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# **Nuclear Neighborhoods and Gene Expression**

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# Summary

The eukaryotic nucleus is a highly compartmentalized and dynamic environment. Chromosome territories are arranged non-randomly within the nucleus and numerous studies have indicated that a gene's position in the nucleus can impact its transcriptional activity. Here, we focus on recent advances in our understanding of the influence of specific nuclear neighborhoods on gene expression or repression. Nuclear neighborhoods associated with transcriptional repression include the inner nuclear membrane/nuclear lamina and peri-nucleolar chromatin, whereas neighborhoods surrounding the nuclear pore complex, PML nuclear bodies, and nuclear speckles seem to be transcriptionally permissive. While nuclear position appears to play an important role in gene expression, it is likely to be only one piece of a flexible puzzle that incorporates numerous parameters. We are still at a very early, yet exciting stage in our journey toward deciphering the mechanism(s) that govern the permissiveness of gene expression/repression within different nuclear neighborhoods.

# INTRODUCTION

The interphase nucleus of higher eukaryotes is a well organized, compartmentalized, and dynamic organelle [for review, see 1,2]. The entire genome is packaged within the confines of the nucleus, such that genes are able to dynamically interact with the nuclear neighborhood that surrounds them and regulatory proteins can access genes via a diffusion-based mechanism. Individual chromosomes occupy distinct and limited regions, called chromosome territories (Figure 1), which are arranged non-randomly in the nucleus [for review, see <sup>3</sup>]. In addition, various specialized nuclear compartments exist (Figure 1), including nuclear pore complexes, the nuclear lamina, and the inner nuclear membrane, as well as more than ten nuclear bodies, including nucleoli, nuclear speckles, Cajal bodies, and PML bodies [for review, see <sup>4</sup>]. Evidence has accumulated supporting the notion that gene positioning may have a functional impact on gene regulation [for reviews, see 1,2,5]. This stems from the observation that chromosome positioning is non-random, and that each nuclear compartment, or neighborhood, is composed of dynamically exchanging protein constituents with distinct roles in gene expression. If a gene resides in or moves to a particular nuclear neighborhood, could other residents of that neighborhood (chromatin, ribonucleoprotein (RNP) complex, or protein) modulate its expression?

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In order to address the impact of nuclear position on gene expression, recent studies have examined whether a gene's activity may correlate with its position relative to the center of the nucleus (radial position)  $[^{6,7,8^*}]$ , relative to other genes/chromosomes  $[^{7,9^*,10,11,12^{**},13^{-15}}]$ , and/or relative to various nuclear neighborhoods  $[16^*, 17^{**}, 18^*, 19^*, 20, 21^{**}, 22^{*}, 23^{**}, 24^{*}, 25^{*}, 26^{*}]$ . While it is clear that a gene's expression can be affected by its nuclear location,

 $24^{+}, 25^{$ 

# **INNER NUCLEAR MEMBRANE AND NUCLEAR LAMINA**

Early electron microscopy studies revealed a close relationship between perinuclear heterochromatin, the inner nuclear membrane (INM) and the nuclear lamina (NL) [for review, see  $^{29}$ ]. The NL, a mesh-like structure directly beneath the inner nuclear membrane (INM), is a unique characteristic of metazoan cells [for review, see  $^{30}$ ]. The major constituents of the NL are Lamin A/C and Lamin B, which are type V intermediate filament (IF) proteins [for review, see  $^{30}$ ]. Integral membrane proteins that reside in the INM include lamin B receptor (LBR), Lap2-emerin-MAN1 (LEM) domain containing proteins and Sad1-UNC homology domain (SUN) proteins, which are collectively referred to as lamin-associated polypeptides (LAPs) [for review, see  $^{31}$ ]. There is growing evidence that both INM and NL proteins can affect gene regulation through direct chromatin binding [for review, see  $^{30,32}$ ] or via interactions with transcriptional regulators [for review, see  $^{31}$ ].

Two recent genome-wide mapping studies, investigating in vivo genome-binding sites of chromatin associated proteins, were carried out using the DNA adenine methyltransferase identification (DamID) technique. Results of these studies in *Drosophila melanogaster* cells  $[^{33^*}]$  and human lung fibroblasts  $[^{34^*}]$  support the view of the NL as a transcriptionally repressive compartment. In *Drosophila*, Lamin B bound regions of the genome are characterized by low levels of transcription, absence of active histone marks, mid to late replication timing, and low gene density  $[^{33^*}]$ . In human lung fibroblasts, the nearly identical DamID profiles of Lamin B1 and the INM protein emerin suggest that gene expression levels are also generally low within lamina-associated domains (LADs)  $[^{34^*}]$ . In addition, lamin B1 and emerin were shown to colocalize with a large transcriptionally inactive region of the *IgH* locus in mouse fibroblasts, however these interactions are lost in pro-B cells when the locus is transcriptionally active  $[^{23^{**}}]$ . These results show a developmental specificity of nuclear position that correlates with gene expression.

In yeast, an integral LEM containing INM protein, Src1/Heh1, binds directly to specific DNA sequences or nucleosomes in telomeric and subtelomeric chromatin resulting in clustering of these genomic regions at the nuclear periphery [ $^{18*}$ ]. Subsequently, silencing factors and transcriptional activators enriched at the nuclear periphery cooperate to regulate gene expression of the associated subtelomeric and telomeric chromatin [ $^{18*}$ ]. INM proteins are also involved in tethering rDNA repeats to the nuclear periphery in *S. cerevisiae* [ $^{35}$ ] and centromeres to cytoplasmic microtubles in *S. pombe* [ $^{36}$ ]. These results suggest that the interactions between INM proteins and chromosomal proteins may be a conserved mechanism by which organisms can ensure genome stability and maintain normal gene expression/

repression [<sup>35</sup>]. However, it is not yet clear whether such transcriptional repression is the cause or effect of a gene's position at the INM/NL.

INM/NL proteins may create a transcriptionally repressive nuclear compartment via multiple mechanisms. In HeLa cells, emerin and Lap2 $\beta$  have been reported to interact with histone deacetylase 3 (HDAC3), and over-expression of Lap2 $\beta$  induces a global deacetylation of histone H4 [<sup>37</sup>]. Also, the lamin B receptor, another INM protein, can bind DNA, histone H3-H4 tetramers, mitotic chromosomes, and heterochromatin protein 1 (HP1) *in vitro* [<sup>33\*</sup>]. It has been suggested that such interactions may result in an increase in the local concentration of HP1, histone deacetylases, and transcriptional repressors, as well as a decrease in the availability of transcription factors at the INM, thus contributing to the repressive nature of this nuclear neighborhood [for review, see <sup>31</sup>]. These results are consistent with recent studies, which demonstrate that tethering of a genomic region to the INM/NL by Lap2 $\beta$  [<sup>17\*\*</sup>] or a truncated form of emerin [<sup>23\*\*</sup>] can result in some level of transcriptional repression of the associated genes.

Although the nuclear periphery is generally considered a transcriptionally repressive environment, micro-domains of the INM/NL that are transcriptionally permissive may exist. For example, full-length lamin B1 has been implicated in both transcriptional activation [<sup>38</sup>] and repression [<sup>34\*</sup>]. In addition, repositioning an inducible transgene array to the nuclear lamina with a LacI-LaminB1 fusion protein was not sufficient to inhibit inducible gene activation [<sup>21\*\*</sup>]. Indeed, the activation kinetics of this locus were unaffected by its nuclear location. It is possible that a large transgene array of this kind, driven by a strong transcriptional activator, could create a transcriptional microenvironment that counteracts the otherwise repressive effects of the nuclear periphery neighborhood [<sup>21\*\*</sup>]. Alternatively, this array may have incorporated into an existing microenvironment that is permissive for transcriptional activation. Thus, lamina associated gene expression may vary depending on the gene evaluated, the strength of its activator, and its localized microenvironment.

# NUCLEAR PORE COMPLEX

The nuclear membrane is interrupted in places by nuclear pore complexes (NPC) that allow for the exchange of materials between the nucleus and cytoplasm. Each NPC is composed of approximately 30 different proteins, each in multiple copies [for review, see <sup>39</sup>]. Although the NPC's function in nucleo-cytoplasmic exchange is well established [for review, see <sup>39</sup>], recent studies also suggest that the NPC functions as a transcriptionally permissive nuclear neighborhood to facilitate gene expression [ $^{24*,40*,41}$ , for a review of earlier studies, see  $^{42}$ ].

Protein components of the NPC may affect transcription in several ways. For example, genes may interact with NPCs and contribute to gene activation directly via specific promoter sequences, such as the nucleopore-promoter interaction (Nup-PI) in yeast [<sup>41</sup>]. Protein components of the NPC may also mediate efficient transcription, messenger RNA processing, and export through interactions with the 3' untranslated region (UTR) of nascent transcripts [<sup>24\*</sup>]. This is illustrated by the subtelomeric gene, hexokinase isoenzyme 1 (HXK1), which requires NPC association at it's 3' UTR for efficient transcription [<sup>24\*</sup>]. Alternatively, the NPC may interact with 3'UTR bound proteins such as THO/sub2, to mediate persistent association of transcribed DNA with the nuclear pore complex, allowing for efficient transcription and mRNP biogenesis [<sup>40\*</sup>]. Chromatin bound to the NPC may also acquire transcriptionally permissive histone modifications due to the direct association of NPC components with the SAGA histone acetyltransferase complex [<sup>43</sup>] or CREB-binding protein (CBP) [<sup>44</sup>]. Consistent with this hypothesis, a global increase of acetylation induces extensive genomic reorganization, including recruitment of euchromatin-rich promoter regions to the NPC

 $[^{45^{**}}]$ . In yeast, DNA boundary element binding proteins, such as CTCF, may also function in NPC-mediated gene activation by blocking the spread of telomeric heterochromatin to surrounding active NPC-associated genes [for review, see  $^{42}$ ]. Since NPC anchorage can be re-established after mitosis, NPC components may also contribute to transcriptional regulation in a heritable manner through recognition and binding of the histone variant H2A.Z to promote reactivation of genes after cell division [ $^{46^*}$ ].

All of these interactions, mediated by DNA, RNA and/or proteins, suggest that the NPC can act as a mediator of active gene expression at the nuclear periphery. The mechanisms by which this is achieved remain unclear. A better understanding of the dynamics and the mechanisms governing gene positioning and gene expression at the NPC will provide insight into factors that influence transcription on a genome-wide scale.

#### PERI-NUCLEOLAR CHROMATIN

The structure of nucleoli and the integrity of tandem rDNA repeats within it are maintained in part by the shell of perinucleolar heterochromatin that surrounds the nucleolus [for review, see <sup>47</sup>]. Perinucleolar heterochromatin contains satellite DNA that surrounds nucleolar organizer regions (NORs), and silent rDNA clusters [for review, see <sup>47</sup>]. Recent reports implicate perinucleolar heterochromatin in the establishment and maintenance of silencing of non-rDNA-related genomic regions.

For example, early studies indicated that the inactive X chromosome (*Xi*) in females is sometimes localized to the nucleolar region in certain cell types [<sup>48</sup>]. Interestingly, in a recent report utilizing synchronized female mouse fibroblasts, an *Xist* ncRNA dependent S-phase association of *Xi* with the peri-nucleolar region was observed in 80–90% of nuclei examined [<sup>26\*</sup>]. A separate study found *Kcnqlot1* ncRNA to be necessary for localizing an imprinted region of paternal chromosome 7 to the peri-nucleolar neighborhood, and subsequent bidirectional repression of eight genes spread over a megabase region, including *H19/Igf2* [<sup>22\*</sup>]. Fifty-five percent of the cells that exhibited peri-nucleolar localization were in mid-late S-phase. Based on this evidence, it is tempting to speculate that transcriptional repression of these chromosomal regions could be maintained during DNA replication by virtue of their proximity to the peri-nucleolar region, and its associated chromatin and DNA modifying enzymes. However, the precise role that ncRNAs such as *Xist* and *Kcnqlot1* play in perinucleolar localization and gene repression remains unclear. In addition, the mechanisms that drive this repression and whether other chromosome territories are stably or transiently associated with the peri-nucleolar neighborhood remains to be determined.

#### **PML BODIES**

Promyelocytic leukemia nuclear bodies (PML-NBs) have been implicated in a wide variety of cellular processes, including tumor suppression, viral defense, DNA repair, and/or transcriptional regulation [for review, see <sup>49</sup>]. In several cases, PML-NBs have been shown to localize to particularly gene-rich and transcriptionally active regions of chromatin, including the major histocompatability complex (MHC) class I gene cluster region and the *p53* gene locus [for review, see <sup>49</sup>]. Such findings have led to speculation that PML-NBs may be able to modulate transcription at specific genomic loci.

New insight into the mechanism by which PML-NB association may affect MHC gene transcription comes from the discovery of protein:protein interaction between the PML protein and matrix attachment region (MAR)-binding protein, special AT-rich sequence binding protein 1 (SATB1) [<sup>20</sup>]. This study shows that SATB1, which functions as both a chromatin organizer and transcription factor, is able to bind to multiple DNA regions throughout the MHC class I gene cluster [<sup>20</sup>]. Together with various isoforms of PML, SATB1 mediates the

formation of a complex and dynamic DNA loop structure at this locus. Thus, PML and SATB1 may orchestrate changes transcription through dynamic alterations to chromatin-loop architecture [<sup>20</sup>]. It is still unclear, however, whether the formation of PML-NBs at the MHC class I loci precedes transcriptional activation, or if PML-NBs form *de novo* at gene loci that are already active. Alternatively, it is possible that the formation of PML-NBs at these loci is not required for gene activation. Rather, soluble isoforms of PML protein diffusing away from PML-NBs may be able to mediate DNA looping at the MHC class I locus. Future experiments must address the formation of PML-NBs at specific gene loci with high spatial and temporal resolution, in order to answer these questions.

## NUCLEAR SPECKLES

Nuclear speckles, also known as interchromatin granule clusters (IGCs), are enriched in splicing related factors, such as small nuclear ribonucleoprotein complexes (snRNPs), and SR proteins [for review, see 50]. Most mammalian nuclei contain between 30–50 nuclear speckles, which are not transcriptionally active regions [for review, see <sup>50</sup>]. However, several recent studies have shown that transcriptionally active genes preferentially associate with nuclear speckles  $[16^{*}, 19^{*}, 25^{*}]$ . For example, the active allele of the mono-allelically expressed glial fibrillary acidic protein (GFAP) gene was shown to associate with speckles in 70% of cells, while the inactive allele did not  $[25^*]$ . In another study, co-expressed erythroid genes were also shown to cluster around nuclear speckles  $[16^*]$ . However, the basis of non-random active gene positioning at nuclear speckles remains unclear. This study suggests that nuclear speckles form *de novo* after cell division by the recruitment of splicing factors to active genes, and subsequent association of genes at a common nuclear speckle is facilitated by chromatin dynamics  $[16^*]$ . Therefore, the clustering of active genes around nuclear speckles may depend on gene position during the G1 phase of the cell cycle, when nuclear speckles begin to re-form after mitosis. Thus, in one view, the association of certain genes with nuclear speckles is a probabilistic event that follows cell division and transcriptional activation.

An alternative possibility is that the integration of RNA polymerase II and splicing factors at speckle-associated loci facilitates transcription and processing of active genes [for review, see  $5^{1}$ ]. In a recent report, estrogen receptor alpha (ERalpha) bound genes were shown to be dynamically repositioned adjacent to nuclear speckles upon ligand activation [ $1^{9*}$ ]. The authors propose that this actin/myosin dependent, rapid, hormone responsive nuclear rearrangement allows the enhanced, coordinated transcription of nuclear receptor target genes. One could envisage a model where certain genes that are rapidly activated in response to a cellular signal could achieve efficient co-transcriptional RNA processing by being in proximity to the nuclear speckles. Further research, including live-cell imaging of chromatin dynamics, will be required to determine the biological significance of nuclear speckle gene associations, and whether such associations occur in a regulated or probabilistic fashion.

#### CONCLUSION

Much progress has recently been made to improve our understanding of the relationship between genome organization and specific nuclear neighborhoods. A gene in close proximity to a particular nuclear neighborhood may interact with one or more diffusible constituents of the neighborhood (e.g. chromatin remodeling, histone modification or DNA methylation factors etc.), thereby directly or indirectly influencing its transcription (Figure 2A). Alternatively, the association of a particular gene locus with a nuclear neighborhood may be a consequence of gene activation/repression, rather than the cause (Figure 2B). In this instance, a freely diffusible protein constituent may bind and activate/repress genes (Figure 2B) and subsequently become associated with a particular nuclear compartment through either dynamic localization of the bound gene to an existing nuclear compartment, or through the nucleation

and *de novo* formation of a nuclear compartment at the bound gene. In addition, proteins associated with a nuclear neighborhood may indirectly affect transcription by stabilizing inter-/ intra-chromosomal interactions, or shielding associated genes from the effect of nearby heterochromatin (e.g. SATB1/PML and CTCF/NPC associations) (Figure 2C). Regardless, a gene's activity is likely not influenced simply by its nuclear neighborhood, but rather by dynamic processes that involve a multitude of factors, that may include cellular signaling events, chromosomal interactions, microenvironment, and/or stochastic gene activation. To fully understand the relationship between nuclear position and gene expression, one must consider the effect of multiple parameters on the position and activity of a single gene.

Whether the nuclear position of a gene is part of the cause or consequence of gene activation remains an open question. In the future, it will be important to test the transcriptional consequence of manipulating nuclear gene position in developmental contexts [for review, see  $5^2$ ]. In addition, global studies of genome dynamics upon induced gene activation combined with dynamic imaging of nuclear domains will provide significant insight into the regulation of gene activity. Multi-dimensional live-cell imaging and genome-wide application of the chromosome conformation capture assay (4C) [ $1^{2**}$ ], will be powerful tools to help decipher the transcriptional causes and effects of genome positioning events. Furthermore, additional studies focused on *de novo* formation of nuclear compartments [ $5^3$ ] and tethered gene repositioning experiments [ $1^{7**}, 2^{1**}, 2^{3**}$ ] will help to further address the impact of nuclear organization on gene regulation.

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#### Figure 1. Nuclear Neighborhoods

A cartoon of the mammalian cell nucleus showing some of the numerous nuclear domains, or neighborhoods, that have been identified. Several nuclear neighborhoods that have been implicated in gene activation or repression are discussed in the text.



#### Figure 2. The Impact of Nuclear Neighborhoods on Gene Expression

(A) Protein factors (green) that are enriched in a particular nuclear neighborhood could diffuse to nearby gene loci, thereby negatively (or positively) affecting the expression of genes. (B) The association of a particular gene locus with a nuclear neighborhood may be a consequence of gene activation (or repression), rather than its cause. For example, a gene could be bound and activated by a transcription factor (yellow). Subsequently, a nuclear body may form *de novo* at the site where the transcription factor is already bound. Alternatively, DNA bound by a protein constituent of a nuclear body (yellow) may dynamically relocate to an already existing nuclear body. (C) Nuclear neighborhood associated proteins (red), may indirectly affect transcription in combination with other protein factors (blue) by stabilizing inter-/intra-chromosomal interactions, or by shielding associated genes from the effect of nearby heterochromatin.