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A SNP in a *let-7* microRNA complementary site in the *KRAS* 3'UTR Increases Non-Small Cell Lung Cancer Risk

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Abstract

Lung cancer is the leading cause of cancer deaths worldwide, yet few genetic markers of lung cancer risk useful for screening exist. The *let-7* family-of-microRNAs (miRNAs) are global genetic regulators important in controlling lung cancer oncogene expression by binding to the 3'UTRs (untranslated regions) of their target messenger RNAs (mRNAs). The purpose of this study was to identify single nucleotide polymorphisms (SNPs) that could modify *let-7* binding and to assess the effect of such SNPs on target gene regulation and risk for non-small cell lung cancer (NSCLC). *let-7* complementary sites (LCSs) were sequenced in the *KRAS* 3'UTR from 74 NSCLC cases to identify mutations and SNPs that correlated with NSCLC. The allele frequency of a previously unidentified SNP at LCS6 was characterized in 2433 people (representing 46 human populations). The frequency of the variant allele is 18.1–20.3% in NSCLC patients and 5.8% in world populations. The association between the SNP and the risk for NSCLC was defined in two independent case-control studies. A case-control study of lung cancer from New Mexico showed a 2.3-fold increased risk (C.I.= 1.1–4.6, $p = 0.02$) for NSCLC cancer in patients who smoked < 40 pack years. This association was validated in a second independent case-control study. Functionally, the variant allele results in *KRAS* over-expression *in vitro*. The LCS6 variant allele in a *KRAS* miRNA complementary site is significantly associated with increased risk for NSCLC among moderate smokers, and represents a new paradigm for *let-7* miRNAs in lung cancer susceptibility.

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Introduction

MiRNAs are recently identified gene regulators that are at abnormal levels and implicated in virtually all cancer subtypes studied.¹ MiRNAs bind to the 3'UTRs of their target genes, regions which are evolutionarily highly conserved,^{2, 3} suggesting an important role for these regions in natural selection. Because miRNAs each regulate hundreds of mRNAs simultaneously,⁴ the potential of cellular transformation resulting from dysfunction of a single miRNA is high. The role of miRNA SNPs in disease is just being defined. For example, SNPs in miRNAs important in cancer have been identified; *mir-125a*, shown to be altered in breast cancer,^{5, 6} has a variant allele at a SNP in the mature miRNA sequence that decreases expression.⁷ There is also evidence that SNPs in miRNA binding sites could be associated with disease, for example, a point mutation identified in several Tourette's syndrome patients in the 3'UTR of *SLITRK1* disrupts the binding of miR-189.⁸ Furthermore, two recent papers report SNPs in miRNA target sites in human cancer genes⁹ and show that allele frequencies vary between cancerous and normal tissues.¹⁰ Finally, a SNP identified in a miRNA binding site in the *kit* oncogene was associated with increased gene expression in papillary thyroid cancer.¹¹

The *let-7* family of miRNAs appears to play a key role in lung cancer: they are at low levels in NSCLC;^{12, 13} their lower levels are biomarkers of a poor outcome;^{14, 15} they regulate multiple important lung cancer oncogenes, including *RAS*;^{12, 16} and they inhibit growth of lung cancer cell lines *in vitro*^{12, 14} and *in vivo*.^{17, 18} The purpose of this study was to identify SNPs that could potentially modify *let-7* binding and to assess the effect of these SNPs on target gene regulation and the risk for NSCLC. Here we show that a variant allele at a SNP in a *let-7* complementary site in the *KRAS* 3'UTR is associated with increased risk for NSCLC in moderate smokers. Furthermore, this variant allele leads to altered *KRAS* regulation *in vitro*, with higher *KRAS* expression in the presence of the variant allele. In addition, in tumors from patients harboring the variant allele, *let-7s* were lower than in non-variant allele tumors, suggesting the SNP might be associated with NSCLC with a poor prognosis. The finding that a SNP disrupting *let-7* miRNA regulation of a known oncogene can affect cancer predisposition to NSCLC is a new paradigm, and supports further studies to identify similar SNPs in all cancer types.

Methods

Study Populations

Lung tissue samples from patients with a diagnosis of NSCLC were collected following Yale University Human Investigation Committee approval. Cases were chosen based on the availability of frozen stored tissue from lung tumor resections from 1994 through 2003, and from recent cases with extra tissue available. Tissue was collected from 87 patients. Seven patients were excluded due to other risk factors for lung cancer (*e.g.*, immunosuppression, tuberculosis) and six were excluded due to their tumors being non-lung primary metastatic disease. Seventy-four patients were included in the analysis (see Supplementary Table 1 online).

To determine the frequency of the SNP alleles, 2433 individuals were genotyped from a global sample of 46 populations. According to population ancestry and geographic locations, these 46 populations are categorized into 4 groups: European (including West Asia), African, Asian (including the Pacific) and Native American. Sample descriptions and samples sizes can be found in the [ALlele FREquency Database \(ALFRED\)](http://alfred.med.yale.edu/)¹⁹ by searching for the population names (<http://alfred.med.yale.edu/>). DNA samples were extracted from lymphoblastoid cell lines established and/or grown in the Yale University laboratory of K.K.K. The methods of transformation, cell culture, and DNA purification have been described.²⁰ All volunteers were

apparently normal and otherwise healthy adult males or females and samples were collected after receipt of appropriate informed consent.

Lung cancer cases (n=325) for the New Mexico case-control study were recruited beginning in 2004 from Albuquerque through two local hospitals, the Veterans hospital and the University of New Mexico (UNM) hospital. All stages and histological types of lung cancer were included. Controls (n=325) with no history of any prior cancer were recruited from two ongoing local smoker cohorts, the Veterans Smokers Cohort (mainly veterans from Albuquerque) and the Lovelace Smokers Cohort (general residents in Albuquerque). Those two cohorts started to recruit participants in 2001 to conduct longitudinal studies on molecular markers of respiratory carcinogenesis in biological fluids such as sputum from people at risk for lung cancer. A standardized questionnaire was used to collect information on medical, family, and smoking exposure history, and quality of life for both lung cancer cases and control cohort members. Controls were randomly matched to lung cancer cases after categorization into different age groups (5-year differences) by sex and cohort (see Supplementary Table 2A online). Cases with small cell lung cancer were excluded to more precisely assess the effect of the LCS6 SNP on risk for NSCLC. Cases over 82 years old (the maximum age in the control group), cases with any prior cancer history, never smokers or cases with missing data on smoking-related covariates were also excluded in the data analysis, resulting in 218 cases in the analysis.

A second lung cancer case-control study was conducted to validate findings from the New Mexico study. The study population was derived from a large ongoing molecular epidemiological study in Boston, MA, that began in 1992 and now has more than 2205 NSCLC patients. Details of this case-control population have been described previously.²¹⁻²³ For this study over 3700 samples were analyzed, which included smokers and non-smokers. This study was approved by the Human Subjects Committees of MGH and Harvard School of Public Health, Boston, MA. Briefly, all histologically confirmed, newly diagnosed patients with NSCLC at MGH were recruited between December 1992 and February 2006. Before 1997, only early stage (stage I and II) patients were recruited. After 1997, all stages of NSCLC cases were recruited in this study. Controls were recruited at MGH from healthy friends and non-blood-related family members (usually spouses) of several groups of hospital patients: (a) patients with cancer, whether related or not related to a case; or (b) patients with a cardiothoracic condition undergoing surgery. No matching was performed. Importantly, none of the controls were patients. Potential controls with a previous diagnosis of any cancer (other than non-melanoma skin cancer) were excluded from participation. Over 85% of eligible cases and over 90% of controls participated in this study and provided blood samples. A research nurse administered questionnaires on demographic information and detailed smoking history (see Supplementary Table 2B online). To reduce potential variation in allele frequency by ethnicity, only Caucasians were considered in the analysis.

Evaluation of 3'UTR Sequences and the LCS6 SNP

DNA was isolated from frozen and FFPE lung tissue using the DNeasy Blood and Tissue Kit (Qiagen). Segments of the *KRAS* 3'UTR were amplified using *PfuTurbo* DNA polymerase (Stratagene) and DNA primers specific to this sequence (see Supplementary Table 3 online). PCR products were purified using the QIAquick PCR Purification Kit or 96 PCR Purification Kit (Qiagen) and sequenced using the same primers. The *NRAS* 3'UTR was sequenced in the same manner.

For high-throughput genotyping, the DNA isolated from lymphocytes, blood, or tumor samples was amplified using TaqMan PCR assays designed specifically to identify the T or G allele of the LCS6 SNP (Applied Biosciences).

Determining the Impact of the LCS6 Variant Allele on *KRAS* Expression

We generated a pGL3 derivative containing almost the entire *KRAS* 3'UTR (*KRAS* wild-type) as follows. *KRAS* wild-type includes 3,910 base pairs of the *KRAS* 3'UTR, which was amplified from human genomic DNA using the forward primer SMJ104 and reverse primer LCJ5 (see Supplementary Table 3 online). *NheI* restriction sites were included on the 5' ends of the primers for convenient cloning. The product was first cloned into the TOPO cloning vector (Invitrogen) and then sub-cloned into pGL3 (Ambion) for use in subsequent luciferase assays. The luciferase reporter with the variant LCS6 *KRAS* 3'UTR (*KRAS* mLCS6) was constructed through site-directed mutagenesis of *KRAS* wild-type using GeneTailor (Invitrogen). A549 cells were cultured in DMEM with 10% FBS and penicillin/streptomycin (Invitrogen). A549 cells were transfected with 500 ng *KRAS* wild-type or *KRAS* mLCS6 and 50 ng pRL-TK (Promega) using Lipofectamine 2000 (Invitrogen) for 24 hours. Reporter expression was analyzed using the Dual-Luciferase Reporter Assay (Promega) and Wallac Victor2 1420 (PerkinElmer). Two-tailed *t*-tests were done to verify statistical significance of differences in luciferase expression using GraphPad Prism.

Measuring *let-7* *in vivo*

RNA was isolated from normal or tumor tissue specimens using Ambion isolation kits. RTPCR was done for *let-7a*, *b*, *d* and *g* using the Ambion RTPCR specific primers for eight tumor samples with the variant allele and eight samples without the variant allele, using the ABI 7900.

Statistical Analysis

To calculate significance a Chi-Square test was used for categorical variables, a *t*-test was used for continuous variables and in some cases a two-sided Fisher's exact test was used. Two-sided two-sample *t*-tests, Chi-Square analyses and two-sided Wilcoxon rank-sum tests were performed, as appropriate to compare the demographic variables between cases and controls. An unconditional logistic regression model was used to calculate odds ratio and confidence intervals (CI) for the *KRAS* LCS6 SNP in moderate and heavy smokers (defined by below and above the median pack years for the study population, respectively) with adjustment for selected covariates. A likelihood ratio test was used to assess the association with the allele and the pack-year interaction for NSCLC. The dominant model was used for all genetic association analysis due to the low frequency of the rare allele.

Results

A SNP in a *let-7* Complementary Site in the *KRAS* 3'UTR

Human *KRAS* expression is regulated in a 3'UTR and *let-7*-dependent manner through ten putative *let-7* complementary sites (LCSs) in its 3'UTR¹² (Fig. 1A). Based on data from the HapMap²⁴ and dbSNP²⁵ databases, only one SNP, rs712(-), is reported in an LCS. Tumors (and adjacent normal tissue when available) from 74 patients with NSCLC were evaluated for sequence variations in these ten LCSs. SNPs in LCS1, LCS9, and LCS4 (see Supplementary Table 4 online) were randomly observed at low frequency in tumor or adjacent tissue, suggesting no specific involvement in NSCLC. However, a SNP (*T* to *G*, with *G* the less frequent variant) identified at the fourth nucleotide in LCS6 was found in 20.3% of tumor and corresponding adjacent normal tissues from NSCLC patients (Fig. 1B, 1C)(Supplementary Fig. 1 online). Because this was a previously unreported SNP that was found frequently in our NSCLC patients, we hypothesized that this SNP might be a marker of an increased risk for NSCLC.

As a control, we sequenced (in the same NSCLC patients) the 3'UTR of *NRAS*, which is not associated with lung cancer but contains 9 putative LCSs,¹² to look for similar SNPs. No SNPs

were identified within the LCSs of the *NRAS* 3'UTR (data not shown), further supporting the idea that the identified SNP in the *KRAS* 3'UTR is likely an important change with respect to NSCLC.

Frequency of the Variant Allele Across World Populations

To determine if the prevalence of the variant allele in our NSCLC population was higher than expected, we determined the allele frequencies of the LCS6 SNP in the general population with a unique human genetic resource found at Yale University, a collection of genomic DNA from 2433 healthy individuals from a global set of 46 populations.²⁶ An extensive data base of genetic variations in these samples exists and can be found, along with the population descriptions in ALFRED, the ALlele FREquency Database (<http://alfred.med.yale.edu>).¹⁹ Using a TaqMan assay, we found that <3% of the 4866 chromosomes, or 5.8% of the people tested, had the G allele (variant) at the LCS6 SNP site (Fig. 2). The frequency of this allele varied across geographic populations, with "European" populations exhibiting the variant allele most frequently (7.6% of the chromosomes tested); African populations less frequently (<2.0% of chromosomes tested); and "Asian" and Native American populations infrequently (<0.4% of chromosomes tested). Of note, over 85% of the patients in our retrospective patient cohort were of European descent. Importantly, these findings indicate that the prevalence of the variant allele (20.3%) in our NSCLC patient cohort is significantly higher than expected in any existing geographic population, further supporting the hypothesis that this variant allele is a marker of an increased risk to NSCLC.

The Variant Allele is Associated with Increased Risk for NSCLC in Moderate Smokers

Lung cancer cases and controls from smokers enrolled in a lung cancer case-control study in New Mexico were genotyped for the LCS6 variant allele. The frequency of the variant allele in the NSCLC cases was 18.8%, which was not significantly different from the frequency in the lung cancer patients studied at Yale ($p=0.20$). While the presence of the LCS6 variant allele did not predict NSCLC risk for the entire patient cohort, the variant allele was associated with increased NSCLC risk in smokers with a < 41 pack-year smoking history (Table 1A, OR=2.3, 95% confidence interval (CI) = 1.1–4.6, $p=0.02$), meaning a person has smoked the equivalent of one pack of cigarettes per day for 41 years, the median level of smoking in this population. The OR was adjusted for age, gender, smoking status, pack-years of smoking, and years since smoking cessation. This finding was then validated in a larger independent study of lung cancer cases and controls enrolled in Boston, MA. In that study, the frequency of the variant allele was 18.1%, and again no association was seen between the allele and lung cancer risk in the entire population. However, after stratifying by the median smoking level (40 pack-years), a 1.4-fold increase in risk for lung cancer was seen in persons who smoked <40 pack-years (Table 1B, CI = 1.1–1.7, $p=0.01$). These studies did not find an association between the LCS6 variant allele and tumor histology.

These findings confirm that the variant allele is a marker for an increased risk of NSCLC in patients with less cigarette exposure, which in these studies was less than the median smoking exposure of ~ 40 pack-years. Our finding that the LCS6 SNP only impacts cancer risk for those with less cigarette exposure agrees with other studies showing a dose-dependent gene-environment interaction for smoking-induced lung cancer risk;^{23, 27, 28} with higher smoking exposure any genetic predisposition is hypothesized to be overwhelmed by the extent of smoking-related damage.

The LCS6 Variant Allele Impacts *KRAS* Regulation and is Associated with Lower *let-7*

To determine if LCS6 impacts *KRAS* regulation, we used a previously described luciferase reporter for *KRAS* expression to determine the effect of the LCS6 variant on expression.¹² We transfected a luciferase reporter with a full length *KRAS* 3'UTR containing the variant allele

at LCS6 (*KRAS* mLCS6) into A549 cells, a lung cancer cell line, with known low *let-7* levels¹⁴, and compared luciferase expression to A549 cells transfected with the luciferase reporter with a wild-type *KRAS* 3'UTR (*KRAS* wild-type). We found that there was a significant increase in luciferase activity in cells transfected with *KRAS* mLCS6 versus the *KRAS* wild-type (Fig. 3A, 3B). These findings support the hypothesis that the variant allele has altered the dependence of the *KRAS* 3'UTR on LCS6, and allows increased *KRAS* expression in the presence of this variant allele.

We were unable to measure *KRAS* levels in patient samples due to limited tissue and only DNA access for case control samples. However, we did evaluate the *KRAS* gene in patient samples harboring the SNP for common activating mutations (in codons 12, 13, and 61), and did not find any activating mutations (data not shown). As *KRAS* is rarely activated in squamous tumors and in only 30% of lung adenocarcinomas, these findings are not surprising, and additional studies will be required to fully understand *KRAS* expression and/or mutations in tumors harboring the variant allele.

We next measured the levels of *let-7* in available patient tumors with or without the variant allele, using RT PCR. On average, we found that the levels of *let-7a*, *b*, *d* and *g* were lower in patients with the variant allele compared to patients without the variant allele (Fig. 3C). These findings suggest that the variant allele is associated with lower *let-7*, at least in the NSCLC tumors in this study.

Discussion

We have identified a variant allele in a *let-7* complementary site in the *KRAS* 3'UTR that alters *let-7*-mediated regulation of *KRAS* expression and is associated with a 1.4–2.3 fold increased risk for NSCLC among moderate smokers. This variant allele is found in 18.1–20.3% of lung cancer cases versus in only 5.8% of the world populations. The association of this allele to lung cancer was identified in the NM case-control study and validated in the MA case-control study, making this finding highly significant.

We find that this variant allele at the LCS6 site indeed affects regulation of *KRAS* expression *in vitro*, allowing increased levels of *KRAS*. Interestingly, tumors containing the variant allele had lower *let-7* levels than tumors without the variant allele, and as low *let-7* has been associated with a poor prognosis in NSCLC,^{14, 15, 30} these findings raise the possibility that the subset of NSCLC patients harboring the variant allele may be those with an especially poor prognosis, a hypothesis requiring future studies to validate.

While it is not possible at this time to further define the mechanism of cancer predisposition for patients who harbor this variant allele, the finding that the variant allele appears to allow increased *KRAS* *in vitro* suggests that *KRAS* overexpression might be one plausible mechanism. An additional hypothesis could be that altered *let-7* binding in the *KRAS* 3'UTR could somehow lower cellular levels of *let-7*, perhaps through a feedback loop, and lower *let-7* levels could further result in increased cell growth, as *let-7* is known to repress cell growth pathways.¹⁶ For example, recent evidence indicates that a negative feedback loop involving *lin-28* specifically regulates cellular *let-7* levels.³¹ In the background of the variant allele, a cycle creating lowered *let-7* levels and increased *KRAS* expression could in concert act as the first steps in oncogenesis. These hypotheses need testing in future studies.

Because lung cancer is so deadly when caught at later stages, screening programs have been initiated in current and ex-smokers: The Early Lung Cancer Action Project (ELCAP) found that a chest computed tomography (CT) scan is three times more sensitive in detecting early-stage lung cancer than a chest X-ray in “high-risk” populations (2.4% versus 0.7%).^{32, 33} Yet there remains considerable controversy over the use of lung CT scans as a global screening

approach for lung cancer, because of the expense (estimated cost 2 billion dollars yearly in the US alone) and the very low yield of cancers detected yearly (1.2%).³⁴ One of the primary problems is that although smoking is the number one risk factor for developing lung cancer, only 10% of smokers ever develop lung cancer. With 44.5 million current smokers in the United States (20.9% of the population) and over 1.3 billion smokers worldwide, there is a clear need to identify markers whose genetic variation is associated with lung cancer risk that would help better prioritize who should be screened clinically and be offered chemopreventive agents.

While numerous studies of carcinogen metabolizing and detoxifying genes and DNA repair genes have identified sequence variations associated with risk for lung cancer, a meta-analysis of such polymorphisms in DNA repair pathways concluded that for any increased risk association between a single SNP and lung cancer, the risk fluctuation would likely be minimal, and only panels evaluating a collection of SNPs would ever successfully predict lung cancer risk.³⁵ Supporting this hypothesis, two SNPs associated with lung cancer risk (OR = 1.19–1.8) were recently identified from three large studies examining over 300,000 SNPs. The mechanism of lung cancer predisposition caused by these SNPs is controversial, but is hypothesized to be through effects on a nicotine receptor.^{36,37}

In contrast, in this study, we have identified the first miRNA binding-site SNP that alone can predict a significant increase in NSCLC risk in people with a moderate smoking history. The mechanism may be due to altered regulation of the *KRAS* oncogene and possibly cellular *let-7* levels as well. These findings give insight into a new paradigm, and support the pursuit of 3'UTR sequencing for similar SNPs in all tumor related genes to better understand their role in genetic cancer risk. This strategy can be a complementary, and likely productive, approach to enhance current efforts to define genetic cancer risk.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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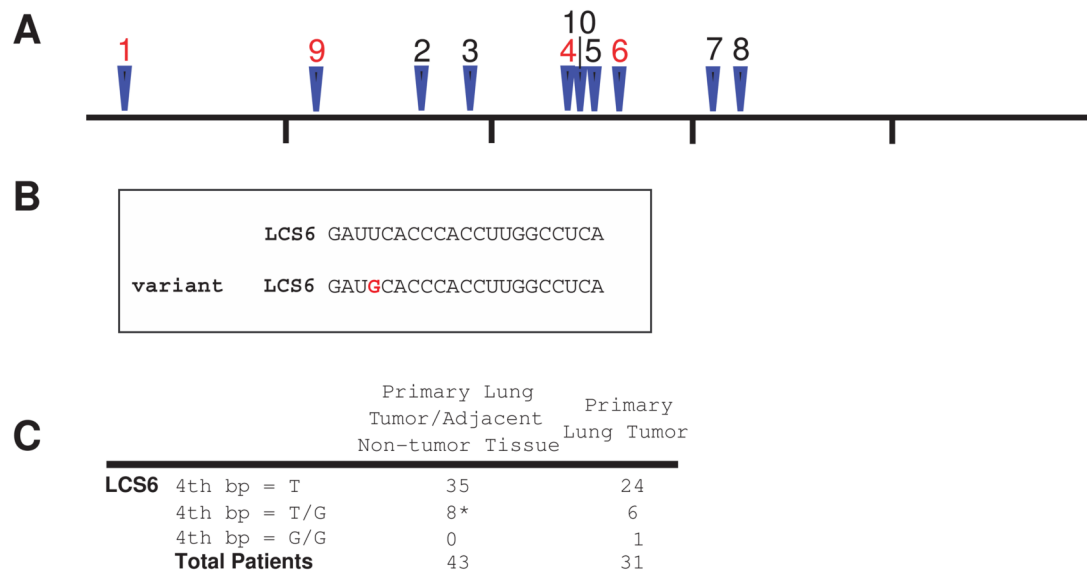


Figure 1. Identification of a SNP in the *KRAS* 3'UTR

(A) Location of the putative LCSs in the *KRAS* 3'UTR. LCS1-LCS8 had been previously identified.¹² LCSs where mutations are found are shown in red. The *KRAS* 3'UTR is 5016 bp, and the markers are positioned every 1000 bp. (B) The sequence of LCS6 with either the reference allele or the variant allele (red). (C) The variant allele in LCS6 was seen in 20.3% of the primary lung tumors and was present in the adjacent tissue when available (marked with an *).

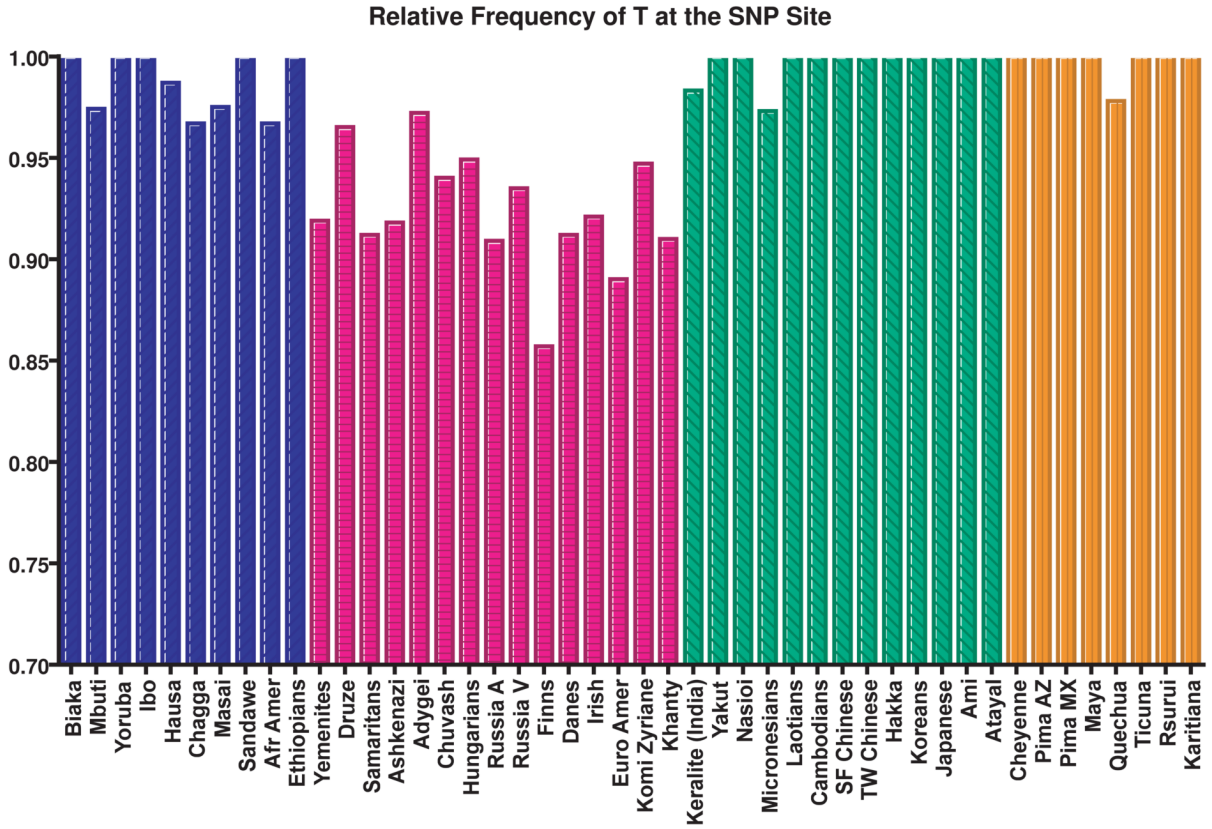


Figure 2. Frequency of the reference allele across the world
 Frequency of the reference allele (T) at the SNP locus was examined in 2433 people. They represent 46 populations from around the world, which were categorized based on geography: Africa (blue), Europe, Southwest Asia and Western Siberia (pink), South central Asia, East Asia and the Pacific (green), and the Americas (orange). The frequency of the reference allele (T) across all chromosomes tested is 97.1% and the frequency of the alternative allele (G) is 2.9%. The frequency of the G allele in African populations is 1.3%, in European populations is 7.6%, in Asian populations is 0.3%, and in Native American populations is 0.3%. The allele frequencies in the individual populations are in ALFRED.

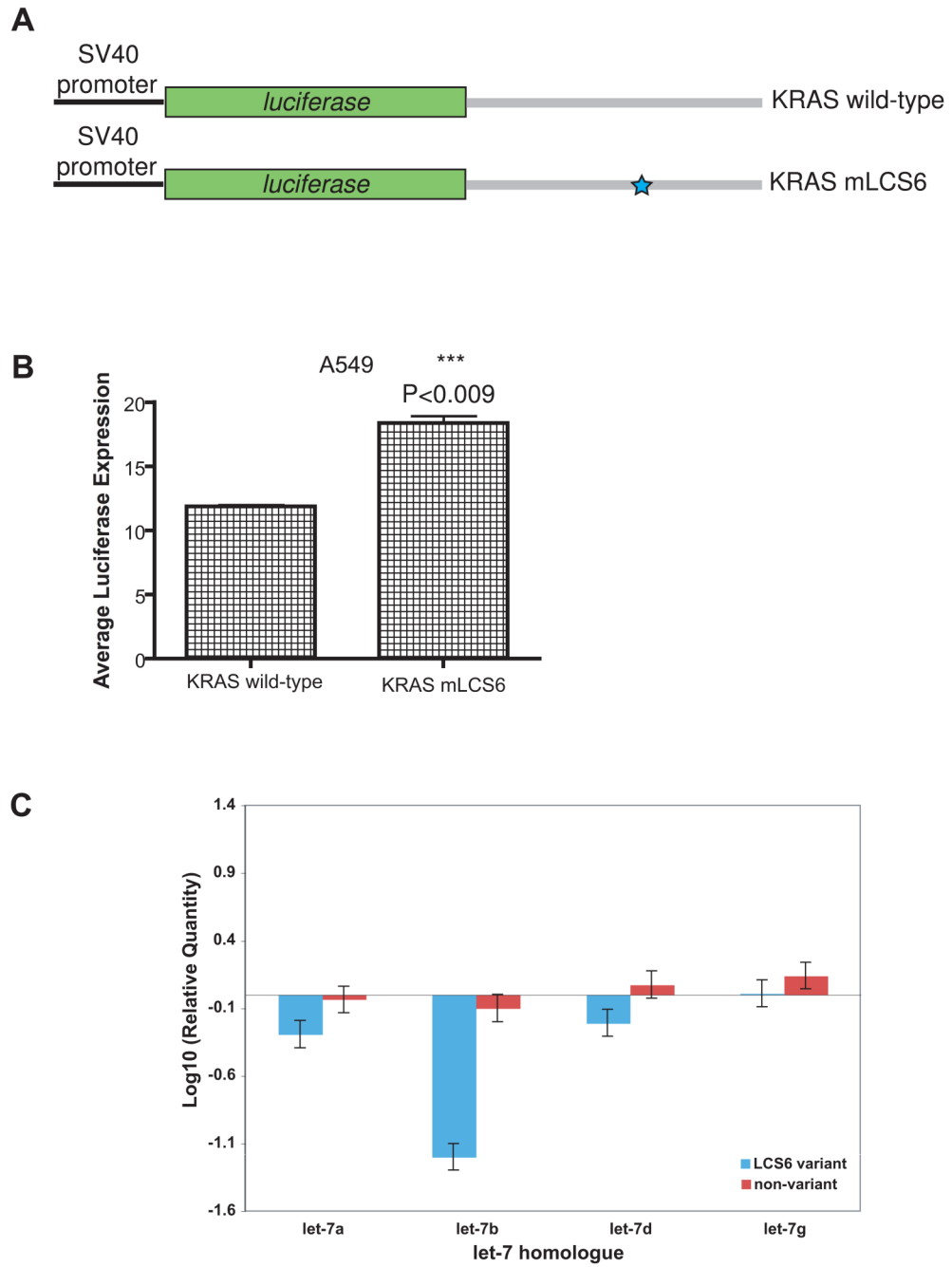


Figure 3. The effect of the variant allele on *KRAS* and *let-7* levels

(A) *Luciferase* reporter constructs containing the *KRAS* 3'UTR. Grey boxes signify the 3'UTR used in the reporter constructs. A blue star represents the variant allele in LCS6. (B) Representative graph of luciferase activity of *KRAS* wild-type and *KRAS* mLCS6 (P<0.009) in A549 cells. Triplicate repeats were conducted showing similar results. (C) *let-7a, b, d* and *g* levels in eight tumors with and eight without the variant allele. Samples were normalized to two non-cancerous patients, whose *let-7* levels were similar. Error bars represent variation between PCR reactions for each sample.

Table 1

Table 1A. Association between KRAS variant allele and non-small cell lung cancer in the New Mexico case-control study					
Group	Genotype	Crude OR	p	Adjusted OR ^a	p
Overall (218 cases vs. 325 controls)					
	TT	1.0			
	TG+GG	1.4 (0.9–2.3)	0.13	1.5 (0.9–2.4)	0.15
Pack-years ^b <41 (75 cases vs. 195 controls)					
	TT	1.0			
	TG+GG	2.3 (1.1–4.4)	0.02	2.3 (1.1–4.6)	0.02
Pack-years ! 41 (143 cases vs. 130 controls)					
	TT	1.0			
	TG+GG	1.0 (0.5–1.9)	0.99	0.9 (0.5–1.8)	0.86

Table 1B. Association between KRAS variant allele and non-small cell lung cancer in the Boston case-control study					
Group	Genotype	Crude OR	p	Adjusted OR ^c	p
Overall (2205 cases vs. 1497 controls)					
	TT	1.0			
	TG+GG	1.11 (0.93–1.32)	0.23	1.17 (0.97–1.44)	0.15
Pack-years ^d <40 (956 cases vs. 1214 controls)					
	TT	1.0			
	TG+GG	1.28 (1.03–1.60)	0.03	1.36 (1.07–1.73)	0.01
Pack-years ! 40 (1249 cases vs. 283 controls)					
	TT	1.0			
	TG+GG	0.85 (0.61–1.29)	0.34	0.89 (0.63–1.25)	0.49

^a Age, race, gender and smoking status were adjusted in an unconditional logistic regression model.

^b 41 pack-years is the median of 543 smokers.

^c Age, gender, smoking status, pack-years of smoking and years since smoking cessation (if ex-smoker) were adjusted in an unconditional logistic regression model.

^d 40 pack-years is the median of 3702 smokers.