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### T cell senescence and contraction of T cell repertoire diversity in patients with chronic obstructive pulmonary disease

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### **Summary**

Pathogenetic mechanisms leading to chronic obstructive pulmonary disease (COPD) remain poorly understood. Because clonogenic T cells (CD4+CD28<sup>null</sup>) were shown to be increased in autoimmune diseases we hypothesized that CD4<sup>+</sup>CD28<sup>null</sup> T cells play a role in COPD. Here we describe that enhanced presence of CD4<sup>+</sup>CD28<sup>null</sup> cells is associated with impaired lung function. Sixty-four patients and controls were included. T cell phenotype was analysed using flow cytometry. Enzyme-linked immunosorbent assays were utilized to determine cytokines. Statistical evaluations were performed using non-parametric group comparisons and correlations. A logistic regression model was used to determine predictive values of CD4<sup>+</sup>CD28<sup>null</sup> in the diagnosis of COPD. Populations of CD4<sup>+</sup> T cells lacking surface co-stimulatory CD28 were enlarged significantly in evaluated patients when compared with controls. Natural killer (NK)-like T cell receptors (CD94, 158) and intracellular perforin, granzyme B were increased in CD4<sup>+</sup>CD28<sup>null</sup> cells. Cytokine production after triggering of peripheral blood mononuclear cells (PBMCs) was elevated in patients at early disease stages. Receiver operating characteristic curve plotting revealed that presence of CD4+CD28null T cells has a diagnostic value. These CD4<sup>+</sup>CD28<sup>null</sup> T cells show increased expression of NK-like T cell receptors (CD94, 158) and intracellular perforin and granzyme B. Furthermore, triggering of PBMCs obtained from patients with mild COPD led to increased interferon-γ and tumour necrosis factor-α production in vitro compared with controls. Our finding of increased CD4<sup>+</sup>CD28<sup>null</sup> T cells in COPD indicates that chronic antigen exposure, e.g. through contents of smoke, leads to loss of CD28 and up-regulation of NK cell receptors expression on T cells in susceptible patients.

**Keywords:** CD4<sup>+</sup>CD28<sup>null</sup>, COPD, granzyme, perforin, smoking

### Introduction

Chronic obstructive pulmonary disease (COPD) is a leading cause of death worldwide [1]. By 2020, only ischaemic heart disease and cerebrovascular disease will account for a higher mortality among the world's population [2]. Prevalence and hospitalization rates have increased significantly over the past years [3,4]. Several studies were able to show a strong correlation between tobacco abuse and the development of COPD. However, not every smoker develops clinical features of COPD [5,6]. Pathogenesis of the disease is characterized by irreversible airflow obstruction because of constant remodelling of the airways and chronic inflammatory responses [7]. The impairment of the immune system is not restricted to the lungs, as COPD patients are also at higher risk for systemic failures including cardiovascular diseases [8]. Diagnosis of airway obstruction according to the guidelines of the Global Initiative for Chronic Obstructive Lung Diseases (GOLD) requires the use of spirometry. A post-bronchodilator forced expiratory volume in 1 s(FEV<sub>1</sub>)/ forced vital capacity ratio of less than 70% indicates an irreversible airflow obstruction, and is therefore considered to be the main parameter for the diagnosis of COPD [9].

Although smoking is accepted widely as the major risk factor for the development of the disease, descriptions of specific pathogenetic mechanisms remain vague. For decades, neutrophils and macrophages, as part of the innate immunity, were considered pivotal in the airway remodelling process occurring in patients with COPD. Recent reports have challenged this pathognomonic concept by demonstrating increased CD8<sup>+</sup> and CD4<sup>+</sup> T cells as part of the adaptive immune system in bronchoalveolar lavage and sputum analyses of COPD patients. These T lymphocytes contained higher levels of perforin and revealed cytotoxic activity compared with cells of healthy donors or non-COPD smokers [10,11]. Furthermore, Di Stefano *et al.* presented two papers in which they were able to show that stable mild/moderate COPD is associated with an active T helper 1 cell/type-1 cytotoxic T cell inflammatory process involving activation of signal transducer and activator of transcription 4 and interferon (IFN)-γ production and natural killer (NK) cells in COPD lung tissue and bronchoalveolar lavage [12,13].

Based on the data of Hodge *et al.*, who described CD8+CD28<sup>null</sup> in COPD and Di Stefano *et al.*'s data, we hypothesized that a specific chronic inflammatory reaction of the adaptive immune system is occurring in patients with COPD. Antigenic stimulation causes a rapid expansion of antigen-specific T cells that increase to large clonal size. This physiological increment is counterbalanced by a preprogrammed clonal contraction. This process is robust and usually suffices to maintain a diverse memory T cell compartment [14,15]. Chronic antigen exposure because of infections with human immunodeficiency virus or cytomegalovirus [16,17] and advanced age [15] also leads to expansion of monoclonal T cell populations.

Replicatively stressed CD4<sup>+</sup> T cells undergo multiple phenotypic and functional changes. The most widely acknowledged phenotypic change is the loss of the co-stimulatory surface marker CD28. Expansion of CD4+ T cells and loss of CD28 are presumably senescent (CD4+CD28null). This has been described in several autoimmune diseases, such as diabetes mellitus, rheumatoid arthritis, Wegener's granulomatosis, multiple sclerosis and ankylosing spondylitis [18-20]. CD4+CD28<sup>null</sup>cells are clonally expanded and are known to include autoreactive T cells, implicating a direct role in autoimmune disease. These expanded CD4+ clonotypes are phenotypically distinct from the classic T helper cells. Because of a transcriptional block of the CD28 gene, clonally expanded CD4<sup>+</sup> T cells lack surface expression of the major co-stimulatory molecule CD28. CD4+CD28null T cells release large amounts of IFN-y and contain intracellular perforin and granzyme B, providing them with the ability to lyse target cells. Their outgrowth into large clonal populations may be attributed partially to a defect in down-regulating Bcl-2 when deprived of T cell growth factors. In the absence of the CD28 molecule, these unusual CD4+ T cells use alternative co-stimulatory pathways. Several of these functional features in CD4+CD28null T-cells are reminiscent of NK cells. Like NK cells, CD4+CD28null T cells are cytotoxic and can express NK cell receptors such as CD94 and CD158. NK cells are regulated closely by a family of polymorphic receptors that interact with major histocompatibility complex (MHC) class I molecules, resulting in signals that control NK-mediated cytotoxicity and cytokine production. MHC class I-mediated triggering of the full-length NK cell receptors transduces a dominant inhibitory signal that blocks the cytolytic activity and cytokine release of NK cells. These receptors also contain highly homologous members that have truncated cytoplasmatic domains and transmit activating signals [21–24].

Because chronic antigen exposure because of history of smoking can be assumed in most COPD patients, we hypothesized that chronic stimulation of the adaptive immune system leads to increased levels of systemic clonogenic CD4+CD28null T cell populations. To prove this we included age- and sex-matched healthy non-smokers, smokers with a history of tobacco abuse and normal lung function and patients with diagnosed COPD according to GOLD classification (groups: mild, COPD I-II; severe, COPD III-IV respectively). We determined intracellular expression of cytolytic proteins perforin and granzyme B as well as surface expression of the NK cell receptors CD94 and CD158 on CD4+CD28null T cells. We further designed in vitro experiments to explore whether peripheral blood mononuclear cells (PBMCs) obtained from each study group secrete augmented levels of IFN- $\gamma$ , tumour necrosis factor (TNF)- $\alpha$  and interleukin (IL)-12 after T cell triggering. Because systemic inflammation is associated with systemic proinflammatory cytokines in vivo, we correlated serum levels of IL-1B, TNF- $\alpha$ , IFN- $\gamma$  and IL-10 with lung function parameters. We conclude in this work that patients with COPD show increased circulating clonogenic T cells that have diagnostic potential for detection of COPD according to the GOLD classification.

### Methods

### **Patients**

The study protocol was approved by the ethics committee of the Medical University of Vienna (EK no. 091/2006) and was performed in accordance with the Declaration of Helsinki and current revisions of the Good Clinical Practice Guidelines of the Medical University of Vienna. A total number of 64 volunteers, at least 40 years old, participated in this trial. Healthy non-smokers (n = 15), smokers (n = 14) and smokers meeting the GOLD diagnostic criteria for COPD I–II (n = 19) and COPD III–IV (n = 16) [25] were recruited. COPD patients with acute exacerbation as defined by the guidelines from the WHO and GOLD [9,26] within 14 days before study entry were excluded. Additional exclusion criteria were a history of asthma, autoimmune diseases or other relevant lung diseases (e.g. lung cancer, known α1-anti-trypsin deficiency). Furthermore, all patients were free from known coronary artery disease, peripheral artery disease and carotid artery disease. All patients provided written, informed consent before collection of blood

samples and lung function. Height and weight (Seca; Vogel and Halke, Hamburg, Germany) were measured and body mass index was determined. Pulmonary function was measured using the same model spirometer (AutoboxV6200; SensorMedics, Vienna, Austria). Measurements were made before and - if criteria for airflow obstruction were met -15-30 min after inhaling 200 µg salbutamol. Arterial blood gases (PaO2, PaCO2) were obtained at rest while breathing room air in a sitting position. Measurement of arterial blood gases was performed with an ABL 510 gas analyser (Radiometer, Copenhagen, Denmark). Results are expressed as absolute values and as percentages of predicted values for age, sex and height, according to the European Community for Steel and Coal prediction equations [27]. Predicted normal values were derived from the reference values of the Austrian Society of Pulmonary Medicine.

### Flow cytometry analysis

Heparinized blood samples were incubated on ice with fluorochrome-labelled antibodies. Prior to antibody incubation, erythrocytes were lysed by addition of BD fluorescence activated cell sorter lysing solution (Becton Dickinson, Franklin Lakes, NJ, USA). Cells were then stained with fluorescein isothiocyanate-conjugated anti-CD4 (BD Biosciences Pharmingen, San Jose, CA, USA), phycoerythrin (PE)-labelled anti-CD158 (R&D Systems, Minneapolis, MN, USA), PE-Cy5-labelled anti-CD28 (Biolegend, San Diego, CA, USA) and PE-conjugated anti-CD94 (eBioscience, San Diego, CA, USA) at various combinations. Stained cells were analysed using a Cytomics FC 500 flow cytometer (Beckman Coulter, Fullerton, CA, USA). For intracellular staining, PE-conjugated antibodies directed against perforin and granzyme B (BD Biosciences Pharmingen; Serotec, Dusseldorf, Germany) were used and incubated with pre-stained cells after permeabilization of the cell membrane with saponin solution.

#### Enzyme-linked immunosorbent assays

The enzyme-linked immunosorbent assays (ELISA) technique (BenderMedSystems, Vienna, Austria) was used to quantify levels of IL-1 $\beta$ , TNF- $\alpha$ , IFN- $\gamma$  and IL-10 in serum samples obtained after centrifugation of whole blood. Ninety-six-well plates were coated with a monoclonal antibody directed against the specific antigen and incubated overnight at 4°C. After a washing step, plates were blocked with assay buffer for 2 h. Following another washing step, samples and standards with defined concentrations of antigen were incubated as described by the manufacturer. Plates were then washed and incubated with enzyme-linked polyclonal antibodies. Tetramethylbenzidine substrate solution was applied after the appropriate incubation time and another washing step. Colour development was then monitored using a Wallac Multilabel counter 1420 (PerkinElmer, Boston, MA, USA).

The optical density values obtained were compared with the standard curve calculated from optical density values of standards with known concentrations of antigen.

### Stimulation of freshly prepared PBMCs

Freshly prepared PBMCs were separated by standard Ficoll densitiy gradient centrifugation. Cells were then washed twice in phosphate-buffered saline, counted and transferred to a 96-well flat-bottomed plate at  $1\times10^5$  cells per well in 200 µl serum-free ultra culture medium (Cambrex Corp., East Rutherford, NJ, USA) containing 0·2% gentamycinsulphate (Sigma, St Louis, MO, USA) and 0·5%  $\beta$ -mercaptoethanol (Sigma) 1% L-glutamine (Sigma). Anti-CD3 (CD3) (10 µg/ml) or phytohaemagglutinin (PHA) (7 µg/ml) were added and plates were transferred to a humidified atmosphere (5% CO<sub>2</sub>, 37°C) for 18 h. Supernatants were harvested and stored at  $-20^{\circ}$ C.

### Quantification of IFN- $\gamma$ , TNF- $\alpha$ and IL-12 in supernatants

The ELISA technique (BenderMedSystems) was used to quantify levels of IFN- $\gamma$ , TNF- $\alpha$  and IL-12 in supernatants of stimulated cells, as described above.

#### Statistical methods

Comparison of the primary end-point CD4<sup>+</sup>CD28<sup>null</sup>% of CD4<sup>+</sup> and the second end-points (IFN-γ, TNF-α and IL-12 *ex vivo* CD3 and PHA, IL-1β, TNF-α, IFN-γ and IL-10 serum values) between healthy non-smokers, healthy smokers, COPD I–II and COPD III–IV patients was performed with the non-parametric Kruskal–Wallis test. Pairwise comparisons between groups were performed with Wilcoxon tests. For the six pairwise between-group comparisons of the primary end-point CD4<sup>+</sup>CD28<sup>null</sup>% of CD4<sup>+</sup> additionally adjusted critical values, according to Shaffer (1986) [28], were applied to control the familywise error rate in the strong sense.

Parametric 95% confidence intervals (CI) for the mean CD4<sup>+</sup>CD28<sup>null</sup> percentages in each group were computed. Correlations of percentage of CD4<sup>+</sup>CD28<sup>null</sup> cells and serum cytokine levels with parameters of lung function were calculated using the Spearman's correlation coefficient. These correlations were performed for all patients, the subgroup of smokers and the subgroup of COPD patients.

The prevalence of perforin, granzyme B and expression of CD94 and CD158 was compared between CD4+CD28<sup>null</sup> and CD4+CD28+ cells using Wilcoxon's signed-rank tests. Additionally, parametric 95% CI for the mean percentages for each variable are given.

In the subgroup of smokers a logistic regression with dependent variable COPD (yes/no) and independent variable CD4<sup>+</sup>CD28<sup>null</sup>% was performed. To account for an

**Table 1.** Clinical characteristics. Severity of airflow obstruction was determined using lung function test in all subjects; chronic obstructive pulmonary disease (COPD) patients meeting the Global Initiative for Chronic Obstructive Lung Diseases diagnostic criteria for COPD.

Subject category	Healthy	Healthy smoker	COPD GOLD I–IV	COPD GOLD I–II	COPD GOLD III–IV	
N	15		35	19	16	
Male/female	10/5	7/7	20/15	10/9	10/6	
Age	57.20 (12.50)	56.64 (9.17)	59.60 (8.01)	60.68 (7.39)	58.31 (8.75)	
Lung function						
FVC (l)	4.55 (0.94)	3.84 (0.66)	2.80 (1.08)	3.33 (1.06)	2.14 (0.70)	
FEV <sub>1</sub> (%)	105-37 (17-11)	94.40 (11.96)	52.76 (23.71)	70-21 (13-33)	30.67 (12.66)	
FEV <sub>1</sub> /VC (%)	76.80 (7.85)	75.95 (3.99)	51.18 (16.83)	61.74 (8.36)	37.80 (15.33)	
MEF <sub>50</sub> (%)	100.67 (28.92)	87.64 (21.45)	27-29 (18-68)	39.42 (15.93)	11.93 (6.60)	
MEF <sub>25</sub> (%)	103.53 (33.89)	75.71 (31.33)	29.71 (15.31)	37-37 (16-19)	20.00 (5.94)	
Smoking history						
Never-smoker	15	0	0	0	0	
Ex-smoker	0	3	7	4	3	
Current-smoker	0	11	28	15	13	
Pack years	0	34 (25·2)	45.8 (30.6)	47.3 (29.7)	44.0 (32.6)	
Body weight (kg)	71.6 (13.9)	76.4 (8.6)	80.4 (21.6)	79.7 (16.7)	81.1 (27.2)	
Body height (cm)	172.7 (10.9)	168.7 (8.1)	169-2 (10-5)	167.7 (12.1)	171.2 (7.9)	

Data are given as mean (±standard deviation) if not otherwise stated. FEV<sub>1</sub>, forced expiratory volume in 1 s; FVC, forced vital capacity; MEF, maximum expiratory flow.

outlying observation, the square root of the percentages was used in this analysis. To assess the predictive capacity of the percentage of CD4<sup>+</sup>CD28<sup>null</sup> a receiver operating characteristic (ROC) curve with its area under the curve (AUC) was computed.

#### **Results**

### Demographic characteristics of study patients

Demographic characteristics of patients are depicted in Table 1. Healthy non-smokers, healthy smokers, GOLD-classified COPD I–II and COPD III–IV were included. In all groups a similar number of patients were included and age and sex were distributed equally.

## CD4<sup>+</sup>CD28<sup>null</sup> cells show increased occurrence in patients suffering from COPD

To test our hypothesis whether CD4<sup>+</sup>CD28<sup>null</sup> cells are increased in patients with COPD, we evaluated blood

samples using multi-stain flow cytometry. Figure 1a and Table 2 illustrate percentages of CD4+CD28null cells of the total CD4+ cell population. The COPD III–IV group showed significantly increased values compared with the healthy non-smoker and smoker groups (Wilcoxon test: P = 0.012, P = 0.002, Kruskal–Wallis test for the overall comparison: P = 0.005). Additionally, we observed a significant difference between the COPD I–II group and the healthy smoker group (Wilcoxon test: P = 0.046). Applying the Shaffer (1986) [28] multiplicity adjusted critical values, only the differences between the COPD III–IV and the healthy groups remained significant.

## Unstimulated CD4<sup>+</sup>CD28<sup>null</sup> cells contain cytolytic proteins perforin and granzyme B

To evaluate the intra-cytoplasmic content of cytolytic proteins perforin and granzyme B in CD4<sup>+</sup> cells, flow cytometric analysis of blood samples was performed after co-incubation with saponin solution and intracellular staining. Content of perforin was more prevalent in

**Table 2.** Percentage of CD4<sup>+</sup>CD28<sup>null</sup> cells in the peripheral blood flow.

Subject category	Healthy	Healthy smoker	COPD GOLD I–II	COPD GOLD III–IV	
		·	2.22 (1.22 (1.22)		
CD4 <sup>+</sup> CD28 <sup>null</sup> % of CD4 <sup>+</sup>	1.96 (1.07–2.84)	1.5 (0.41–2.59)	3.22 (1.83–4.62)	7.53 (2.67–12.39)	
IFN-γ CD3 (pg/ml)	272 (188–356)	240 (178–301)	440 (286–594)	328 (214–442)	
IFN-γ PHA (pg/ml)	116 (83–149)	91 (53–129)	375 (135–615)	134 (1–266)	
TNF-α CD3 (pg/ml)	922 (368–1476)	731 (333–1128)	1234 (793–1674)	1508 (860–2157)	
TNF-α PHA (pg/ml)	1096 (551-1641)	777 (411–1143)	2465 (1532–3398)	1144 (387-1901)	
IL-12 CD3 (pg/ml)	93 (46–139)	63 (34–92)	72 (36–108)	42 (13–71)	
IL-12 PHA (pg/ml)	44 (8–80)	33 (19–47)	78 (31–125)	17 (8–25)	

Furthermore, cytokine expression in supernatants of peripheral blood mononuclear cells stimulated with either anti-CD3 or phytohaemagglutinin (PHA) is described. All date are given as mean (95% confidence interval). COPD, chronic obstructive pulmonary disease; GOLD, Global Initiative for Chronic Obstructive Lung Disease; IFN-γ, interferon-γ; IL, interleukin; TNF-α, tumour necrosis factor-α.

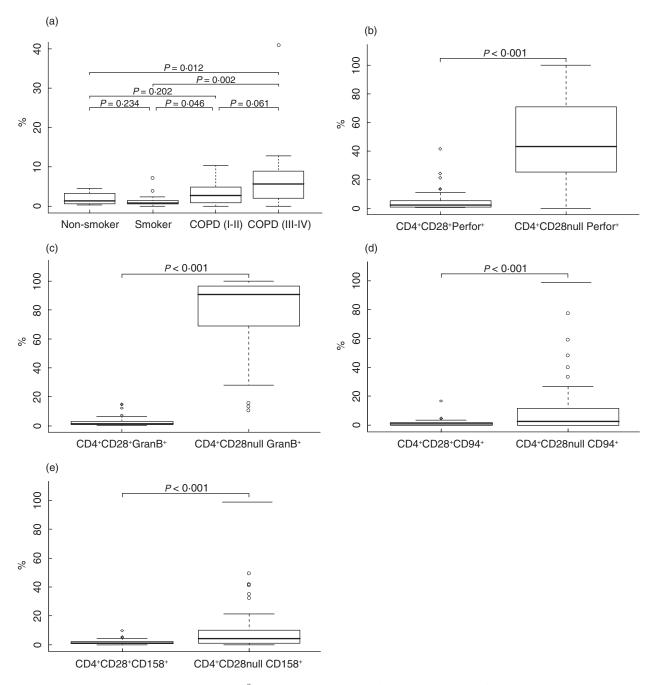


Fig. 1. (a) Boxplot showing percentage of CD4<sup>+</sup>CD28<sup>null</sup> cells in the peripheral blood flow. (b,c) Subset of CD4<sup>+</sup> T cells lacking co-stimulatory CD28 contained intracellular cytolytic proteins perforin and granzyme B. (d,e) CD4<sup>+</sup>CD28<sup>null</sup> cells showed significantly increased surface expression of natural killer (NK) cell receptors CD94 and CD158. Bars indicate medians; solid boxes show span between 25th and 75th percentiles; whiskers illustrate lowest and highest values. Outliers are marked as open circles.

CD4+CD28<sup>null</sup> cells compared with CD4+CD28+ cells (Fig. 1b) [46·13% (39·34–52·91) *versus* 4·68% (3·04–6·32), P < 0.001; all means (95% CI)]. Positive staining for intracellular granzyme B in CD4+CD28<sup>null</sup> cells was more frequent than in CD4+CD28+ cells (Fig. 1c) [78·63% (72·65–84·61) *versus* 2·36% (1·63–3·11), P < 0.001; all means (95% CI)].

### Increased prevalence of NK cell receptors on $CD4^+CD28^{\mathrm{null}}$ cells

Flow cytometry analysis was used to evaluate expression of CD94 and CD158 on the surface of CD4+ cells. Figure 1d and e shows increased expression of surface antigens CD94 and CD158 on CD4+CD28+ cells [CD94, 10-00%]

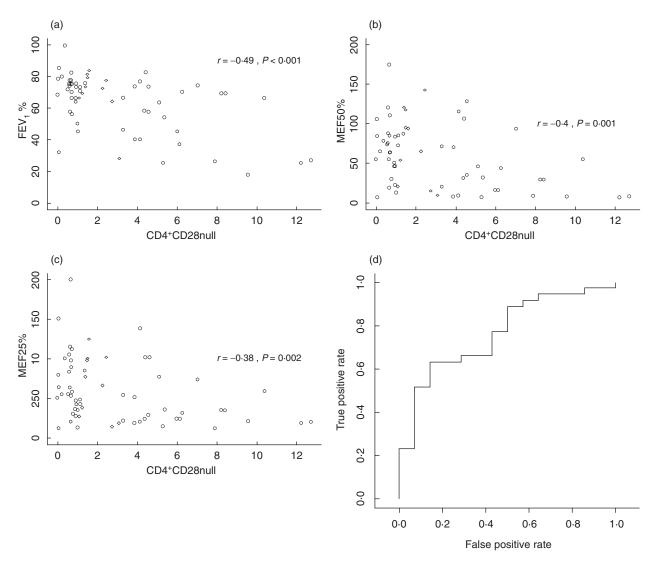


Fig. 2. (a,b,c) Scatterplots showing correlations of CD4<sup>+</sup>CD28<sup>null</sup>% of CD4<sup>+</sup> and Forced expiratory volume in 1 s, maximum expiratory flow (MEF<sub>50%</sub>), and MEF<sub>25%</sub>, Spearman's correlation coefficients and *P*-values are given. (d) Receiver operating characteristic curve for the prediction of chronic obstructive pulmonary disease in the subgroup of smokers based on the CD4<sup>+</sup>CD28<sup>null</sup>% measurement.

(6.04-13.97) versus 1.41% (0.85-1.97), P < 0.001; CD158, 9.35% (6.22-12.47) versus 2.00% (1.61-2.39), P < 0.001; all means (95% CI)].

## Percentage of CD4<sup>+</sup>CD28<sup>null</sup> cells correlates negatively with routine parameters of spirometry

For verification of our flow cytometry data with routine clinical data, we correlated the percentage of CD4<sup>+</sup>CD28<sup>null</sup> with FEV<sub>1%</sub> of vital capacity, 50% maximum expiratory flow (MEF<sub>50%</sub>) of predicted value and MEF<sub>25%</sub> of predicted value. All parameters showed a statistically significant negative correlation with percentage of CD4<sup>+</sup>CD28<sup>null</sup> cells (Spearman's correlation coefficients: FEV<sub>1%</sub>, R = -0.49, P < 0.001; MEF<sub>50%</sub>, R = -0.40, P = 0.001; MEF<sub>25%</sub>, -0.38, P = 0.002; Fig. 2a–c). Similarly, we observed significant correlations in

the subgroup of smokers (Spearman's correlation coefficients: FEV<sub>1%</sub>, R = -0.52, P < 0.001; MEF<sub>50%</sub>, R = -0.48, P = 0.001; MEF<sub>25%</sub>, R = -0.40, P = 0.004). In the subgroup of COPD patients marginally significant correlations with FEV<sub>1%</sub> and MEF<sub>50%</sub> (Spearman's correlation coefficients: FEV<sub>1%</sub>, R = -0.32, P = 0.068; MEF<sub>50%</sub>, R = -0.36, P = 0.04) and no significant correlation with MEF<sub>25%</sub> (Spearman's correlation coefficient: MEF<sub>25%</sub>, R = -0.15, P = 0.38) were found.

### Prediction capacity of the percentage of CD4<sup>+</sup>CD28<sup>null</sup> cells for COPD in smokers

In the logistic regression analysis for the subset of smokers the independent variable percentage of CD4<sup>+</sup>CD28<sup>null</sup> cells showed a significant association with COPD (P = 0.012). The corresponding ROC curve (Fig. 2d) has an AUC = 0.76.

Table 3. Correlations of serum cytokine levels with parameters of lung function test.

	All patients			All smokers			All COPD		
Correlation	n	Coeff.	P-value	n	Coeff.	P-value	n	Coeff.	P-value
CD4 <sup>+</sup> CD28 <sup>null</sup> -FEV <sub>1%</sub>	62	-0.485	< 0.001	48	-0.517	<0.001	34	-0.317	0.068
CD4+CD28 <sup>null</sup> -MEF <sub>50%</sub>	62	-0.404	0.001	48	-0.479	< 0.001	34	-0.355	0.04
$CD4^+CD28^{null}\text{-}MEF_{25\%}$	62	-0.38	0.002	48	-0.403	0.004	34	-0.154	0.384
IFN- $\gamma$ -FEV <sub>1%</sub>	62	0.461	< 0.001	48	0.613	< 0.001	34	0.491	0.003
IFN- $\gamma$ -MEF <sub>50%</sub>	62	0.556	< 0.001	48	0.645	< 0.001	34	0.541	< 0.001
IFN- $\gamma$ -MEF <sub>25%</sub>	62	0.489	< 0.001	48	0.618	< 0.001	34	0.492	0.003
$TNF$ - $\alpha$ - $FEV_{1\%}$	62	0.374	0.003	48	0.336	0.019	34	0.226	0.198
$TNF\text{-}\alpha\text{-}MEF_{50\%}$	62	0.337	0.007	48	0.275	0.058	34	0.123	0.489
TNF- $\alpha$ -MEF <sub>25%</sub>	62	0.309	0.014	48	0.249	0.087	34	0.039	0.828
IL-1 $\beta$ -FEV <sub>1%</sub>	62	0.344	0.006	48	0.287	0.048	34	0.066	0.709
$IL\text{-}1\beta\text{-}MEF_{50\%}$	62	0.282	0.026	48	0.256	0.079	34	0.078	0.663
$IL\text{-}1\beta\text{-}MEF_{25\%}$	62	0.266	0.037	48	0.22	0.133	34	0.002	0.993
IL-10-FEV <sub>1%</sub>	62	0.256	0.044	48	0.178	0.226	34	0.096	0.587
IL-10-MEF <sub>50%</sub>	62	0.328	0.009	48	0.278	0.055	34	0.329	0.058
IL-10-MEF <sub>25%</sub>	62	0.3	0.018	48	0.226	0.122	34	0.214	0.223

 $FEV_1, forced\ expiratory\ volume\ in\ 1\ s;\ IFN-\gamma,\ interferon-\gamma;\ IL,\ interleukin;\ MEF,\ maximum\ expiratory\ flow;\ TNF-\alpha,\ tumour\ necrosis\ factor-\alpha.$ 

## Correlations of serum cytokine concentrations (IL-1 $\beta$ , TNF- $\alpha$ , IFN- $\gamma$ and IL-10) with FEV<sub>1%</sub>, MEF<sub>50%</sub> and MEF<sub>25%</sub>

Table 3 embraces the results of non-parametric correlations of serum cytokines IL-1 $\beta$ , TNF- $\alpha$ , IFN- $\gamma$  and IL-10 with routine lung function parameters.

# Stimulated PBMCs of patients suffering from early-stage COPD produce increased levels of IFN- $\gamma$ and TNF- $\alpha$ ex vivo

To verify the functional activity of PBMCs we performed blastogenesis assays using lymphocyte-specific anti-CD3 and PHA. This analysis was performed for seven patients per group (except for the COPD III-IV group, where only five patients were included). Groupwise means and 95% CI are given in Table 2. Supernatants of patients with COPD I-II showed increased levels of IFN-γ compared with healthy smokers (Wilcoxon test: CD3 P = 0.026, PHA: P = 0.038); however, the differences failed to reach significance after correcting for multiple testing (Kruskal-Wallis test: CD3: P = 0.06; PHA: P = 0.09). Concentrations of the healthy group and of patients with COPD III-IV were lower but showed no significant difference to the COPD I-II group. None of the remaining pairwise comparisons was statistically significant. Significant difference of TNF-α (PHA) levels between groups were observed (Kruskal-Wallis test: P = 0.007). The COPD I–II group showed significantly elevated levels of TNF-α (PHA) levels compared with healthy smokers (Wilcoxon test P = 0.001) and non-smokers (Wilcoxon test: P = 0.007) and marginally significant elevated levels compared with COPD III-IV patients (Wilcoxon test: P = 0.03). None of the remaining pairwise comparisons was statistically significant. For TNF- $\alpha$  (CD3) no significant differences between groups were observed. For IL-12 (PHA) we observed marginally significant betweengroup differences (Kruskal–Wallis test: P=0.048). There were higher IL-12 (PHA) levels in the COPD I–II group compared with the other groups. However, only the difference to the COPD III–IV group reached statistical significance (Wilcoxon test: P=0.018). Additionally, the difference between non-smokers and COPD III–IV patients was marginally significant (Wilcoxon test: P=0.048). Concentrations of IL-12 (CD3) showed no significant between group differences.

### Patients with severe COPD (GOLD III–IV) patients show decreased serum levels of IFN- $\gamma$

Significant differences of IFN- $\gamma$  serum levels between groups have been observed (Kruskal–Wallis test: P=0.002). COPD III–IV patients showed lower IFN- $\gamma$  serum levels than healthy smokers (Wilcoxon test: P<0.001) and healthy nonsmoker (Wilcoxon test: P=0.002) patients. Additionally, marginally significantly lower values were observed in the COPD I–II group compared with the healthy smoker group. Note that in 94% of COPD III–IV and 74% in COPD I–II patients (compared with 40% in healthy controls and 21% in healthy smokers) no serum IFN- $\gamma$  could be detected. For serum TNF- $\alpha$ , serum IL-10 and serum IL-1 $\beta$  no significant between-group differences were found.

#### **Discussion**

The total number of lymphocytes circulating in the blood and their subset distribution is under strict homeostatic control. We report for the first time that patients with COPD show a profound change in the representation of functionally and phenotypically distinct subsets of CD4+ T cells. We propose that clonogenic CD4+ T cells with characterized loss of co-stimulatory CD28 and intracellular storage of the cytolytic proteins granzyme B and perforin might be causal for continuing systemic inflammatory state in COPD patients. The basic mechanisms causing replacement of other CD4+ T cells by CD4+CD28null clonotypes are incompletely understood. However, phenotypic and functional analyses of CD4+CD28null T cells have suggested that they are related to NK cells and represent a population of NK-like T cells [29]. In support of this hypothesis, we found that CD4+CD28null T cells express MHC class I-recognizing receptors of the immunoglobulin superfamily (CD94, CD158) [30,31]. Our data corroborate the concept that CD4+CD28null T cells share multiple features with NK cells and may combine functional properties of innate and adaptive immunity in COPD patients.

To prove relevant immune functions we separated PBMCs of the study groups and activated them via specific and unspecific T cell stimulation in vitro. We were able to show that systemic white blood cells derived from COPD GOLD I–II secreted augmented levels of IFN- $\gamma$  and TNF- $\alpha$  – cytokines that are known to increase macrophage and dendritic cell activity - compared with controls and severe COPD (GOLD III–IV). This observation is particularly interesting, as this *in vitro* phenomenon was observed only in patients at the initial stage of COPD progression (GOLD stages I-II), indicating a specific role of NK-like T cells in triggering initial lung tissue destruction. Our data confirm and corroborate the pathophysiological speculation by Hodge et al. [11] and Di Stefano et al. [12,13], who argued that T cell activation is leading to enhanced secretion of IFN-y, a cytokine that activates macrophages and enhances innate immunity, and is thus causing tissue destruction in COPDsusceptible patients. [32,33]

This in vitro finding led us to explore whether systemic serum levels of IL-1 $\beta$ , TNF- $\alpha$ , IFN- $\gamma$  and IL-10 were elevated in COPD patients without recent exacerbation of COPD disease. Contrary to our assumption, the level of inflammatory cytokine IFN-γ correlated negatively with spirometric parameters. This finding underlines the importance of a local interaction of cell-based immune system and lung tissue interphase in the presence of T cell-triggering noxious substances (e.g. inhaled smoke). In a final attempt we investigated whether systemic presence of clonogenic CD4+CD28null T cells is relevant for diagnosing COPD by means of flow cytometry analysis ex vivo. We performed a logistic regression analysis and were able to show that presence of systemic CD4+CD28null T cells was highly predictive for diagnosing COPD. Because of these data we are currently designing a clinical trial to evaluate whether systemic determination of CD4+CD28null by means of flow cytometry analysis is an appropriate tool to identify COPD patients at risk.

### Clinical perspective in comparison with other aetiologies

Whatever competing mechanism is causative for COPD, the presence of systemic chronic inflammation in COPD has been associated with a variety of co-morbidities including cachexia [34], osteoporosis [35] and cardiovascular diseases. The relationship between COPD and cardiovascular diseases is especially germane, as more than half of patients with COPD die of cardiovascular causes [36-38]. Nakajima et al. demonstrated that patients with acute ischaemic heart disease are characterized by a perturbation of functional T cell repertoire (CD4+CD28null) with a bias towards increased IFN-γ production compared with controls [39,40]. Of particular importance is a study by Pingiotti et al. They were able to show that patients with rheumatoid arthritis (RA) show increased circulating CD4+CD28null T cells that are related directly to pre-clinical atherosclerotic changes, such as arterial endothelial dysfunction and carotid artery wall thickening [41]. Our observation of T cell pool perturbation in COPD might be relevant in explaining the previously observed long-term cardiovascular risk in this disease entity. However, it remains unclear whether the higher percentage of CD4+CD28null T cells is the result of the inflammatory process, i.e. prematurely senescent CD4+ cells that are unable to go into cell death but still secrete cytokines, or if it represents a subset of COPD subjects whose pathogenetic process includes generation of this T cell subset at an early stage of the disease.

If we interpret our data correctly, a detailed picture is emerging. Chronic antigen exposure, e.g. through contents of tobacco smoke, leads to loss of CD28 and up-regulation of NK cell receptors expression on T cells in potentially genetically susceptible patients. This induced immunological 'senescence' is accompanied by a dysregulation of apoptosis-inducing signals, e.g. Bcl-2, fostering longevity of cytotoxic T cells and increased secretion of IFN- $\gamma$  and TNF- $\alpha$  upon T cell triggering [15]. In conclusion, we believe that the appearance of clonogenic T cells in COPD patients is partially causative for the progressive cell-based inflammatory process in lung tissue irrespective of smoking status.

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#### **Disclosures**

The Medical University of Vienna claims financial interest.

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