Serum cystatin C and the risk of Alzheimer disease in elderly men

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ABSTRACT

Background: Multiple lines of research suggest that increased cystatin C activity in the brain protects against the development of Alzheimer disease (AD).

Methods: Serum cystatin C levels were analyzed at two examinations of the Uppsala Longitudinal Study of Adult Men, a longitudinal, community-based study of elderly men (age 70 years, n = 1,153 and age 77 years, n = 761, a subset of the age 70 examination). Cox regressions were used to examine associations between serum cystatin C and incident AD. AD cases were identified by cognitive screening and comprehensive medical chart review in all subjects.

Results: On follow-up (median 11.3 years), 82 subjects developed AD. At age 70 years, lower cystatin C was associated with higher risk of AD independently of age, *APOE4* genotype, glomerular filtration rate, diabetes, hypertension, stroke, cholesterol, body mass index, smoking, education level, and plasma amyloid- β protein 40 and 42 levels (hazard ratio [HR] for lowest [<1.12 μ mol/L] vs highest [>1.30 μ mol/L] tertile = 2.67, 95% CI 1.22–5.83, p < 0.02). The results were similar at age 77 years (43 participants developed AD during follow-up). Furthermore, a 0.1- μ mol/L decrease of cystatin C between ages 70 and 77 years was associated with a 29% higher risk of incident AD (HR 1.29, 95% CI 1.03–1.63, p < 0.03).

Conclusions: Low levels of serum cystatin C precede clinically manifest Alzheimer disease (AD) in elderly men free of dementia at baseline and may be a marker of future risk of AD. These findings strengthen the evidence for a role for cystatin C in the development of clinical AD. **Neurology® 2008;71:1072-1079**

GLOSSARY

 $A\beta 40$ = amyloid- β protein 40; $A\beta 42$ = amyloid- β protein 42; AD = Alzheimer disease; BMI = body mass index; GFR = glomerular filtration rate; GFR = hazard ratio; GFR = longitudinal Study of Adult Men.

Cystatin C is an endogenous cysteine inhibitor, produced by nearly all human cells and available in all body fluids. During the past decade, experimental, 2-7 genetic, 8-10 and clinical data 6,11,12 have suggested that cystatin C activity in the brain may protect against the development of Alzheimer disease (AD) by inhibition of A β aggregation, one of the pathologic hallmarks of AD. 13 Recently, it was shown that in a transgenic mouse model, cystatin C binds to soluble A β , preventing its deposition in the brain. 9,10

Given prior studies supporting a neuroprotective role for cystatin C in the development of AD, we hypothesized that serum levels of cystatin C are related to the activity of cystatin C in the brain and consequently would be associated with the risk of incident AD. Accordingly, we

Supplemental data at www.neurology.org

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1072

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investigated the association between serum cystatin C and risk of incident AD in a large population-based cohort of 70-year-old men. Furthermore, we investigated the association between serum cystatin C and incident AD in a subset of the cohort when the subjects were aged 77 years and whether a change in serum cystatin C levels between ages 70 and 77 years was associated with the future development of AD.

METHODS Study population. The Uppsala Longitudinal Study of Adult Men (ULSAM) was initiated in 1970. All 50-year-old men born in 1920–1924 and living in Uppsala, Sweden, were invited to a health survey, initially focusing on identifying risk factors for cardiovascular disease (described in detail at http://www.pubcare.uu.se/ULSAM). The present analyses are based on the third and fourth examination cycles of the ULSAM cohort, when subjects were aged approximately 70 years (1991–1995, n = 1,221) and 77 years (1997–2001, n = 839).

Serum cystatin C was available in 1,193 men at age 70 years (97.7%) and in 792 men at age 77 years (94.4%). Subjects diagnosed with any type of dementia at baseline (n=3 at age 70 years and n=11 at age 77 years) and those who did not agree to have their medical records reviewed (n=37 at age 70 years and n=20 at age 77 years) were excluded. Thus, the present study sample comprised 1,153 subjects at age 70 years and 761 subjects at age 77 years. Of these, two serial samples of cystatin C (at both age 70 and age 77 years) were available in 678 individuals. The study was approved by the ethics committee at Uppsala University. Informed consent was obtained from all subjects.

Baseline measurements and definitions. Serum samples were collected and stored at -70° C. Cystatin C measurements were performed with a latex-enhanced reagent (N Latex Cystatin C, Dade Behring, Deerfield, IL) using a Behring BN ProSpec analyzer (Dade Behring). The interassay coefficients of variation were 4.8% at 0.56 mg/L and 3.7% at 2.85 mg/L. Assessment of *APOE* genotype, serum creatinine–based glomerular filtration rate (GFR), ¹⁴ diabetes, hypertension, serum cholesterol, body mass index (BMI), smoking status, education level, and plasma amyloid- β protein 40 (A β 40) and 42 (A β 42) levels was performed as previously described. ¹⁵⁻¹⁷

Dementia evaluation. Cases of AD or any other type of dementia were identified by cognitive screening and medical chart review as previously described in detail.15 In short, subjects were invited to cognitive testing at age 72, 77, and 82 years. Subjects with low test performance (Mini-Mental State Examination [MMSE] score ≤26; or at age 82 years, MMSE score ≤26 and/or 7-minute screen = high risk),18,19 were referred to the Geriatric Memory Clinic at the Uppsala University Hospital for a thorough clinical assessment. Further, all available medical records from the Uppsala University Hospital, all general practitioners in Uppsala (private and/or public), and all community nursing homes and dementia group living settings were reviewed on all subjects (n = 1,153) from 1990 up to December 31, 2005, regardless of the results on the cognitive screening. Diagnoses were assigned and validated according to the criteria of the National Institute of Neurological and Communicative Disorders and Stroke-Alzheimer's Disease and Related Disorders Association and Diagnostic and Statistical Manual of Mental Disorders, 4th Edition. 20,21 The frequencies of different types of dementia are presented in table e-1 on the *Neurology*® Web site at www.neurology.org. Subjects with renal failure and stroke were identified by the Swedish hospital discharge register by using the following *International Classification of Diseases* (ICD) codes: renal failure: 584-588 (ICD-9), N17-N19 (ICD-10); stroke: 430-438 (ICD-9), I60-I69, G45-G46 (ICD-10). The compulsory Swedish hospital discharge register is updated annually, and the diagnoses have been shown to be of high validity.^{22,23} Only 16 individuals were lost to follow-up.

Statistical analyses. Logarithmic transformation was used to achieve normal distribution for skewed variables (A β 40, A β 42, and cystatin C). Cox proportional hazards models were used to estimate hazard ratios (HRs) with 95% CIs for the associations of serum levels of cystatin C at ages 70 and 77 years to AD incidence. Proportional hazard assumptions were confirmed by examining Nelson-Aalen curves with log-rank tests and Schoenfeld tests. The assumption of proportional hazards was not violated in any model. Serum cystatin C was evaluated both as a continuous variable and as a categorical variable divided into tertiles. For each subject, the follow-up was defined as the time from the baseline examination (at ages 70 and 77 years) to the date of diagnosis of AD, the date of diagnosis of any other cognitive impairment making the subsequent diagnosis of AD impossible, the date of death, the date of move from the county of Uppsala (n = 16), or the end of the follow-up period (December 31, 2005). Dates of deaths and of moves were based on data from the annually updated and mandatory Swedish National Population Register and the Swedish Death Cause Register. Subjects who developed stroke but did not develop cognitive impairment were considered to be still at risk of AD, whereas subjects with stroke and cognitive impairment were considered to be not at risk for AD from the date of the stroke diagnosis. Three sets of Cox regression models were performed in a hierarchical fashion:

- A. Unadjusted analyses
- B. Multivariable-adjusted analyses adjusting for established risk factors for AD, age, and APOE genotype
- C. Covariates as in model B with the addition of other potential confounders based on previous association with AD or cystatin C in the literature; serum creatinine-based GFR (only in the age 70 cohort, continuous), diabetes (binary), hypertension (binary), stroke at baseline (binary), stroke during follow-up (binary, modeled as a time dependent covariate), serum-cholesterol (continuous), BMI (continuous), smoking status (binary), education level (ordinal), and plasma $A\beta40$ and $A\beta42$ levels (continuous)

To investigate the importance of longitudinal change in circulating cystatin C, we investigated the association between changes of serum cystatin C between the examination at ages 70 and 77 years and the incidence of AD from age 77 years and up. Effect modification was examined by testing the statistical significance of two-way interaction terms in the multivariable models between cystatin C and all covariates in model C. None of the interaction terms were significant (all p > 0.10). To minimize the risk of competing risk from other comorbidities as an explanation for our findings, analyses were performed in samples where subjects who developed non-AD dementia (n = 62), stroke (n = 215), or renal failure (n = 62) 52) or died (n = 407) during follow-up were excluded. In addition, analyses were performed, hypothesizing that the incidence rate of AD would be similar in subjects who survived the follow-up as compared with subjects who died, or developed stroke or non-AD dementia during follow-up. In these analyses, 10% of the subjects (subjects in the highest decile of cystatin C) who died or developed

stroke or were diagnosed with non-AD dementia during follow-up were reclassified as AD cases. To adjust for the potential inclusion of false negatives in the baseline sample, additional analyses were performed after exclusion of all subjects who developed AD or any type of dementia within 2 years from baseline. To minimize the potential "healthy cohort effect," analyses were performed introducing dummy variables for being alive but not participating. Missing data were handled such that only subjects with a missing covariate needed for that particular model were excluded from the analyses, to maximize the statistical power. The statistical software package STATA 8.2 (Stata Corp., College Station, TX) was used for all analyses.

RESULTS The clinical characteristics of the subjects by tertiles of cystatin C at baseline examinations at ages 70 and 77 years are shown in table 1.

Cystatin C and AD in subjects aged 70 years. The median follow-up from the age 70 examination was 11.3 years (range 0.01–14.4 years), contributing to 11,505 person-years at risk. Eighty-two subjects were diagnosed with AD during follow-up (incidence rate 7.1/1,000 person-years at risk). Incidence rates of AD according to serum cystatin C levels are shown in figure 1.

A 1-SD decrease in serum cystatin C was significantly associated with a 28% to 38% higher risk of incident AD both in crude models (model A) and in multivariable models adjusting for established risk factors (model B) and additional potential confounders (model C) (table 2). Subjects in the lowest cystatin C tertile had a more than twofold higher risk of incident AD compared with the highest tertile in all models (models A–C). Nelson–Aalen curves demonstrating the cumulative incidence of AD by tertiles of cystatin C at age 70 years is shown in figure 2A.

Cystatin C and AD in subjects aged 77 years. The median follow-up from the age 77 examination was 5.3 years (range 0.02–7.9 years), contributing to 3,516 person-years at risk. Forty-three subjects were diagnosed with AD during follow-up (incidence rate of 13.9/1,000 person-years at risk). Incidence rates of AD according to serum cystatin C levels are shown in figure 1.

A 1-SD decrease in serum cystatin C was significantly associated with a 47% to 75% higher risk of incident AD both in crude models (model A) and in multivariable models adjusting for established risk factors (model B) and additional potential confounders (model C) (table 2). Men in the lowest cystatin C tertile had a more than twofold higher risk of incident AD compared with the highest tertile models A through C (table 2). Nelson–Aalen curves demonstrating the cumulative incidence of AD by tertiles of cystatin C at age 77 years are shown in figure 2B.

Longitudinal change in serum cystatin C levels. A 0.1- μ mol/L decrease of serum cystatin C between ages 70 and 77 years was associated with a 29% higher risk of developing incident AD (HR 1.29, 95% CI

1.03-1.63, p < 0.03). This model was adjusted for the age of the subjects (at the examination at both age 70 and age 77 years), *APOE* genotype, and the baseline level of cystatin C at age 70 years (to limit the regression toward the mean).

Additional analyses. The association between serum cystatin C and AD incidence remained robust in samples without subjects who developed non-AD dementia, stroke, or renal failure or died during follow-up (table e-2). Adjustments for being alive but not participating did not influence the results. Thus, a potential "healthy cohort effect" does not seem to influence the results. The results remained significant after exclusion of all subjects who developed any type of dementia within 2 years from baseline, which argues against inclusion of false negatives in the baseline sample as an explanation for our findings (data not shown). Moreover, the results remained robust when 10% of the subjects who died, developed stroke, or were diagnosed with non-AD dementia during follow-up were reclassified as AD cases (HR after reclassification of subjects who died = 1.41, 95% CI 1.03–1.94, p < 0.03; or developed stroke = 1.38, 95% CI 1.01–1.89, p < 0.04; or developed non-AD dementia = 2.0, 95% CI 0.98-4.12, p = 0.05). Furthermore, the association of serum cystatin C and AD incidence remained when AD cases with different levels of mixed disease (AD plus cerebral vascular disease and AD plus vascular dementia) were included in the AD endpoint (no. of AD cases at age 70 years = 97 and at age 77 years = 56, HR for lowest vs highest tertile of cystatin C = 3.12, 95% CI 1.25–7.80, p < 0.01 at age 70 years; HR 2.73, 95% CI 1.13–6.65, p < 0.04 at age 77 years, in fully adjusted models [model C]). Thus, the potential misclassification of the individuals with AD and mixed disease did not seem to substantially influence the results.

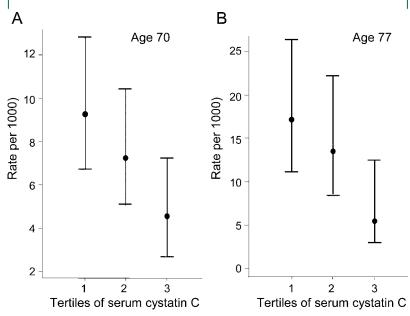
DISCUSSION In this study, lower serum levels of cystatin C were associated with higher incidence of AD. Previous studies of the association between serum cystatin C and AD have reported conflicting results, one reporting no difference in cystatin C levels between AD cases and controls, 24 one reporting high cystatin C levels in AD, 25 and a third reporting low levels of cystatin C in AD. 12 These studies may all be limited by a cross-sectional case—control design and limited adjustments for potential confounders. Also, the two first studies had smaller study samples (n = 41 and n = 66) 24,25 compared with the third (n = 646). 12

Multiple lines of research support a neuroprotective role for cystatin C in the development of AD. Immunohistochemical studies in AD brains have demonstrated that cystatin C colocalizes with $A\beta^{6.7}$

	Age 70 y				Age 77 y			
Characteristic	No. missing data	Tertile 1, 0.76-1.12 µmol/L	Tertile 2, 1.13-1.29 µmol/L	Tertile 3, 1.30-4.87 µmol/L	No. missing data	Tertile 1, 0.59-0.96 µmol/L	Tertile 2, 0.96-1.11 #mol/L	Tertile 3, 1.12-3.41 μmol/L
No. of subjects	0	413	368	372	0	245	257	259
Age, y	0	70.9 ± 0.6	71.0 ± 0.6	71.1 ± 0.7	0	77.5 ± 0.8	77.5 ± 0.8	77.6 ± 0.7
APOE $arepsilon 4$ carriers, n/total/(%)	325	97/288(34)	87/281/(31)	77/259/ (30)	165	74/213/ (35)	50/185/ (27)	57/198/ (29)
Serum cystatin C, μ mol/L	0	1.00 ± 0.08	1.21 ± 0.05	1.53 ± 0.30	0	90.0 + 0.00	1.03 ± 0.04	1.35 ± 0.32
Glomerular filtration rate, mL/min	39	78.1 ± 14.4	72.3 ± 12.8	67.2 ± 13.14	I	I	I	ı
Diabetes, n/total/(%)	63	44/362/(12)	41/368/(11)	37/360/ (10)	35	44/245/(18)	41/257/(16)	30/224/ (13)
Hypertension, n/total/(%)	63	250/362/(69)	269/368/ (73)	300/360/ (83)	86	159/235/(68)	148/216/(69)	184/224/ (82)
Stroke, n/total/(%)	0	73/413/(18)	78/368/ (21)	93/372/ (25)	0	34/245/(14)	48/257/ (19)	57/259/ (22)
Systolic blood pressure, mm Hg	ო	145 ± 18	147 ± 18	149 ± 20	16	148 ± 19.7	152 ± 19	152 ± 21
Diastolic blood pressure, mm Hg	ო	83 +1	84 + 9	821+	16	80.4 ± 10	82 ± 10	82 ± 10
Serum cholesterol, mmol/L	Ŋ	5.9 ± 1.0	5.8 + 1.0	5.6 ± 1.0	7	5.5 + 1.0	5.4 ± 0.9	5.3 ± 1.0
Body mass index, kg/m²	4	26.1 ± 3.3	26.2 ± 3.6	26.7 ± 3.5	10	25.9 ± 3.1	26.2 ± 3.3	26.9 ± 3.9
Smoking status, n/total/(%)								
Current smoker	0	50/362/(14)	81/368/(22)	88/360/ (25)	0	36/235/(15)	31/216/(14)	42/224 (19)
Nonsmoker	0	312/362/(86)	267/368/ (73)	252/360/ (70)	0	199/215/(93)	185/216/(86)	182/224 (81)
Educational level, n/total/(%)								
Elementary school	0	205/413/(50)	214/368/ (58)	203/372/ (55)	0	133/245/(54)	106/257/(41)	123/259/ (48)
High school	0	98/413/(24)	103/368/ (28)	112/372/(30)	0	63/245/(18)	76/257/ (30)	63/259/ (24)
University	0	59/413/(15)	51/368/(14)	45/372/ (12)	0	39/245/(16)	34/257/ (13)	36/259/ (14)
Plasma A eta 40, pmol/L	131	71.6 ± 35.1	75.7 ± 13.6	80.6 ± 33.8	30	68.4 ± 33.4	70.8 ± 21.2	79.6 ± 29.2
Plasma A eta 42, pmol/L	123	30.4 ± 34.3	30.5 ± 35.3	33.2 ± 35.3	28	22.4 ± 23.3	21.5 ± 13.6	22.0 ± 15.5

Values are n/total/[%] or mean \pm SD. A,840 = amyloid- β protein 40; A,842 = amyloid- β protein 42.

Figure 1 Incidence rates (and 95% CIs) of Alzheimer disease according to the tertiles of serum cystatin C levels in the age 70 (A) and 77 (B) samples



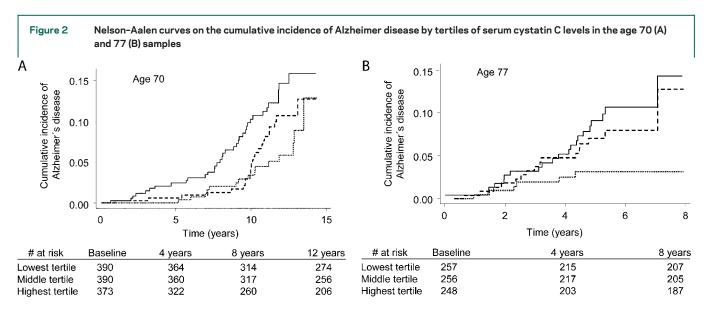
and that staining with cystatin C is increased in AD brains, whereas it is absent or minimal in brains from individuals who remained cognitively intact during life. 11 Furthermore, cystatin C has been reported to inhibit $A\beta$ aggregation and deposition in a concentration-dependent manner by binding to the amyloid precursor protein molecule and the $A\beta$ 40 and $A\beta$ 42 peptides. 4 Cystatin C is also believed to

inhibit $A\beta$ production and aggregation by the inhibition of cathepsins, a group of lysosomal proteases believed to promote A β -amyloidogenesis.²⁶ Finally, there is genetic data that support a causal role for cystatin C in the development of AD,8-10,27 although there are conflicting findings.²⁸ Interestingly, the Icelandic form of hereditary cerebral hemorrhage with amyloidosis is caused by a mutation in the cystatin C gene, and these patients are characterized by low levels of cystatin C in CSF as well as in the systemic circulation, increased deposition of A β in cerebral blood vessels, dementia and death before age 40 years.29 Recently, lower levels of cystatin C were reported in CSF in AD compared with controls.³⁰ Our finding that low serum cystatin C levels predicted AD in subjects free of dementia at baseline suggests that low serum cystatin C levels precede clinical AD. Possibly, low serum cystatin C levels mirror a reduced ability to inhibit neuronal A β aggregation. However, it is not possible to establish causality between serum cystatin C levels and AD pathogenesis in this study.

Another possible interpretation of our finding is that cystatin C is not causally related to AD, i.e., that the present association merely is mediated by some other causal factors. There are several factors that potentially could confound the association of serum cystatin C and AD incidence, such as age,³¹ stroke,³² diabetes,³³ hypertension,³⁴ serum cholesterol,³⁵ BMI,³⁵ and smoking status.³¹ However, because the

Table 2 Relation of serum cystatin C level at ages 70 and 77 years to the incidence of Alzheimer disease									
	No. of cases/no. at risk	Model A HR (95% CI)	p Value	Model B HR (95% CI)	p Value	Model C HR (95% CI)	p Value		
Serum cystatin age 70 y									
Continuous, 1-SD decrease	82/1,153	1.28 (1.01-1.63)	0.03	1.31 (1.01-1.71)	0.05	1.38 (1.01-1.89)	0.03		
Lowest tertile, 0.76-1.12 μ mol/L	37/413	2.05 (1.14-3.68)	0.01	2.24 (1.14-4.39)	0.02	2.67 (1.22-5.83)	0.02		
Middle tertile, 1.13-1.29 μ mol/L	29/368	1.58 (0.86-2.91)	0.14	1.64 (0.81-3.32)	0.18	1.82 (0.84-3.94)	0.18		
Highest tertile, 1.30-4.9 μmol/L	16/372	Referent	-	Referent	-	Referent	-		
Serum cystatin age 77 y									
Continuous, 1-SD decrease	43/761	1.75 (1.20-2.56)	0.004	1.66 (1.12-2.45)	0.01	1.47 (0.96-2.23)	0.03		
Lowest tertile, 0.59-0.96 μmol/L	21/245	3.39 (1.37-8.35)	0.007	3.26 (1.30-8.11)	0.01	2.83 (1.03-7.74)	0.02		
Middle tertile, 0.96-1.11 μ mol/L	16/257	2.41 (0.93-6.21)	0.05	2.12 (0.78-5.76)	0.22	2.08 (0.74-5.88)	0.20		
Highest tertile, 1.12-3.4 μ mol/L	6/259	Referent	-	Referent	-	Referent	-		

Values are Cox proportional hazard ratios (HRs) (95% confidence intervals) and p values examining serum cystatin C as predictor of incidence of Alzheimer disease from unadjusted models (model A); multivariable models adjusted for age and APOE genotype (model B); and potential confounders: age, APOE genotype, diabetes (binary), hypertension (binary), stroke at baseline (binary), stroke during follow-up (binary, modeled as a time-dependent covariate), serum cholesterol (continuous), body mass index (continuous), smoking status (binary), education level (ordinal), and plasma amyloid- β protein 40 and 42 levels (continuous) (model C).



Continuous line shows lowest tertile, dashed line shows middle tertile, and dotted line shows highest tertile.

association between serum cystatin C and AD incidence remained robust after adjustment for both established risk factors (model B) and potential confounders (model C), it is not likely that confounding by these factors is the sole explanation for our findings.

A third possible explanation that needs to be considered is that the association between serum cystatin C and incident AD could be due to competing risk by comorbidities such as non-AD dementia, stroke, and renal failure or by cardiovascular mortality. Because individuals with higher serum cystatin C are at higher risk of dying prematurely of cardiovascular^{32,36} or renal disease,16,37 they are consequently likely to be at lower risk of developing AD. It may be difficult to fully exclude the influence of competing risks in this study. However, arguing against competing risk as an explanation for our findings is the fact that the association between serum cystatin C and AD incidence remained robust both after exclusion of subjects who developed these comorbidities or died during follow-up and in analyses where we assumed a similar incidence rate of AD in subjects who died or were diagnosed with non-AD dementia or stroke during follow-up as in subjects who survived the follow-up.

Currently, our ability to predict future AD is limited. Apart from age and APOE &4 genotype, there are no widely accepted risk factors for the development of sporadic AD (although several cardiovascular risk factors, such as diabetes, dyslipidemia, and hypertension, have also been suggested to portray prognostic information).³⁸ Even though serum cystatin C was an independent risk factor for AD in the present study, further studies are needed to evaluate the role of serum cystatin C as a screening tool to assess the risk of AD in the community.

The strengths of the present study include the longitudinal study design, the large population-based study sample, the nearly complete follow-up, the validation of the cases limiting the inclusion of falsepositive cases, and the repeated measurements of serum cystatin C at two different baselines. Serum cystatin C is closely associated with GFR. Consequently, creatinine based GFR was included in the multivariable models to investigate whether the association of cystatin C and AD incidence was independent of GFR (table e-3). However, because creatinine-based GFR has been questioned as a marker for GFR, particularly in the elderly, this approach is limited. Ideally, analyses would have been adjusted for the gold standard methods of measuring GFR (isotope clearance measurements). Isotope clearance measurements are seldom used in epidemiologic research because it is a time-consuming and costly procedure. Because these data are not available in the ULSAM cohort, it is not possible to fully elucidate whether the association of cystatin C and AD incidence is confounded by GFR or whether GFR per se is associated with AD incidence in our study sample. Further studies are needed to investigate this important issue. There is a potential risk that results were influenced by unidentified dementia cases. Misclassification is an issue for any clinical study of dementia but is likely to be random with respect to cystatin C levels, and false negatives due to misclassification would most probably drive the results toward the null hypothesis. Other limitations include the limited generalizability of our results to women and other age and ethnic groups. The multiple lines of research reporting a neuroprotective role for cystatin C argue against competing risks as the sole explanation for our findings. Our results should be

considered as hypothesis generating, and replication of our associations in another cohort is desirable to validate our findings.

AUTHOR CONTRIBUTIONS

J. Sundelöf conducted the statistical analysis, had full access to all the data in the study, and takes responsibility for the integrity of the data and the accuracy of the data analysis.

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