

# Mirroring of attention by neurons in macaque parietal cortex

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**Macaques, like humans, rapidly orient their attention in the direction other individuals are looking. Both cortical and subcortical pathways have been proposed as neural mediators of social gaze following, but neither pathway has been characterized electrophysiologically in behaving animals. To address this gap, we recorded the activity of single neurons in the lateral intraparietal area (LIP) of rhesus macaques to determine whether and how this area might contribute to gaze following. A subset of LIP neurons mirrored observed attention by firing both when the subject looked in the preferred direction of the neuron, and when observed monkeys looked in the preferred direction of the neuron, despite the irrelevance of the monkey images to the task. Importantly, the timing of these modulations matched the time course of gaze-following behavior. A second population of neurons was suppressed by social gaze cues, possibly subserving task demands by maintaining fixation on the observed face. These observations suggest that LIP contributes to sharing of observed attention and link mirror representations in parietal cortex to a well studied imitative behavior.**

gaze following | imitation | joint attention | mirror neurons | shared attention

People naturally and intuitively share attention with each other. In a laboratory setting, people respond more quickly to targets that are the object of another's attention, even when this social cuing is brief or consistently misleading (1–3). Monkeys' attention also follows the gaze of others (4), and the similar magnitude and time course of gaze following by rhesus macaques and humans (5) implicates shared neural mechanisms. The ability to follow gaze is believed to be an important foundation for theory of mind (6, 7); thus, the neural processes governing gaze following are relevant both to the evolution of social cognition (8–10) and to clinical disorders, such as autism, associated with social attention deficits (11–14). Although gaze following involves automatic “mirroring” of other's mental states, to our knowledge, mirror neurons (15, 16) for visual orienting have not previously been identified.

Current evidence suggests that identification of where other individuals are looking is accomplished by neurons along the superior temporal sulcus (STS) (17–20) and in the amygdala (21, 22). In primates, signals from these brain areas (19) ramify to multiple targets in the visual orienting system, including, within 1 or 2 steps, posterior parietal cortex [7A and lateral intraparietal area (LIP); see ref. 23], prefrontal cortex [supplemental eye field (SEF) and frontal (F)EF; see ref. 24], and subcortical visual areas [pulvinar nucleus of the thalamus (25), and superior colliculus (SC; see ref. 26)]. Neuroimaging studies indicate that perception of faces with averted gaze activates populations of neurons in the STS region (27, 28) and the amygdala (22), as well as the parietal cortex (28). These observations invite the simple hypothesis that gaze-following behavior is mediated by a relatively straightforward system, beginning with the STS and proceeding directly to the attention- and gaze-control networks. Although intuitively appealing, this model raises several important questions.

First, gaze-following behavior fits poorly into existing models of attention (1, 2), which dichotomize the underlying mechanism as either reflexively driven by exogenous stimuli or endogenously guided by internal goals (29, 30). Although there is some evidence that specific neural circuits mediate these processes (31–33), the precise contributions of neurons within different brain areas to exogenous, endogenous, and social attention (and, indeed, whether these processes are distinguishable at the neuronal level) remain unclear.

Second, the fastest reported gaze-following behavior in monkeys is evoked at very short latencies (100 ms after gaze cue onset; see ref. 34), requiring the processing stream that discriminates gaze direction and relays this information to visual orienting areas to operate quite rapidly. Thus, although neuroimaging techniques can identify cortical areas sensitive to the direction of observed gaze, their temporal resolution is too coarse to determine whether these areas are capable of mediating fast gaze-following behavior. To date, the neural correlates of social gaze-evoked attention have only been explored by using brain imaging or neuropsychological techniques in humans (35–37).

Last, current neurophysiological models of visual orienting behavior posit some form of temporal integration mechanism (32, 33, 38). In such models, visual orienting is evoked when neuronal activity associated with shifting gaze to a particular location reaches a threshold level of firing. One appealing feature of such models is that they capture within a single framework the relationship between the strength of the neuronal response and both reaction time and the likelihood of orienting (39); thus, providing a good description of the relationship between orienting decisions and neuronal activity in brain areas associated with attention, including LIP (40). It is currently unclear whether social gaze cues influence LIP neurons in a manner consistent with these models.

In principle, these questions could be addressed by recording the activity of neurons in this putative social attention processing stream during spontaneous gaze following in controlled laboratory conditions (5, 34). To begin addressing these questions, we probed the impact of social gaze cues on the firing rates of LIP neurons in monkeys performing a simple visual orienting task, in which monkeys were required to maintain fixation on a monkey face with averted gaze, and then to shift their own gaze toward a peripheral target randomly illuminated either within or outside the direction of observed gaze. Previous studies have linked LIP activity to both covert and overt orienting of attention, with neuronal activity tracking visual saliency, saccade likelihood, and target value (41, 42). Our primary goals were to determine whether LIP neurons are sensitive to observed gaze direction and, if so, whether this sensi-

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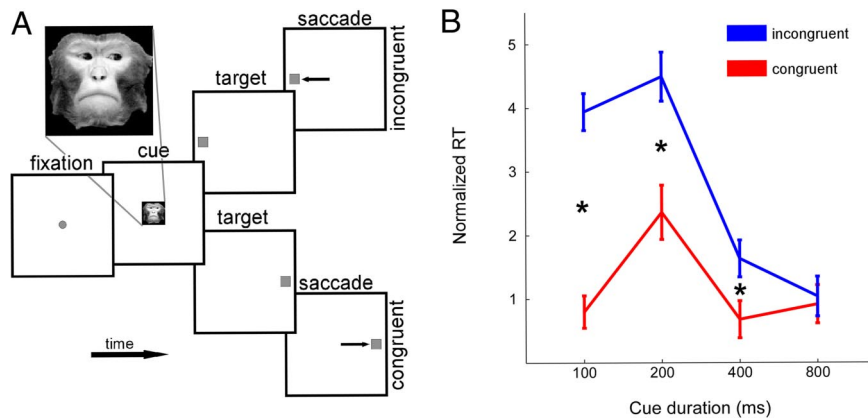
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**Fig. 1.** Visual orienting task and behavioral dynamics. (A) The impact of social gaze cues on the activity of single neurons in area LIP was probed while monkeys shifted gaze to a peripheral target after viewing an image of a familiar monkey looking toward the RF or away from it. Macaques first fixated a central yellow square ( $\pm 3^\circ$ ) for 200–500 ms. The yellow square was then extinguished and a monkey face (*inset*) was illuminated centrally for a variable duration (100, 200, 400, or 800 ms). If the monkey maintained fixation, the face was extinguished and a peripheral yellow square simultaneously illuminated at 1 of 2 fixed positions located symmetrically within, or opposite, the measured neuronal RF. Gaze shifts to the peripheral target within 350 ms were rewarded with a small squirt of juice. (B) Gaze following was observed after short ( $\leq 400$  ms) face viewing durations. The average normalized saccade latency observed across all neurons and cue durations are here plotted for congruent (red) and incongruent (blue) cue conditions. Normalization was to the average response latency for all cue conditions for each given neuronal recording session, cue duration, and target location. Error bars represent SEM across sessions. Both the main effects of cue validity and cue duration were significant, with the interaction significant at  $P = 10^{-5}$ . Effect size was significant by *t* test at 100, 200, and 400 ms ( $P = 3 \times 10^{-10}$ , 0.0002, and 0.03, respectively).

tivity could mediate gaze-following behavior. We were particularly interested in whether the response dynamics were quick enough to mediate the rapid behavioral responses observed in a standard gaze-following probe (Fig. 1) (2, 5, 34). To our knowledge, no prior studies have linked the responses of single neurons to gaze-following behavior or reported the latency at which observed gaze direction is signaled by neurons in the brain. Although several prior studies have contrasted eye-contact with averted gaze (43), we found only one (44) that explicitly reported deictic signals (signals that “point out” specific spatiotemporal targets); the study was not optimized to examine the latency at which these signals arose.

We found that activity in 30 of 106 neurons recorded in LIP (28%) was modulated by social gaze cues, even when these cues were presented outside their classical response fields (RFs), and despite the fact that optimal behavior in the task would completely ignore the cues (5). Of these, approximately half (43%) mirrored observed gaze, becoming more active both while directing attention toward a region of space and while observing other monkeys do the same. Also, the temporal dynamics of neuronal responses to social gaze cues predicted the time-course of gaze-following behavior. Other neurons were suppressed by gaze cues toward their RF, and may have acted to suppress task-irrelevant behavioral responses to observed gaze. These findings suggest that LIP has a role in behavioral responses to gaze (e.g., gaze following and shared attention). Although confirming a causal relationship would require techniques such as reversible inactivation or microstimulation, these correlational findings support a role for LIP in social mirroring of both orienting behavior and associated attentional states.

## Results

Overall, monkeys followed gaze during physiological recordings, initiating saccades faster when a photographed monkey had also looked toward the target. Gaze-following behavior was strongest at short delays between cue onset and target appearance, as we have reported (5, 34). Monkeys showed significant gaze following for the shortest 3 cue durations (Fig. 1B; ANOVA, average normalized saccadic reaction time per neuron, by congruence  $\times$  cue duration,  $P = 0.00001$ ; posthoc *t* test of neuronwise effect size at 100 ms,  $P = 3 \times 10^{-10}$ ; at 200 ms,  $P = 0.0002$ ; at 400 ms,  $P = 0.03$ ; and at 800 ms,  $P = 0.8$ ). We have reported individual differences in both gaze-

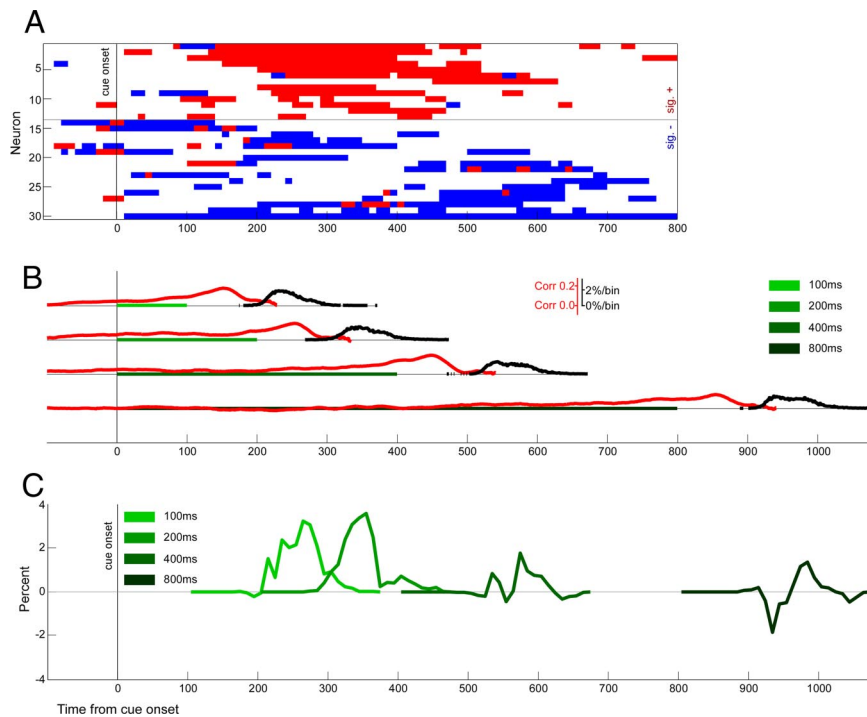
following magnitude and time course associated with dominance status (34), but the current study was not optimized to detect these differences and could not fully resolve them [ANOVA; congruence  $\times$  subject identity,  $P = 0.0001$ ; congruence  $\times$  cue duration  $\times$  subject identity, not significant (n.s.)]. All 4 monkeys showed stronger gaze-following behavior at shorter ( $< 400$  ms) than longer ( $\geq 400$  ms) social cue durations (paired *t* test,  $P = 0.0023$ ), consistent with earlier reports (34). Because of their consistency and rapidity (5, 34), these fast gaze-following responses are of the greatest interest for the current study of neuronal response dynamics. Monkey Niko showed the strongest fast gaze following (mean = 4.0 ms; contributed 29% of neurons); followed by Sherry (mean = 2.5 ms; contributed 47% of neurons), Dart (mean = 2.1 ms; contributed 8.5% of neurons), and Otto (mean = 0.63 ms; contributed 15% of neurons).

In total, 153 neurons were recorded, of which 106 were confirmed posthoc to strongly differentiate between targets located in their estimated RFs (“in RF”) and those reflected through the origin (“outside RF”) (*t* test with Bonferroni-corrected  $\alpha = 0.05/153$ , over the interval 20–120 ms following target onset). Although faces subtended only the central  $5^\circ$  of visual space, were static, were presented outside the classical RF of the recorded neurons, and were irrelevant to the task of orienting for fluid rewards, the firing rates of some neurons were systematically modulated by observed gaze direction (Fig. 2). For example, Fig. 2A presents data for a neuron that increased firing following presentation of a monkey face gazing toward the right side of the monitor, the same direction preferred by the neuron when the subject oriented to a visual target during simple RF mapping trials. By contrast, other neurons fired more strongly when the observed monkey face was gazing away from the classical RF (Fig. 2B).

Thirty (28%) of 106 neurons differentiated faces looking toward from those looking away from their RF (Fig. 3A and Fig. S1). Approximately half of these neurons showed systematic increases in firing rate ( $n = 13$ ), whereas the other half showed systematic decreases in firing rate ( $n = 17$ ), in response to faces gazing toward the RF. The distribution of neurons significantly enhanced, significantly suppressed, or failing to significantly differentiate gaze did not differ significantly across individuals ( $\chi^2$ , n.s.). Thus, area LIP appears to spontaneously receive information about where other individuals are looking, despite the fact that monkeys were not







**Fig. 3.** Population responses to social gaze cues anticipate gaze-following behavior. (A) Neural cue responses. Significant neuronal responses to observed gaze direction in 10-ms bins. Neurons enhanced by social gaze cues (red) are temporally clustered in the time windows for which gaze-following behavior is strongest, whereas those suppressed by social gaze cues maintain tonic decreases in activity throughout the fixation period. (B) Task dynamics: cue fixation, saccade preparation, and saccade latencies are shown for each cue duration. Green bars illustrate the duration of the cue fixation period, red curves indicate correlation of LIP activity with decreased saccade latency, and black curves indicate saccade onset density as a function of time. Thus, the red curves indicate the moment-to-moment correlation of observed LIP activity with decreased saccade latency, and range from nearly 0 to as high as 0.2  $\approx$  30–50 ms before saccade initiation. Similarly, the black curves indicate when saccades were observed to begin, with a peak of  $\approx$  2% occurring in any given 1-ms bin. (C) Saccade latency distributions: differential saccade-onset density for congruently cued versus incongruent trials show early gaze following which later fades. We here attempt to indicate exactly when gaze following is first evidenced in behavior. To do this, we separately generated histograms of saccade onset time for congruent and incongruent responses, analogous to black curves in B. We then integrated these curves, and examined the difference between these cumulative histograms, to illustrate the precise times at which congruent saccades occur faster than incongruent. Thus, positive deflections indicate that more responses have occurred to congruently cued than incongruent trials, and negative deflections indicate the opposite. In summary, although suppressed neuronal responses are fairly uniform, the excitatory neuronal responses (A) are maximal while the 100–400 ms cue responses are being generated (B), the time period in which the largest behavioral effects are observed (C).

toward their RFs, but also when other monkeys are observed orienting in the same direction. These effects are detectable despite the irrelevance of social gaze cues to the behavioral task, and despite the fact that faces were presented outside the classical RFs of neurons. We find further support for gaze mirroring in the common modulation of gaze-following behavior (34, 54) and mirror system activity (55) by social relevance. Although only a small population of LIP neurons demonstrated mirroring behavior in this experiment, this number is consistent with past studies of mirror neurons in other areas. For example, in their initial description of mirror neurons in area F5, di Pellegrino et al. (15) identified 29 of 184 (16%) as having visuomotor mirror properties.

Also, we report that those neurons excited by gaze toward their RF were most strongly activated during the period in which the strongest gaze-following behavior was observed. Also, the pattern of neuronal activation associated with socially cued attention was broadly consistent with integrate-to-threshold models describing both exogenous and endogenous control of visual orienting (33, 38, 39). This evidence supports the notion that LIP neurons may contribute to the reflexive sharing of attention (neurotypical humans, see refs. 2, 56; other species, see refs. 5, 57, 58; clinical relevance, see refs. 14, 59). Although social gaze cue effects on neuronal activity were small, they were statistically significant even when driven merely by small, static, repetitive digital pictures. Although previously described mirror neurons in other areas are activated by the observation of specific behaviors performed by human actors (15, 16), LIP neurons here responded to the obser-

vation of static images of macaque faces presented on a computer monitor. Because gaze is intrinsically dynamic, and because averted gaze postures are rarely maintained, these cues depicted a sustained attentional state and, thus, implied a recent gaze shift. We anticipate that neuronal responses would be even more robust for dynamic social gaze cues, paralleling the phasic responses observed in other mirror neurons during observation of real-world movement (15, 16); also, we note that because we chose to use static images, low-level visual motion cannot account for the observed behavioral or neuronal responses.

We note several factors that militate for caution in interpretation of these results. Although our data show that LIP neurons are sensitive to social gaze early enough to mediate fast gaze-following behavior, we cannot confirm a causal role (60). Indeed, the activation of LIP neurons in response to observed gaze comes somewhat late in the preparatory window for 100-ms cue-duration saccades, despite the fact that gaze following of these cues is nearly as strong as gaze following of cues presented for 200 ms. We cannot currently exclude the possibility that activity in other brain areas also contributes to these fast gaze-following responses. In fact, modulations in the activity of LIP neurons may result from inputs from subcortical or frontal circuits that process social gaze cues. In this view, the observed modulations in LIP activity reflect the integration of social gaze cue information with calculations of salience (41) or reward (46) associated with acquiring behaviorally useful visual information. Alternatively, LIP may act to bind together observed conspecifics with the objects of their attention,

operating in an analogous fashion to the spatial binding of coactivated RFs across saccades (61).

Indeed, although Calder et al. (28) have reported activation in human parietal cortex that differentiates the direction of observed gaze (see also refs. 35 and 36), other evidence suggests the posterior parietal cortex is not the only pathway through which gaze following may operate. For example, Vuilleumier (62) demonstrated that spatial neglect associated with parietal lesions in humans is ameliorated when social gaze cues are directed into the neglected hemifield. This observation suggests either that an intact parietal cortex is unnecessary for gaze-following behavior, or that the intrinsic saliency of social stimuli, like other motivational manipulations (63), can override parietal dysfunction. However, it is important to note that the lesions in that study likely spared portions of the parietal lobe, perhaps including the human homolog of LIP; thus, the results cannot rule out the possibility that areas homologous to LIP were intact and active in mediating the described gaze-following behavior. Conversely, lesions of right superior temporal gyrus (64), amygdala (65), or orbitofrontal cortex (66) each have been reported to disrupt gaze-following behavior. A subordinate role for LIP in gaze following would be consistent with the time course of microstimulation-evoked saccades across the gaze control network. Stimulation of LIP is 20–40 ms slower to evoke saccades than stimulation of the FEFs or the SC: FEF, 15–25 ms (67, 68); LIP, 30–50 ms (51, 69, 70); and SC, 13–20 ms (71, 72). Also, our observation of a population of neurons suppressed by social gaze cues suggests that in this task, LIP actively regulated the prepotent gaze-following response. Monkeys were trained extensively on this task, in which gaze direction is uncorrelated with future target location, and premature attempts to follow gaze abort fixation and preclude reward (5); under these conditions, optimal behavior would be produced by total suppression of gaze following.

Because LIP has been implicated in both exogenously and endogenously cued attention (31), it may seem unsurprising that neurons in this area also signal socially cued attention. As mentioned, however, gaze following is both faster than endogenous attention and more perceptually demanding than exogenous attention. As a result, gaze following has been hypothesized to rely on specialized mechanisms distinct from those mediating either endogenous or exogenous attention (6). In contrast with this hypothesis, our findings indicate that gaze-following behavior is influenced by one of the same systems governing both endogenous and exogenous orienting, and appears to be processed in a manner consistent with existing models of orienting behavior (32, 33, 38). Nonetheless, we recognize that further study will need to better quantify the dynamics of gaze mirroring throughout the attentional control network, and to disrupt this mirroring through targeted inactivations. By tracing neuronal activity from purely perceptual representations of gaze direction through behavioral readouts of attentional state, we may reveal not only how we read the intentions of others, but how we connect with the minds that animate them.

## Materials and Methods

**Subjects.** Four pair-housed male rhesus monkeys (*Macaca mulatta*) from our colony at the Duke University Medical Center served as subjects. All animals were originally reared in naturalistic social groups. To enhance motivation, subjects' water access was controlled outside of the experimental session. All procedures were approved by the Duke University Institutional Animal Care and Use Committee and were designed and conducted in compliance with the Public Health Service Guide for the Care and Use of Animals.

**Recording.** All experiments were conducted by using a PC computer running custom software (<http://www.ryklinsoftware.com>). Monkeys viewed stimuli on a dark background on 24" cathode ray tube (CRT) monitor positioned at  $\approx 45$ -cm distance. Eye position was monitored by using a magnetic search coil surgically

implanted beneath the conjunctiva of one eye and sampled at 500 Hz (73, 74) or via an EyeLink II optical gaze-tracking system. Head position was maintained with a surgically implanted stainless steel prosthesis (Crist) (75).

To permit electrophysiological recordings, macaques were additionally implanted with a stainless steel recording chamber (Crist) over posterior parietal cortex (LIP) (46, 48). Before each session, the chamber was aseptically opened, rinsed thoroughly with sterile saline, and fit with a plastic grid (Crist) (75). A 23-gauge hypodermic guide tube containing a tungsten steel 7–12M $\Omega$  electrode (Frederick Haer) was inserted through the grid; an X-Y micropositioner (Crist) and hydraulic microdrive (Kopf) were then mated to electrode and chamber. Electrophysiological recordings were amplified and filtered of line noise and search coil system interference (passband  $\approx 500$ –5k Hz). Action potentials were identified in hardware (BAK; PLEXON) by time and amplitude criteria or by template-based spike sorting. The electrode was then lowered until visual or saccade-related activity was recognized on an audio monitor. As the monkey performed visually and memory-guided saccade trials, the electrode was lowered further at 2.5–20  $\mu\text{m/s}$  until the waveform of at least 1 neuron could be isolated and its RF localized. Data were recorded by custom software (<http://www.ryklinsoftware.com>) and imported into Matlab for further analysis by custom scripts. All surgical procedures were performed aseptically, followed with appropriate analgesics and antibiotics, and in all other ways followed standard protocols described (5, 46).

**Task.** Once a neuron had been isolated and spatially characterized, macaque subjects performed a modified Posner cuing task (5, 34, 76), in which they first fixated a central target, followed by a static, centrally presented social gaze cue. Each cue image consisted of a photograph of a familiar macaque gazing either toward or away from the mapped RF; photographs were 115 pixels square and subtended  $\approx 5^\circ$ . To minimize the impact of low-level stimulus features on behavioral and neural responses, we used 2 techniques. In half of the sessions, cue images were reflected across the vertical meridian to generate a feature-balanced set of social cues toward or away from the RF. In the other half, sets of  $\approx 16$  different cue images were chosen looking toward or opposite the RF, minimizing the contribution of any idiosyncratic visual features to deictic gaze responses. Gaze-modulated neurons were observed under both conditions. The direction faced by the cue image was randomly determined on each trial, and in each session cue images were selected so that one of the pair faced the RF of the neuron. Randomly, in one third of trials, a neutral gray square appeared instead of a social gaze cue; these trials allowed an independent measure of how LIP activity predicted saccade response time. After a variable duration (100, 200, 400, or 800 ms), the gaze cue abruptly offset, and a target appeared randomly either in the same or the opposite hemi-field as cue gaze. Target locations were chosen so that one target was in the RF of the neuron, whereas its complement was reflected through the origin to the spatially opposite location; gaze directions and target locations were independently randomized across each session. Subjects shifted gaze from fixation to this peripheral target as quickly as possible and maintained fixation for at least 300 ms to receive a juice reward.

**Analysis.** Gaze following was operationalized as a decrease in reaction time to congruently cued versus incongruent stimuli (5, 34). Normalization in Fig. 1B was achieved by subtracting the mean RT toward each target for a given cue duration and recording session; error bars represent SE across sessions. Spikes were recorded continuously from 100 ms before task onset until task completion and were convolved with a 10-ms Gaussian smoothing window to preserve fine latency information while enhancing statistical power at low firing rates (45). To determine the relationship between neuronal activity and decreases in reaction time, we measured, for each neuron, the correlation between the ms-to-ms activity and decreased latency (calculated by subtracting the time of saccade onset from the time of target presentation).

Latency information was further analyzed by rebinning into 10-ms bins from 100 ms before cue presentation through the end of the cue period, and comparing spike counts by using Matlab's ranksum function (equivalent to a Mann-Whitney  $U$  function; e.g., as used in ref. 77). Three latencies each were tracked by using 2 different metrics. First, we checked all bins of all neurons to find which, if any, significantly differentiated (*i*) cue images relative to fixation baseline, (*ii*) faces relative to a neutral gray square, and (*iii*) faces looking toward the RF relative to those looking away. Bins that were significantly positive were distinguished from those that were significantly negative. We then applied the following latency metrics. First, we looked at the raw sum of neurons with significantly increased and with decreased firing rates across time, and recorded when either sum was above the binomial expectation (2-tailed  $\alpha = 0.05$ ). Second, we separately analyzed neurons that showed significant increases and decreases in activity, and tracked the time course of significant modulations across time for each of these subpopulations.

Neurons were considered significantly sensitive to a variable if they passed a permutation test designed as follows. The total number of bins significantly increased/decreased by a particular variable during the cue period had to exceed the 97.5th percentile total modulated by the presumably meaningless contrast of odd versus even trials. This threshold was set by permutation test, rather than by binomial distribution, to control for statistical dependencies between adjacent time points in a given recording session. For comparison of cue period activity to baseline, a slightly different permutation was appropriate. The threshold number of significant bins had to exceed the 97.5th

percentile observed when ongoing activity was compared with a randomly determined 100-ms time window. Dynamics of socially cued modulations were tested by KS test over the time window 50–700 ms into the cue period.

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