

Genome-wide association and meta-analysis of bipolar disorder in individuals of European ancestry

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Bipolar disorder (BP) is a disabling and often life-threatening disorder that affects ≈1% of the population worldwide. To identify genetic variants that increase the risk of BP, we genotyped on the Illumina HumanHap550 Beadchip 2,076 bipolar cases and 1,676 controls of European ancestry from the National Institute of Mental Health Human Genetics Initiative Repository, and the Prechter Repository and samples collected in London, Toronto, and Dundee. We imputed SNP genotypes and tested for SNP-BP association in each sample and then performed meta-analysis across samples. The strongest association *P* value for this 2-study meta-analysis was 2.4×10^{-6} . We next imputed SNP genotypes and tested for SNP-BP association based on the publicly available Affymetrix 500K genotype data from the Wellcome Trust Case Control Consortium for 1,868 BP cases and a reference set of 12,831 individuals. A 3-study meta-analysis of 3,683 nonoverlapping cases and 14,507 extended controls on >2.3 M genotyped and imputed SNPs resulted in 3 chromosomal regions with association $P \approx 10^{-7}$: 1p31.1 (no known genes), 3p21 (>25 known genes), and 5q15 (*MCTP1*). The most strongly associated nonsynonymous SNP rs1042779 (OR = 1.19, $P = 1.8 \times 10^{-7}$) is in the *ITIH1* gene on chromosome 3, with other strongly associated nonsynonymous SNPs in *GNL3*, *NEK4*, and *ITIH3*. Thus, these chromosomal regions harbor genes implicated in cell cycle, neurogenesis, neuroplasticity, and neurosignaling. In addition, we replicated the reported *ANK3* association results for SNP rs10994336 in the nonoverlapping GSK sample (OR = 1.37, $P = 0.042$). Although these results are promising, analysis of additional samples will be required to confirm that variant(s) in these regions influence BP risk.

genetics | genome-wide association study

Bipolar disorder (BP) is characterized by dramatic mood changes, with individuals experiencing alternating episodes of depression and mania interspersed with periods of normal function. BP is chronic, severely disabling, and life-threatening, with increased risk of suicide and estimated lifetime prevalence of ≈1% (1).

BP has a substantial genetic component. Monozygotic twin concordance rate estimates range from 45 to 70% and sibling recurrence risk estimates from 5 to 10 (2). Nonetheless, the underlying genetic and neurobiological triggers of BP remain elusive. Numerous linkage and candidate gene studies have sought to identify BP linked regions and associated genes, but no loci have

been convincingly identified. Several groups have recently reported results of BP genome-wide association studies (GWAS), using pooled (3) or individually genotyped (4–6) samples; these studies identified SNPs in *CACNA1C* (alpha 1C subunit of the L-type voltage-gated calcium channel), *ANK3* (ankyrin 3), and *DGKH* (diacylglycerol kinase, etc) as potentially associated with BP.

To test for SNP-BP association in additional well-characterized samples, we analyzed data for >2.3 million genotyped and imputed SNPs on >3,700 individuals in 2 sample sets: (i) 1,177 bipolar I (BP I) cases and 772 controls from the National Institutes of Mental Health Genetic Initiative Repository and the Prechter Repository [National Institute of Mental Health (NIMH)/Pritzker], and (ii) 899 BP cases and 904 controls from London and Dundee, U.K., and Toronto, Canada whose collection was sponsored by GlaxoSmithKline Research and Development (GSK). We analyzed the NIMH/Pritzker and GSK GWAS samples separately and performed a 2-study meta-analysis. We then imputed and analyzed the publicly available Affymetrix 500K genotype data from the Wellcome Trust Case Control Consortium (WTCCC) (4) for 1,868 BP cases and an expanded reference set of 12,831 individuals. The expanded reference set comprised a blood donor sample and 6 non-BP disease case groups. After removing from the GSK London sample 261 cases that overlapped with the WTCCC sample, we performed a 3-study meta-analysis of 3,683 cases and 14,507 extended reference set

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Table 1. NIMH/Pritzker, GSK (complete and reduced sample), and WTCCC bipolar case and control characteristics

Study sample	n	Age at recruitment (yrs)		Female, %	Diagnosis, % BP I
		Mean (SD)	Range		
NIMH/Pritzker					
Cases	1,177	42.2 (12.6)	14–88	62.8	100
Controls	772	42.2 (13.4)	20–69	50.3	—
GSK					
Cases					
Complete sample	899	47.1 (12.2)	18–84	64.2	90.6
<i>Reduced sample</i>	638	46.8 (12.3)	18–84	64.9	88.6
Controls	904	39.5 (16.3)	18–89	58.6	—
WTCCC					
Cases	1,868	40–49 *	<40 to >70	63	71
Controls	12,831			51	—

GSK reduced sample (italicized): Excluding 261 BP cases also present in WTCCC sample.

*Median age category.

individuals. We identified 3 regions that harbored SNPs with association $P \approx 10^{-7}$.

Results

For the NIMH/Pritzker GWAS, 1,177 BP I cases (473 sibling pairs and 231 unrelated individuals) from the NIMH and Prechter Repositories and 772 controls from the NIMH Repository (Table 1) were genotyped on the Illumina HumanHap 550K chip; 512,844 autosomal SNPs passed QC (see Methods) and had minor allele frequency (MAF) $\geq 1\%$. For the GSK GWAS, 899 BP cases and 904 controls were genotyped (Table 1); 512,508 autosomal SNPs passed QC and had MAF $\geq 1\%$. We carried out genotype imputation, using these data together with estimated haplotypes for the HapMap CEU (Utah residents with ancestry from northern and western Europe) samples, resulting in 2,473,048 (NIMH/Pritzker) or 2,465,069 (GSK) genotyped or imputed autosomal SNPs with MAF $\geq 1\%$. Previous work has shown good concordance of imputed and experimental genotypes (7–9).

We tested for SNP-BP association under an additive genetic model, using the observed allele count for genotyped SNPs and estimated allele dosage for imputed SNPs. For both studies, we included as covariates principal components (PCs) based on the genotype data to help correct for potential population stratification; for GSK, we also included study site as a covariate. Genomic control values (10) for genotyped and imputed SNPs were 1.03 and 1.03 in the NIMH/Pritzker sample and 1.02 and 1.03 in the GSK sample (Fig. S1A–D). After applying genomic control to each set of results, we combined results between samples, using a fixed effects meta-analysis, with resulting genomic control value 1.01 (Fig. S2A). No SNP in either study or in the 2-study meta-analysis attained $P < 5 \times 10^{-8}$, corresponding to genome-wide significance of 0.05 assuming the equivalent of 1 million independent tests (11) (Fig. S3A). We identified 2 regions with SNP association $P < 10^{-6}$ (Table S1A): rs12998006 ($P = 7.6 \times 10^{-7}$, OR = 1.34) on chromosome 2 near *CPS1* (carbamoyl-phosphate synthetase 1 isoform a) (Fig. S4A) and rs2813164 ($P = 8.3 \times 10^{-7}$, OR = 1.31) on chromosome 1 between *NEK7* (never in mitosis-related gene 7) and *ATP6V1G3* (ATPase, H⁺ transporting, lysosomal, V1 subunit G3) (Fig. S4B).

To increase power to detect BP-associated loci, we obtained genotype data from the WTCCC (4). These data included 1,868 BP cases and an extended reference set of 12,831 individuals comprised of the National Blood Service (NBS) controls and individuals with coronary artery disease, Crohn's disease, hypertension, rheumatoid arthritis, type 1 diabetes, or type 2 diabetes (Table 1). Given the low population prevalence of BP (1%), use of an unscreened expanded reference set should result in little loss of power to detect BP

association. These samples had been genotyped on the Affymetrix 500K chip; 397,653 autosomal SNPs passed WTCCC QC criteria and had MAF $\geq 1\%$. We carried out imputation as before, resulting in 2,431,899 genotyped or imputed SNPs that passed QC criteria and had MAF $\geq 1\%$.

To assess whether the non-BP disease cases could reasonably serve as part of an extended reference set for our BP association study, we first compared the non-BP disease cases to the NBS controls. We observed no strong SNP associations except in the HLA region. After removal of genotypes for autoimmune disease cases (Crohn's disease, rheumatoid arthritis, type 1 diabetes) from the analysis of the HLA region, the genomic control value for non-BP cases vs. NBS controls was 1.03.

We tested for SNP-BP association in the WTCCC BP case and extended reference set under an additive model with the genotype-based PCs as covariates. Genomic control values for genotyped and imputed SNPs were both 1.15 (Fig. S1 G and H). Analysis without PCs resulted in genomic control values of 1.18 and 1.17, suggesting that differences between cases and controls were only partially captured by the PCs, consistent with observations by WTCCC (4) investigators.

We dropped 261 cases present in both the WTCCC and GSK samples from the GSK sample (Table 1 and Fig. S1 E and F), reanalyzed the remaining GSK samples, and applied genomic control to results from each of the 3 studies. We then performed a 3-study meta-analysis of 3,683 cases and 14,507 controls genotyped or imputed for 2,366,197 autosomal SNPs. The meta-analysis genomic control value was 1.04 (Figs. S2B and S3B). No SNP in the 3-study meta-analysis reached genome-wide significance at $P < 5 \times 10^{-8}$.

In the 3-study meta-analysis, 53 SNPs from 3 independent regions had $P < 10^{-6}$ [Table 2 (top regional SNP) and Tables S2 and S3]. On chromosome 5q15, we observed strongest association evidence in the genome with SNP rs17418283 (OR = 1.21, $P = 1.3 \times 10^{-7}$) located in an intron of *MCTP1* (multiple C2 domains, transmembrane 1 isoform) (Fig. 1A). We observed a second signal ≈ 400 kb away in the first intron of *MCTP1* at rs153291 (OR = 1.13, $P = 2.7 \times 10^{-4}$). Inclusion of rs17418283 as a covariate in the analysis did not change the evidence for association for rs153291 (OR = 1.12, $P = 2.8 \times 10^{-4}$) (Fig. S5). On chromosome 3p21, we observed strongest association evidence (OR = 1.19, $P = 1.8 \times 10^{-7}$) with rs1042779 in a large LD block from 52.2 to 53.2 Mb (Fig. 1B). rs1042779 (Arg595Gln) and the nearby rs678 (Glu585Val) (OR = 1.19, $P = 2.5 \times 10^{-7}$) are nonsynonymous SNPs in *ITIH1* (inter-alpha trypsin inhibitor, heavy chain 1). 33 SNPs in this region showed strong evidence for association ($P < 10^{-6}$). On chromosome 1p32.1, we observed strongest association evidence with rs472913 (OR = 1.18, $P = 2.0 \times 10^{-7}$) ≈ 500 kb from the closest known gene, *NFLA* (nuclear factor 1 A-type) (Fig. 1C). In all 3 regions, the large WTCCC case/extended reference set showed the strongest association evidence, but there was no significant evidence of heterogeneity among the 3 studies (Table S2). On chromosomes 1 and 3, inclusion of the most strongly associated SNP as a covariate in the analysis diminished the evidence for association to $P > 10^{-2}$ for other SNPs in the region; these conditional analysis results are consistent with chromosome 1 and 3 association signals that reflect 1 BP-predisposing variant, or multiple BP-predisposing variants in high LD (Fig. S5).

To assess the sensitivity of our results to adjustment for PCs, we reanalyzed data from each study without PCs (Table S4). Meta-analysis P values for the top SNPs with and without PC adjustment varied by up to approximately 1 order of magnitude (Table S5). The most notable change was that evidence for our most strongly associated nonsynonymous SNP, rs1042779 on chromosome 3, became stronger (OR = 1.20, $P = 2.7 \times 10^{-8}$ vs. OR = 1.19, $P = 1.8 \times 10^{-7}$), indicating there may have been some population stratification at this locus.

$P = 1.7 \times 10^{-6}$) in the chromosome 3 region. On chromosome 5, no completely genotyped SNP had $P < 10^{-4}$.

Because of the strong WTCCC contribution to the 3 top results, we further evaluated the use of the WTCCC extended reference set in our analysis. We tested for association between the WTCCC BP cases and the much smaller NBS-only control sample. With this much-reduced sample, we saw more modest evidence of association for each SNP, although similar effect sizes for the chromosome 1 and 3 SNPs: rs472913 (OR = 1.17, $P = 0.0020$ vs. OR = 1.20, $P = 6.3 \times 10^{-7}$ in the BP cases vs. extended reference set), rs1042779 (OR = 1.17, $P = 0.0024$ vs. OR = 1.16, $P = 0.00012$), and rs17418283 (OR = 1.11, $P = 0.076$ vs. OR = 1.25, $P = 9.7 \times 10^{-8}$) (Table S4C). We also tested for allele frequency differences between the NBS controls and the non-BP cases. SNPs rs472913 ($P = 0.75$) and rs1042779 ($P = 0.56$) on chromosomes 1 and 3 showed no evidence of a difference. For rs17418283, the allele frequencies in the BP cases, NBS controls, and non-BP cases were 0.31, 0.29, and 0.27, and the allele frequencies in the NBS and non-BP cases were significantly different ($P = 0.0067$), but this difference was considerably less significant than that observed in the BP/extended reference set analysis ($P = 9.7 \times 10^{-8}$).

These data quality and robustness analyses suggest that the evidence for BP association remains strong for the chromosome 1 and 3 regions and less so for the chromosome 5 region, although the presence of a second signal in the chromosome 5 region strengthens our interest in that region.

Discussion

We carried out genome-wide association analyses of 2 new and 1 previously published (WTCCC) BP GWAS, and then performed metaanalyses of the 2 new studies with and without the WTCCC study. The sample size of the 3-study meta-analysis was 3,683 cases and 14,507 controls. No SNP reached genome-wide significance. For the most strongly associated SNP from each of the 7 regions with $P < 10^{-5}$ in the 2-study meta-analysis, none had $P < 0.1$ in the WTCCC data or < 0.0001 in the 3-study meta-analysis (Table S1B). However, 6 of these 7 associations went in the same direction in the WTCCC data.

In the 3-study meta-analysis, we identified 3 regions with $P \approx 10^{-7}$: 1p31.1, 3p21, and 5q15. The most strongly associated SNP genome-wide is rs17418283, located in an intron of *MCTP1* on chromosome 5. *MCTP1* is an extensively spliced, highly conserved membrane protein that binds Ca^{2+} with high affinity in the absence of phospholipids (12) and is highly expressed in the brain (<http://symatlas.gnf.org/SymAtlas/>). This gene may have 2 independent association signals, because SNPs in the first intron of *MCTP1* are also moderately and independently associated with BP. Our finding is intriguing because Ferreira et al. (6) recently implicated another Ca^{2+} -related gene, the L-type calcium channel subunit gene, *CACNA1C*, in BP ($P = 7.0 \times 10^{-8}$). The chromosome 5 region also contains *ANKRD32* (ankyrin repeat domain 32), which encodes an uncharacterized ankyrin-repeat-containing protein. There is no known functional relationship between *ANKRD32* and the *ANK3* gene implicated by Ferreira et al. (6).

We observed the strongest nonsynonymous SNP-BP association genome-wide for rs1042779 on chromosome 3 at 52.8 Mb. There are 10 genes in the most strongly associated 243 kb region, and > 25 genes in the ≈ 1 Mb larger region bounded by flanking recombination hot spots (Fig. 1B). rs1042779 is an Arg595Gln SNP in *ITIH1*. We also observed association with the nonsynonymous Glu585Val SNP rs678 in the same exon of *ITIH1* ($r^2 = 0.96$ for rs678 and rs1042779 in HapMap CEU). Four additional nonsynonymous SNPs in this region had $P < 10^{-5}$: rs2289247 (Val367Met) and rs11177 Arg27Gln in *GNL3* (guanine nucleotide binding like-3), rs1029871 (Pro225Ala) in *NEK4*, and rs3617 (Gln315Lys) in *ITIH3*. For the Glu585Val and Pro225Ala variants, Glu and Pro are the conserved alleles in mammals, and the Val and Ala alleles are functionally nonconservative changes. *ITIH1* encodes a serine

protease inhibitor highly expressed in liver (13). The family of inter-alpha trypsin inhibitors is thought to have anti-proteolytic activities and to play an anti-inflammatory role (14). *GNL3* encodes nucleostemin, which was isolated from rat CNS, is expressed in the nucleus of stem cells, and is thought to be a critical regulator of the cell cycle. Expression of *GNL3* rapidly declines upon neuronal cell differentiation, and both over- and under-expression lead to decreased stem cell proliferation in the CNS (15). Aberrant regulation of nucleostemin would be consistent with the neurotrophic hypothesis of mood disorders, which posits that stem-cell proliferative potential in the brain modulates BP risk (16). This association signal is 11 Mb proximal to a BP linkage peak (LOD = 2.01) reported for the families that are the source of the NIMH/Pritzker BP cases analyzed here (17).

On chromosome 1 at 60.8 Mb, there is no well annotated gene within 500 kb of the most strongly associated SNP, rs472913. rs472913 is in moderately high LD with rs2989476 ($r^2 = 0.74$), the most strongly associated BP SNP in the WTCCC BP case/extended reference set analysis (4). This SNP is in an intron of a possible transcript annotated by Unigene as P3NTera2D1 teratocarcinoma, and defined by multiple expressed sequence tags, including one expressed in brain.

After the 3 loci with the strongest associations on chromosomes 1, 3, and 5, there were additional notable observations. On chromosome 2, we identified rs13409348 (OR = 1.20, $P = 2.7 \times 10^{-6}$) (Table S2) located in intron 4 of the gene *CTNNA2* (encoding alpha N catenin 2). *CTNNA2* is expressed almost exclusively in distinct neuronal populations in primates (18). alpha N-catenin is thought to be a key regulator of the stability of synaptic contacts and the motility of dendritic spines, a key aspect of neuronal plasticity (19). Its deficiency in mice causes axon migration defects (20) and an abnormal startle response (21), a murine behavior indicative of dysregulation of sensorimotor gating that is often considered an endophenotype of psychosis in humans (22). The gene *LRRTM1* (Leucine-rich repeat transmembrane neuronal 1) is located in an intron of *CTNNA2*. We observed associations of a haplotype near *LRRTM1* with schizophrenia ($P = 0.0014$ in 1,002 families) and handedness ($P = 0.00002$) (23). This risk haplotype is best tagged by the rs1446109 A allele, which is ≈ 1 Mb from rs13409348 and shows modest evidence of association in our meta-analysis (A risk allele, OR = 1.08, $P = 0.08$). We also identified rs2537859 (OR = 1.16, $P = 4.2 \times 10^{-6}$) (Table S2) on chromosome 4, 42 kb upstream of *KIT* (v-kit Hardy-Zuckerman 4 feline sarcoma viral). *KIT* encodes a cytokine receptor of the tyrosine kinase family, expressed in multiple cells including neurons (24). *KIT* binds stem cell factor and plays a role in cell survival, proliferation, and differentiation (25). Nonsynonymous mutations and deletions in *KIT* are associated with multiple diseases and *KIT* overexpression in the brain can induce gliomas (26).

In the 2- and 3-study meta-analyses we identified a region on chromosome 1 at ≈ 195 Mb with 2 strong but distinct association signals ≈ 300 kb apart and separated by a strong recombination hotspot. rs2813164 (Table S1) emerged in the 2-study meta-analysis and is located between *NEK7* and *ATP6VIG3* (Fig. S4B). rs12568099 emerged in the 3-study meta-analysis (Table S2) and is located 25 kb downstream of *PTPRC* (Fig. S4C); there also was evidence for association around *NEK7*. Within the region, *ATP6VIG3* is of particular interest as it encodes a component of vacuolar proton-pumping ATPase involved in organelle and synaptic vesicle acidification (27).

Previous genome-wide association studies and subsequent meta-analyses of BP have identified SNPs that reached genome-wide significance or had support across multiple studies; for a description of the overlap between our sample and those of other studies, see *SI Materials and Methods*. The Ferreira et al. (6) meta-analysis identified SNPs with strong evidence of association in the regions of *CACNA1C* (rs1006737, OR = 1.18, $P = 7.0 \times 10^{-8}$) and *ANK3* (rs10994336, OR = 1.45, $P = 9.1 \times 10^{-9}$). Schulze et al. (28)

following manufacturer recommendations. Genotype calls were generated as described in ref. 39 with minor modifications. Initial calls were made using the Illumina CEU cluster file. 61 samples from 43 individuals with call rate <95% were dropped as were 11 individuals with inconsistent reported and genotype-based gender, 13 individuals to eliminate cryptic relatedness, and 26 outlier individuals in the stratification analysis (see below). SNPs with call frequency <99% were reclustered based on the GSK samples. 20,172 SNPs with (a) call frequency <95%; (b) call frequency 95–98% and cluster separation score <0.3, or heterozygote excess frequency >0.1 or less than –0.1; or (c) call frequency >98% and cluster separation <0.25 or heterozygote excess frequency >0.3 or less than –0.3 were dropped. Of the 521,990 SNPs that passed QC, 512,508 (full sample) and 512,668 (reduced sample) had $MAF \geq 0.01$ and were used in association analysis. Duplicate genotype concordance was 99.99%.

WTCCC. Samples were genotyped on the Affymetrix GeneChip 500K Mapping Array Set as described in ref. 4. 397,653 SNPs passed WTCCC QC and had $MAF \geq 0.01$.

Genotype Imputation. For each study, we imputed genotypes for up to ≈ 2 million autosomal SNPs with $MAF \geq 0.01$, using the genotypes from the Illumina Human-Hap550 Beadchip (NIMH/Pritzker, GSK) or the Affymetrix GeneChip 500K Mapping Array Set (WTCCC) and phased chromosomes for the 60 HapMap CEU founders. Genotypes were imputed using a Hidden Markov model as programmed in MACH (9) for SNPs not present in the genotyping platform or whose genotype data failed QC. We imputed WTCCC and Pritzker to Build 35 and GSK to Build 36. We retained imputed SNPs with estimated $r^2 > 0.3$ and imputed $MAF \geq 0.01$. The numbers of imputed SNPs used in analysis were 1,960,204, 1,952,561 (1,952,849), and 2,034,246 for NIMH/Pritzker, GSK full sample (reduced sample), and WTCCC, respectively.

The *SI Materials Materials and Methods* contains an expanded version of the following sections.

Assessment of Stratification. In each set of study samples we performed principal components (PC) analysis based on a subset of the sample genotypes (40) in unrelated individuals. We excluded individuals in the NIMH/Pritzker and GSK samples with $PC > 6$ SD from the mean of one or more of the top 10 PCs; to mirror the analysis used by the WTCCC (4), we did not exclude WTCCC samples.

GWA Analysis. We eliminated 694 SNPs with allele frequency differences >0.2 for any pair of studies. We analyzed the observed allele counts or imputed allele dosages, using logistic regression assuming an additive genetic model, with genotype-based PCs and study site (GSK only) as covariates, and then repeated the analysis without PCs. In the NIMH/Pritzker sample, we used a sandwich estimator (41) to adjust the estimated variances. For the WTCCC sample, we compared the BP cases to the extended reference set as our primary analysis, except in the HLA region on chromosome 6 from 27.2 to 34.0 Mb where we excluded the 5,571 autoimmune disease cases (type 1 diabetes, Crohn's disease, rheumatoid arthritis) from the GWA analysis.

Meta-Analysis of GWA Samples. We performed a fixed effects meta-analysis, using the OR and 95% confidence intervals to combine the association evidence from the study-specific GWA analyses. We used association results for experimentally derived genotypes when available, and for imputed genotypes otherwise. 2,366,197 autosomal SNPs passed QC and had $MAF \geq 0.01$ in all 3 samples. We adjusted for the genomic control values in each study separately for genotyped and imputed SNPs by increasing the standard error of the OR estimate to correspond to the genomic control P value. Evidence for heterogeneity between ORs was assessed using Cochran's Q statistic and I^2 (9).

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