

# Ki67 Antigen as a Predictive Factor for Prognosis of Sinonasal Mucosal Melanoma

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**Objectives.** Sinonasal mucosal melanoma is a rare and aggressive disease. The aim of this study was to analyze the clinical features of patients with sinonasal mucosal melanoma and to determine the role of Ki67 antigen as a predictor of prognosis in sinonasal mucosal melanoma.

**Methods.** This was a retrospective case-series study at a single institution, an academic tertiary referral center. From 1995 to 2007, 27 patients with sinonasal mucosal melanoma were reviewed retrospectively, and the expression of Ki67 antigen was assessed by immunohistochemistry.

**Results.** The overall 5-yr survival rate was 33.9%. No significant differences were observed in 5-yr survival according to age, sex, stage, or the presence of melanin. The rates of local failure, regional failure, and distant failure were 37.0%, 14.8%, and 11.1%, respectively. Patients with spindle or mixed cell types had better prognoses than those with other cell types. At a cut-off value of 35%, patients with lower Ki67 scores showed better survival than those with higher Ki67 scores.

**Conclusion.** The presence of spindle or mixed cell types may indicate a better prognosis than other cell types. Ki67 immunostaining may be a useful predictor of prognosis in patients with mucosal malignant melanoma of the sinonasal tract.

**Key Words.** Ki67 antigen, Melanoma, Nasal cavity, Paranasal sinuses

## INTRODUCTION

Mucosal malignant melanoma (MMM) of the sinonasal tract accounts for 1% of all mucosal melanomas and 3-4% of malignant neoplasms of the sinonasal tract (1). In MMM, most lesions are limited to the nasal cavity and paranasal sinuses without nodal or distant metastasis. However, this disease has a unique, multifocal characteristic which makes it difficult to obtain a clear resection margin (2-4). For this reason, MMM of the sinonasal tract has a high recurrence rate and a poor prognosis. Our previous report also demonstrated a poor 5-yr overall survival rate of approximately 27.2% (5).

Angiolymphatic invasion, tumor thickness, and a wide margin

of surgical resection are widely accepted predictors of survival rate (6, 7). The Ki67 antigen is an indicator of proliferative activity, and numerous studies have shown that Ki67 immunohistochemical staining is an effective method to predict prognosis in various tumors, including cutaneous melanoma (8, 9). However, the association between immunohistochemical staining for Ki67 and prognosis has not yet been studied in MMM of the sinonasal tract.

Thus, our primary objectives were to analyze the clinical features of sinonasal MMM and to determine whether Ki67 expression is correlated with prognosis.

## MATERIALS AND METHODS

### Subjects

From March 1995 through January 2007, the medical records of 27 patients who were diagnosed and treated for malignant melanoma of the sinonasal tract at our hospital were reviewed retrospectively. The patients were diagnosed as having malignant

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melanoma based on the presence of melanin pigment in the tumor cells and immunohistochemical staining for HMB-45 and S-100. The population in the present study consisted of 15 males and 12 females with a median age of 62 yr (range, 41 to 87 yr). The average follow-up period was 28.2 months (range, 3 to 104 months). We used Stern's staging system to classify lesions as follows; Stage I confined to the primary site (local disease), Stage II with regional lymph node metastasis, Stage III distant metastasis (10).

All patients underwent surgery. In 18 patients an *en bloc* excision was performed with a sufficient margin of resection, regardless of stage. Three patients received additional immunotherapy with interferon (IFN)- $\alpha$  after surgery. Surgery and postoperative radiation therapy was performed in four patients. Two patients was underwent surgery followed by both immunotherapy and radiation therapy (Table 1). We evaluated the survival rates of 27 patients with MMM according to age, sex, and stage. The presence of melanin and histological cell type were evaluated in 21 patients. Regarding these factors, we could not evaluate 6 patients because preoperative slides were not available.

Table 1. Initial treatment modalities

	Stage I	Stage II	Stage III
Surgery only	15	2	1
Surgery+IT	3	0	0
Surgery+RT	3	1	0
Surgery+RT+IT	2	0	0
Total	23	3	1

IT: Immunotherapy; RT: radiotherapy.

### Immunohistochemical staining

The Ki67 immunohistochemical staining was performed in available 13 tissues which were preserved in paraffin block and the others 14 were preserved on slide only. Paraffin-embedded sections were cut to a thickness of 4  $\mu$ m, deparaffinized in xylene for 30 min, and then rehydrated in 100%, 95%, and 70% ethanol for 3 min each. The sections were then washed in distilled water for 3 min. For antigen retrieval, the sections were placed in 0.1% citrate buffer (pH 6.0) and heated in a microwave oven for 30 min. Endogenous peroxidase activity was blocked with 3% H<sub>2</sub>O<sub>2</sub> for 5 min, and the slides were washed in phosphate-buffered saline (PBS, 0.1 mol/L, pH 7.4). The tissues were incubated in 10% fetal bovine serum (FBS) and TBST (1% TBS and Tween 20) at room temperature for 20 min to prevent non-specific protein binding. The tissues were then incubated with the primary antibody (anti-Ki67, clone MIB-1; DAKO, Glostrup, Denmark) at a dilution of 1:40 for 12 hr, followed by three washes in PBS. The secondary antibody (biotinylated IgG, mouse/rabbit; DAKO), was applied for 25 min, followed by one wash in PBS. Finally, the sections were incubated with HRP-conjugated streptavidin (DAKO) for 20 min, followed by two washes in PBS. The sections were counterstained with Mayer's hematoxylin. A pathologist with no background knowledge of the present study performed the immunohistochemical analysis. Melanin staining was detected in the cytoplasm, whereas Ki67 was detected in the nuclei of tumor cells. In areas with definite nuclear staining, the number of Ki67-positive tumor cells was counted per 300 tumor cells and the percentage was calculated (Fig. 1).

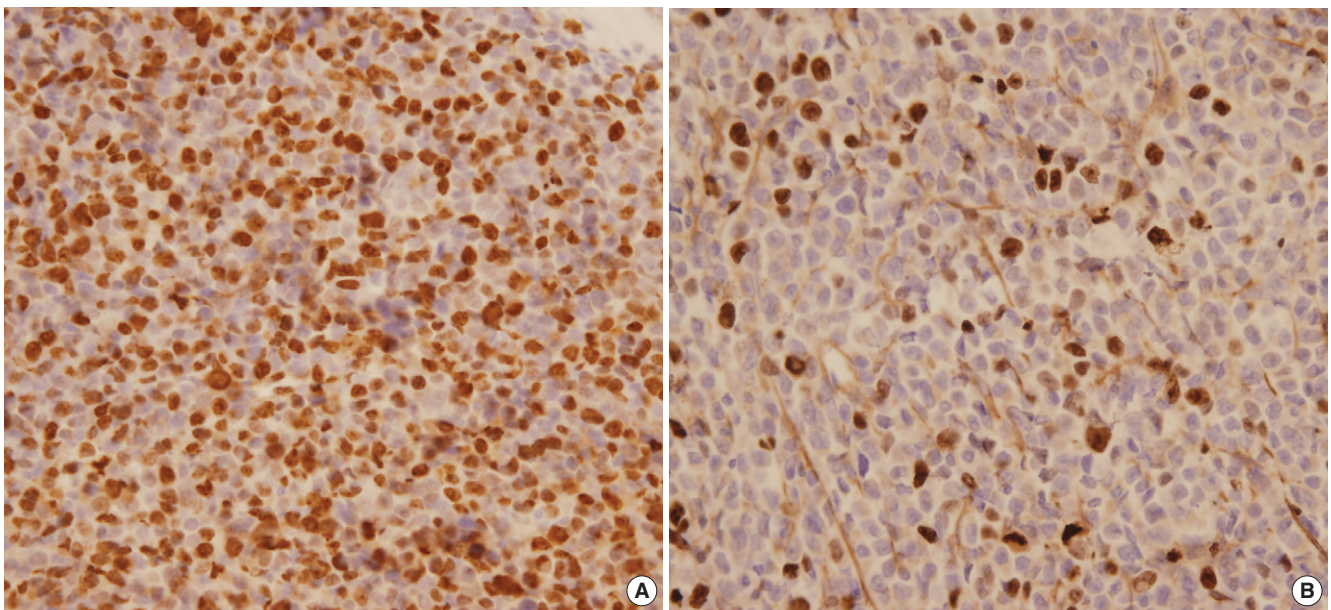


Fig. 1. (A) Ki67 staining was detected in 80% of tumor cell nuclei under a light microscope (original magnification  $\times$ 400). (B) Ki67 staining was detected in 10% of tumor cell nuclei under a light microscope (original magnification  $\times$ 400).

**Table 2.** Initial symptoms and anatomic site at initial presentation

Initial symptoms	N*	Anatomical site	N*
Nasal obstruction	12	Middle turbinate	14
Epistaxis	10	Inferior turbinate	13
Watery rhinorrhea	2	Septum	10
Pain	2	Maxillary sinus	8
Cheek swelling	2	Ethmoid sinus	8
Posterior nasal drip	1	Nasal floor	6
Headache	1	Skull base	3
Exophthalmos	1	Orbit	2
Blindness	1	Others	2

\*Numbers are not mutually exclusive.

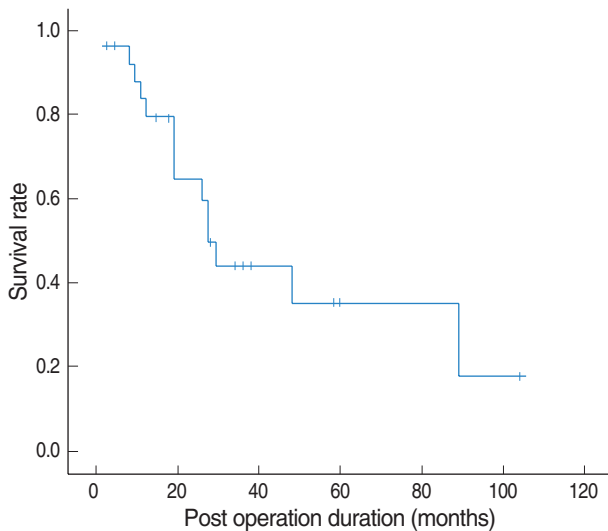


Fig. 2. The 5-yr survival rate for patients with mucosal malignant melanoma of the sinonasal tract is 35.3%.

### Statistical analysis

The survival rate and the correlation between Ki67 expression and each factor were assessed using the Kaplan-Meier method and the log-rank test using the SPSS software (SPSS Inc., Chicago, IL, USA). A *P*-value <0.05 was considered to be statistically significant.

## RESULTS

The most common presenting symptoms are nasal obstruction followed by epistaxis (Table 2). The 5-yr survival rate based on the Kaplan-Meier method was 35.3% for MMM of the sinonasal tract (Fig. 2). In the follow-up period, 17 patients (62.9%) showed recurrence; 10 local recurrences (37.0%), four regional recurrences (14.8%), and three distant metastases (11.1%). In the cases of distant metastasis, cancerous cells invaded the brain, lung, and lower abdomen. The mean interval between surgical excision and recurrence was 6.9 months.

No significant differences in the 5-yr survival rate were observed

**Table 3.** Survival rate according to age, sex, stage, and the presence of melanin

	N	5-yr survival rate	<i>P</i> -value
Age			0.801
≤65 yr	13	20.8%	
>65 yr	14	44.0%	
Sex			0.348
Male	15	29.2%	
Female	12	40.9%	
Stage			0.455
I	23	37.7%	
II & III	4	0.0%	
Melanin			0.140
Present	9	68.6%	
Absent	12	12.5%	

**Table 4.** Survival rate according to cell type

Cell type	N=21	5-yr survival rate	<i>P</i> -value
Rhabdoid	2	0%	
Mixed	7	55.6%	*0.044
Epitheloid	4	0%	
Spindle	6	75%	*0.021
Undifferentiated	2	0%	

\*When compared to patients with epitheloid cells, patients with mixed and spindle cells showed significantly higher 5-yr survival rates.

with regard to age, sex, stage or the presence of melanin (Table 3). However, histological cell type had an impact on prognosis. Patients with spindle or mixed cell types showed significantly higher 5-yr survival rates than those with other cell types (Table 4). Patients with scores of <35% for Ki67 positive staining in tumor cells also had significantly higher 5-yr survival rates than those with scores ≥35% (Fig. 3).

## DISCUSSION

Cutaneous melanoma is more common in Caucasians than in Asians, whereas mucosal melanoma is relatively common in Asians (11). More than one-third of patients are diagnosed as having amelanotic MMM in Western, in which diagnosis tends to be delayed because of atypical symptoms and signs (12). From our series of patients, amelanotic MMM was found in twelve patients (44.4%) which is slightly higher when compared to the previous reports (13). Furthermore, a precursor lesion, such as the nevus in cutaneous malignant melanoma, has not yet been identified (12).

The symptoms at diagnosis depend on the site of the primary lesion. Since the most common sites are the turbinate and septum, patients frequently present with unilateral nasal obstruction and epistaxis (14). However, in advanced stages, pain and facial deformity may also be present (3). Our results are consistent with pre-

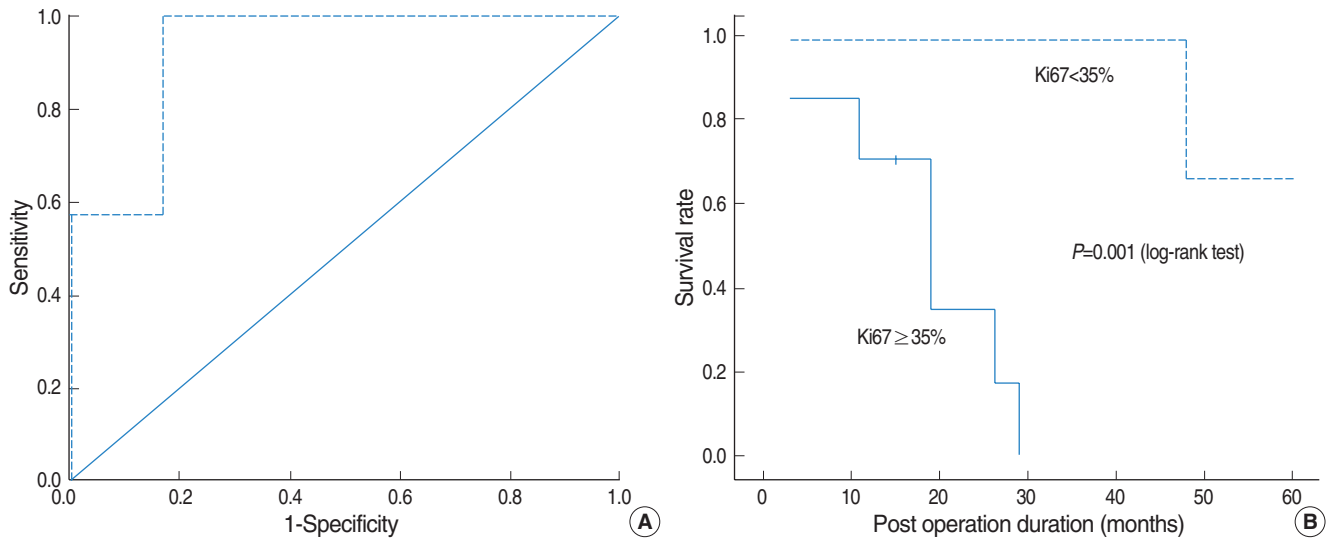


Fig. 3. (A) We determined cut-off value at 35% by ROC curve. (B) Patients with scores of  $<35\%$  for Ki67 staining have a distinct survival advantage over those patients with scores of  $\geq 35\%$ .

vious reports regarding the age, sex, and symptoms presented at the initial diagnosis.

It has been reported that 81% of primary MMMs arise from the nasal cavity and 19% from the sinuses (15). We found that 75% of primary MMMs originated from the nasal cavity and 25% from the sinuses. The present study demonstrates that the turbinate and septum are the most common anatomic sites for mucosal melanoma.

Stern's classification is universally used to stage tumors (10). However, many studies have reported on stage I tumors at the initial diagnosis and have found that stage is not associated with survival. Likewise, 85.2% of the tumors in our patients were at stage I, and there was no significant difference in survival according to stage. Recently, other staging systems have been suggested for primary MMM (2, 16). However, these staging systems are also irrelevant with survival in our data. These outcomes indicate that a modified staging system is needed.

Local recurrence is a common problem in MMM. Previous studies have shown that the local recurrence rate is greater than 50% (6), and this study showed a relatively high rate of local recurrence (37.0%). This high rate of local recurrence may result from the non-apparent diffusion of tumor cells via the submucosal lymphatic route or the presence of multifocal lesions (6). Unlike squamous cell carcinoma, MMM of the sinonasal tract metastasizes to distant regions, such as the lung and brain, more frequently than it undergoes cervical nodal metastasis (17). However, in our series the regional metastasis rate is similar to the distant metastasis rate.

Previous studies have reported that the 5-yr survival rate for malignant mucosal melanoma of the head and neck ranges from 0% to 44% (1, 3, 7, 12), which is consistent with our value of 35.3%. The 5-yr survival rate was not correlated with age, sex,

stage, or the presence of melanin.

We also analyzed the survival rate with respect to histological cell type and found that patients with spindle or mixed cell types had a better prognosis. However, the number of patients available for the histological study was small and future large-scale studies are required to confirm our results.

Intense immunohistochemical staining for Ki67 is correlated with poor prognosis in various malignancies, including cutaneous malignant melanoma (8, 9, 18, 19). One small series reported that scores of  $\leq 40\%$  for Ki67 and  $\leq 80\%$  for PCNA were correlated with good prognosis in anorectal malignant melanoma (17). Another study reported that scores of  $\leq 20\%$  for Ki67 and  $\leq 35\%$  for PCNA were correlated with good prognosis in cutaneous malignant melanoma (18). However, there is no information available regarding the association between survival and Ki67 staining in MMM. This study showed that Ki67 staining in  $<35\%$  of tumor cells is statistically correlated with a good prognosis, which indicates that Ki67 antigen may be useful in a prognostic factor for MMM.

## CONCLUSIONS

MMM of the sinonasal tract shows frequent local recurrence and poor prognosis. Even though the number of participants in this study is small, we demonstrate that patients with spindle cells or mixed cell types generally have better prognoses than patients with other cell types and that Ki67 immunohistochemical staining may be a useful marker to predict the prognosis for MMM of the sinonasal tract.

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