

Phenotypes of Mutations in the 5'-UTR of a Limiting Transcription Factor in *Aspergillus nidulans* Can Be Accounted For by Translational Inhibition and Leaky Scanning

Nathalie Oestreicher^{*,1} and Claudio Scazzocchio^{*,†}

^{*}Université Paris XI, CNRS UMR8621, Institut de Génétique et Microbiologie, 91405 Orsay Cedex, France and

[†]Department of Microbiology, Imperial College, London SW7 2AZ, United Kingdom

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ABSTRACT

The *uaY* gene encodes the transcriptional activator of purine catabolism genes in *Aspergillus nidulans*. *uaY12* results in strongly defective growth on purines as nitrogen sources and in strongly diminished transcription of UaY-regulated genes. This mutation introduces an ATG codon 64 bp upstream of the *uaY* ATG, generating a 68-codon open reading frame (uORFA), overlapping with the *uaY* ORF. *uaY12* revertants fall into three categories:

- i. The majority eliminate the aberrant ATG. The growth and transcriptional phenotypes of these revertants are identical to those of the wild type.
- ii. Two revertants create a stop codon in frame with the *uaY12* aberrant ATG, shortening the length of the uORFA, thus uORFA no longer overlaps the *uaY* ORF. The latter are partial suppressors of the *uaY12* mutation, while chain termination suppressors, in turn, suppress this novel phenotype.
- iii. Two partial suppressors are unlinked to *uaY*. These two mutations result in a pleiotropic phenotype usually associated with ribosomal proteins.

We hypothesize that uORFA strongly diminishes translation of the *uaY* ORF and that revertants negate this effect by a number of different mechanisms. The first-AUG rule and the phenomena of translational inhibition and leaky scanning provide a coherent explanation of the results presented in this article.

THE fungus *Aspergillus nidulans* can use purines (adenine, hypoxanthine, xanthine, and uric acid) as sole nitrogen sources. The UaY transcription factor mediates uric acid induction of the majority of the purine-degradation pathway genes (SCAZZOCCHIO and DARLINGTON 1968; SCAZZOCCHIO *et al.* 1982; SCAZZOCCHIO 1994). It is necessary for transcriptional induction of the three transporter genes *uapA*, *uapC*, and *azgA* (GORFINKIEL *et al.* 1993; DIALLINAS *et al.* 1995; CECCHETTO *et al.* 2004) and of structural genes involved in purine degradation: *nadA* (adenine deaminase, RIBARD *et al.* 2003), *hxA* (xanthine dehydrogenase, GLATIGNY and SCAZZOCCHIO 1995), *hxB* (MOCO sulfuryase, necessary for the activity of the xanthine dehydrogenase, AMRANI *et al.* 1999), *xanA* (xanthine α -ketoglutarate-dependent dioxygenase, CULTRONE *et al.* 2007), and *uaZ* (urate oxidase, OESTREICHER *et al.* 1993). These genes are also under the positive control of the GATA factor AreA, which is active in the absence of repressive nitrogen sources (such as ammonium and glutamine, ARST and COVE 1973; KUDLA *et al.* 1990). With the

exception of the *nadA* gene, where the two proteins have antagonistic effects (OESTREICHER *et al.* 2008), AreA and UaY act in synergy and are both necessary for fully induced transcription (references as cited previously and RAVAGNANI *et al.* 1997).

The *uaY* gene encodes a 1060-amino-acid protein, containing a zinc binuclear cluster DNA-binding domain, which binds to DNA sequences of the form CCG-6X-CGG (SUÁREZ *et al.* 1995). The *uaY* gene is transcribed at a very low level, and at variance with previous reports (SUÁREZ *et al.* 1991b, 1995), it is mildly autoregulated, with an induction ratio of 1.4 (N. OESTREICHER, unpublished results). Studies that have been summarized elsewhere have shown that the intracellular concentrations of UaY are strictly limiting for the expression of the cognate regulated genes (SCAZZOCCHIO, 1994). Thus growth phenotypes on different purines as nitrogen sources and mRNA levels of UaY-regulated genes give a sensitive, albeit qualitative estimation of the intracellular concentrations of UaY protein (SCAZZOCCHIO *et al.* 1982). Overexpression of *uaY* in the presence of its putative ligand, uric acid, is highly toxic to *A. nidulans* (N. OESTREICHER, unpublished results), possibly due to squelching (GILL and PTASHNE 1988).

¹Corresponding author: Institut de Génétique et Microbiologie, Bâtiment 360, Université Paris XI, 91405 Orsay Cedex, France.
E-mail: nathalie.oestreicher@igmors.u-psud.fr

The *uaY* gene has been extensively studied by classical genetics, with the isolation of null mutations and gain-of-function mutations. A fine structure map has been generated permitting to order linearly 21 *uaY*⁻ alleles, of which 8 correspond to internal deletions (SCAZZOCCHIO *et al.* 1982; SUÁREZ *et al.* 1991a). The identification of nine *uaY*⁻ mutations by sequencing is in complete agreement with the fine structure map previously published (*uaY2*, 9, and 808: SUÁREZ *et al.* 1995; *uaY205*: OESTREICHER *et al.* 1997; *uaY26*, *uaY27*, 207, and 333: SUÁREZ *et al.* 1991b and N. OESTREICHER, unpublished results; and *uaY109*: N. OESTREICHER, unpublished results).

Here, we identify the *uaY12* allele, the most 5' *uaY*⁻ mutation isolated to date (SCAZZOCCHIO *et al.* 1982; SUÁREZ *et al.* 1991b). Molecular characterization of this mutant and of 43 of its revertants strongly suggests that the *uaY12* mutation is a mutation affecting the level of translation of the UaY protein. The phenotype of these mutants can be interpreted by the model of ribosome scanning and the first-AUG rule, together with the processes of reinitiation and leaky scanning. KOZAK (2002 a,b) has proposed that leaky scanning has been recruited throughout evolution to limit intracellular concentrations of proteins, such as transcription factors, harmful to the cell when overproduced. The work presented here suggests that this mechanism operates for a thoroughly studied fungal transcription factor, UaY.

MATERIALS AND METHODS

Strains: All the *A. nidulans* strains used and/or constructed in this work are listed in the supplemental material (see supplemental Table 1). Standard genetic markers are described in <http://www.gla.ac.uk/Acad/IBLS/molgen/aspergillus/index.html>. The null mutation *uaY2* is a C-to-T transition at position +1173, which results in the introduction of amber codon at position 392 of the UaY protein (the wild-type protein being 1060 residues long, SUÁREZ *et al.* 1995). *uaY808* combines a deletion of 484 bp between positions +998 and +1482 with a 5-bp insertion (CTCGT); these modifications result in a frameshift generating a stop codon nine triplets downstream of the deletion terminus (SUÁREZ *et al.* 1995). The leaky mutation *uaY109* is a T-to-A transversion at position +334, which results in a Phe-to-Ile change at position 112 of the UaY protein (N. OESTREICHER, unpublished results). *uaY205* is a 16-bp deletion starting at position 2992, which results in premature translation termination and substitutes the C-terminal 63 amino acids by 13 new residues (OESTREICHER *et al.* 1997).

The reversion experiment was carried out on strain NA9926; thus, all the revertants are, with the exception of 12r128, in the *γA2 pyroA4 riboC3* background. The original 12r128 revertant is no longer available; thus, all the work has been carried out with strain NA0464 (*γA2 pantoB100 pyroA4*) obtained from the cross of 12r128 and NA0215.

Escherichia coli JM109 (YANISCH-PERRON *et al.* 1985) was used for routine plasmid preparation.

Media, growth conditions, mutagenesis, and transformation techniques: The media and growth conditions for *A. nidulans* were according to SCAZZOCCHIO *et al.* (1982). Mycelia used for DNA preparation were grown as described in OESTREICHER

and SCAZZOCCHIO (1993). The mycelia for RNA preparation were grown for 10 hr at 37° in supplemented minimal media with shaking at 150 rpm, in the presence of 5 mM urea as the sole nitrogen source (noninducing, nonrepressing conditions). Induction was carried out by addition of 0.1 mg/ml of acid uric for the last hour of growth. In the noninduced culture, urea was added again for the last hour.

uaY12 spores were mutagenized with nitroquinoline-1-oxide (BAL *et al.* 1977), resulting in <1% survival, followed by isolation of revertants grown on hypoxanthine as sole nitrogen source at both 37° and 25°, from where individual colonies were purified by single spore isolation.

Transformation of *A. nidulans* followed the method of TILBURN *et al.* (1983). Transformation of *E. coli* was carried out according to HANAHAN (1983).

DNA manipulations: Plasmid extraction from *E. coli* and other DNA manipulations were as described by SAMBROOK *et al.* (1989). DNA was prepared from *A. nidulans* as described by SPECHT *et al.* (1982). Southern blots were carried out as described in OESTREICHER *et al.* (1993), using positive TM membranes (Qbiogene). DNA bands were purified from agarose gels using the Wizard PCR preps DNA purification system (Promega). Random Hexanucleotide Primer kit (Roche Applied Science) was used to label DNA molecules with [α -³²P]dCTP to generate gene-specific probes. Routine PCR amplifications for genotype verification were carried out using *Taq* DNA polymerase of Promega and specific 17mer primers, and following these PCR conditions: 5 min at 95°, followed by 40 cycles with 1 min at 95°, 1 min at 58° and 2 min at 72°, and 1 final cycle of 10 min at 72°. Sequencing of PCR fragments was carried out with specific 17mer primers using the dideoxynucleotide chain termination procedure (SANGER *et al.* 1977) with the T7 Sequenase PCR product sequencing kit (Roche Applied Science).

Cloning and sequencing of *uaY* alleles, construction of the plasmid used in back-transformation experiments: The *uaY12* mutation: The *uaY12* mutation has been mapped by transformation in the 584-bp *XhoI* fragment, containing the wild-type *uaY* sequence from nucleotide -225 to +358 (numbering from the A of the ATG initiation codon). Amplification of a 1246-bp fragment (from position -540 to +708) was carried out on *uaY12* genomic DNA with 17mer-specific primers and following the same conditions as above. After DNA purification and digestion by *XhoI*, the 584-bp fragment (-225 to +358) containing the *uaY12* mutation, was cloned in the Bluescript KS(+) vector (Stratagene, plasmid named bAN754-12, see supplemental Table 2) and the whole insert of two independent clones was sequenced on double-stranded templates with M13 and M13 reverse primer using the T7 sequencing mixes (Roche Applied Science).

The revertant alleles: For the 43 revertants, a 1286-bp fragment (from position -540 to +746) was amplified in the same conditions as explained above. The region from -225 to +40 was sequenced on the PCR product using a specific 19mer primer with its 5' hybridizing at position -294 (see above).

Plasmids used in back-transformation experiments: That the revertant alleles: *uaY12r3*, *12r17*, *12r18*, *12r113*, *12r118*, and *12r130* are responsible for the phenotype observed, was confirmed by partial gene replacement (MILLER *et al.* 1985; OESTREICHER *et al.* 1997) as described below. A 667-bp fragment (from position -294 to +373) was amplified [see above for PCR conditions, but using *pfu* polymerase (Promega) rather than *Taq* polymerase]. After purification, a 427-bp fragment (-225 to +203) corresponding to a *XhoI*-*Clal* digest, was cloned in Bluescript KS(+), generating the bAN772-X plasmids (where X corresponds to the number of the *uaY* allele, see supplemental Table 2). Again, the whole inserts of these plasmids were sequenced (Fasteris, Switzerland), using

the T3 primer, to ensure that no mutation was introduced by the PCR process. To expand the size of the insert on both sides of the *XhoI*-*Clal* fragment, two successive steps were carried out: First, a 2836-bp *ApaI*-*XhoI* fragment (−2611 to −225) from plasmids bAN783 (RIBARD *et al.* 2001) was inserted in all the six bAN772-X plasmids, creating the bAN755-X plasmids (see supplemental material). Second, a 1403-bp *Clal*-*EcoRI* fragment (+203 to +1606) from plasmids bAN753 (SUÁREZ *et al.* 1991b) was inserted in all the six bAN755-X plasmids, creating the final bAN756-X plasmids (see supplemental Table 2).

RNA manipulations: Total RNA was isolated from *A. nidulans* as described by LOCKINGTON *et al.* (1985), and Northern blots were carried out as described in OESTREICHER and SCAZZOCCHIO (1993) and modified as in OESTREICHER *et al.* (2008). To monitor the RNA loading, an actin-specific probe was used (FIDEL *et al.* 1988). The actin, *hxA*, *uaZ*, and *uapA* probes are described in OESTREICHER *et al.* (2008). The *uaY* probe corresponds to the 3281-bp *NcoI* fragment from the bAN700 plasmid, which contains the genomic sequence of the *uaY* gene from the +1 position until the base pair before the stop codon. The intensities of radioactive signal were quantified with a 400A Phosphoimager (Amersham Biosciences). Data were analyzed with the ImageQuant software (Molecular Dynamics). All Northern blot experiments were carried out at least in triplicate.

The start of transcription was determined using the 5'-RACE method following the supplier's instructions (Boehringer), using RNAs extracted from wild-type mycelia grown in the presence of uric acid. The initial cDNA was obtained using the specific oligonucleotide 5' GCTATTTCCGCCGCGC TGG 3' (5' starting at the position +492). After purification and tailing with terminal transferase in the presence of dATP, the resulting product was amplified using a poly(dT) anchor primer (supplied by the kit) and the specific primer 5' CGCC GGCAGCTGGTCCGTTTGCTGA 3' (5' starting at the position +487, this second specific primer is overlapping with the first one). The PCR conditions were: 2 min at 94°, followed by 10 cycles with 15 sec at 94°, 30 sec at 58°, and 40 sec at 72°, followed by 25 cycles with 15 sec at 94°, 30 sec at 58°, and 40 sec (+20 sec at each cycle) at 72°, and finally one round at 74° during 7 min. One-sixth of the PCR reaction was tested on an agarose gel, given a hardly visible 1-kb band. A second PCR was carried out on a 1- μ l aliquot of a 1/10 dilution of the first PCR mixture, using the same conditions, with the exceptions of the annealing temperature (63° rather than 58°) and the two primers (with a new specific primer, overlapping with the second one: 5' CGTTTGCTGAGCCCGCATATGCTTC 3' and the anchor primer instead of the poly(dT) anchor primer). The resulting product was purified and digested by *Clal*, which cuts inside the *uaY* sequence (at position +203) and in the anchor primer. The resulting products were cloned in the Bluescript KS(+) (Stratagene), giving nine clones (named bAN75'-1–9). These clones were sequenced using the M13 and reverse primers with the T7 sequencing mixes (Roche Applied Science).

RESULTS

Determination of the 5' start point of the *uaY* mRNA:

The 5' start point of the *uaY* mRNA had been estimated by primer extension (SUÁREZ *et al.* 1995) to be at position −52/−46 from the ATG. This would result in an mRNA of ~3.4 kb. The estimated size of the mRNA in Northern blots is 3.8–4.0 kb (not shown and SUÁREZ *et al.* 1991b). As this work deals with the most 5'

mutation mapped in the *uaY* gene, we thought it important to address this apparent contradiction. Thus, we determined again the 5' start point with 5'-RACE, a more up-to-date methodology (see MATERIALS AND METHODS). At least seven start points have been identified at positions: −503 (two clones), −494 (two clones), −469, −457, −438, −431, and −284 (one clone each) (see supplemental Figure 1). These new start points are in agreement with the RNA size determined in Northern blots. This result has been confirmed using three PCR fragments as probes for Northern blots: one upstream of the published −52/−46 start point (region −540 to −220), one overlapping with the beginning of the ORF (region −294 to +16), and one internal to the ORF (+412 to +746). All the probes reveal the *uaY* messenger (data not shown).

The *uaY12* mutation and its revertants: We mapped *uaY12* by transformation with linear fragments (see MATERIALS AND METHODS) between nucleotides −225 to +358 of the *uaY* gene (numbering from the A of the ATG initiation codon). The corresponding sequence from a *uaY12* strain showed a C-to-T transition at position −63 (Table 1). The sequence change is well within the transcribed sequences, but upstream of the *UaY* ATG.

To gain some insight into why this apparently anodyne mutation resulted in a phenotype only slightly more leaky (see below) than that shown by strains carrying null mutations (*e.g.*, *uaY2*, *uaY9*, chain termination mutations; *uaY207*, a large insertion; *uaY808*, a deletion; SUÁREZ *et al.* 1991b, 1995, for *uaY2*, see below), we isolated revertants by selection for growth on hypoxanthine as the sole nitrogen source at both 37° and 25° (see MATERIALS AND METHODS). Forty-three revertants were isolated and analyzed. For each revertant, a −540 to +746 fragment of the *uaY* region was amplified and the −225 to +40 region was sequenced (see MATERIALS AND METHODS). These revertants comprise 11 different sequence changes in the amplified region and two strains where the sequence obtained was identical to that of the *uaY12* mutation. The nucleotide changes of the sequenced revertants are shown in Table 1. An exact reversion to the wild type (a T-to-C transition at position −63) was not represented in the sequence changes analyzed by us. One revertant, *uaY12r26*, shows a T-to-A transversion in nucleotide −63, where the original *uaY12* mutation occurred. The majority of the revertants represented by *uaY12r11*, *uaY12r17*, and *uaY12r49* show one of the three possible changes at nucleotide −62. The alleles *uaY12r43*, *12r44*, and *12r130* carry a mutation at −62 together with a second one in its proximity. In *uaY12r18* a deletion of a 63-bp region containing the *uaY12* mutation (from position −91 to −29) has occurred while *uaY12r3* carries an insertion of a T between position −63 and −62. Three revertants do not affect the nucleotides −63 and −62, but carry a transition of a G to A at nucleotide −42 (allele *uaY12r118*) or −38 (*uaY12r113*).

TABLE 1
Sequence of the 43-*uaY12* revertants

| Allele names | Nature of the reversion ^a | Sequence of the mutation ^b (<i>uaY</i> +: TCATTACGGGTCTTTCAGTT, <i>uaY12</i> : TCATTATGGGTCTTTCAGTT) | No. of revertants selected at | | Total |
|----------------------------|--|--|-------------------------------|-----|-------|
| | | | 25° | 37° | |
| <i>uaY12r26</i> | T to A in position -63 | TCATTAA <u>GGGTCTTTCAGTT</u> | 1 | 0 | 1/43 |
| <i>uaY12r11</i> | G to C in position -62 | TCATTAT <u>CGGTCTTTCAGTT</u> | 3 | 3 | 6/43 |
| <i>uaY12r17</i> | G to A in position -62 | TCATTAT <u>AGGTCTTTCAGTT</u> | 13 | 3 | 16/43 |
| <i>uaY12r49</i> | G to T in position -62 | TCATTAT <u>TGGGTCTTTCAGTT</u> | 7 | 2 | 9/43 |
| <i>uaY12r43</i> | G to T in position -62 and -61 | TCATTAT <u>TTGTCTTTCAGTT</u> | 1 | 0 | 1/43 |
| <i>uaY12r44</i> | G to C in position -62 and -22 | TCATTAT <u>CGGTCTTTCAGTT</u> -26 CACCCGTCATTATATCCT | 1 | 0 | 1/43 |
| <i>uaY12r130</i> | G to T in position -62 and T to A in -66 | TCAATAT <u>TGGGTCTTTCAGTT</u> | 0 | 2 | 2/43 |
| <i>uaY12r3</i> | Insertion of T between position -63 and -62 | TCATTAT <u>TGGGTCTTTCAGTT</u> | 1 | 0 | 1/43 |
| <i>uaY12r18</i> | Deletion of 63 bp from position -91 to -29 | See Figure 6 See Figure 6 | 1 | 0 | 1/43 |
| <i>uaY12r113</i> | G to A in position -38 | See Figure 1 | 0 | 1 | 1/43 |
| <i>uaY12r118</i> | G to A in position -42 | See Figure 1 | 0 | 2 | 2/43 |
| 12r122 (<i>aas22</i>) | Not in the <i>uaY</i> locus | ND | 0 | 1 | 1/43 |
| 12r128 (<i>aas28</i>) | Not in the <i>uaY</i> locus | ND | 0 | 1 | 1/43 |

^aThe positions of these mutations are numbered from the A of the wild-type ATG initiation codon.

^bModified bases in the revertants are in boldface type and in larger type, the underlined letter corresponding to the base mutated in the original *uaY12* mutant. The given sequence begins at position -69 relative to the A of the *uaY*ATG, except indication.

To confirm that the sequenced mutations were responsible for the reversion phenotype, a partial gene replacement of the *uaY12* allele by the reverted alleles was carried out. A strain carrying the *uaY12* mutation was transformed with a linear 4169-bp *ApaI-EcoRI* fragment containing the 427-bp *XhoI-ClaI* (interval -225 to +203) region carrying the *uaY12r17*, *uaY12r3*, *uaY12r18*, *uaY12r113*, *uaY12r118*, *uaY12r130*, or *uaY+* sequences (see MATERIALS AND METHODS). In every case, transformants able to grow on hypoxanthine as the sole nitrogen source were obtained. The original revertants are phenotypically heterogeneous (see below) and this heterogeneity is faithfully reproduced in the cognate transformants.

Finally two revertants (strains 12r122 and 12r128) still carry the *uaY12* mutation with no additional mutation in the sequenced region. Each of these strains carry suppressors unlinked to the *uaY* locus. This was determined by crossing the revertant strains to a strain carrying the *uaY12* allele together with three closely linked bracketing markers (*cbxC34*, carboxin resistance; *oxpA5*, oxypurinol resistance; and *fpaD43*, *p*-fluoro-phenylalanine resistance; order of markers: *cbxC-0.5cM-oxpA-0.3cM-uaY5cM-fpaD*; SCAZZOCCHIO *et al.* 1982). This configuration allows one to distinguish the *uaY12* allele of the revertant strain from the *uaY12* allele of the marker strain. For both 12r122 and 12r128, the ability to grow on hypoxanthine segregated indepen-

dently from the bracketing markers and by implication from the *uaY12* allele. Analogous control crosses with strain carrying the 11 revertants discussed in previous paragraphs show a perfect cosegregation between the revertant phenotype and the bracketing markers in coupling with it.

No chromosomal rearrangement in the vicinity of the *uaY* locus was revealed by Southern blot analysis for any of the revertants (or for the *uaY12* original mutant).

An interpretation of the nature of the *uaY12* mutation and its revertants: The *uaY12* mutation changes the sequence (-70) TTCCATTACGGG into (-70) TTCCATT **ATGGG**, which generates an ATG triplet 64 bp upstream of the *uaY* ATG codon. This new ATG is followed by a short open reading frame of 68 codons (Figure 1), which is overlapping and not in frame with the *uaY* open reading frame, this short mutant ORF ending at UaY codon 47. We shall call this ORF uORFA (for upstream ORF, aberrant). In revertant alleles occurring at position -63 and -62 the ATG created in the *uaY12* mutation is lost; the same is *a fortiori* true for deletion *uaY12r18*. In both *uaY12r113* and *uaY12r118* the reversion introduces a stop codon (an opal codon TGA for *uaY12r113* and an amber codon TAG for *uaY12r118*) that truncates to 8 and 7 residues, respectively, the open reading frame present in *uaY12* (uORFA). These short ORFs do not overlap with the *uaY* ORF (Figure 1).

These data lead to the following hypothesis: The appearance of a new ORF in *uaY12* would diminish the

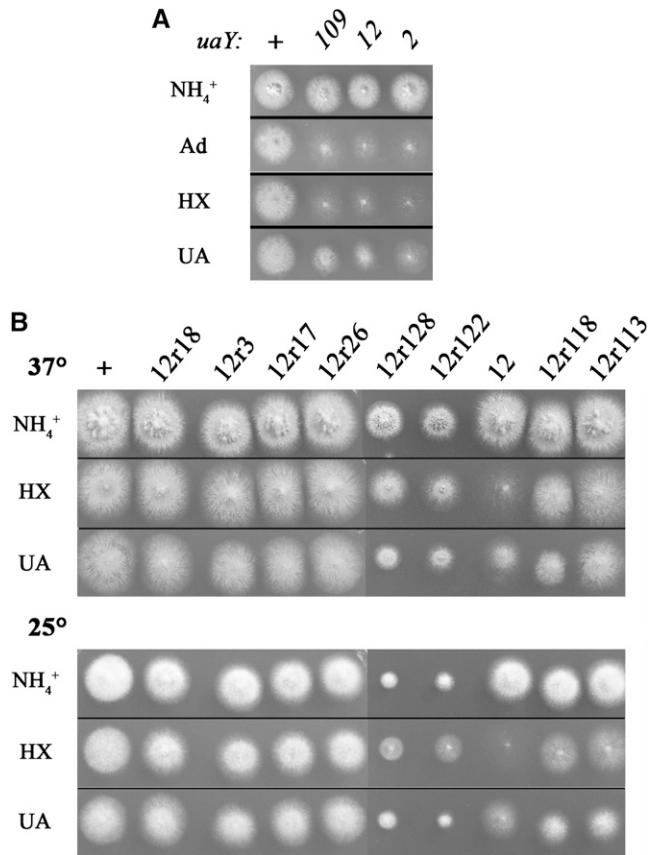


FIGURE 2.—Growth tests of the *uaY12* mutant and of some of its revertants. (A) Comparison of the growth on adenine, hypoxanthine, and uric acid of *uaY12* and some other *uaY*⁻ mutants. *uaY2* corresponds to a null *uaY* allele and *uaY109* to a leaky allele (see supplemental material for full genotypes, and MATERIALS AND METHODS for the sequence of the mutations). These strains were plated on supplemented minimal media containing 5 mM of ammonium tartrate (NH_4^+) or 0.1 mg/ml of adenine (Ad), hypoxanthine (HX), or uric acid (UA) as sole nitrogen sources. Plates were incubated for 2 days at 37°. At the top, the *uaY* alleles carried by each strain are indicated. *A. nidulans* coniospores are able to germinate on media without any utilizable nitrogen source, leading to low hyphal density and sparse sporulation, as illustrated by the residual growth of the null *uaY2* mutant. (B) Growth test of *uaY12* revertants. Conditions as in A, except that the plates at 25° were incubated for 4 days and the test on adenine was not included. “+” indicates the wild-type strain (NA9910) and “12” the *uaY12* strain used in the reversion experiment (NA9926). All the strains are in the same genetic background (*yA2 riboC3 pyroA4*) except the 12r128 strain (*yA2 pantoB100 pyroA4*).

the wild-type strain. This result has been confirmed by three independent biological repetitions (Figure 3).

Revertants 12r113 and 12r118: The *uaY12r113* and *uaY12r118* revertants conserve the *uaY12* mutation, but they each carry a chain termination codon that truncates the uORFA (see above). Strains carrying these two intragenic suppressors grow less than the wild type on adenine, hypoxanthine, xanthine, and especially uric acid as sole nitrogen sources (Figure 2B). This limited growth on purines is more apparent at 25° than 37°.

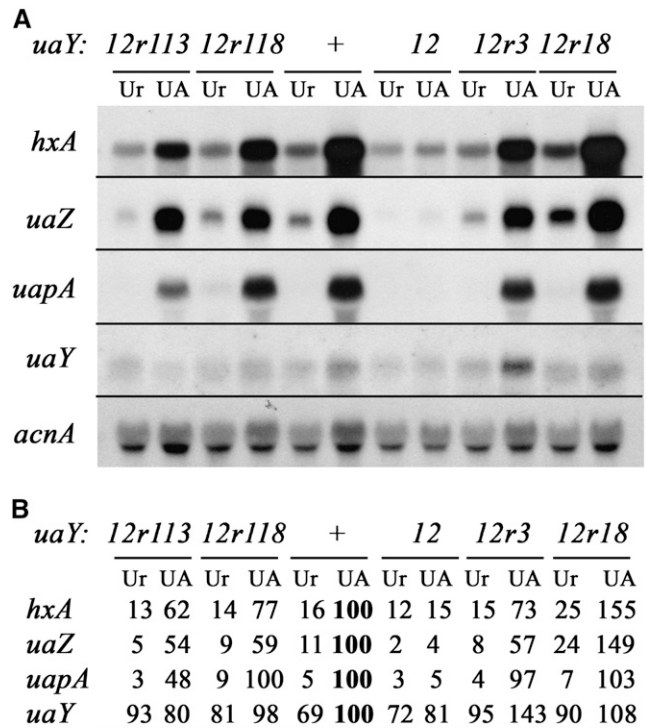
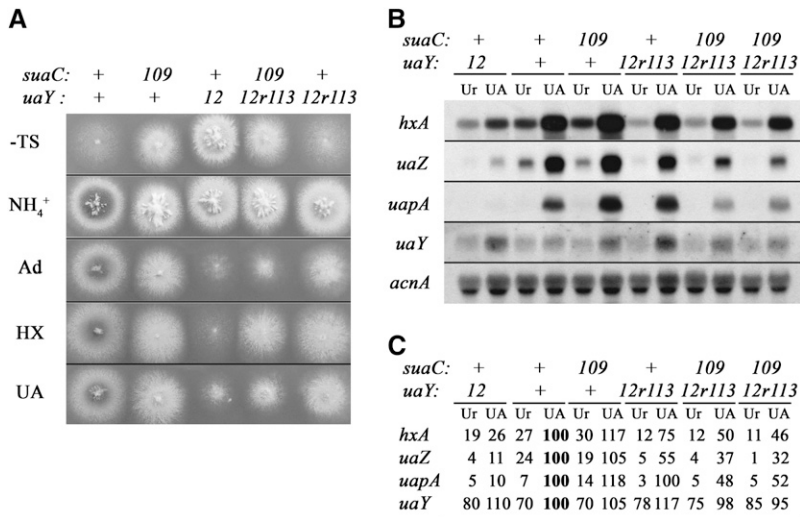


FIGURE 3.—Expression of the *hxA*, *uaZ*, *uapA*, and *uaY* genes in the *uaY12* revertants 12r113, 12r118, 12r3, and 12r18. (A) Northern blot analysis of *hxA*, *uaZ*, *uapA*, and *uaY* gene expression. The relevant *uaY* allele of each strain is shown at the top. See MATERIALS AND METHODS for growth conditions and probe description. Mycelia were grown on urea as a nitrogen source for a total of 10 hr at 37°, after 9 hr growth uric acid (UA, induced) or urea (Ur, noninduced) was added at the concentrations indicated in MATERIALS AND METHODS. (B) Quantification of the *hxA*, *uaZ*, *uapA*, and *uaY* transcripts. The Phosphorimager readings were corrected using the corresponding *acnA* transcript signal intensity as a measure of RNA loading. All values are expressed in relation to the wild-type mycelia induced by uric acid and correspond to the average values of three independent Northern blots. The maximal SD values found are 15% for *hxA*, 17% for *uaZ*, 14% for *uapA*, and 17% for *uaY*. The reference values (corresponding to the arbitrary value of 100) are shown in boldface type.

Thus, the *uaY12* mutation is only partially suppressed by these two intragenic suppressors, at variance with the revertants that modify the -63/-62 positions (see above). Northern blot analysis carried out on the 12r118 and 12r113 strains (Figure 3) shows that the *hxA* and *uaZ* messengers' induced steady states are lower than those obtained in the wild type. The Northern blot shown in Figure 3 has been carried out with strains grown at 37°. When mRNAs steady states are determined for mycelia grown at 25°, the reduction of the induced mRNA levels of UaY-dependent genes in 12r118 and 12r113 strains is even more noticeable with a downfall of near 25% compared to their induced levels at 37° (data not shown). Thus both growth tests and mRNA steady-state levels indicate that *uaY12r118* and



top. Experimental conditions and symbols are as in Figure 3. The values shown in C correspond to the average values of three independent Northern blots. The maximal SD values found are 11% for *hxA*, 15% for *uaZ*, 14% for *uapA*, and 18% for *uaY*. Note: the *suaC109 uaY*⁺ strain is in an *oxpA5* genetic background. In the presence of this partial loss of function of the *adB* gene (encoding adenylosuccinate synthetase), the expression of the UaY-dependent genes is slightly enhanced (RIBARD *et al.* 2001).

uaY12r113 act as partial intragenic cryosensitive suppressors of *uaY12*.

Antisuppression: effect of chain termination suppression on the *uaY12r113* allele: If the chain termination codons present in *uaY12r118* and *uaY12r113* act by truncating the uORFA present in strains carrying the *uaY12* allele, the presence in the same strain of a chain termination suppressor should act as an antisuppressor for *uaY12*, *i.e.*, should restore to some or to the full extent the *uaY12* phenotype.

The translational suppressors described in *A. nidulans* map in four loci (ROBERTS *et al.* 1979; MARTINELLI and ROBERTS 1983; MARTINELLI *et al.* 1984; see MARTINELLI 1994 for a review). The *suaB* and *suaD* loci are thought to specify a tRNA, this has been confirmed for *suaB*, which codes a gln-tRNA (ESPESO *et al.* 2005). The *suaA* and *suaC* genes are thought to be ribosomal mutants affecting translational fidelity (MARTINELLI 1984; BRATT and MARTINELLI 1988). Several of the mutations suppressed by alleles of these genes have been shown to be chain termination mutations (*areA600 amber*: KUDLA *et al.* 1990; *areA1601 opal*: STANKOVICH *et al.* 1993; *alcR125 amber*: M. MATHIEU, personal communication).

Four suppressor mutations, representing four different genes (*suaA101*, *suaB111*, *suaC109*, and *suaD103*) were crossed with a strain carrying the *uaY12r113* allele. The crosses were homozygous for *sB43*, which is suppressed by every one of the suppressor alleles mentioned above (ROBERTS *et al.* 1979), thus allowing us to score the segregation of these suppressors in the progeny. *sB43* is a chain termination mutation in the sulfate transporter *sB* gene of *A. nidulans*, resulting in a thiosulfate or organic sulfate requirement (ROBERTS *et al.* 1979). The *uaY*⁺ locus in the chain termination

FIGURE 4.—Effect of the *suaC109* mutation on the *uaY12r113* allele. (A) Growth tests. The relevant genotypes for the *suaC* and *uaY* genes are given at the top. All the strains, except the *uaY12* strain, are in an *sB43* background, a mutation in the sulfate transporter, which can be supplemented by thiosulfate. The *sB43* allele is suppressed by the *suaC109* mutation (ROBERTS *et al.* 1979). The different strains were inoculated on supplemented minimal media containing 5 mM of ammonium tartrate (NH₄⁺), or 0.1 mg/ml of adenine (Ad), or hypoxanthine (HX), or uric acid (UA) as sole nitrogen sources. All the plates except the one in the first panel (noted -TS) are supplemented with thiosulfate. The plates were incubated for 2 days at 37°. (B and C) Expression of the *hxA*, *uaZ*, *uapA*, and *uaY* genes in the 12r113 revertant in a *suaC*⁺ or *suaC109* genetic background. The relevant genotypes for the *suaC* and *uaY* genes are given at the top.

suppressor carrying strain was flanked by closely linked markers, *cbxC34*, *oxpA5* on the centromere proximal side, and *fpaD43* on the centromere distal side of the *uaY* gene (SCAZZOCCHIO *et al.* 1982, see above) permitting the identification of the *uaY*⁺ or *uaY12r113* alleles by the segregation of the external markers. Partial suppression of the *uaY12r113* revertant phenotype was obtained with the *suaC109* mutation, the other suppressor mutations affording no visible effect. Strains carrying *uaY12r113 suaC109* show an intermediate growth between that of the *uaY12* mutant and that of the *uaY12r113* revertant, this reduced growth is more marked on adenine than on uric acid, and nearly not visible on hypoxanthine (Figure 4A). This partial suppression is confirmed by Northern blot analysis of the relevant transcripts (Figure 4, B and C). The steady-state mRNA levels in the *uaY12r113 suaC109* strain are intermediate between the messenger levels seen in the *uaY12* mutant and the one of the *uaY12r113* revertant, the *hxA* gene being less affected than the *uapA* and *uaZ* genes. The agreement between the growth phenotypes of these strains and the mRNA levels of UaY regulated genes illustrates the exquisite sensitivity of this system to assess, albeit qualitatively, the intracellular activity of this transcription factor.

Characterization of the *uaY12* strains carrying extragenic suppressors (12r122 and 12r128): Growth tests showed that 12r122 and 12r128 strains are partially suppressed for growth on purines as sole nitrogen sources (Figure 2B), but also that these strains have restricted growth on every other media tested (see growth on ammonium in Figure 2B), this restricted phenotype being more severe at 25° than at 37°. Northern blot analysis (Figure 5, A and B) show that induced tran-

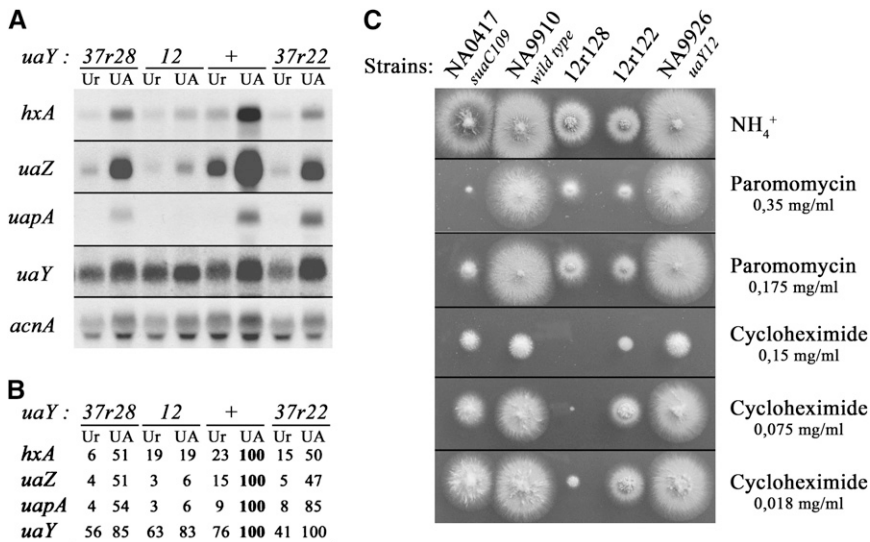


FIGURE 5.—Extragenic suppression of the *uaY12* mutation and suppressor-dependent drug sensitivity. (A and B) Expression of the *hxA*, *uaZ*, *uapA*, and *uaY* genes in the 12r122 and 12r128 strains. Experimental conditions and symbols are as in Figure 3. The values of B correspond to the average values of three independent Northern blots. The maximal SD values found are 8% for *hxA*, 14% for *uaZ*, 14% for *uapA*, and 11% for *uaY*. (C) Growth tests in the presence of paromomycin and cycloheximide. The strain numbers and relevant genotypes are shown above each panel. The different strains were inoculated on supplemented minimal media containing 5 mM of ammonium tartrate (NH_4^+) with or without the relevant inhibitor. The final concentration of paromomycin or cycloheximide in the plate is shown on the right. The plates were incubated for 2 days at 37°.

scription of *hxA*, *uaZ*, and *uapA* is partially restored in both 12r122 and 12r128 strains.

Conventional genetic crosses show that the suppressor mutations carried by 12r122 and 12r128 strains are allele specific for *uaY12*. The suppressor carried by 12r122 does not suppress the *uaY2* (amber, SUÁREZ *et al.* 1995), *uaY205* (frameshift, OESTREICHER *et al.* 1997), and *uaY808* (deletion, SUÁREZ *et al.* 1991b, 1995) mutations. The suppressor carried by 12r128 does not suppress *uaY808* (other alleles not tested).

The cold sensitivity and restricted growth shown by 12r122 and 12r128 strains is shared with known ribosomal translational suppressors (BRATT and MARTINELLI 1988; MARTINELLI 1994). We thus tested the above strains for increased sensitivity to translational inhibitors such as cycloheximide and the aminoglycoside paromomycin. Sensitivity to these inhibitors is a component of the ribosomal mutants pleiotropic phenotype (MARTINELLI 1984, 1994). Figure 5C shows that both strains show sensitivity to paromomycin intermediate between that of the wild type and the *suaC109* translational suppressor mutant. 12r122 is as sensitive to cycloheximide as *suaC109*, while 12r128 is strikingly hypersensitive to this antibiotic (Figure 5C). Additionally, the mutation carried by 12r128 results in complete sterility in homozygous crosses, while that present in 12r122 results in drastically diminished fertility. Crosses with strains carrying *uaY*-flanking markers, as described above, confirm for both 12r122 and 12r128 strains that suppression of *uaY12*, restricted growth, cryosensitivity, and hypersensitivity to cycloheximide and paromomycin cosegregate (not shown).

The suppressor mutations carried by both 12r122 and 12r128 are recessive for compact growth, the cryosensitive phenotype, and suppression of *uaY12* in diploids heterozygous for the suppressor and homozygous for the *uaY12* mutation. The two suppressor mutations

fully complement in a homozygous *uaY12* diploid, *i.e.*, no suppression of the *uaY12* phenotype can be observed. However, no complementation is seen for the restricted growth and cold-sensitive phenotype. It proved impossible to cross strains carrying these mutations to each other, and given the contradictory results of the complementation tests we cannot establish whether they are mutant at the same or at two different loci. We thus called them *aas22* and *aas28* (for aberrant ATG suppressor), without a locus designation.

DISCUSSION

We propose that the phenotype of *uaY12* and its revertants can be accounted for by the current models of translational initiation. The proposed mechanism involves ribosome scanning and the first-AUG rule (KOZAK 2002a, 2005 for review), where the eukaryotic small (40s) ribosomal subunits enter at the 5' end of the messenger and migrate along the mRNA until they reach the first AUG, where they initiate translation. Initiation at the first, upstream AUG, (uAUG) would inhibit initiation of translation on a downstream AUG (first-AUG rule). Translation inhibition of the major downstream ORF has been demonstrated in numerous cases of naturally occurring uORFs (see for review KOZAK 2002a). An overlap between the uORF and the major ORF results in a strong inhibition effect, because this situation impairs drastically ribosomal reinitiation of the major ORF (KOZAK 2002a and references therein). There are a number of examples, where a newly generated mutant AUG in the 5'-UTR followed by an uORF overlapping the major ORF generates a mutant phenotype (see for review KOZAK 2002b).

The *uaY12* mutation is a C-to-T transition at position -63, creating an out-of-frame AUG codon, which is

TABLE 2
AUG context

| Consensus ^a | Positions | | | | | | | |
|-------------------------------|-----------|-----|-----|-----|-----|-----|----|-----|
| | -4 | -3 | -2 | -1 | AUG | +4 | +5 | +6 |
| BALANCE (1986) | C | A | C/A | A/C | AUG | G/U | C | |
| HAMILTON <i>et al.</i> (1987) | A/C | A | A | A/C | AUG | U | C | U/C |
| KOZAK (1986, 1997) | C | A/G | C | C | AUG | G | | |
| ARST and SHEERINS (1996) | | G | X | X | AUG | C/U | C | |

| Flanking sequences ^b | Positions | | | | | | | |
|---------------------------------|-----------|----|----|----|-----|----|----|----|
| | -4 | -3 | -2 | -1 | AUG | +4 | +5 | +6 |
| AUG of <i>uaY12</i> uORFA | C | A | U | U | AUG | G | G | U |
| AUG of <i>uaY</i> ORF | C | G | U | U | AUG | C | U | G |
| uAUG 3 in -44 of <i>uaY</i> | A | G | A | C | AUG | G | U | G |
| uAUG 2 in -122 of <i>uaY</i> | C | U | A | C | AUG | C | G | U |
| uAUG 1 in -338 of <i>uaY</i> | A | G | U | C | AUG | C | G | C |

^aConsensus sequences flanking the AUG start codon according to different references in the literature.

^bSequences flanking the AUG codon of the *uaY* ORF and of the new *uaY12* aberrant AUG (uORFA), together with the three natural AUGs, which are found in the wild-type *uaY* 5'-UTR at positions -338, -122, and -44.

followed by a 68-codon ORF (uORFA), ending within the UaY ORF. While we had not measured directly intracellular UaY concentrations, the negative impact of uORFA on the expression of UaY-regulated genes is wholly consistent with a strong diminution of UaY translation as predicted by the model outlined above.

Leaky scanning (see for review KOZAK 2002a) seems to occur in the context of the *uaY12* mutation. Indeed, the leaky growth on uric acid and the slight inducibility of the UaY-dependent genes in this mutant indicate that the *uaY12* mutation results in a low, albeit not nil, intracellular concentration of the UaY protein. This implies that some 40S ribosomal subunits could initiate translation at the downstream physiological AUG. Leaky scanning has been described in *A. nidulans* at the *areA* locus where it was proposed that the context GXX AUG (C/U)CX (where X represents any base and AUG is the initiation codon) prevents leaky scanning (ARST and SHEERINS 1996). The mutant *uaY12* ATG, which apparently allows leaky scanning, is in a sequence context (A UU AUG GGU), which does not fall in the "strong" initiation codon category of ARST and SHEERINS (1996), (see Table 2), while it agrees with the consensus context proposed by BALANCE (1986) and KOZAK (1986, 1997).

Reversion of the *uaY12* mutation has been obtained by 4-nitroquinoline-1-oxide (NQO) mutagenesis. This mutagen is supposed to affect specifically GC base pairs producing both transitions and transversions (PRAKASH *et al.* 1974). Nearly all the reversion mutations obtained affect GC base pairs (18 transitions G to A, 12 transversions G to T, and 8 transversions G to C). Nevertheless, four mutations do not follow this pattern: two affect TA base pairs (transversions T to A), one is 1-bp insertion (a T), and the fourth one is a deletion of 63 bp. The fact that NQO attacks preferentially GC base pairs, may explain why no true reversion was obtained, because this

would imply the transition TA to CG, a mutation not described for this mutagen (PRAKASH *et al.* 1974).

The elimination of the ATG of uORFA occurs in 38 revertants. All these strains show a wild-type phenotype. In two other revertants (12r18 and 12r3) this uATG is lost, but by a mutation other than a point substitution, they will be discussed separately.

The two intragenic suppressors create a stop codon in frame with the *uaY12* aberrant uATG, which shortens the length of uORFA. In these revertants, 12r113 and 12r118, the uORF is 8 and 7 codons long, respectively, rather than the 68 codons found for the *uaY12* mutation. Thus, the new uORFAs, at variance with the *uaY12* uORFA, do not overlap the UaY ORF, terminating 37 and 40 bp upstream of the UaY ATG, respectively. Suppression afforded by both 12r113 and 12r118 is partial, suggesting defective initiation of the UaY ORF. The fact that a known chain termination suppressor (*suaC109*) acts as an antisuppressor of *uaY12r113* is completely consistent with the proposal that premature chain termination of uORFA, which would occur in this revertant, is responsible for its suppressor phenotype.

An analogous mutation occurs in hereditary thrombocythaemia, due to overproduction of the thrombopoietin (TPO) cytokine, which regulates megakaryopoiesis and platelet production. The human TPO cytokine is normally present at very low levels in the serum because of its low level of translation due to the presence in its 5'-UTR of seven uORFs and essentially to the last uAUG (no. 7), which overlaps with the TPO ORF (GHILARDI *et al.* 1998). In hereditary thrombocythaemia, the increase production of TPO is due to a mutation introducing a stop codon within the upstream uORF no. 7 with the consequence that uORF 7 terminates 31 nucleotides before the TPO ATG and thus no longer overlaps with it (GHILARDI *et al.* 1999).

in *uaY+* but at -58 in *uaY12r18*). This new ORF of 21 codons (to be called uORFN), overlaps by four nucleotides with the *uaY* ORF (Figure 6). In this revertant, the induced transcription of the UaY-dependent genes is actually *higher* than in the wild type (Figure 3), without any increase in the *uaY* mRNA itself. We can speculate that this phenotype reflects a more efficient translation of the UaY protein. This, in turn, may reflect that the AUG of uORFN (which is obviously identical to that of uORF2, Figure 6B, Table 2, and see supplemental Figure 1) is in a less favorable context than the AUG of uORF3, this allowing more efficient initiation of translation by leaky scanning of the UaY AUG. In revertant *uaY12r3*, a T insertion eliminates the uORFA present in the *uaY12* allele, but also places uORF2 in the same reading frame as the UaY ORF, potentially lengthening the UaY ORF by 41 residues. In this revertant the transcription of UaY-dependent genes (*hxA* and *uaZ*) is *lower* than in the wild type (Figure 3). This may be due to less favorable context for the AUG of uORF2 compared with the physiological UaY AUG (Table 2) and/or to less efficient UaY function due to the N-terminal additional sequences.

The data presented above illustrate the use of an *A. nidulans* transcriptional regulatory system whose exquisite sensitivity to the intracellular concentrations of the cognate transcription factor, results in mutant phenotypes that can be most simply interpreted making use of the first-AUG rule and the occurrence of leaky scanning. Moreover, the isolation of two extragenic suppressors of *uaY12* phenotype could be useful to identify factors involved in the initiation of translation in this organism.

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