
	8:0	8/8	-	55	0	23	4.5	0.6	232
H. Healthy controls (n=12)									
	9:3	4/12	-	50	0	28	4.4	0.6	250

Median values