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## Human Umbilical Cord Blood Progenitors: The Potential of These Hematopoietic Cells to Become Neural

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### Abstract

The mononuclear fraction from human umbilical cord blood (HUCB) contains a significant number of stem/progenitor cells that in theory could become any cell in the body, including neurons. Taking into consideration that transdifferentiation would be a very rare event and also knowing that overlapping genetic programs for hematopoiesis and neurogenesis exist, we undertook a characterization of the HUCB mononuclear fraction, including analysis of cellular subpopulations and their morphology, cell viability, proliferation, and expression of neural and hematopoietic antigens. Two cell populations were apparent—adherent and floating fractions. The adherent fraction was mainly lymphocytes (~53%) expressing hematopoietic antigens. Upon replating, the floating population had many cells that expressed stem cell antigens. More of the cells in this subfraction expressed neural proteins. Neurotrophin receptors *trkB* and *trkC* were present in both cell fractions, although expression was higher in the floating fraction. Our initial characterization suggests that a subpopulation of cells exists within the HUCB mononuclear fraction that seems to have the potential to become neural cells, which could then be used in the development of cell-based therapies for brain injuries and diseases.

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### DISCLOSURES

P.R.S. is cofounder and owner of Saneron CCEL Therapeutics, Inc. A.E.W., S.G.D., T.Z., and J.S.R. are consultants to Saneron CCEL Therapeutics, Inc. P.R.S., S.G.D., J.S.R., and A.E.W. are coinventors on HUCB-related patents.

## Keywords

Human; Umbilical cord blood; Stem/progenitor cell; Multipotential differentiation Hematopoietic and neural antigens; Neuropoiesis

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## INTRODUCTION

Human umbilical cord blood (HUCB) cells have been used as a powerful tool for the treatment of several blood-related diseases such as Fanconi anemia [1], leukemia [2,3], thalassemia, sickle cell disease [4], and Wiscott-Aldrich syndrome [5]. Recently it was observed that cells derived from this source have the ability to express markers and morphologies of other cell types within the same mesodermal germ layer such as bone [6], fat [7], smooth muscle [8], and skeletal muscle [9]. More surprisingly, exposing HUCB cells to various experimental conditions showed that their progeny could also reveal properties typical of neuroectoderm-derived cells [10–13]. This multilineage differentiation capacity and the expression of neural properties suggests that HUCB cells may have the ability to transdifferentiate or become nonhematopoietic cells of various tissue lineages, including neural cells. If this is the case, they may therefore be useful for numerous cell-based therapies requiring either the replacement of individual cell types or substitution/replenishment of missing substances.

It has been reported that these cells can be used as a source of therapeutically effective substances with the ability to improve functional outcomes after stroke [14–16] and delay both onset of symptoms and death of animals with amyotrophic lateral sclerosis [17,18]. Furthermore, these cells were shown to be useful in models of traumatic brain injury [19,20] and spinal cord injury [21,22]. In the Sanfilippo animal model of mucopolysaccharidosis IIIB, histopathological improvements were found after HUCB administration [23]. In the clinic, treatment of a young Krabbe's leukodystrophy patient with HUCB infusions resulted in attenuated progression of the disease [24]. These studies rely more on the trophic effect of the mononuclear HUCB cells than on actual cellular replacement. Only a few transplantation studies have addressed the possibility that the mononuclear fraction may contain a small number of nondifferentiated cells that may, under specific circumstances, such as stroke or placement into a favorable neurogenic environment [12,15], give rise to neural-like cells.

Knowing that overlapping genetic programs for hematopoiesis and neuropoiesis exist [25], in this study we explored the possibility that stem/progenitor cells in the mononuclear HUCB fraction can produce progeny that express neural antigens when grown as adherent or nonadherent cultures. We used culture conditions commonly used for neural tissue and examined through available immunocytochemical stainings and Western blot analysis whether, and to what extent, the antigens typical for neural lineages would overlap with hematopoietic surface antigens characteristic for hematopoietic stem/progenitor cells or their fully differentiated progeny. In addition, we investigated whether the hematopoietic cells, expressing neural antigens without previous exposure to neuralizing epigenetic factors, would attain the morphology of neural-derived cells. These observations will help us clarify the real contribution of the mononuclear HUCB fraction to the overall pool of hematopoietic cells that seem to be able to transdifferentiate toward neural lineages or determine whether this transdifferentiation is just a temporary coexpression of hematopoietic and neural markers triggered by unusual or artificial environmental cues.

## MATERIALS AND METHODS

### Wright-Giemsa Smears Before Culturing

To identify the cellular composition and morphology of the mononuclear HUCB fraction after thawing, Wright-Giemsa stain (Sigma-Aldrich, St. Louis, <http://www.sigmaaldrich.com>) was used. Using the wedge technique, smears of HUCB cells were prepared from a thawed sample, air dried, and stained for 30 seconds. After several washes in deionized water (pH 7.2), the slides were allowed to dry and coverslipped with water. The stained smears were analyzed under a light microscope, and representative images of various cell types from the smears were photographed at  $\times 100$ . The sizes of individual hematopoietic cells at different maturational stages were measured using Image-pro Plus 4.1 software (Media Cybernetics, Inc., Silver Spring, MD, <http://www.mediacy.com>) (Table 1), and the cells were categorized based on their size and morphology [26].

### Preparation of Mononuclear HUCB Cell Cultures

**Adherent Culture**—Cryopreserved samples of HUCB mononuclear fraction (supplied by Cambrex Corporation, East Rutherford, NJ, <http://www.cambrex.com>, or Saneron CCEL Therapeutics, Inc., Tampa, FL, <http://www.saneron-ccel.com/pages/893814/>) were thawed rapidly in a 37°C water bath, suspended in 10 ml of Dulbecco's modified Eagle's medium (DMEM) (Invitrogen, Carlsbad, CA, <http://www.invitrogen.com>) with 10% fetal bovine serum (FBS) (Invitrogen) and 0.1% gentamicin (Sigma-Aldrich) in a 15-ml conical tube (Falcon, BD Biosciences, San Diego, <http://www.bdbiosciences.com>), centrifuged (400g/7 minutes), and resuspended in 1 ml of the above-mentioned fresh medium. Cell viability (62%–90%) was assessed by a rapid staining procedure with 0.4% trypan blue (Invitrogen). Cells were plated in the described medium on poly-L-lysine-coated (PLL) (10  $\mu$ g/ml) eight-well chamber slides (Lab-Tek, Campbell, CA, <http://www.labtek.net/>) at a seeding density of 100,000 cells per  $\text{cm}^2$ . All cultures were incubated at 37°C in 5%  $\text{CO}_2$  for 1–20 days in vitro (DIV), with fresh medium added every 5 days. Four donor samples were used in this experiment. Experiments were performed in duplicate for each time point.

**Floating HUCB Fraction**—Several cultures (20–34 DIV) with whole mononuclear HUCB cells were used to generate the floating population. The supernatants were collected, cells were spun down (400g/7 minutes), and the viability of the aliquot was determined (95%) using 0.4% trypan blue. The cells were replated into fresh DMEM (containing 10% FBS and 0.1% gentamicin) on PLL-coated (10  $\mu$ g/ml) 24-well plates (Nunc, Rochester, NY, <http://www.nuncbrand.com>) at a seeding density of 100,000 cells per  $\text{cm}^2$ . Fresh medium was added on day 5. The cultures were fixed and analyzed on day 10.

### Dissection and Culture of Embryonic Tissue

Sprague-Dawley timed-pregnant rats (Harlan, Indianapolis, <http://www.harlan.com>) were anesthetized with Equithesin (3 ml/kg, i.p.), and the embryonic (E14–18) brains (15 embryos per dam) were removed from their skulls. The cortical and olfactory bulb [27,28] tissues were dissected and mechanically dissociated in Hanks' balanced salt solution/HEPES solution with 0.05% DNase. The cells were resuspended in DMEM, 10% FBS, and 0.1% gentamicin and plated on PLL-coated 24-well plates at a seeding density of 75,000 cells per  $\text{cm}^2$ . Preplating viability ranged from 90%–95%. A day after plating, the medium was changed to Neurobasal (Gibco, Grand Island, NY, <http://www.invitrogen.com>) with 0.01% of B27 supplement (Gibco) and gentamicin. The cells were maintained at 37°C in 5%  $\text{CO}_2$  with 95% humidity for up to 10 DIV.

### Viability of Cultured HUCB Cells

Before fixation, fluorescein diacetate/propidium iodide (FDA/PI) (Sigma-Aldrich) staining was used to assess the viability of the adherent mononuclear fraction at 1, 5, 10, 15, and 20 DIV. The numbers of living (green) and dead (red) cells were counted in 16 randomly selected fields per chamber slide per time point under an inverted fluorescent microscope. Cell viability was calculated as the number of living cells divided by the total number of cells multiplied by 100.

### Isolation of CD133<sup>+</sup> Cells from HUCB Mononuclear Fraction

Cryopreserved HUCB mononuclear fractions purchased from Cambrex Corporation were thawed rapidly in a 37°C water bath and then washed with phosphate-buffered saline (PBS) containing 2 mM EDTA (Sigma-Aldrich). The cell pellet was resuspended in a final volume of 300  $\mu$ l of buffer (PBS, pH 7.2, supplemented with 0.5% bovine serum albumin and 2 mM EDTA) per  $10^7$  total cells, and then the CD133<sup>+</sup> cells were separated out using a CD133 cell isolation kit (Miltenyi Biotec, Bergisch Gladbach, Germany, <http://www.miltenyibiotec.com>) according to manufacturer's instructions. Briefly, after adding 100  $\mu$ l FcR blocking reagent, cells were incubated with CD133 MicroBeads for 30 minutes at 4°C to 8°C. CD133<sup>+</sup> cells then were isolated from the HUCB mononuclear fraction by a Mini MACs (a magnetic cell separator; Miltenyi Biotec). After separation, the total number of positive cells and the viability were determined using a hemacytometer. A total of  $3.1 \times 10^4$  CD133<sup>+</sup> cells was isolated from the HUCB mononuclear fraction, and viability was 98%. Immunocytochemical staining confirmed all isolated cells were positive for CD133.

### Immunocytochemistry

Immunocytochemistry was used for identification of hematopoietic, embryonic, and neural-specific antigens in HUCB cells and primary embryonic cell cultures. The HUCB cultures (adherent and floating) were fixed with 4% paraformaldehyde in 0.1 M PBS (pH 7.4) at 1, 5, 10, 15, and 20 DIV, whereas primary cell cultures were fixed at day 10. After rinsing three times in PBS, the cells were blocked with 10% goat serum and 0.1% Triton X-100 (omitted for immunolabeling of surface markers) in PBS for 1 hour at room temperature (RT). They were then incubated with the primary antibody (Table 2) at 4°C for 24 hours, washed in PBS three times, and incubated with a species-appropriate secondary antibody (Alexa Fluor 594, 488; Molecular Probes Inc., Eugene, OR, <http://probes.invitrogen.com>; 1:800 and 1:500, respectively) for 1 hour at RT, washed again with cold PBS, and coverslipped with 95% glycerol. Cell nuclei were visualized with 4,6-diamidino-2-phenylindole hydrochloride (100 ng/ml; Sigma-Aldrich). All culture slides were examined under BX-60 or IX-71 microscopes (Olympus, Tokyo, <http://www.olympus-global.com>).

### Quantitative Analysis

To assess the initial composition of the mononuclear HUCB fraction, we counted the number of morphologically distinct cell types from three samples of HUCB cells (three to four smears per sample). The prevalence of each individual cell type was determined from 24 visual fields taken at  $\times 40$ . The number of cells with similar morphology was divided by the total number of cells per field and multiplied by 100 (Table 1).

To estimate the number of cells positive for hematopoietic, embryonic, and neural markers, the number of immunopositive cells and the total number of cells were counted using fluorescent microscopy by a single individual. The percentage was calculated as the number of cells positive for the specific antigen divided by the total number of cells and then multiplied by 100. For each antigenic marker, counts were taken from five randomly selected fields in the well at  $\times 20$  magnification and expressed as mean  $\pm$  SEM. The total number of counted

cells for each antigen ranged from 1,500 to 2,500. Analysis of variance was used to determine the differences in the number of cells expressing the specific antigen at each of the different culturing periods (1, 5, 10, 15, and 20 DIV). The criterion of significance was set at  $p < .05$ .

The staining intensity of each antigen was also assessed quantitatively using a 0–4 ordinal scale, in which 0 = absent, 1 = minimal, 2 = moderate, 3 = high, and 4 = maximal. The average degree of immunostaining was then calculated for each antigen in five fields.

To compare the two subpopulations (adhered and floating) of HUCB cells, statistical analysis of the data was performed using the program GB-STAT version 7.0 (DynamicMicrosystems, Inc., Silver Spring, MD, <http://www.gbstat.com>) by Student's *t*-test with a significance level of  $p < .05$  (two-tailed).

### Western Blot

HUCB cells were cultured for either 5 or 10 DIV. Positive controls were prepared from male Sprague-Dawley rat brains (5 months old) euthanatized by decapitation. Longer-term cultures of the floating replated HUCB population (190 days), which highly expressed nestin and TuJ1, were also used as a positive control. The cell pellets or harvested brain tissue samples were suspended in the homogenization buffer (pH 8.0). The samples were homogenized by ultrasound and centrifuged (800g/20 minutes) to remove the nuclear fraction, and the resulting supernatants were collected. Protein concentration (for each sample) was assayed by bicinchoninic acid (Pierce, Rockford, IL, <http://www.piercenet.com>). The defined amount of protein (50  $\mu$ g/40  $\mu$ l) was separated by SDS-PAGE on a 7%–10% polyacrylamide gel, transferred on nitrocellulose membranes, and incubated for 1 hour at RT with 5% nonfat powdered milk in Tris-buffered saline containing 0.1% Tween 20 (TTBS) (pH 8.3). Immunodetection was performed using a monoclonal antibody against Nestin (1:100; BD Biosciences), TuJ1 (1:1,000; Covance, Princeton, NJ, <http://www.covance.com>), A2B5 (1:200; Chemicon, Temecula, CA, <http://www.chemicon.com>), or GFAP (1:500; Harlan) overnight at 4°C. After washing in TTBS, a secondary antibody conjugated to alkaline phosphatase (1:3,000; Bio-Rad, Hercules, CA, <http://www.bio-rad.com>) was applied for 1 hour at RT, and then the sample was washed again with TTBS. The reaction product was detected using a chemiluminescent protein detection system (Bio-Rad).

## RESULTS

### Cellular Composition of the Mononuclear HUCB Fraction Before Culturing

Upon thaw of the HUCB mononuclear cells, Wright-Giemsa smears were prepared to determine the ratio of differentiated and undifferentiated leukocytes (Fig. 1A). Two samples from four donors each were used. The stained cells were categorized into lymphoid (54%) and myeloid (7%) lineages based on the appearance of their nuclei and cytoplasm. The cells from the lymphoid lineage consisted of lymphoblasts (Fig. 1A, part A' [H]) and small lymphocytes (Fig. 1A, part A' [I]). Cells of the myeloid lineage had a characteristic granular cytoplasm (granulocytes) and consisted of monocytes (Fig. 1A, part A' [F, G]) and myeloid (Fig. 1A, part A' [B–E]) cells. Thirty-nine percent of the cells were not as easily defined. The morphology of these cells was round and similar to lymphocytes. Additionally, 1%–2% of cells resembled immature thrombocytes and erythrocytes. The number of mature erythrocytes was negligible (0.78%, Table 1).

### Viability and Morphology of Cultured Mononuclear HUCB Cells

The viability of the HUCB cells at thaw ranged from 65%–85%. After 5 DIV, FDA/PI fluorescent staining revealed that viability remained high (85%), whereas at later time points (10, 15, and 20 DIV; Fig. 1A [B]), viability was maintained at 50%–60%. The morphology of

plated cells changed rapidly over time (Fig. 1A [C]). These initially small, round cells attained a new morphological appearance within 24–48 hours after plating. Numerous cells within clusters started to send out tiny processes. Later on (5–20 DIV), clearly distinct cell types appeared (Fig. 1B). The large egg-shaped cells without processes were common. They tightly adhered to the culture plate and frequently had numerous small cells on their surface or in close vicinity to the cell. The most frequently observed cell type was the small, round cell, either with one or two processes of variable length or with a ciliated surface.

### HUCB Cells Reveal Immunoreactivity for Hematopoietic and Neural Antigens

We cultured HUCB mononuclear cells with serum-containing medium because these cells grow better in serum. The same lot of serum was used throughout the study, which allowed us to compare the morphology and cell type-specific antigens across several platings and time points (1, 5, 10, 15, and 20 DIV). Under these conditions, we found that there were two subpopulations of cells in the HUCB mononuclear fraction, an adherent and a floating population. Cellular composition, morphology, viability, and developmental potential of these two subpopulations are different.

#### Adherent Monolayer Cultures

**Expression of Hematopoietic Antigens—**We found that most adherent HUCB cells ( $90.85\% \pm 3.33\%$ ) expressed common leukocyte antigen (CD45) at all examined time points (Fig. 2A [A]). The morphology of these CD45<sup>+</sup> cells was generally round without differentiation. Only a few cells expressed CD133 ( $2.72\% \pm 1.88\%$ ; Fig. 2A [C]) and CD117 ( $6.67\% \pm 4.23\%$ ) on day 1 (Fig. 3A). Both of these antigens were used for identifying stem/progenitor cells. These cells were small and round and were scattered throughout the culture. In addition, we noted that only a small number of CD15<sup>+</sup> cells were present in this subfraction over all time points, although expression peaked at 10 DIV ( $18.13\% \pm 5.95\%$ ; Fig. 2A [B]). CD15 is normally expressed on 90% of human circulating granular cells and 30%–60% of circulating monocytes. It is also known as stage-specific embryonic antigen (SSEA-1) or Lewis X because it recognizes the carbohydrate epitope 3-fucosyl-N-acetyl-lactosamine on embryonic stem cells.

**Expression of Early and Mature Neural Antigens—**To explore the potential of the adherent HUCB cells to produce neuron-like cells, we investigated the expression of neural proteins in these cells. Cells were immunofluorescently labeled with specific antigens, and the percentage of cells expressing each antigen was determined at all examined time points during the study (Fig. 2, Fig 3). Many antigens typically found in the brain were expressed in the adherent fraction of HUCB cells. The highest frequency of expression was observed at 10–15 DIV. Nestin expression increased from  $1.9\% \pm 1.3\%$  after 1 DIV to  $33.44\% \pm 11.51\%$  after 15 DIV (Fig. 2A [D], Fig. 3B). Similarly, A2B5 (Fig. 2A [E]) expression peaked ( $28\% \pm 4\%$ ) at 15 DIV and decreased to  $1.59\% \pm 0.46\%$  by 20 DIV. In addition, regardless of their morphology and the length of the culturing period, almost all cells (average,  $95.55\% \pm 2.3\%$ ) expressed vimentin, which is not only a marker of early neural cells but also a marker of mesenchymal origin. Besides these three antigens which are indicative of immature cells, we also detected mature glial antigens. GFAP was highly expressed in round cells at all times except 20 DIV, when expression was negligible (Fig. 3C) and strictly confined to small cells with two processes (Fig. 2A [J]). At the same time, S100 was highly expressed by many medium-sized cells. Further, the oligodendrocyte marker galactocerebroside was detected on the surface of round and irregularly shaped cells (Fig. 2A [K]) throughout the entire culture period.

HUCB cells within the adherent fraction in our study conditions were less likely to express either immature or mature neuronal markers. Immature neuronal antigen TuJ1 was present in a small population of round-shaped cells or cells with single processes at 1, 5, and 10 DIV (Fig.

2A [G]), although expression was highest at 1 DIV ( $7.9\% \pm 5.9\%$ ). We did not find TuJ1<sup>+</sup> cells at 15 or 20 DIV. The mature neuronal antigen, MAP2, was almost absent in adherent HUCB cells (Fig. 3C). Those rare MAP2<sup>+</sup> cells, however, had a neuron-like morphology with small somas and long, thin processes (Fig. 2A [I]). Interestingly, NF68KD expression was found in  $34.86\% \pm 10.14\%$  of the cells at all studied intervals (Fig. 3C). NF68KD predominantly stains neurons of the central and peripheral nervous system. This antigen was mostly expressed in cells with multiple processes (Fig. 2A [H]).

To elucidate whether there is coexpression of hematopoietic and neural antigens within the same cells, we first labeled adherent cultures with the antibody recognizing CD45 and afterward labeled cells with TuJ1, MAP2, or GFAP (Fig. 2B). Some HUCB cells coexpressed CD45 antigen and TuJ1 (Fig. 2B [A]; CD45<sup>+</sup>/TuJ1<sup>+</sup>) or GFAP (Fig. 2B [C]; CD45<sup>+</sup>/GFAP<sup>+</sup>). Interestingly, CD45 was not coexpressed with MAP2 (Fig. 2B [B]), and the morphologies of these CD45<sup>-</sup>/MAP2<sup>+</sup> cells were different from the CD45-positive cells. MAP2 immunoreactive cells (CD45<sup>-</sup>/MAP2<sup>+</sup>) were well-differentiated, extending long processes. We also observed positive cells with coexpression of vimentin and GFAP antigens (vimentin<sup>+</sup>/GFAP<sup>+</sup>).

**Presence of Neurotrophin and Chemokine Receptors**—In this study, we also investigated the expression of neurotrophic receptors in the adherent HUCB subfraction, including the high-affinity receptors (trkA, trkB, and trkC) and low-affinity receptor p75NTR. The presence of neurotrophin receptors suggests that these cells could be induced into becoming neural cells. We noted that most of the HUCB cells in the adherent cultures expressed trkB and trkC. The trkA and p75NTR receptors, however, were rare in the adherent fraction (Fig. 3D). From 5 DIV, trkB was detected and reached a peak at 15 DIV ( $90.44\% \pm 2.86\%$ ; Fig. 2A [M]; Fig. 3D), whereas trkC was expressed on round cells at all time points (Fig. 2A [N]; Fig. 3D). In this experiment, we also examined the expression of CXCR4, the stromal derived factor-1 (SDF-1) receptor; SDF-1 is a chemokine important in migration of hematopoietic cells as well as in the development of the central nervous system (CNS). Half of the cultured HUCB cells expressed this antigen, although the morphology of these CXCR4<sup>+</sup> cells was varied (Fig. 2A [O]).

### Replated Floating Mononuclear HUCB Fraction

**Morphology and Phenotype**—We collected the floating population from 20- to 34-DIV HUCB cultures. Viability of these cells was high (90%–95%). After replating, these cells attached and practically all cells began to differentiate within 24–48 hours (Fig. 1D). The major difference in morphology between the replated floating fraction and the adherent fraction was that there were no large, egg-shaped cells in the replated floating fraction. In addition, there were numerous cells with long, thin processes in this fraction.

When we compared the incidence of hematopoietic antigens presented in both the adherent and floating-replate fractions, we found substantially fewer CD45-labeled cells and a large number of immature cells expressing CD133 and CD117 surface markers (Table 3) in the replated floating fraction; further, there was a significant increase in the number of cells expressing A2B5 ( $p < .001$ ) and both trkB and trkC ( $p < .05$ ) and a significant decrease in all mature glial markers ( $p < .05$  to  $.001$ ). This finding suggests that there may be more stem/progenitor cells present in the replated floating fraction that could be induced into a neuronal lineage. Even so, when we separated the CD133<sup>+</sup> cell population from the whole mononuclear fraction, these cells failed to proliferate under the same culture conditions used with both the adherent and floating fractions. Although they maintained their cellular morphology throughout the study period, all of the cells died within 3 weeks of culturing.

We also used adult rat brain (5 months old) as a positive control for CNS antigen expression, degree of immunofluorescent labeling, and true neural morphologies (Fig. 2D; Table 4).

### Western Blot

For Western blots, the following cell preparations were examined: HUCB mononuclear cells on thaw (HUCB day 0), the adherent HUCB cells cultured for 5 or 10 DIV; primary cultures from 5-month-old rat brain (positive control); and floating-replate HUCB cells (cultured for 190 DIV and having extensive TuJ1 expression). Western blot analysis confirmed immunocytochemical detection of the neural markers nestin, TuJ1, A2B5, and GFAP. Adherent HUCB cells showed a weak intensity band for nestin at 5 and 10 DIV (220 KD), whereas the floating-replate HUCB cells were strongly positive for nestin; we did not find nestin in the 5-month-old rat brain preparation, most likely because nestin is commonly present only during early development of brain. TuJ1 (50 KD) was weakly expressed in HUCB cells at thaw and at 5 and 10 DIV, whereas the floating-replate subpopulation and the rat brain had strong TuJ1 immunolabeling. Weak bands were seen for A2B5 (42 KD) and GFAP (56 KD) in all samples. The  $\beta$ -actin loading control demonstrated that similar amounts of protein were added in each lane (Fig. 4).

## DISCUSSION

In this study, we characterized the HUCB mononuclear fraction before and after culturing with serum-containing media without addition of induction factors such as neurotrophins, growth factors, or cytokines. Specifically, we examined the expression of embryonic (stem), hematopoietic, and neural antigens over time in culture. We categorized the raw HUCB mononuclear fraction into two different subpopulations—adherent and floating-replate. We found that there were a significant number of stem/progenitor cell antigens expressed on cells in the floating population as well as neural antigens.

### Basic Characteristics of the HUCB Cells In Vitro

The freshly thawed HUCB mononuclear fraction was comprised of 53% mature lymphoid cells. Only 1.95% and 3.1% of HUCB mononuclear cells were myeloid cells or monocytes, respectively, and most of these were more immature than those reported for bone marrow [29]. These immature cells in HUCB may actively proliferate and have multipotential differentiation capabilities when cultured in the absence of extrinsic promoting factors [30]. Mayani et al. showed that survival and proliferation of these cultured cells depended on high seeding density ( $1 \times 10^7$  to  $1 \times 10^8/\text{cm}^2$ ). This may be because HUCB cells cultured at higher seeding densities contain a larger number of stromal precursors, which are able to develop and form a feeder layer (our putative adherent subpopulation); this layer in turn generates sufficient intrinsic promoting factors such as stem cell factor and interleukin 6 [31] to support long-term survival of the nonadherent HUCB population (our floating-replate subpopulation).

The cultured HUCB mononuclear fraction was heterogeneous, with six common morphological cell types (Fig. 1C). The two most common in our observations were the large egg-shaped cells and the small round cells. The large egg-shaped cells that attached tightly to culture vessels and preferred serum-containing medium were part of the adherent subfraction. This feeder layer has been described by Ye et al. [31], who demonstrated that the central components of this layer were fibroblasts, macrophages, endothelial cells, and several extracellular matrix proteins. The small round cells sit on the top of large egg-shaped cells or were floating in the medium and hence were part of the floating-replate population; these cells were highly proliferative and multipotent. The morphology of these cells changed over time in culture.



## Expression of Hematopoietic and Neural Antigens

Fully 90% of the adherent HUCB cells expressed the common leukocyte antigen CD45. In addition, there were few cells in this fraction that transiently expressed stem/precursor antigens (CD133 and CD117). More cells that expressed early stem cell antigens were found in the floating-replated population of HUCB cells and not the adherent cell subpopulation. In our culture, these CD133<sup>+</sup> cells seem to be quiescent because we did not see any changes in morphology or proliferation in purified cultures of CD133<sup>+</sup> cells maintained under the same conditions as we used for the floating or adherent cultures. This is consistent with the hypothesis that adult stem cells exist in a quiescent state in the blood until an injury or disease state triggers the stem cells to proliferate or differentiate through the production of specific factors [32].

Another stem cell antigen, CD15<sup>+</sup>, or SSEA-1, was found in the HUCB cell cultures. This antigen is expressed on embryonic stem cells, primitive hematopoietic stem cells, and also within the developing human brain [33,34]. The expression of CD15 antigen in both hematopoietic and neural lineages suggests that there may be a set of overlapping genes controlling genesis in both lineages.

The expression of neurotrophin receptors in HUCB cells certainly suggests that the HUCB cells could be driven to a neural fate. By binding to their appropriate receptors, neurotrophins play important roles in differentiation and survival of neural cells during CNS development. The activity of trk receptors themselves also affects cell survival by adenosine [35]. Although the large number of trkB<sup>+</sup> and trkC<sup>+</sup> cells observed in cultured HUCB cells indicated that cells are responsive to brain-derived neurotrophic factor, neurotrophin 3, and neurotrophin 4/5 and may be instrumental in transdifferentiation of the HUCB cells into neural cells, there is evidence that the neurotrophins play a functional role in the peripheral immune response. Neurotrophins are expressed by platelets [36] and B cells [37] and mediate activation and survival of eosinophils in allergic bronchial asthma [38]. They also enhance cytokine mRNA expression [39] in blood cells.

The CXCR4 chemokine receptor for SDF-1 is associated with normal development of the nervous system and is widely distributed throughout the body. SDF-1 induces leukocyte and hematopoietic progenitor migration through interactions with CXCR4; SDF-1 and a gradient of chemokine ligands also direct migration of the primordial germ cells [40,41]. The deletion of CXCR4/SDF-1 genes in mice resulted in fetal mortality with abnormal cerebellar development [42]. Although this chemokine is expressed in response to injury or disease, which may be useful for the development of the HUCB cells as a therapeutic agent, SDF-1's role in the neural development is not yet completely understood.

The presence of stem cell antigens within the HUCB population that we have examined suggests that there is a stem/progenitor population that may give rise to both hematopoietic cells and cells normally derived from other germ cell layers (e.g., neural cells) under the appropriate conditions. Certainly, when we examined the expression of neural proteins in HUCB cultures, many of the cells were positive. All of the neural antigens chosen (nestin, vimentin, A2B5, TuJ1, MAP2, GFAP, S100, NF68KD [NFL], and neurotrophin receptors) were observed within the HUCB subpopulations. We observed more glial antigens expressed in the adherent population and more neuronal antigens in the floating population. Our results are consistent with our earlier observations [13] and the observations of other laboratories [10,11] and could support an argument for transdifferentiation. However, without a functional assay, alternative explanations are more likely. We observed coexpression of both hematopoietic and neural antigens in some cells. In vivo, the coexpression of two different lineage markers could be indicative of cell fusion with the host cells, but this could not apply to our culture dish, in which only HUCB cells were plated. An alternative explanation is that mononuclear cells do express some of these antigens normally. GFAP is found in some cells

within the bone marrow compartment of the hematopoietic system [43]. A third possible explanation was elaborated by Lu et al. [44], who demonstrated that transdifferentiation of mesenchymal stem cells from bone marrow into neural cells is more likely a function of cellular toxicity with resulting changes to the cytoskeleton and not a change in the cellular differentiation pathways. Clearly the best demonstration that the cells have attained a neural phenotype will be through functional assays showing not only a neural morphology and protein expression but also synapse formation, neural electrophysiological activity, and neurotransmitter release. Even if we can demonstrate the true conversion of the HUCB cells into neural phenotypes, *in vitro* expansion of the cells may be problematic, as this may silence the cells' capacity to differentiate and engraft [45]. Further studies are warranted to better understand how to best use these cells in the development of cell therapies to restore brain function.

## ACKNOWLEDGMENTS

Tanja Zigova died in February 2004, during the preparation of this manuscript. This paper is dedicated to the memory of Dr. Zigova: an erudite scholar, a visionary scientist, and a gentlewoman. She was a beloved mentor, an inspiration, and a friend. We are grateful to Marci McCall for editorial assistance. This work was supported by NIH/NIA grant R01 AG20927-01 (to T.Z.).

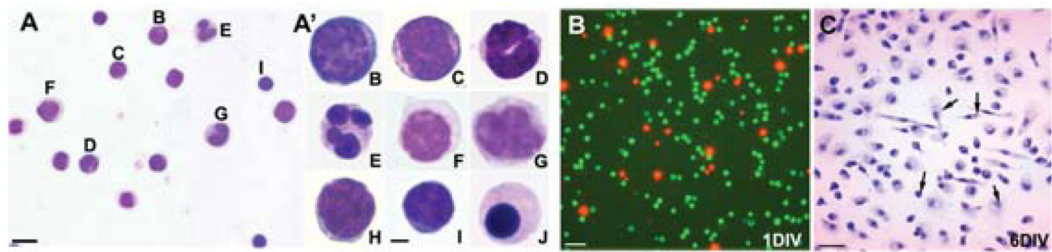
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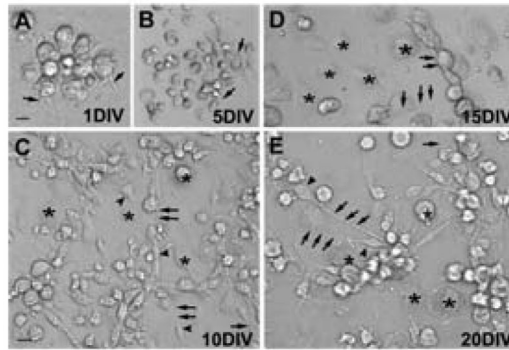
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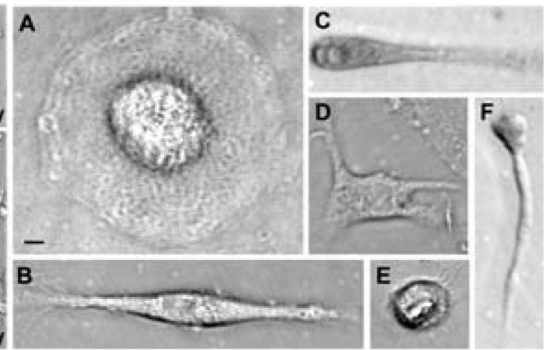
## Panel A



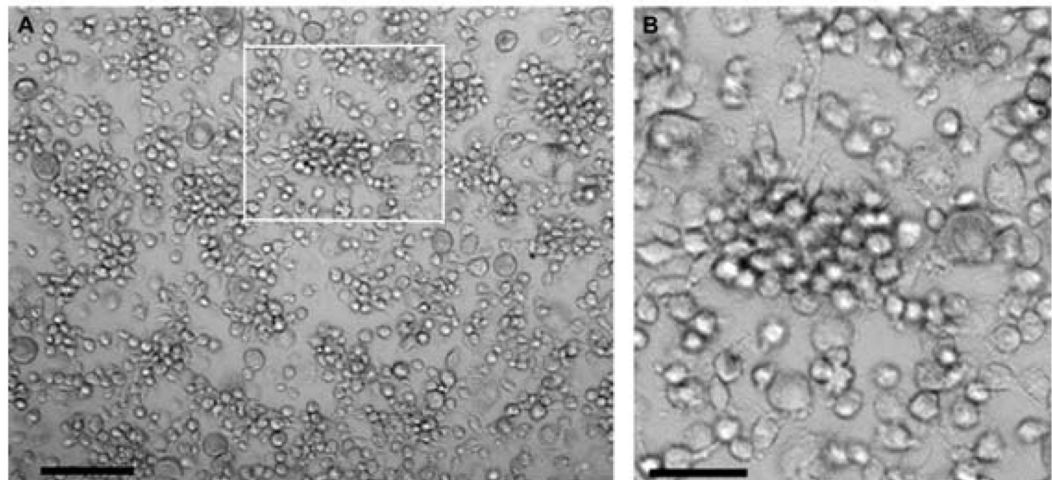
## Panel B



## Panel C



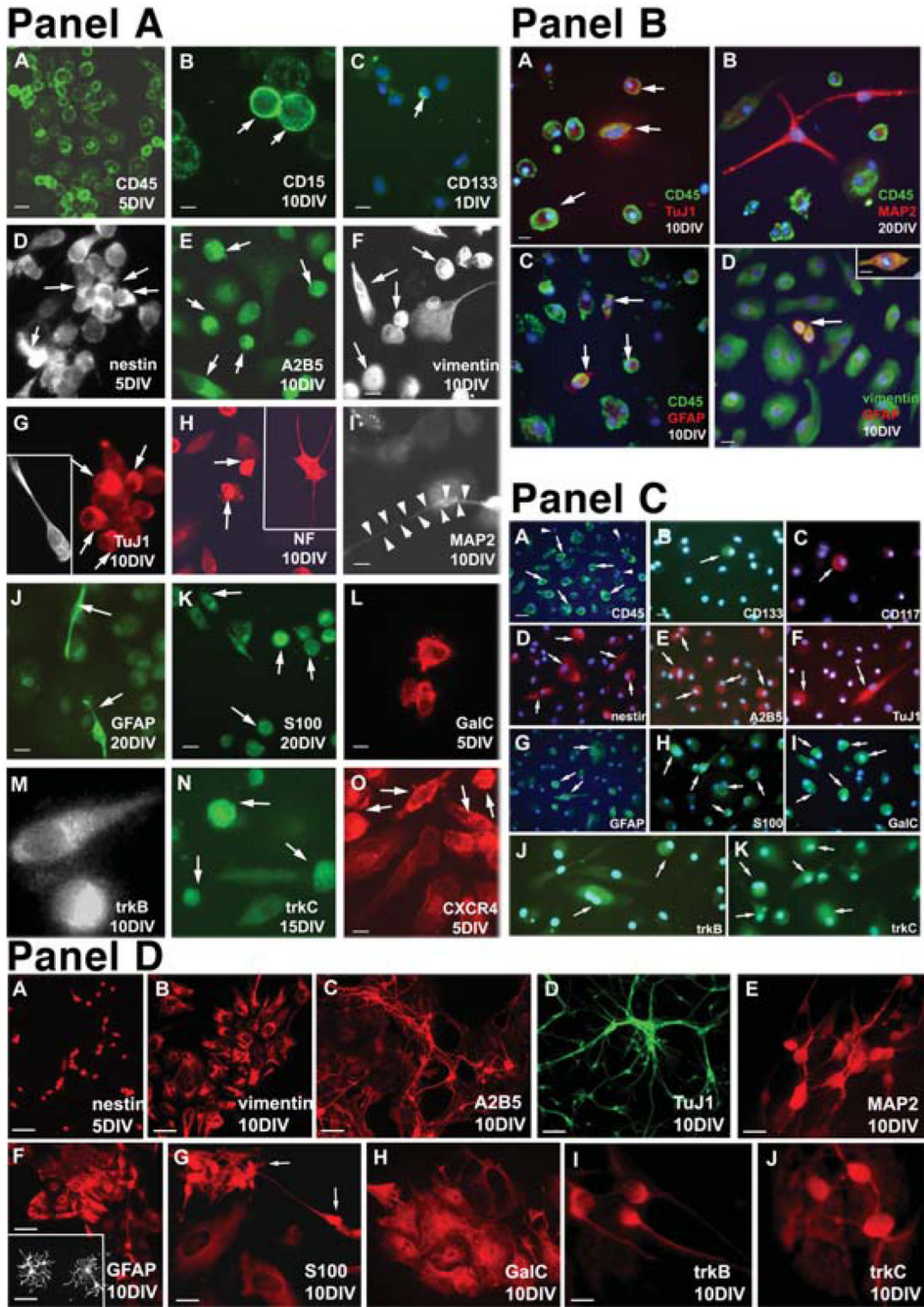
## Panel D



### Figure 1.

The Wright-Giemsa staining and morphology of a mononuclear HUCB fraction before and after culturing. **(Panel A)**: Part A, Giemsa stained smear of HUCB cells. Scale bar = 10  $\mu$ m. Part A' shows high magnification of individual cell types depicted on the previous image: (B) myeloblast, (C) promyelocyte, (D) band, (E) neutrophil, (F) promonocyte, (G) monocyte, (H) lymphoblast, (I) small lymphocyte, and (J) orthochromic normoblast. Scale bar = 2  $\mu$ m. Part B shows viability of cultured mononuclear HUCB cells; living cultures were stained with fluorescein diacetate/ propidium iodide to detect healthy (green) and dead (red) cells. Part C shows bright-field photomicrograph of Giemsa-stained cultured HUCB cells (6 DIV) demonstrating heterogeneous morphologies (arrows). Scale bar = 20  $\mu$ m. **(Panel B)**:

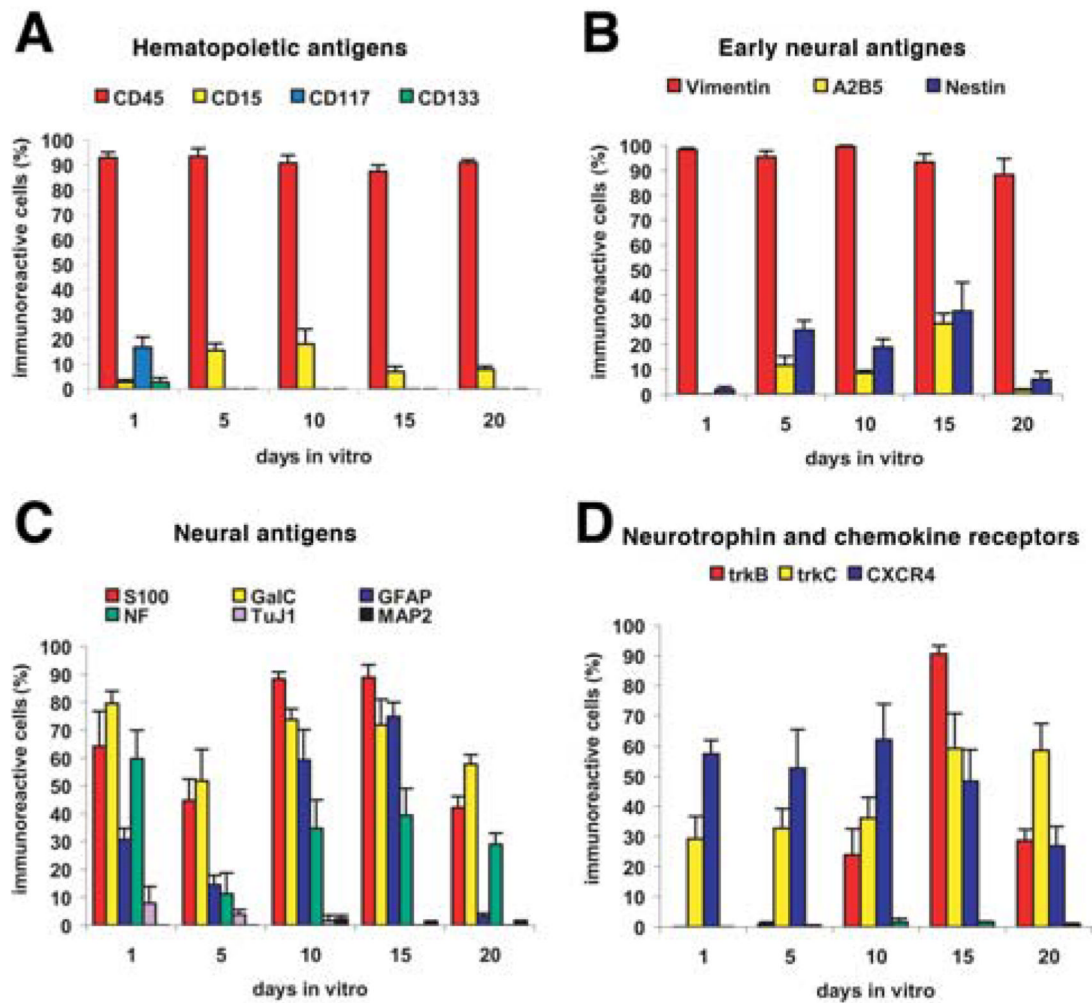
Morphology of the adherent population of mononuclear HUCB cells cultured for 20 days. (A): One day after plating, cells (arrowheads) formed small clusters from which a few cells sent out tiny processes (arrows). During the next culture period (5–10 days), the clusters became loosely packed and many cells extended longer processes interconnecting cell groups. (B, C): Besides small round cells either with or without processes (arrows), numerous larger round cells (asterisks) were found. These cells were always tightly adhered to the bottom of the culture well. (D, E): In the next two time periods (15 and 20 DIV), small cells (arrowheads) with longer processes were interspersed either with individual or groups of round flat cells (asterisks). Scale bar = (A) 10  $\mu\text{m}$  and (B–E) 20  $\mu\text{m}$ . **(Panel C)**: Different cell types were observed in adherent cultures: (A) large egg-shaped cells; (B) bipolar and (D) multipolar cells; (E) small round cells with multiple hair-like spines; and small cells with (C) thicker or (F) thinner processes. Scale bar = 2  $\mu\text{m}$ . **(Panel D)**: The morphology of cultured HUCB cells prepared from floating fractions. Morphologically, the cells were similar to the adherent population, with a striking absence of big round egg-like cells. (A): Numerous clusters (arrow) of uniform round cells with occasional processes were observed. (B): Higher magnification of the boxed area from (A). Scale bar = (A) 40  $\mu\text{m}$  and (B) 20  $\mu\text{m}$ . Abbreviations: DIV, days in vitro; HUCB, human umbilical cord blood.



**Figure 2.** Fluorescent images. **(Panel A):** Immunocytochemical characterization of (A–C) adherent mononuclear HUCB cells showing the expression of hematopoietic CD antigens. (A): Almost every cell revealed surface CD45 (green) antigen at 1, 5, 10, 15, and 20 days. (B): Only a few cells were positive for the antibody against CD15 (green, arrows) throughout the entire course of the study. (C): The presence of immature progenitors expressing CD133 (green, arrow) was recorded only in short-term (1 DIV) cultures. DAPI counterstaining was used for visualization of the entire cell population. Scale bar = (A, B) 20  $\mu$ m and (C) 10  $\mu$ m. (D–F): Several early neural markers were expressed in HUCB cells. Cells positive for (D) nestin, (E) A2B5, and (F) vimentin were observed throughout the entire culturing period. Arrows in (D–F) point to

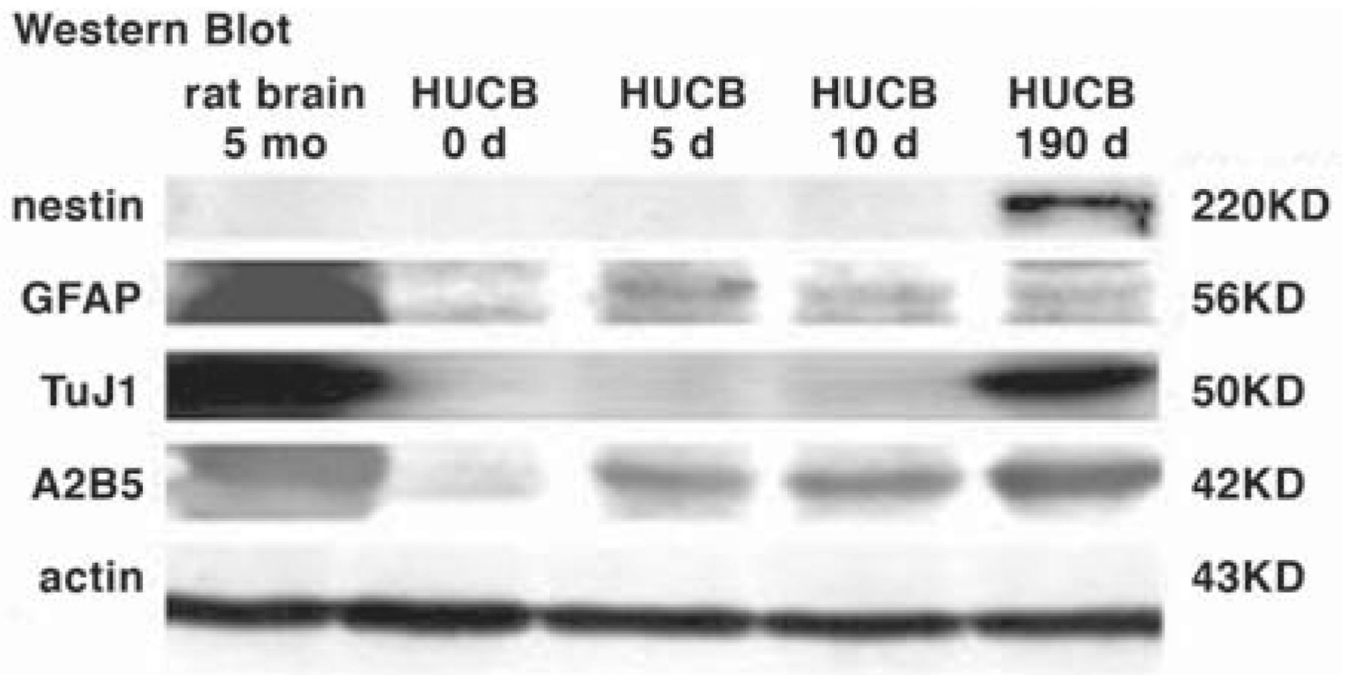
immunoreactive cells. (G–I): HUCB cells expressed early and mature neuronal antigens. Ten days after culturing, only a few cells expressed TuJ1 (G, arrows) and MAP2 (I). The arrow points to the MAP2-positive soma, whereas arrow-heads delineate the long process. (H): At the same time, many cells were immunoreactive for NF68KD (arrows). Scale bar = (D–I) 20  $\mu\text{m}$ . (J–L): Cultured HUCB cells express glial antigens. (J): Numerous cells were positive for GFAP. The arrows point to two cells with bipolar morphology. In sister cultures, the positivity for other glial markers such as S100 (K, arrows) and GalC (L) was detected. Scale bar = (J, K) 20  $\mu\text{m}$  and (L) 10  $\mu\text{m}$ . (M–O): Expression of neurotrophin and chemokine receptors. (M): Positivity for *trkB* was found on day 5 only, whereas *trkC* (N, arrows) was present throughout the whole culture period. (O): CXCR4 was present in numerous cells in all studied intervals (arrows). Scale bar = (M–O) 10  $\mu\text{m}$ . **(Panel B)**: Coexpression of hematopoietic and neural antigens in cultured HUCB cells. (A): Several CD45-positive (green) cells coexpressing TuJ1 (red). Hematopoietic CD markers are localized on the cell surface (arrows) of many HUCB cells, whereas weak cytoplasmic TuJ1 expression was found occasionally. Nuclear DAPI labeling (blue) confirms that not every HUCB cell expresses CD antigens. (B): For longer cultures, a cell with distinct processes positive for mature neuronal marker MAP2 (red) and negative for CD45. On the other hand, cells with round morphology were CD45 immunoreactive (green). (C): Coexpression of GFAP (red) and CD45 (green) was also found (arrows). (D): HUCB cells were immunopositive for vimentin (green), and some also expressed GFAP (red). The arrow indicates vimentin/GFAP-positive cells. Inset shows a double-labeled vimentin/GFAP-positive (orange) cell at a higher magnification. Scale bar = (A–C, inset in D) 10  $\mu\text{m}$  and (D) 20  $\mu\text{m}$ . **(Panel C)**: Fluorescent images of replated floating HUCB cells. (A–C): Hematopoietic markers, including (A) CD45, (B) CD133, and (C) CD117. Arrowheads point to cells negative for the specific marker, whereas arrows indicate cells expressing one of the CD antigens. (D, E): Expression of (D) nestin and (E) A2B5 (arrows) was higher in the replated floating fraction than in the adhered HUCB cells. (F–I): Cells positive for (F) TuJ1, (G) GFAP, (H) S100, and (I) GalC. Morphologically, this population of cells rarely acquired the classical appearance of neural derivatives. (J, K): Expression of neurotrophin receptors (J) *trkB* and (K) *trkC* was also detected. Arrows in (F–K) point to positively labeled cells. Blue DAPI counterstaining was used for clear identification of all cultured cells. Scale bar = (A, D) 20  $\mu\text{m}$  and (B, C, E–K) 40  $\mu\text{m}$ . **(Panel D)**: Expression of neural antigens in E14–E18 primary cultures. (A–C): Cells isolated from the embryonic striatum were immunopositive for (A) nestin and (B) vimentin, and cultures prepared from the embryonic olfactory bulb revealed positivity for (C) A2B5. Scale bar = (A) 10  $\mu\text{m}$  and (B, C) 40  $\mu\text{m}$ . TuJ1 (D) and MAP2 (E) were found in cortical cultures after 10 days. Cells expressing these two neuronal antigens revealed classical neuronal morphology. (F): Small neuronal bodies and long, branching processes differed from flat, larger cells expressing GFAP. Inset shows the detailed morphology of GFAP-positive cells taken from E18 striatal culture. Scale bar = (D) 40  $\mu\text{m}$ , (E) 10  $\mu\text{m}$ , and 20  $\mu\text{m}$ . Scale bar in inset = 10  $\mu\text{m}$ . (G, H): Cortex-derived cells also expressed two other glial antigens. Arrows in (G) point to S100-positive cells. Scale bar = 20  $\mu\text{m}$ . (I, J): Cortical cells also reveal positivity for neurotrophin receptors. Scale bar = 10  $\mu\text{m}$ . Abbreviations: DAPI, 4,6-diamidino-2-phenylindole; DIV, days in vitro; HUCB, human umbilical cord blood.





**Figure 3.**

Antigen expression in adherent HUCB cells cultured in serum from 1–20 days in vitro. **(A)**: Expression of early hematopoietic and stem cell antigens. **(B)**: Expression of early neural/stem cell antigens. **(C)**: Expression of antigens indicative of immature and mature neurons, astrocytes, and oligodendrocytes. **(D)**: The adherent HUCB cells also expressed both neurotrophin receptors and the receptor for the chemokine stromal-derived factor 1, CXCR4. Abbreviation: HUCB, human umbilical cord blood.



**Figure 4.** Western blot analysis. Protein extracts from 5-month-old rat brain culture (lane 1) and from day 0 (lane 2), day 5 (lane 3), day 10 (lane 4), and day 190 (lane 5) of HUCB culture. Actin was used as a loading control. Abbreviation: HUCB, human umbilical cord blood.

**Table 1**

Classification of the mononuclear HUCB cells before culture

Cell type	Individual cells	Number of total cells	Percentage	Size ( $\mu\text{m}$ )
Myelocyte	71	3,611	1.95	9.00–13.00
Monocyte	112	3,611	3.10	13.00–14.00
Lymphocyte	1,921	3,611	53.20	7.00–13.00
Erythrocyte	4	3,611	1.00	8.00–11.00
Thrombocyte	23	3,611	0.64	3.00–9.00
Uncertain	1,325	3,611	36.70	7.00–13.00

The table shows the occurrence (%) and size ( $\mu\text{m}$ ) of HUCB cells from thawed samples prepared as smears and stained by Giemsa. Different affinities to eosin and methylene, the two main components of the dye, as well as the shape of the nucleus, cell size, nucleus/cytoplasm ratio, distribution of chromatin, color of cytoplasm, and cytoplasmic granules, allowed for recognition of individual blood lineages [26].

Abbreviation: HUCB, human umbilical cord blood.

**Table 2**

## Antibodies used in the study

Antigen	Specie	Cross reactivit	Dilution	Source
<b>Hematopoietic</b>				
CD133	Mouse	Human	1:50	Miltenyi Biotec
CD117	Mouse	Human	1:50	BD Pharmingen
CD15	Mouse	Human	1:50	BD Pharmingen
CD45	Mouse	Human	1:50	BD Pharmingen
<b>Early neural</b>				
Nestin	Mouse	Human	1:100	BD Transduction
Vimentin	Mouse	Human	1:200	Novocastra
A2B5	Mouse	Human, rat, chicken, mouse	1:200	Chemicon
<b>Neuronal</b>				
TuJ1	Rabbit	Mammals	1:1,000	Covance
NF68KD	Rabbit	Rat, mouse, bovine, monkey, human	1:500	Chemicon
MAP2	Mouse	Human, rat, mouse	1:1,500	Chemicon
NSE	Rabbit	Human	1:100	Oncogene
<b>Glia</b>				
GFAP	Rabbit	Human, cow	1:800	DAKO
S100	Rabbit	Human	1:167	Sigma-Aldrich
GalC	Rabbit	Human, animal	1:150	Sigma-Aldrich
<b>Receptors</b>				
trk A	Rabbit	Human	1:500	Chemicon
trk B	Rabbit	Human	1:2,000	Chemicon
trk C	Rabbit	Human	1:2,000	Chemicon
p75 NTR	Mouse	Human	1:400	Novus
CXCR4	Rabbit	Human	1:1,000	Chemicon

The table summarizes all primary antibodies used and their species specificity, dilutions, and sources.

Abbreviations: CD133, stem/progenitor cells; CD117 (c-kit), hematopoietic progenitor cell; CD15, neutrophils, eosinophils, monocytes; CD45, leukocytes; CXCR4, chemokine receptor 4 (SDF1 receptor); GalC, galactocerebroside C; GFAP, glial fibrillary acidic protein; MAP2, microtubule-associated protein 2; NF 68KD, neurofilament 68KD; NSE, neuron-specific enolase; p75NTR, low-affinity neurotrophin receptor (NGF, BDNF, NT4/5, NT3 receptor); trkA, tyrosine kinase receptor A (NGF, NT3 receptor); trkB, tyrosine kinase receptor B (BDNF, NT4/5, NT3 receptor); trkC, tyrosine kinase receptor C (NT3, BDNF, NT4/5 receptor); TuJ1,  $\beta$ -tubulin III.

**Table 3**  
Incidence of hematopoietic and neural antigens in both populations of cultured HUCB cells

Antigen	Adherent			Floating		
	Positive	Total	Percentage	Positive	Total	Percentage
CD133	0	525	0	14	428	3.31 ± 2.27 <sup>a</sup>
CD117	0	340	0	21	523	4.10 ± 0.53 <sup>a</sup>
CD45	501	551	90.85 ± 3.13	287	467	61.43 ± 4.87 <sup>a</sup>
Nestin	119	626	18.97 ± 3.3	146	603	24.29 ± 1.06
A2B5	67	773	8.68 ± 0.91	218	671	32.43 ± 0.88 <sup>a</sup>
TuJ1	6	369	1.76 ± 1.97	12	520	2.40 ± 1.38
MAP2	11	441	2.41 ± 1.17	0	580	0
GFAP	315	531	59.35 ± 10.76	148	432	34.38 ± 3.47 <sup>b</sup>
S100	315	357	88.36 ± 2.53	326	497	65.63 ± 7.17 <sup>a</sup>
GalC	219	297	73.77 ± 3.75	229	508	45.02 ± 8.61 <sup>b</sup>
trkB	98	410	23.81 ± 8.86	131	355	36.79 ± 4.90 <sup>b</sup>
trkC	234	647	36.16 ± 6.79	342	505	67.77 ± 6.33 <sup>b</sup>

All data presented as means; percentage presented as mean ± SEM. The comparison is made between the adherent and replated floating HUCB fraction on day 10. Significant differences between the two groups were assessed by Student's *t*-test

<sup>a</sup> *p* < .001

<sup>b</sup> *p* < .05.

Abbreviation: HUCB, human umbilical cord blood.

**Table 4**

Expression of various antigens by both (adherent and floating-replated) HUCBs and primary cultures (E14-18 embryonic rat brain)

Antigen	Presence and staining intensity		
	Adherent HUCB culture 10 DIV	Floating-replated HUCB culture 10 DIV	Primary neural culture 10 DIV
Nestin	+/4	+/4	+/4
Vimentin	+/4	+/4	+/4
A2B5	+/1	+/2	+/3
TuJ1	+/3	+/3	+/4
MAP2	+/4	-/0	+/4
NSE	-/0	-/0	+/4
GFAP	+/3	+/4	+/4
S100	+/4	+/4	+/4
GalC	+/3	+/3	+/4
trk A	-/0	-/0	+/4
trk B	+/3	+/3	+/4
trk C	+/4	+/4	+/4
p75NTR	+/1	+/1	+/4

The table illustrates the expression of numerous neural-related antigens between both (adherent and floating) HUCB cells and primary culture. All antigens used in this study were presented in primary culture. Staining intensity and extent assessed using a 0–4 ordinal scale, in which 0 = absent, 1 = minimal, 2 = moderate, 3 = high, and 4 = maximal.

Abbreviation: DIV, days in vitro; HUCB, human umbilical cord blood.