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## Normal tissue reactions to radiotherapy:

towards tailoring treatment dose by genotype

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## Abstract

A key challenge in radiotherapy is to maximize radiation doses to cancer cells while minimizing damage to surrounding healthy tissue. As severe toxicity in a minority of patients limits the doses

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#### DATABASES

**Entrez Gene:** <http://www.ncbi.nlm.nih.gov/entrez/query.fcgi?db=gene>

[ATM](#) | [BRCA1](#) | [BRCA2](#) | [SOD2](#) | [TGFB1](#) | [TP53](#)

**OMIM:** <http://www.ncbi.nlm.nih.gov/entrez/query.fcgi?db=OMIM>

[ataxia telangiectasia](#) | [Bloom's syndrome](#) | [Fanconi's anaemia](#) | [Nijmegen breakage syndrome](#)

#### FURTHER INFORMATION

**ASTRO:** [www.rtanswers.org](http://www.rtanswers.org)

Cancer Research UK Department of Oncology at Strangeways: [http://www.srl.cam.ac.uk/groups/dept\\_oncology\\_strangeways.htm](http://www.srl.cam.ac.uk/groups/dept_oncology_strangeways.htm)

**CTCAE v3.0:** [http://ctep.cancer.gov/protocolDevelopment/electronic\\_applications/docs/ctcae3.pdf](http://ctep.cancer.gov/protocolDevelopment/electronic_applications/docs/ctcae3.pdf)

**ESTRO:** <http://www.estro.be/estro/index.cfm>

**International Agency for Research on Cancer:** <http://www-dep.iarc.fr/>

**International HapMap Project:** <http://www.hapmap.org/>

**The NIEHS SNPs Program:** <http://egp.gs.washington.edu/>

**SeattleSNPs:** <http://pga.gs.washington.edu>

that can be safely given to the majority, there is interest in developing a test to measure an individual's radiosensitivity before treatment. Variation in sensitivity to radiation is an inherited genetic trait and recent progress in genotyping raises the possibility of genome-wide studies to characterize genetic profiles that predict patient response to radiotherapy.

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The success of radiotherapy in eradicating a tumour depends principally on the total radiation dose given, but the tolerance of the normal tissues surrounding the tumour limits this dose. There is significant variation between patients in the severity of toxicity following a given dose of radiotherapy. As a result, dose is sub-maximal in many individuals because current dose thresholds are set in order to limit toxicity in those who are the most sensitive.

Researchers have long recognized that genetic variation contributes to individual differences in radiotherapy toxicity. For instance, homozygous mutations in ataxia-telangiectasia mutated (*ATM*) are associated with extreme sensitivity to radiation<sup>1</sup>. Further research implicated the involvement of multiple genetic pathways and by the end of the 1990s an individual's sensitivity to radiation was considered to be an inherited, polygenic trait.

Current interest lies in developing genetic profiles that predict a patient's probability of suffering toxicity following radiotherapy<sup>2,3</sup>. Although limited in scale, early studies support this possibility. Before this can happen, the genetic determinants that explain a measurable proportion of radiation toxicity first have to be identified. Genome-wide association studies (GWAS) have already been successful in finding novel genetic variants that explain a useful proportion of the risk of developing some common diseases and traits<sup>4</sup>. Therefore it is likely that a GWAS approach could also be successful in the field of radiogenomics. Once identified, the way in which the different variants interact to give an overall risk must also be understood before a useful test could be developed.

This Perspective reviews progress made in the field of radiogenomics and updates the recent review by Bentzen<sup>5</sup>, who summarized the current understanding of radiation toxicity and its pathogenesis. We highlight some of the potential problems that must be addressed as we progress from a candidate gene approach to GWAS.

## Importance of radiotherapy

Radiotherapy is the most important non-surgical modality for the curative treatment of cancer. In 2004 in the United States, nearly 1 million of the ~1.4 million people who developed cancer were treated with radiation (*ASTRO*). Of the 10.9 million people diagnosed with cancer worldwide each year (*International Agency for Research on Cancer*), around 50% require radiotherapy, 60% of whom are treated with curative intent. Radiotherapy is also highly cost effective, accounting for only 5% of the total cost of cancer care<sup>6</sup>.

Substantial gains in the therapeutic ratio, that is, the balance between cure and toxicity of treatment (FIG. 1a), have been made with the development of new technologies such as image-guided radiotherapy<sup>7,8</sup> and intensity-modulated radiotherapy<sup>9-12</sup>. Other approaches for improving therapeutic ratios include altering radiotherapy fractionation, for example the Conventional or Hypofractionated High Dose Intensity Modulated Radiotherapy in Prostate Cancer (CHHiP) trial and the European Organization for Research and Treatment of Cancer (EORTC) trial of hyperfractionation in head and neck cancer<sup>13,14</sup>. The use of concurrent chemotherapy has become standard practice for a number of cancers, and the addition of new, molecularly targeted agents in combination with radiotherapy is promising to improve cure rates further<sup>15</sup>.

With improved cure rates, survivorship issues become increasingly important. The long-term toxicity that is associated with cancer treatment negatively affects quality of life, so strategies aimed at toxicity reduction are important. Although there are still gains that can be achieved through technical advances, altered fractionation and new drug combinations, it is ultimately the radiosensitivity of the few that will limit our ability to further maximize patients' toxicity-free survival, so understanding the genetics of radiosensitivity is crucial.

## Radiation toxicity

The clinical manifestations of either acute (occurring during or within weeks of treatment) or late (occurring 6 months to many years later) radiation toxicity are well documented (FIG. 2), although the mechanistic basis for the separation of early and late effects has changed considerably in recent years<sup>5</sup>. This distinction provides a useful framework with which to describe radiotherapy toxicity in terms that might be useful for wider genomic studies.

Late effects can be irreversible and limit the dose in radical radiotherapy regimens; monitoring late toxicity is therefore crucial in assessing therapeutic benefit and follow-up must be sufficiently long.

## Pathogenesis of normal tissue damage

Although the functional and structural tolerance of normal tissue to radiotherapy is contextual (BOX 1), studies of cell and tissue response to ionizing radiation have led to an improved understanding of the pathogenesis of radiation toxicity<sup>5</sup>. Recent radiobiology research suggests that normal tissue injury is a dynamic and progressive process. The deposition of energy results in DNA damage and changes in the microenvironment through chemokines, inflammatory cytokines, fibrotic cytokines, altered cell–cell interactions, influx of inflammatory cells and the induction of reparative and restorative processes<sup>5</sup>. Therefore, genes involved in DNA damage recognition as well as signalling, apoptosis, proliferation and inflammatory processes may have a role in the development of normal tissue damage. TABLE 1 summarizes some of the many genes that are considered important in the pathogenesis of radiotherapy toxicity<sup>5,16–23</sup>.

## Variation in patient response

variation in normal tissue reactions has been observed since the earliest days of radiotherapy<sup>24</sup> and follows an approximately Gaussian distribution for both acute and late effects<sup>25</sup>. A number of factors influence a patient's likelihood of developing toxicity, which may complicate the relationship between genotype and toxicity. These confounding factors are related to physics (total dose, dose per fraction and volume irradiated, irradiation site and dose inhomogeneity), additional treatment (use of concomitant chemotherapy or surgery), patient characteristics (age, use of cigarettes, haemoglobin level and co-morbid conditions such as diabetes, hypertension, vascular and connective tissue diseases) and chance (Poisson statistics)<sup>26,27</sup>. The investigation of underlying genetic determinants of toxicity, therefore, requires careful control of as many of these factors as possible, and particularly radiotherapy dose inhomogeneity<sup>28</sup>. Patients in formal, carefully controlled clinical trials are ideal subjects<sup>29</sup>.

As total radiation dose increases so does the likelihood of developing toxicity (FIG. 1a,b). Radiation dose–response relationships for normal tissues have a threshold at low doses — which produce no reaction — and saturate at high doses (FIG. 1b). There is evidence that normal tissue radiation dose–response relationships are steep; this means that small changes in dose result in relatively large differences in toxicity<sup>30</sup>. The steep dose–response relationship was illustrated in the results of the UK START trial A that randomized 2,236

breast cancer patients to receive 50 Gy in 25 fractions or 39 or 42.9 Gy in 13 fractions, with all schedules given over 5 weeks. The risk of developing late toxicity — photographic change in breast appearance — was 24% for patients who received 39 Gy in 13 fractions compared with 36% for those allocated 42.9 Gy in 13 fractions ( $p < 0.001$ ): a 50% increase in toxicity associated with a 3.9 Gy escalation in dose<sup>31</sup>.

The dose–response relationships for late toxicities such as skin telangiectasia and spinal cord damage are illustrated in FIG. 1b. It has been shown that the dose–response curve for telangiectasia can be broken down into a series of steep curves, each of which would apply to a subpopulation of patients stratified according to intrinsic radiosensitivity<sup>32</sup>. This means that if these subpopulations were identified before treatment, a substantial therapeutic benefit could be achieved.

Despite the various factors influencing the development of radiation toxicity, it has been estimated that as much as 80% of the variation in normal tissue reactions between patients cannot be accounted for by known factors and is likely to be genetic<sup>33</sup>. This provides the basis for examining the genetic variation that underlies individual variation in normal tissue response. Although the sigmoid dose–response has been understood for over 70 years<sup>24</sup>, and the estimates of the genetic contribution for over 10 (REF. 33), only now in the era of high-throughput analyses is it possible to investigate the genetic basis of radiation toxicity.

### Recording data

The investigation of normal tissue responses is complicated not only by the confounding factors summarized above but also because of the multiple end points involved (such as skin telangiectasia, bowel stricture or lung pneumonitis), which are determined by the site irradiated. For some tumours, several normal tissues can be irradiated, such as bowel, bladder and reproductive organs following radiotherapy to the pelvis. Therefore, tissue-specific end points, and often severities of effect, must be defined. FIGURE 2 illustrates that late radiation toxicity occurs through a variety of mechanisms, and these mechanisms lead to a variety of clinical end points.

Despite a recognized need for a standardized approach for reporting toxicity, a variety of scoring systems are used and toxicity remains generally under reported<sup>34</sup>. The RTOG (Radiation Therapy Oncology Group)–EORTC late effects scale was in common use before the publication of the LEnT SOMA (Late Effects normal Tissues: Subjective, Objective, Management and Analytic) system<sup>35,36</sup>. The LEnT SOMA scales were designed to provide a common platform for recording the late effects of radiotherapy but are now largely superseded by the national Cancer Institute (nCI) Common Terminology Criteria for Adverse Effects version 3.0 (CTCAE v3.0). CTCAE v3.0 incorporated LEnT SOMA items to become the first multimodality grading system for recording acute and late effects in oncology. There are also other published toxicity scales used for particular cancers, for example the Franco-Italian glossary for cervical cancer patients<sup>37</sup> and the UCLA (University of California, Los Angeles) index for scoring late radiation toxicity in prostate cancer patients<sup>38</sup>. Analytical techniques have the potential to provide objective measures of response as a continuous rather than a dichotomized variable, especially if subclinical effects could be measured<sup>28,39</sup>. Unfortunately, this is an area of clinical research that has not received much attention.

### Analysis of toxicity data

Toxicity is graded according to severity. Graded reactions may be dichotomized into a simple binary scale, such as none versus any late effects. Although associated with loss of information, dichotomization simplifies the analysis and interpretation of results<sup>31,40</sup>.

Alternatively, graded response data can be analysed by logistic regression, which incorporates the timescale of the occurrence of radiation toxicity. The timescale is important for radiotherapy because late effects can manifest many years after irradiation and can be progressive<sup>41</sup>. Continuous monitoring of toxicity is preferable and, with the more widespread use of survival analysis (or actuarial) statistics, adjustments can be made for the actual number of patients still at risk<sup>42</sup>. Crude proportions such as the number of responders divided by the number of patients in a group are a poor description of treatment toxicity. Death from cancer is a censoring event that, if proper statistical methods are not applied, will reduce the level of toxicity detected. A standard approach is to estimate the toxicity-free survival using the Kaplan–Meier method. Cumulative incidence estimates provide an alternative to the Kaplan–Meier method for quantifying morbidity as a function of time<sup>43</sup>. Prevalence estimates as a function of time are also relevant<sup>44</sup>. Time-adjusted toxicity can be reported as the prevalence of an end point in surviving patients rather than the cumulative incidence or probability of experiencing a complication, which can overestimate the incidence of complications<sup>45</sup>. Prevalence estimates, however, are influenced by the management of toxicity and must address the problem of censored observations. Therefore, as a minimum, actuarial estimates of treatment toxicity should be calculated and, as a supplement, it may be useful to estimate cumulative incidence or prevalence of morbidity.

## Measuring individual radiosensitivity

The first report of an individual with extreme sensitivity to radiation was published in 1975 (REF. 46). This landmark paper described a patient with ataxia-telangiectasia with severe reactions to radiotherapy. Fibroblasts cultured from the individual were approximately three times more sensitive to radiation than those from normal donors. Several more studies were published in the 1980s showing *in vitro* radiosensitivity of cells from patients with extreme reactions to radiotherapy, supporting the notion that clinically normal tissue toxicity is associated with cellular radiation sensitivity<sup>47-53</sup>.

Most of the initial studies that examined normal tissue response to radiotherapy took skin biopsies and cultured fibroblasts. The first study showed a correlation between *in vitro* radiosensitivity and normal tissue toxicity in patients with breast cancer<sup>54</sup>. Later studies included patients with a range of normal tissue reactions deemed to be within the expected (Gaussian) range of reactions<sup>55-58</sup>. However, two large studies in breast cancer patients failed to demonstrate any relationship between fibroblast sensitivity and normal tissue response<sup>59,60</sup>.

The lack of correlation between *in vitro* tests and clinical response may partially be explained by the laboratory techniques used, intra- and inter-assay variability, inadequate patient follow up, cell types used, small study sizes and confounding factors<sup>61</sup>. For example, *in vitro* cell, chromosome and DnA damage assays of radiosensitivity cannot account for variability in cytokine response, tissue remodelling and collagen deposition in whole tissues<sup>62</sup>. nevertheless, the largest and one of the few prospective studies measured lymphocyte radiosensitivity in patients with carcinoma of the cervix, and found this to be an independent prognostic factor for the probability of toxicity-free survival<sup>58</sup>. Importantly, the work showed that an individual's sensitivity to radiation could be measured in a nontarget cell type, which is consistent with it being genetically determined.

### Box 1 | normal tissue tolerance

The extent of structural damage to a tissue generally depends on cell radiosensitivity. The relationship between anatomical and structural radiation damage and failure of organ function is different for different organs, and depends more on organ physiology than on cell survival. For example, ulceration of the small bowel is an example of structural

damage from radiotherapy that can lead to small bowel obstruction (functional damage). In radiobiological studies, the volume effect is defined by the relationship between the radiation dose that causes a given probability of radiation toxicity and the irradiated volume of the investigated tissue or organ<sup>121</sup>. Tissues with a large reserve capacity (functional tolerance) show a large volume effect. This is the case for the lung, where a small volume receiving a high dose is tolerated. In other tissues, such as the spinal cord, volume effects are small and a modest amount of damage from a radiation hot spot results in unacceptable toxicity. In many tissues, such as the breast or soft tissue of the pelvis, an incidence of significant (severe or grade 3) normal tissue damage of up to 5% is often regarded as acceptable. Therefore, treatment doses can be relatively high. The desired therapeutic ratio (the ratio of the probability of tumour cell kill to the probability of normal tissue complications) also shifts according to whether the treatment is radical, adjuvant or palliative. For radical treatments, an increase in toxicity is acceptable to increase the chance of cure, whereas for palliative treatments toxicity must be minimal.

During the 1990s there was interest in exploring potential rapid assays measuring, for example, DnA damage, chromosome damage or apoptosis. This work showed that there can be a relationship between these variables and toxicity. However, in general, cell-based and molecular assays lack the sensitivity, specificity and reproducibility that are required for a routine clinical test. In addition, such assays are generally not rapid enough to be used in a clinical setting. However, different approaches continue to be investigated, for example, an assay of T-lymphocyte apoptosis was recently shown to be predictive of radiation-induced late toxicity in patients with a variety of cancer types<sup>63</sup>. There are also studies aimed at generating gene expression profiles that predict a patient's likelihood of developing radiation toxicity<sup>64,65</sup>, and work is being carried out using lymphoblast and fibroblastic cells to generate RnA signatures that predict the development of acute<sup>66-68</sup> or late<sup>69-71</sup> effects.

## Finding relevant genetic variation

Rare radiosensitivity syndromes that are characterized by Mendelian inheritance of germline mutations in genes involved in the detection of DnA damage or DnA repair, such as ataxia-telangiectasia, nijmegen breakage syndrome, Fanconi's anaemia and Bloom's syndrome, illustrate that specific genes can influence the radiosensitivity of tissues. However, these syndromes are confined to individual families and are probably of little relevance when assessing radiosensitivity in the vast majority of cancer patients.

It is clear that inherited genetic variation is important in determining an individual's sensitivity to radiation. However, the complexity of the genetic model that underlies this is unknown and ranges from a single rare mutation with a large effect (such as a mutation in *ATM*) to a combination of multiple common variants that together confer increased sensitivity — the common-variant-common-disease model. In reality, it is likely that a range of alleles of differing frequencies and differing effect sizes are important.

Genetic association case-control studies, in which allele frequencies in individuals with the phenotype of interest are compared with the allele frequencies in those without the phenotype, are an efficient way of finding common variants that have modest effects. In these studies, single nucleotide polymorphisms (SNPs) are used as genetic markers to screen the known (and most of the unknown) common genetic variants in a given region.

Although the use of SNPs is well established in such association studies, other forms of genetic variation also exist. Copy number variation (Cnv) is a structural genomic variant that results in confined copy number changes in a specific chromosomal region. It is estimated



that at least 10% of the genome is subject to copy number variation and it has been postulated that Cnvs may account for phenotypical variability in genetic disease by altering levels of gene expression, disrupting coding sequences or by interrupting long-range gene regulation<sup>72</sup>. Cnvs are less numerous than SnPs but can affect up to several megabases of DnA per variant, which adds up to a significant proportion of the genome. There is evidence to suggest that there is limited overlap between the two forms of genetic variation and therefore they can be used in conjunction with each other to screen different parts of the human genome<sup>73</sup>. Improved detection of Cnvs is anticipated within the next few years<sup>74</sup> and the SnP arrays that are used for genome-wide scans are being developed so that SnPs can be used to tag Cnvs.

In addition, recent interest has focused on epigenetic modification of the genome, for example, DnA methylation of cytosine residues that lie within the CpG dinucleotide<sup>75</sup>. It is possible that epigenetic mechanisms may be important in the response of both tumour cells and normal tissues to radiotherapy.

Until recently, SnP association studies were limited to the candidate gene approach, in which biological pathways thought to be involved in the phenotype of interest were identified and only variants in genes encoding proteins in those pathways were studied. With recent developments in high-throughput genotyping, it is now possible to genotype up to 1 million SnPs that together represent all the common variation across the genome in a single, albeit large, experiment: a GWAS (BOX 2).

A major disadvantage of the candidate gene approach is that a gene would only be examined if its biology and that of the trait being considered are sufficiently well understood. The precise functions of the majority of genes identified by the Human Genome Project are still unknown and the molecular pathogenesis of normal tissue response to radiation is complex and not fully understood. GWAS avoid the limitation of a lack of prior knowledge and can even identify novel disease loci in regions of the genome that seem to contain no protein-coding genes.

Radiogenomics studies published to date have adopted the candidate gene approach to look for variations in genes involved in DnA repair (such as *ATM*, *BRCA1*, *BRCA2* and *TP53*), antioxidant enzymes such as superoxide dismutase 2 (*SOD2*) and cytokines such as *TGFBI*. These studies have been reviewed recently<sup>3</sup> and are summarized in [Supplementary information S1](#) (table).

So far it has not been possible to demonstrate unequivocal links between genotype and radiation toxicity. *TGFBI* variants and late toxicity in the breast provides a good example: initial studies suggested a relationship<sup>40,76-79</sup>, but subsequent studies demonstrated no consistent relationship<sup>2,78,79</sup>. This does not mean *TGFBI* is unimportant, but rather that variation in *TGFBI* itself is not a significant determinant of late radiotherapy change in the breast. If *TGFBI* is involved in normal tissue response and toxicity, which appears likely from its biology, then it is possible that genes regulating or modulating its expression or activity may also be linked with normal tissue response.

It would also be possible to identify rare variants using an association study design. However, although many rare genetic variants are known, the catalogue of such variants is not comprehensive and is unlikely to be complete for several years. In addition, approaches using tagging SnPs are inefficient for rare variants, which tend to have arisen recently in human history and so are poorly tagged by the older, common SnPs that are presently used in association studies. Finally, huge sample sizes are needed to identify rare variants associated with phenotypes unless risks are large. Consequently, it is likely that the search

for genetic determinants of radiation sensitivity will focus on common variation for the foreseeable future.

## The way ahead

One of the key lessons to emerge from the early association studies of radiation sensitivity and other complex phenotypes is the need to apply stringent criteria in the interpretation of statistical significance. This is because the probability that any one SNP is associated with the phenotype is small given the large number of SNPs in the genome, only a small proportion of which can be associated with the phenotype. Consequently large sample sizes are essential and can often only be achieved by multicentre collaboration. An example of such a study is the Genetic Pathways for the Prediction of the Effects of Irradiation (GENEPI) project launched by the European Society for Therapeutic Radiation and Oncology. This project will catalogue the available patient data with a record of material obtained, including blood, tumour material and relevant normal tissue samples, from patients undergoing curative radiotherapy for a variety of different cancers at multiple centres across Europe. The data and material from this project will be available for genetic research<sup>80</sup>.

RAPPER (Radiogenomics: Assessment of Polymorphisms for Predicting the Effects of Radiotherapy), a component project within GENEPI, is a large multicentre UK collaboration, studying the relationship between late radiotherapy morbidity and SNP genotype<sup>81</sup> (ESTRO). The project recruits patients from large national and single-centre radiotherapy trials and includes patients with different cancer types to obtain representation of the full range of normal tissue responses to radiotherapy. Any future application of genotyping in the clinic should be applicable to all patients irrespectively of tumour type or of the patient-related factors that are used as eligibility criteria in clinical trials to reduce patient heterogeneity. The use of different cancer types should also enable the discovery of genetic variants that affect radiation response irrespectively of tumour type or position. FIGURE 3 illustrates a proposed design for a GWAS of radiation toxicity.

### Box 2 | Genome-wide association studies

Recombination occurs during meiosis as crossover between paired chromosomes. This crossover tends to occur at recombination hot spots. Chromosomes may be divided into haplotype blocks, separated by recombination hot spots. Within each block, alleles of multiple single nucleotide polymorphisms (SNPs) are inherited together as a single unit and the presence of one allele may predict the presence of several others. They are therefore said to be in linkage disequilibrium with each other. The entire genome can be screened using a small set of carefully selected marker SNPs, which can serve as proxies for many others. The aim is to find SNP markers that tag the known (and most of the unknown) common genetic variants in a given region (gene or haplotype block or genome). The functional variant may be a SNP or could be a copy number variant, deletion or insertion.

GWAS provide an empirical approach for identifying all moderate risk alleles without the need for prior knowledge of position or function<sup>122,123</sup>. To keep the false positives within acceptable bounds, significance levels of  $p < 10^{-7}$  are needed to provide strong evidence of association<sup>123</sup>.

GWAS have successfully identified several common, modest-risk variants for a variety of widespread complex diseases<sup>4,83,100,124-127</sup>. However, GWAS have been unsuccessful for other phenotypes. For example, few loci have been found for



hypertension<sup>123,128,129</sup> despite the fact that heritability appears to be in the order of 15-35% (REF. 128).

The main limitation of GWAS is their relatively high cost. One approach to limit costs is to use a staged study design in which a subset of the samples are genotyped for the set of genome-wide tagging SNPs and only the most strongly associated SNPs are genotyped in the remainder of the samples. Staged approaches have been used by most of the GWAS reported to date.

The NCI-National Human Genome Research Institute (NCI-NHGRI) Working Group on Replication in Association Studies has published a comprehensive set of guidelines on reporting and evaluating initial genotype–phenotype associations and for establishing replication<sup>82</sup>. Any study of radiogenomics should aim to comply with these guidelines. The initial study would need to be large and powerful, and careful replication studies would have to be performed to validate any positive findings. This could be achieved by collaboration between groups interested in the field of radiogenomics. Collaborations can overcome many of the disadvantages of a disconnected set of underpowered studies, and can establish whether the findings can be generalized by providing a greater diversity of populations. In addition, differences in linkage disequilibrium relationships across populations can sometimes be used to narrow the region of interest for later genetic and possibly functional analysis<sup>82,83</sup>.

Given the success of GWAS for other phenotypes, it seems likely that similar studies using available large sample sets will provide the highest chance of identifying common genetic variants that determine radiation sensitivity. However, there are several differences between the phenotypes for which GWAS have been successful and the phenotype of radiation sensitivity. For example, genome-wide studies of disease susceptibility have a binary outcome measure: patients are diagnosed with the disease or not. Methods for analysing quantitative traits (those with a range of values) are being developed<sup>84,85</sup>, and GWAS have already been successful for some quantitative traits such as obesity<sup>86-89</sup>, height<sup>90-92</sup> and serum lipid levels<sup>93-96</sup>.

Genotype–phenotype associations that have been replicated widely have often used clearly defined phenotypes classified by standard and widely accepted criteria, such as diabetes and age-related macular degeneration. However, in many circumstances, our current approaches for defining and assaying phenotypes may not make optimal use of genotype data<sup>97</sup>. Some association studies have reported on intermediate phenotypes that predict disease (also known as endophenotypes). Often, intermediate phenotypes are more objective than the disease diagnosis. For example, a deficit in working memory can be used as an endophenotype of schizophrenia<sup>98</sup>, and heritable electrocardiographic and heart rate variability measures and serum lipid levels are associated with and can predict adverse cardiovascular outcomes, including sudden cardiac death and myocardial infarction<sup>99</sup>. Many genetic mapping studies of diseases are already using a wide variety of intermediate, quantitative phenotypes related to those diseases<sup>97,100,101</sup>.

One potential problem, however, is that there may be only limited evidence for the heritability of such traits, a prerequisite in most genetic studies. Unfortunately, it is unlikely that prospective toxicity data can be collected for members of the same family, making the heritability of a radiosensitive trait difficult to establish. Scott *et al.* used a chromosome damage assay to investigate the radiosensitivity of first-degree relatives of 16 radiation-sensitive and eight radiation-tolerant breast cancer survivors<sup>102</sup>. Of first-degree relatives of sensitive patients, 62% were also radiosensitive, compared with 7% first-degree relatives of radiation-tolerant patients<sup>103</sup>. Unfortunately, this assay did not transfer well between

laboratories, but several recent studies have demonstrated that chromosomal radiosensitivity of lymphocytes is largely determined by genetic factors<sup>104-108</sup>.

The assessment of radiation toxicity is complicated. As already mentioned, there are a variety of patient- and treatment-related factors that influence the development of radiation toxicity, and there is considerable variability in the scoring of normal tissue toxicity. Analytical techniques for the assessment of radiation toxicity are limited to just a few examples<sup>28,39</sup>, so radiogenomic studies must rely on mainly clinical data as a measure of phenotype. However, it is difficult to ascertain how much a clinical score, such as pain, reflects a biological problem, especially because of the complexity of the pathogenesis of radiation toxicity (FIG. 2). Attention must focus, therefore, on obtaining detailed, accurate and complete information on study participants over an adequate follow-up period in order to maximize the chance of finding an association between radiation toxicity and genotype. The actuarial statistical analysis of radiation toxicity end points needs to be carefully considered. All research groups must provide sufficient detail for the definition of the phenotypes investigated to allow assessment of their validity and comparability across studies.

### Tailoring treatment dose from genotype

The ultimate goal of radiogenomics is to develop a genetic risk profile for individualizing radiation dose prescriptions in order to optimize tumour control while reducing damage to normal tissues. Such a profile could divide patients into subgroups with different probabilities of developing toxicity, to permit irradiation up to the normal tissue tolerance for each subgroup. There would still be a range of toxic effects on normal tissue in each subgroup, but this would be of much smaller magnitude.

The loci identified to date from GWAS that are linked to cancer risk in breast, prostate, colorectal, lung and melanoma individually confer only a modest risk of malignancy, increasing the relative risk of cancer by less than 50% of the baseline population risk<sup>4</sup>. In most cases the associated SnP is a tagging SnP rather than a causal variant and so the effect size may be underestimated. However, in many diseases the effects of different loci may be multiplicative<sup>109</sup>. If enough loci are found, it is plausible that a set of SnPs will together explain a meaningful proportion of the genetic variance in radiosensitivity and will therefore have a predictive value for an individual's risk of radiation toxicity.

As noted above, the mechanisms of radiation toxicity are poorly understood. If new loci predicting radiosensitivity can be identified, insight may be gained into the pathogenesis of radiation toxicity. It has also been postulated that the use of genetic profiling in complex diseases is most likely to be of benefit for phenotypes in which the pathogenic pathways are poorly understood<sup>110</sup>. This is true for radiosensitivity, for which there are insufficient defined risk factors that predict a patient's likelihood of developing toxicity. This contrasts, for example, with cardiovascular disease, for which classical risk factors such as diabetes, smoking, hypercholesterolaemia and hypertension are already predictive of disease onset and genetic testing may not greatly improve on the predictive value of these classical risk factors.

The integration of genetic profiling into routine radiotherapy practice would allow an increase in tumour dose for radiation-tolerant patients, increasing their probability of local recurrence-free survival. For example, in many sites, a 1% increase in dose should increase the probability of tumour control by 1-2% (REFs 111,112). Thus, a dose increase in radiation-resistant patients of 20% could achieve a 20-40% increase in tumour control. Such radiation dose escalation is likely to have a positive effect on overall survival, as illustrated by the observation that one breast cancer death is prevented for every four local recurrences

prevented with radiotherapy<sup>113</sup>. By contrast, a dose reduction of 20% in radiation-sensitive patients would virtually abolish serious side effects. Alternatively, if dose reduction is not acceptable, patients could be given hyperfractionated radiotherapy. This could be combined with radiation techniques such as intensity-modulated radiotherapy and brachytherapy, which produce a steep dose gradient allowing a tumoricidal dose while sparing normal tissue. If a relationship exists between tumour and normal tissue radiosensitivity, this will further enhance the potential of genetic profiling in the management of radiotherapy patients<sup>114,115</sup>. However, although there is some evidence for a link<sup>116-118</sup>, the area is not well researched.

There is increasing use of concurrent chemotherapy given with radiotherapy, which typically augments some toxicities. An understanding of the sensitivity of normal tissues to radiotherapy might allow better tailoring of chemotherapy dose in such combined schedules. Genetic variations that influence a patient's metabolism of a chemotherapeutic drug (pharmacogenomics) are being studied<sup>119</sup>. It may be necessary to consider genetic variation involved in both chemotherapy and radiotherapy toxicity, as well as in tumour response, to provide a comprehensive personalization of cancer treatment.

## Conclusion

Candidate gene studies have been largely unsuccessful in identifying the genetic variants underlying most phenotypes. By contrast, empirical GWAS, until recently prohibitively expensive, have proved fruitful in finding numerous genetic loci, which together explain a useful proportion of the genetic variance of a phenotype. It is likely that a similar approach is needed to identify the majority of common variants underlying an individual's sensitivity to radiation and put them together to create a clinically useful pretreatment (profile) test.

Patients at both ends of the spectrum of normal tissue radiosensitivity are likely to benefit from genetic profiling. It has been estimated that 50% of patients might benefit through an increased tumour control in the 40% most radiation-tolerant patients and a decrease in morbidity in the 10% that are most sensitive<sup>32</sup>. It is possible that such an approach could improve survival rates by more than 10% (REF. 120).

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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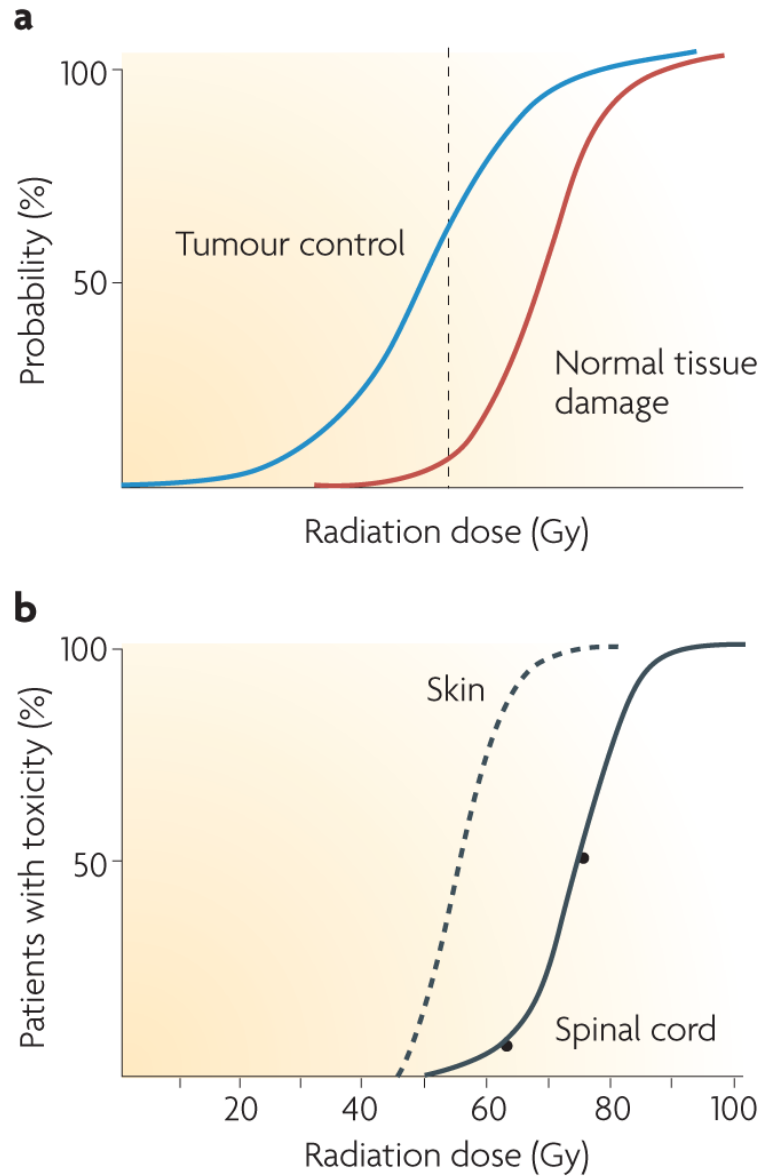
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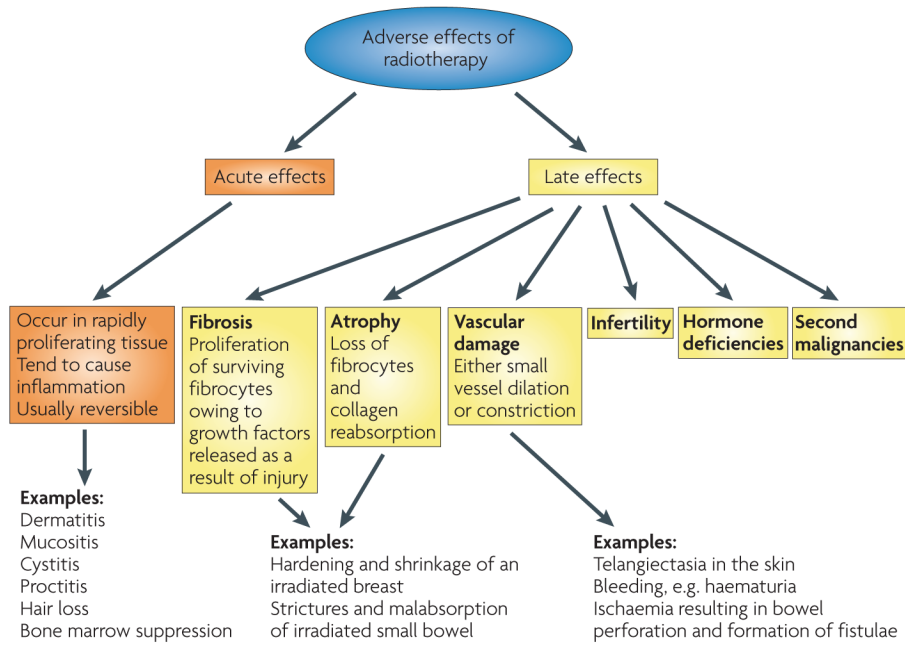
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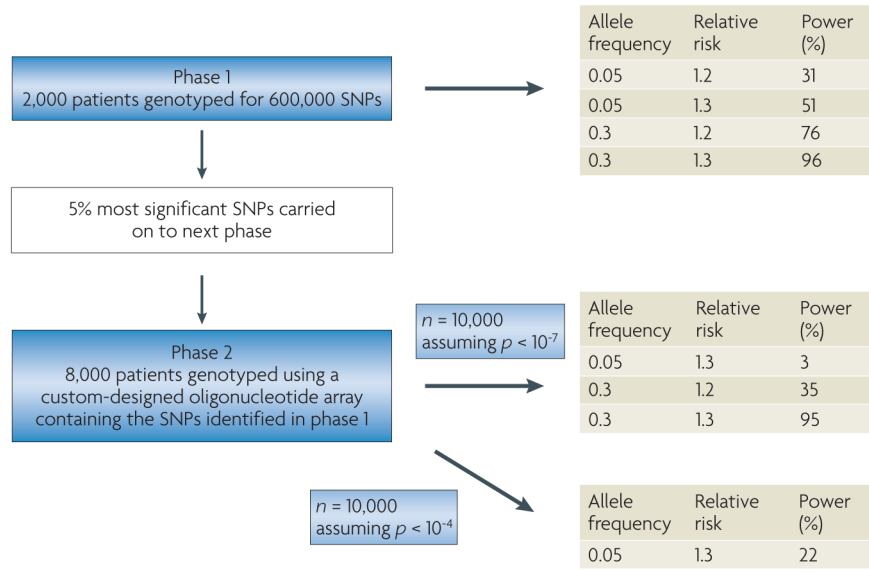
**Figure 1. Dose–response curves for radiotherapy**

**a** | The probability of tumour cure increases with increasing radiation dose. As a small volume of normal tissue is unavoidably included in the radiation field, the probability of severe late normal tissue damage also increases. Radiotherapy schedules have developed to maximize cure while minimizing toxicity, and the dotted line shows a theoretical dose associated with ~60% tumour control and ~5% severe late toxicity. **b** | Cumulative frequency dose–response curves. The left-hand curve shows data for skin telangiectasia<sup>130</sup>; the right-hand curve is the putative dose–response curve for spinal cord necrosis<sup>131</sup>. The gradients of the two curves are similar, although the dose at which damage occurs is greater for the spinal cord than skin because of differences in target cells, tissue architecture and cell turnover. Interestingly, inbred animals have an even steeper gradient of the dose–response curve. The principal reason why human clinical data show shallower dose–response curves than inbred animals is inter-individual variability, that is, greater genetic variation, although animal studies are more carefully controlled for factors such as diet, age and co-morbidities than human studies.



**Figure 2. The toxicity of radiotherapy**

Acute effects occur during or shortly after completion of treatment and are usually reversible and not generally considered dose-limiting. They occur in rapidly proliferating tissues, such as skin, gastrointestinal tract and the haematopoietic system. Early reactions tend to be relatively insensitive to changes in the radiation dose per fraction but are sensitive to the time over which radiation is delivered. Protracted treatment reduces acute toxicity but can compromise tumour control. Late effects manifest 6 months to several years after radiotherapy. The long time frame prevents titration of radiation dose against toxicity in individual patients, and the relationship between acute and late effects remains unclear<sup>32,132,133</sup>. As late side effects can be permanent, they provide the basis for dose constraints to radiation toxicity. Late effects typically occur in more slowly proliferating tissues, such as kidney, heart and central nervous system. The pathogenesis includes fibrosis, atrophy and vascular damage. Other important late normal tissue side effects include hormone deficiencies, infertility and second malignancies. Late toxicity tends to be more sensitive to changes in the radiation dose per fraction than acute reacting tissues and less sensitive to the overall treatment time.



**Figure 3. Proposed design for a radiation toxicity genome-wide association study (GWAS)**  
 In the first phase of a staged approach a full set of tagged single nucleotide polymorphisms (tag-SNPs; 600,000) is chosen that comprehensively captures common variations across the genome. This set is genotyped using a whole genome chip in a relatively small population and at a liberal  $p$ -value threshold, to identify a subset of SNPs with putative associations. As the phenotype of interest exhibits a range of responses, including intermediate levels, this will give greater power than a case-control study of the same size. Phase II takes the top 5% of SNPs identified in phase I or those passing an initial threshold (or filter) and re-tests these SNPs using a custom-designed oligonucleotide array in a larger independent population sample, thus significantly increasing efficiency and reducing genotyping costs.



**Table 1**  
**Candidate genes involved in the pathogenesis of radiation toxicity**

Mechanism	examples of genes involved	Refs
<i>DNA damage repair</i>		
Damage sensing	<i>MRE11A, RAD50, NBN, H2AFX, TP53BP1, BRCA1, MDC1</i>	16,17
Mediator proteins	<i>RBI</i> , cyclins (e.g. <i>CCNE1, CCND1, CCNB1</i> ), CDKs (e.g. <i>CDK7, CDK10</i> )	16,17
Cell cycle checkpoint control	CDK inhibitors (e.g. <i>CDKN2C, CCKND2</i> ), <i>ATM, ATR, TP53, CHEK2</i>	16,17
NHEJ	<i>XRCC6, XRCC5, PRKDC, XRCC4, LIG4</i>	16,17
HR	<i>RAD51, BRCA1, BRCA2, XRCC3</i>	16,17
Base excision repair	<i>XRCC1, APEX, OGG1</i>	16,17
<i>Radiation fibrogenesis</i>		
Apoptosis	<i>TP53, BCL2</i> , caspases (e.g. <i>CASP3</i> ), <i>BIRC5, RHOB, TP53INP1</i>	18,19
Pro-inflammatory cytokines	<i>TNF, IL1A, IL6</i>	18,19
Pro-fibrotic proteins	<i>TGFBI, TGFB2, TGFB3, CTGF</i>	5
Smad signalling pathway	Receptor-regulated SMADs ( <i>SMAD1, SMAD2, SMAD3, SMAD5, SMAD8</i> )	5
DNA-binding TFs, co-regulators and co-receptors	Type I receptor ( <i>SMAD4</i> ), inhibitory sMADs ( <i>SMAD6, SMAD7</i> ), <i>NFKB1</i>	5
Increased ECM and collagen deposition	TGF transmembrane receptors <i>TGFBRI</i> and <i>TGFBR2</i>	5
<i>Oxidative stress</i>		
Antioxidant enzymes	Superoxide dismutases (e.g. <i>SOD1</i> )	20
Renin-angiotensin system	<i>AGT</i>	21
<i>Endothelial cell damage</i>		
Chemokines recruiting monocytes	Rho proteins, Rho kinases, <i>CTGF, HSP27, ZYX</i>	22,23
Cytokines	<i>FGF2</i>	22,23
Growth factors	<i>VEGF</i>	22,23

*AGT*, angiotensinogen; *ATM*, ataxia telangiectasia mutated; *ATR*, ataxia telangiectasia and Rad3-related protein; *BIRC5*, baculoviral IAP repeat-containing protein 5; CDK, cyclin-dependent kinase; *CTGF*, connective tissue growth factor; ECM, extracellular matrix; *FGF2*, fibroblast growth factor 2; HR, homologous recombination; *HSP27*, heat shock protein 27; *IL*, interleukin; *LIG4*, DNA ligase 4; *MDC1*, mediator of DNA damage checkpoint protein 1; *MRE11A*, mitotic recombination 11A; *NBN*, nibrin; NHEJ, non-homologous end joining; *NFKB1*, nuclear factor- $\kappa$ B; *OGG1*, 8-oxoguanine DNA glycosylase; *PRKDC*, DNA-dependent protein kinase catalytic subunit; *RBI*, retinoblastoma 1; *SOD*, superoxide dismutase; TF, transcription factor; *TGFB*, transforming growth factor- $\beta$ ; *TGFBRI*, TGF $\beta$  receptor; *TNF*, tumour necrosis factor; *TP53BP1*, TP53 binding protein 1; *TP53INP1*, tumour protein p53-inducible nuclear protein 1; *VEGF*, vascular endothelial growth factor *ZYX*, zyxin.