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## APOE $\epsilon$ 4 allele predicts faster cognitive decline in mild Alzheimer's disease

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### Abstract

**Objective**—To determine whether APOE  $\epsilon$ 4 predicts rate of cognitive change in incident and prevalent AD.

**Methods**—Individuals were recruited from two longitudinal cohort studies - the Washington Heights and Inwood Columbia Aging Project (WHICAP; population-based) and the Predictors Study (clinic-based), and were followed for an average of four years. Three samples of participants diagnosed with Alzheimer's disease, with diverse demographic characteristics and baseline cognitive functioning were studied: 1) 199 (48%) of the incident WHICAP cases; 2) 215 (54%) of the prevalent WHICAP cases; and 3) 156 (71%) of the individuals diagnosed with AD in the Predictors Study. Generalized estimating equations (GEE) were used to test whether rate of cognitive change, measured using a composite cognitive score in WHICAP and the Mini-Mental Status Exam in Predictors, varied as a function of  $\epsilon$ 4 status in each sample.

**Results**—The presence of at least one  $\epsilon$ 4 allele was associated with faster cognitive decline in the incident population-based AD group ( $p = .01$ ). Parallel results were produced for the two prevalent dementia samples only when adjusting for disease severity or excluding the most impaired participants from the analysis.

**Conclusion**—APOE  $\epsilon$ 4 may influence rate of cognitive decline most significantly in the earliest stages of AD.

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## INTRODUCTION

The rate of cognitive deterioration during the course of Alzheimer's disease (AD) varies markedly across individuals (1,2) and is likely driven by a combination of genetic and environmental factors. Given its role in disease onset (3), the apolipoprotein E (APOE)  $\epsilon 4$  allele may contribute to differential rates of cognitive decline in AD; however, this hypothesis has been explored for over ten years with no resolution.  $\epsilon 4$  carriers have been found to demonstrate both slower (4–6) and faster rates of decline (7–13), potentially reflecting methodological differences in recruitment techniques, the measurement of cognition, follow-up time, and participants' stage of dementia. A subset of studies has also demonstrated non-differential rates of decline in relation to  $\epsilon 4$  status (14–24); however, most of these studies had relatively small sample sizes which may have limited their ability to detect differential change over time.

The current study was undertaken to comprehensively evaluate the effect of  $\epsilon 4$  on rate of cognitive decline in three separate samples of participants with AD: incident cases from a population-based study, prevalent cases from a population-based study, and prevalent cases recruited from the clinic. Few if any prior studies have examined incident cases in which rates of cognitive decline can be observed from the earliest stages of dementia. More typically, analyses have included cases with prevalent disease frequently drawn from clinic samples, a recruitment approach that may lead to biased sampling. The simultaneous study of these three samples, which represent both incident and prevalent disease, as well as diverse demographic characteristics, recruitment techniques, and assessment procedures, allowed for one of the most comprehensive studies to date of the role of  $\epsilon 4$  in cognitive decline following diagnosis of AD. Although analyses of the population based incident sample likely have the best internal and external validity, in order to understand the discrepancies in prior studies, it is valuable to repeat the analyses across an array of samples reflecting methodological differences in prior work.

## MATERIALS AND METHODS

### Participants

Three samples of participants with AD (population-based incident, population-based prevalent, and clinic-based) were drawn from two different research studies as follows:

**1. Population-Based Study (WHICAP)**—The first two samples of AD participants were incident and prevalent samples drawn from the Washington Heights and Inwood Columbia Aging Project (WHICAP), a prospective, population-based study of aging and dementia in 4,309 Medicare recipients, 65 years and older, residing in northern Manhattan (Washington Heights, Hamilton Heights, Inwood) that has been described in detail in earlier work (25,26). Briefly, a stratified random sample of 50% of all persons older than 65 years was obtained from the Health Care Finance Administration (HCFA). All persons were sent a letter from HCFA explaining that they had been selected to participate in a study of aging by investigators at Columbia University. Each participant underwent an in-person interview of general health and functional ability at the time of study entry followed by a standardized assessment, including medical history, physical and neurological examination and a neuropsychological battery. Ethnic group was classified by participant's self-report using the format of the 1990 US Census. Participants were asked if they considered themselves white, black or other, and then asked if they were Hispanic. Participants were recruited at two time points (1992–1994 and 1999–2002). They have been followed at approximately 18-month intervals with similar assessments at each interval. Evaluations were conducted in either English or Spanish, based on the primary language or preference of the participant. Recruitment, informed consent and study procedures were approved by the Institutional Review Boards of Columbia Presbyterian

Medical Center and Columbia University Health Sciences and the New York State Psychiatric Institute.

Consensus diagnoses of “dementia” or “no dementia” were based on physician-administered physical and neurological examinations in conjunction with a standardized neuropsychological battery (27) according to criteria outlined in the Diagnostic and Statistical Manual of Mental Disorders, Revised Third Edition (DSM-III-R) and determined at a consensus conference attended by neurologists and neuropsychologists. Evidence of social or occupational function deficits was required and results from the neuropsychological battery were considered. All available ancillary information, including medical charts and imaging studies, was considered in the evaluations. Medical diagnoses were assigned when applicable. The type of dementia was subsequently determined. Diagnosis of probable or possible AD was made based on criteria of the National Institute of Neurological and Communicative Disorders and Stroke – Alzheimer’s Disease and Related Disorders Association (28). The study was reviewed and approved by the Columbia University institutional review board, and written informed consent was obtained from all subjects.

The first sample was comprised of 199 (48%) of the 411 incident AD cases in WHICAP. All cases were mild at diagnosis defined by a score of 1 on the Clinical Dementia Rating (CDR) scale (29). 56 (14%) cases were excluded due to unavailable APOE genotype. 156 (38%) additional cases were ineligible due to lack of follow-up cognitive data; many of these were diagnosed relatively recently and had not yet been re-evaluated despite ongoing participation in the study. The 212 incident cases from WHICAP excluded from the current study did not differ significantly from the final incident sample in terms of sex ( $p = .08$ ) or cognitive score at diagnosis ( $p = .09$ ). However, excluded participants were approximately three years older at diagnosis ( $M = 84.60$ ;  $SD = 6.42$ ) than the final sample ( $M = 81.97$ ;  $SD = 6.50$ ),  $F(1, 409) = 16.84$ ,  $p < .01$ , and slightly more educated ( $M = 8.02$ ;  $SD = 4.79$ ) than the final sample ( $M = 6.30$ ;  $SD = 4.50$ ),  $F(1, 407) = 13.56$ ,  $p < .01$ . The final sample of 199 individuals was followed for an average of 2.9 (1.3) visits (beginning with the incident visit). Approximately 20% of participants who did not die were lost to follow up after each of the first five visits. The proportion of individuals lost to follow up increased once individuals participated at least five times.

The second sample was comprised of 215 (54%) of the 397 prevalent AD cases WHICAP who met criteria for mild AD (CDR of 1) at baseline. 120 (30%) participants were excluded due to unavailable APOE genotyping, and an additional 55 (14%) were excluded due to lack of follow-up data. The 182 prevalent cases of mild AD from WHICAP excluded from the current study did not differ significantly from the final prevalent sample in terms of sex ( $p = .09$ ) or baseline cognitive score ( $p = .47$ ). However, excluded participants were approximately four years older at baseline ( $M = 84.13$ ;  $SD = 7.82$ ) than the final sample, ( $M = 80.70$ ;  $SD = 7.10$ ),  $F(1, 395) = 21.43$ ,  $p < .01$ , and slightly more educated ( $M = 7.14$ ;  $SD = 4.30$ ) than the final sample ( $M = 5.90$ ;  $SD = 4.20$ ),  $F(1, 395) = 8.26$ ,  $p < .01$ . The final sample of 215 individuals was followed for an average of 3.6 (1.6) visits. Approximately 12% of participants who did not die were lost to follow up after each of the first six visits. The proportion of individuals lost to follow up increased once individuals participated at least six times.

**2. Clinic-Based Study (Predictors II)**—The final sample included individuals with AD drawn from the second cohort of the Predictors Study. Recruitment for this cohort began in 1997 using methods established for the first Predictors cohort (described in detail in earlier work (30)). Briefly, participants in the Predictors study were recruited and studied at 3 US sites: Columbia University, New York, Johns Hopkins University, Baltimore, and Massachusetts General Hospital/Harvard Medical School, Boston. All participants met DSM-III-R criteria for primary degenerative dementia of the Alzheimer type and National Institute

of Neurological Disorders and Stroke-Alzheimer's Disease and Related Disorders Association criteria for probable AD at enrollment. Enrollment required a modified Mini-Mental State Examination score of  $\geq 30$  (maximum = 57) which is equivalent to a score of approximately 16 or more on the Folstein Mini-Mental State Exam (MMSE) (31,32). Exclusion criteria were diagnosis of Parkinson's disease or parkinsonism at any time prior to the onset of intellectual decline, clinical or historical evidence of stroke, history of alcohol abuse or dependence, any electroconvulsive treatment (ECT) within 2 years of recruitment or 10 or more ECT sessions at any time, and history or current clinical evidence of schizophrenia or schizoaffective disorder that started before the onset of intellectual decline.

Each consecutive patient who met the criteria of the study was included, except for those who did not consent to participate or who lived too far away and were unable to return to the study site for regular follow-up. Neurologic and mental status examinations were conducted at study entry and at 6-month intervals thereafter. The cognitive function measure used for the analysis was the MMSE. Onset of illness was estimated by the treating neurologist based on discussion with the family. The study was reviewed and approved by the participating institutions' review boards, and written informed consent was obtained from all subjects.

The current study included 156 (71%) of the 221 individuals diagnosed with AD in the second cohort of the Predictors Study. 62 (28%) participants from the original sample were excluded due to unavailable genotyping and 3 (1%) subjects were excluded due to lack of follow-up cognitive data. The 65 cases excluded from the current study did not differ significantly from the final sample in terms of years of education ( $p = .09$ ), sex ( $p = .11$ ), or baseline cognition ( $p = .39$ ). However, excluded participants were approximately three years older at baseline ( $M = 78.57$ ;  $SD = 8.33$ ) than the final sample ( $M = 75.30$ ;  $SD = 7.70$ ),  $F(1, 219) = 7.72$ ,  $p < .01$ . The final sample of 156 individuals was followed for an average of 3.6 (1.6) visits. Approximately 3% of participants who did not die were lost to follow up after each of the first seven visits. The proportion of individuals lost to follow up increased once individuals participated at least seven times.

## Predictors

Presence of at least one  $\epsilon 4$  allele was the primary predictor tested. The pattern of each subject's APOE isoforms was determined using the method of Hixson and Vernier (33). Standard demographic variables (age at diagnosis in the incident sample and age at first visit for the other cohorts, sex, ethnicity, and years of education) were included as covariates in statistical models. We chose to use age at the first visit in prevalent cases because these cases were selected early in the disease course ( $CDR = 1$ ) and age of first visit was more clearly defined than an estimate of time of disease onset.

## Outcome Measures

The primary outcome in this analysis was rate of cognitive decline from baseline. Baseline is defined as the diagnostic visit for the incident cases, and the point of study entry for the other two samples. For the clinic-based sample, cognition was measured with the MMSE. For the two population-based samples, a composite cognitive z-score was developed to summarize performance on a variety of tests assessing five cognitive domains (27). These included the following: 1) Memory: total and delayed recall of the Selective Reminding Test, and the recognition component of the Benton Visual Retention Test; 2) Abstract Reasoning: WAIS-R Similarities subtest, and the Identities and Oddities subtest of the Dementia Rating Scale; 3) Visual-Spatial: five selected items from the Rosen drawing test and the matching component of the Benton Visual Retention Test; 4) Language: 15-item Boston Naming Test, the eight high probability items from the Repetition subtest of the Boston Diagnostic Aphasia Examination (BDAE), and the first six items of the BDAE Comprehension subtest; and 5) Executive-Speed:

average scores for phonemic fluency assessed by the Controlled Oral Word Association Test, and category fluency (Animals, Food, Clothing).

The composite cognitive measure was derived as follows: each of the above 12 raw scores were transformed into z-scores using means and standard deviations of scores from 272 non-demented controls in WHICAP with a similar distribution of age, education, and ethnicity to the AD patients. Z-scores for individual tests were then averaged to create a z-score for each cognitive domain. If more than half of the tests in a given domain were missing, the domain score was considered missing and was excluded from the analysis. The composite score was derived by averaging the five domain scores, with missing data treated in the manner described above.

### Statistical analyses

One-way analyses of variance (ANOVA) and chi square tests were used to examine between-group differences across the population-based samples, as well as within-group differences across APOE genotype in each sample. Generalized estimating equations (GEE) (34) were used to test whether APOE  $\epsilon 4$  was associated with a differential rate of cognitive change. GEE takes into account the multiple visits per subject and the fact that the characteristics of the same individual over time are likely to be correlated. The repeated measures for each subject are treated as a cluster. GEE models in each sample examined the extent to which time (years from diagnosis in the incident sample and years from baseline in the prevalent cases),  $\epsilon 4$  status (present or absent), and the time  $\times$   $\epsilon 4$  interaction predicted global cognition. A significant time effect would indicate that global cognition changed significantly over time in non-carriers (the reference group). A significant  $\epsilon 4$  effect would indicate that cognitive performance at baseline (diagnosis for the incident sample and study entry for the remaining two samples) varied as a function of  $\epsilon 4$  status. Finally, a significant interaction term would indicate that rate of cognitive decline varied as a function of  $\epsilon 4$  status. To evaluate the contribution of other variables potentially related to cognitive change, GEE models also included demographic variables such as age at diagnostic visit, sex, years of education, and ethnicity as covariates. Supplementary analyses were conducted to examine the effect of baseline disease severity on  $\epsilon 4$ -related decline, and to investigate potential differences in  $\epsilon 4$ -related decline across demographically stratified groups.

## RESULTS

### Descriptive Statistics

Table 1 outlines follow-up, demographic, and clinical data in each of the three samples.

### GEE: Main Effects

Table 2 details the predictive value of time,  $\epsilon 4$  status, and the time  $\times$   $\epsilon 4$  interaction in each of the three samples using several models. As expected, there was a significant time effect in all models, indicating that cognitive scores declined over time in the non-carriers. There was no main effect of the  $\epsilon 4$  allele on baseline performance in any model.

### GEE: Rate of Change by APOE genotype

**Incident Sample**—Demographically adjusted models reveal that cognitive scores in  $\epsilon 4$  carriers declined more quickly than non-carriers by an additional 6% of a z-score per year ( $p = .01$ ). See Figure 1.

**Prevalent Sample**—Rate of cognitive change did not differ significantly as a function of  $\epsilon 4$  status in this sample. We thus hypothesized that the relationship between  $\epsilon 4$  and rate of



cognitive decline may attenuate after greater disease duration or severity of illness. When we restricted analyses to subjects with cognitive scores above the 50<sup>th</sup> percentile at baseline, there was a significant interaction between time and  $\epsilon 4$  comparable to that seen in the incident sample. In contrast, when analyses were restricted to subjects with cognitive scores below the 50<sup>th</sup> percentile at baseline,  $\epsilon 4$  was unrelated to rate of decline in the prevalent samples. (See Table 2).

**Clinic Sample**—Results for all GEE models were comparable to those reported for the prevalent population-based sample such that rate of cognitive change did not differ significantly as a function of  $\epsilon 4$  status until analyses were restricted to subjects with cognitive scores above the 50<sup>th</sup> percentile at baseline. However, in this sample, we were also able to adjust the primary GEE model for duration of illness ( $\epsilon 4$  carriers:  $M (SD) = 4.25 (2.21)$  years; non-carriers:  $M (SD) = 3.84 (2.12)$  years,  $p = .25$ ) which revealed that  $\epsilon 4$  carriers declined an additional 1.4 points on the MMSE than non-carriers per year ( $p < .01$ ). This statistical correction was possible only in the clinic-based sample in which the treating neurologist estimated the onset of illness based on discussion with the family.

**Stratified Models**—Demographically stratified analyses were conducted in the incident sample to further explore the effect of  $\epsilon 4$  on cognitive decline (Table 3). Analyses were restricted to the incident sample in which there was a clear effect of  $\epsilon 4$  on rate of decline in primary analyses. The  $\epsilon 4$  allele was associated with faster rates of cognitive decline in women, Hispanics, Caucasians, and more highly educated participants. However, follow-up GEE analyses examining the interaction between these demographic variables,  $\epsilon 4$  status and time, provided strong support only for faster decline in Caucasian  $\epsilon 4$  carriers ( $\beta = -.46, p < .01$ ) and marginal support for faster decline in more highly educated  $\epsilon 4$  carriers ( $\beta = -.12, p = .05$ ).

## DISCUSSION

The factors which determine rate of progression in Alzheimer's disease remain incompletely understood. It has been proposed that carriers of the  $\epsilon 4$  allele may demonstrate accelerated cognitive decline (3) due to the allele's cumulative impact on beta amyloid and neurofibrillary tangle biochemical pathways (20,35,36). However, studies directly examining decline as a function of APOE genotype have produced markedly inconsistent findings (4–6,8–10,12,14, 17–21). In the current study, we had a unique opportunity to examine the influence of APOE- $\epsilon 4$  on cognitive decline in both population- and clinic-based samples of participants with AD.

The population-based samples were primarily Hispanic and African American, and were on average older and less educated than the predominantly Caucasian participants in the clinic-based sample. There was also a lower proportion of  $\epsilon 4$  carriers in the population-based samples than in the clinic sample, consistent with the older age of the population samples (37), and the fact that participants in the population samples were primarily Hispanic and African American, ethnic groups in which there may be a weaker association between  $\epsilon 4$  and AD onset leading to an under-representation of the  $\epsilon 4$  allele in demented participants (38). Overall, however, rates of  $\epsilon 4$  in all samples were comparable to other studies of AD and higher than rates in the general population (38).

The influence of  $\epsilon 4$  on rate of cognitive decline varied across samples. In the incident sample, we were able to examine rate of change from the earliest stages of clinically observable AD. In this sample, the presence of the  $\epsilon 4$  allele was associated with more rapid cognitive decline, even after adjustment for potential demographic contributors to disease course, suggesting a robust relationship between the  $\epsilon 4$  allele and faster cognitive decline in incident AD. In contrast,  $\epsilon 4$  was not associated with rate of change in either of the prevalent AD samples (population or clinic-based). As a possible explanation for this inconsistency, we hypothesized that more

advanced illness among prevalent cases may have limited our ability to detect  $\epsilon 4$ -associated decline. In fact, when we accounted for disease severity by adjusting for disease duration or by restricting analyses to those with better cognitive function at baseline we were able to demonstrate faster decline in  $\epsilon 4$  carriers in both prevalent samples.

Our interpretation of the overall pattern of results is that  $\epsilon 4$  influences cognitive decline most clearly in the earliest stages of disease and less so, or not at all, in the moderate to severe stages. There may be several explanations for this. First, to the extent that our cognitive batteries are less sensitive to change as the disease progresses, we may be unable to detect a differential role for  $\epsilon 4$  on rate of change in the later stages. It is possible that a different cognitive assessment tool or a functional measure would better capture differential rates of decline further into the disease. A second possibility is that the influence of the  $\epsilon 4$  allele may be time limited, until some neurobiological threshold is crossed and after which medical, social, or disease related variables other than  $\epsilon 4$  become more prominent in determining rate of decline.

Investigations documenting faster rates of decline in  $\epsilon 4$  carriers (8–10,12,13) included two of the largest studies to date. Convincingly, a recent study detected faster rates of decline using both a linear and non-linear mixed-effect statistical model (8). Faster rates of cognitive decline in  $\epsilon 4$  carriers is consistent with the well established role of APOE  $\epsilon 4$  as a risk factor for developing AD (35,39), and parallels findings from imaging studies suggesting accelerated rates of neurodegenerative change in  $\epsilon 4$  carriers (40,41).

Three studies, including an early study from our group, found *slower* decline among  $\epsilon 4$  carriers than non-carriers. However, the earliest study retrospectively estimated baseline MMSE score based on age and education predicted values (4), and is thus less compelling than the remaining studies which used growth curve analysis (GCA) to determine rate of progression (5,6). The GCA study from our group likely suffered from selective attrition as APOE genotyping was done 6 years after the study began. It is thus possible that  $\epsilon 4$  carriers progressed more quickly in the early years of the study, and were more likely to have been lost to follow up by the time  $\epsilon 4$  data was collected. Additionally, as genotyping was completed on 42% of participants in the first cohort compared with 71% in the second (current) cohort, the current study better represents the population of  $\epsilon 4$  carriers. In fact, re-examination of the Predictors I data with additional follow-up data using the GEE model described in the current paper found no relationship between  $\epsilon 4$  status and rate of decline in that cohort (data not shown).

In the current study, stratified analyses revealed that  $\epsilon 4$  influenced rate of change differentially across a number of demographic subgroups. Specifically,  $\epsilon 4$  appears to influence rate of decline primarily in Caucasians rather than Hispanics and African Americans. This pattern of results is largely consistent with the literature examining the risk for developing AD (38) although there is some evidence for  $\epsilon 4$  as a factor in Hispanic (42,43) and African American populations as well (44).

APOE status may also interact with educational level; results offered marginal support for the  $\epsilon 4$  allele predicting faster decline in those with higher education (greater than six years) only. The relevance of this finding is unclear, and further work is needed to more thoroughly investigate this potential interaction. One possibility is that  $\epsilon 4$  exacerbates the increased rate of decline previously observed in individuals with higher education (25).

Although initial stratified analyses revealed a differential effect of  $\epsilon 4$  by sex, follow-up GEE analyses did not support this finding. A sex-specific role of  $\epsilon 4$  has been noted in previous studies reporting increased  $\epsilon 4$ -related entorhinal atrophy in early AD most prominently in women (45), and increased risk for age-related cognitive decline in women carrying the  $\epsilon 4$  allele (46). Studies examining the development of late-onset familial AD have also revealed a sex-associated role of APOE genotype with the  $\epsilon 4$  allele conferring greater risk in women

(47). In contrast, a recent study linked  $\epsilon 4$  to mortality rate in men only (48); however, the processes contributing to disease development and rate of decline early in the disease course may differ from those contributing to mortality at a later stage. Future work is needed to directly examine this potential discrepancy.

The current study was limited by the fact that  $\epsilon 4$  genotyping was not available for 100% of individuals, introducing the possibility that participants do not accurately represent the distribution of  $\epsilon 4$  in the population. Individuals excluded from the study were largely similar to the analysis sample with regard to basic demographic and clinical variables, except that excluded individuals were generally older and more highly educated than participants in each of the final samples. A third limitation to the current study may be the use of a linear rather than non-linear approach to model cognitive decline, a technique that may have restricted our ability to observe differential rates of decline in the more impaired participants.

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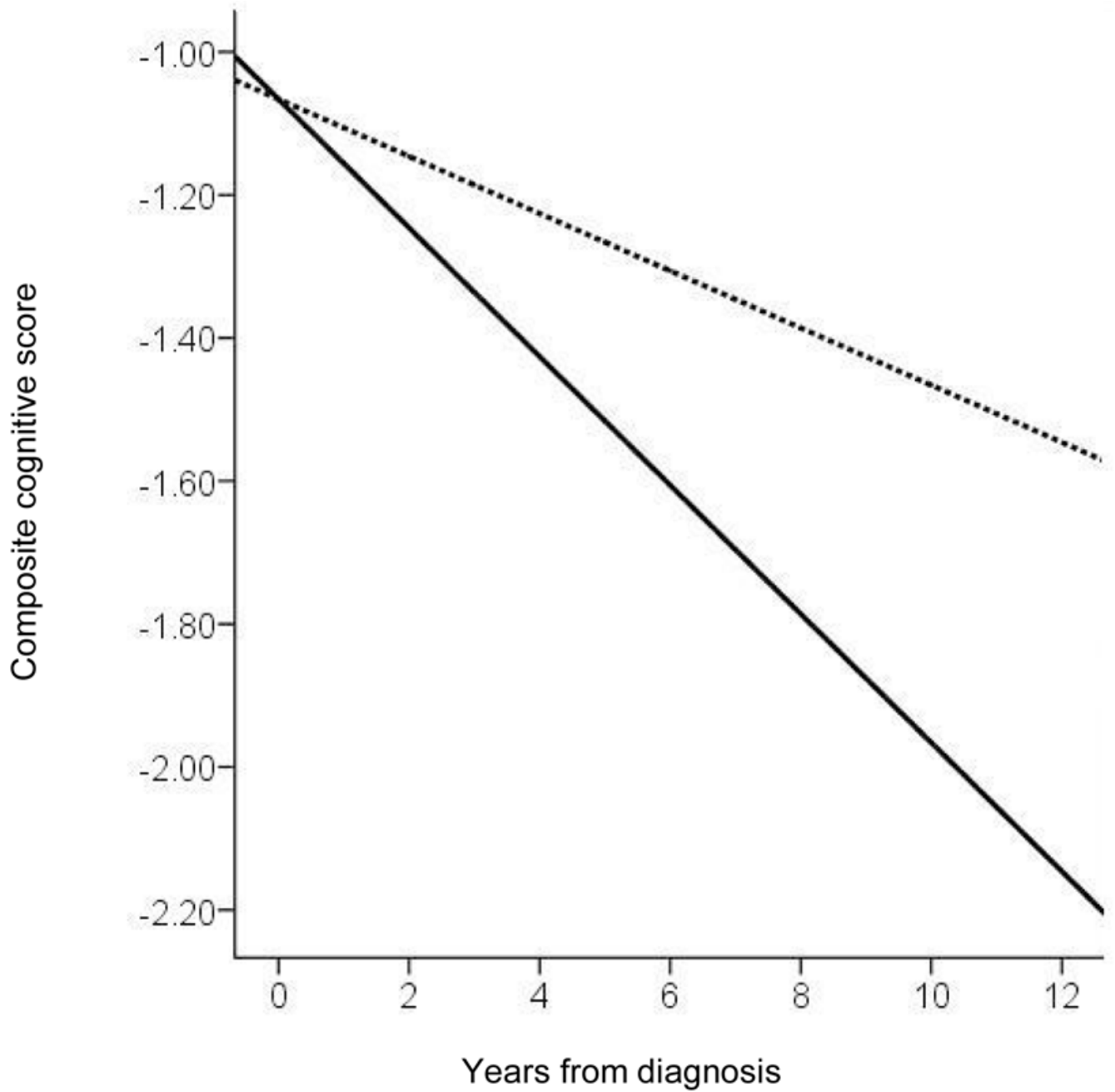
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**Figure 1. Predicted cognitive decline by  $\epsilon 4$  status in Incident AD**  
Dotted line = non-carriers  
Solid line =  $\epsilon 4$  carriers

**Table 1**  
Demographic and clinical data by  $\epsilon 4$  status in population and clinic AD samples

	WHICAP: Population Incident			WHICAP: Population Prevalent			Predictors II: Clinic Based		
	$\epsilon 4$	$-\epsilon 4$	All	$\epsilon 4$	$-\epsilon 4$	All	$\epsilon 4$	$-\epsilon 4$	All
<b>Years Followed</b>	3.9 (2.8)	3.9 (2.4)	3.9 (2.5)*	4.4 (2.9)	5.2 (3.3)	5.0 (3.1)*	3.2 (1.7)	3.1 (1.5)	3.2 (1.6)
<b>Visits</b>	2.9 (1.3)	2.9 (1.2)	2.9 (1.3)*	3.4 (1.6)	3.8 (1.7)	3.6 (1.6)*	7.2 (2.8)	6.8 (2.5)	7.1 (2.6)
<b>Baseline Cognition</b>	-1.1 (.5)	-1.1 (.5)	-1.0 (.5)*	-1.3 (.5)	-1.3 (.6)	-1.3 (.6)*	21.9 (3.6)	22.7 (3.5)	22.2 (3.6)
<b>% original sample with genotyping</b>	NA	NA	86*	NA	NA	70*	NA	NA	71
<b>% <math>\epsilon 4</math></b>	NA	NA	33	NA	NA	36	NA	NA	56
<b>% <math>\epsilon 4</math> homozygous</b>	4	NA	3	5	NA	5	11	NA	11
<b>Baseline Age</b>	81.6 (6.2)	82.1 (6.7)	82.0 (6.5)	81.3 (7.1)	80.3 (7.1)	80.7 (7.1)	73.7 (7.5) <sup>^</sup>	77.4 (7.6) <sup>^</sup>	75.3 (7.7)
<b>Education (Years)</b>	6.4 (4.9)	6.3 (4.3)	6.3 (4.5)	5.9 (4.2)	5.9 (4.3)	5.9 (4.2)	15.0 (3.0)	14.3 (3.5)	14.7 (3.3)
<b>% Women</b>	79	71	73	79	74	76	55	61	58
<b>% Caucasian</b>	5	9	8	7	12	10	91	97	94
<b>% Hispanic</b>	59	62	61	61	62	62	0	0	0
<b>% AA</b>	36	28	31	33	26	28	8	1	5
<b>% HTN</b>	62	66	65	73	63	67	32	37	34
<b>% Diabetes</b>	27	23	24	18	24	21	8	8	8
<b>% Stroke</b>	23	13	17	18	19	19	NA	NA	NA

Note. Mean (SD) are reported for continuous data. Years followed and number of visits were calculated from the first study visit in prevalent cases and the diagnostic visit in incident cases. Baseline = First visit for prevalent cases and diagnostic visit for incident cases. Baseline cognition is Mini Mental State Examination in the clinic sample and composite cognitive score in WHICAP. AA = African American; HTN = Hypertension. History of vascular risk factors was assessed at baseline. NA = Not applicable.

\* Significant across Prevalent and Incident Cases ( $p < .05$ ).

<sup>^</sup> Significant within sample differences across  $\epsilon 4$  group.



**Table 2**  
GEE Models examining the predictive value of  $\epsilon 4$  for rate of global cognitive decline in three AD samples

	WHICAP: Population Incident		WHICAP: Population Prevalent		Predictors II: Clinic Based	
	Beta	p	Beta	p	Beta	p
<b>Demographic Adjusted</b>						
Time	-.05	<.01	-.04	<.01	-1.4	<.01
$\epsilon 4$	.11	.16	<.01	.96	-.61	.38
$\epsilon 4 \times$ Time	-.06	.01	-.02	.39	-.58	.12
<b>High Baseline Cognition</b>						
Time	-.08	.00	-.05	.00	-1.1	.00
$\epsilon 4$	.07	.42	.00	.98	-.05	.94
$\epsilon 4 \times$ Time	-.07	.02	-.06	.04	-1.0	.03
<b>Low Baseline Cognition</b>						
Time	-.02	.33	-.03	.04	-2.2	.00
$\epsilon 4$	.12	.16	-.02	.85	.28	.71
$\epsilon 4 \times$ Time	-.06	.04	.00	.95	.38	.47

Note. All adjusted model includes age at diagnosis (baseline age for prevalent cases), sex, and education. Models in the population samples are also adjusted for African American and Hispanic ethnicities. Beta values are not directly comparable across the clinic and population studies as the dependent variable differs across studies. Baseline = First visit for prevalent cases and diagnostic visit for incident cases High Baseline Cognition Score = Analyses restricted to individuals performing in the top 50<sup>th</sup> percentile for composite cognitive score at baseline. Low Baseline Cognition Score = Analyses restricted to individuals performing in the bottom 50<sup>th</sup> percentile for composite cognitive score at baseline. NA = Analysis not applicable.

Unavailable = Data not collected.

**Table 3**Stratified GEE Models examining the influence of  $\epsilon_4$  for rate of decline in the Incident sample

Stratification Sample	<i>n</i>	Beta	<i>p</i>
Young (< 82)	101	-.05	.08
Old (>= 82)	98	-.07	.05
Women	146	-.07	<.01
Men	53	-.01	.87
Hispanic	121	-.07	.01
African American	61	-.02	.68
Caucasian	15	-.52	<.01
Low Education (<= 6)	105	-.04	.14
High Education (> 6)	93	-.11	<.01

Note. Beta values represent the rate of change over time as a function of  $\epsilon_4$  status. Age and education groups were based on a median split. Models within a demographic category included the remaining demographic variables as covariates.