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## Selected TLR ligands and viruses promote helper-independent CTL priming by upregulating CD40L (CD154) on dendritic cells

Susan Johnson<sup>1,3</sup>, Yifan Zhan<sup>1</sup>, Adele M Mount<sup>2,3</sup>, Sammy Bedoui<sup>2</sup>, Jamie L Brady<sup>1</sup>, Emma M Carrington<sup>1</sup>, Gabrielle T Belz<sup>2</sup>, Robyn M Sutherland<sup>1</sup>, William R Heath<sup>2</sup>, and Andrew M Lew<sup>1,\*</sup>

<sup>1</sup> Autoimmunity and Transplantation Division, Walter and Eliza Hall Institute of Medical Research, Parkville, 3050 Australia

<sup>2</sup> Immunology Division, Walter and Eliza Hall Institute of Medical Research, Parkville, 3050 Australia

<sup>3</sup> Department of Medical Biology, University of Melbourne, Parkville, 3010 Australia

### SUMMARY

CD40L on CD4<sup>+</sup> T cells has been shown to license dendritic cells (DC) via CD40 to prime CTL responses. Surprisingly, we found that the converse (CD40L on DC) was also important. Anti-CD40L treatment decreases endogenous CTL responses to both OVA and influenza infection even in the absence of CD4<sup>+</sup> T cells. DC express CD40L upon stimulation with agonists to TLR 3 and 9. Moreover, influenza infection, which stimulates CTL without help upregulates CD40L on DC, but herpes simplex infection, which elicits CTL through help, does not. CD40L<sup>-/-</sup> DC are suboptimal both *in vivo* in bone marrow chimera experiments and *in vitro* in mixed lymphocyte reactions. In contrast, CD40L<sup>-/-</sup> CD8<sup>+</sup> T cells kill as effectively as wildtype. We conclude that CD40L upregulation on DC promotes optimal priming of CD8<sup>+</sup> T cells without CD4<sup>+</sup> T cells, providing a mechanism by which pathogens may elicit helper-independent CTL immunity.

### INTRODUCTION

CD40L is a member of the TNF superfamily whose expression is tightly regulated (Armitage et al., 1992; Cayabyab et al., 1994; Grewal et al., 1996). Surface expression on CD4<sup>+</sup> T cells is detectable within 2 hrs of activation by peptide-pulsed antigen-presenting cells (APC), peaks at 6 hrs and drops by 24 hrs (Lee et al., 2002). Activated CD4<sup>+</sup> T cells are the predominant CD40L-bearing population. Modest expression can be found on activated CD8<sup>+</sup> T cells, B cells, NK cells, monocytes, Langerhans cells, human thrombocytes and activated DC (DC) (Pinchuk et al., 1996; Salgado et al., 1999; Schonbeck and Libby, 2001). It should be noted however, that low or absent surface staining for CD40L could be misleading for several reasons. Firstly, like FasL, much of the CD40L is stored in secretory lysosomes and is only released to the cell surface upon activation (Koguchi et al., 2007). Secondly, surface CD40L is rapidly endocytosed upon binding to CD40 expressed on cells such as B cells and DC and thirdly, detection of CD40L can be masked by soluble CD40 (van Kooten et al., 1994; Yellin et al., 1994). CD40L on CD4<sup>+</sup> T cells is critical in effecting isotype switching by B cells (Gray et al., 1994), 'licensing' DC to prime CTL (Bennett et al., 1998; Ridge et al., 1998; Schoenberger

\*Correspondence: E-mail: lew@wehi.edu.au, ph: +61-3-93452463, fax:+61-3-93470852.

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et al., 1998; Smith et al., 2004) and generating memory CTL (Borrow et al., 1996; Sun and Bevan, 2003). Despite the vast literature on the function of CD40L on CD4<sup>+</sup> T cells (and hence CD40 on DC and B cells), less attention has been devoted to CD40L on the other cells that express it, perhaps because of the modest level of expression.

Although CD4 help is important, helper-independent primary CTL responses are generated against certain infections, including viral infections with ectromelia, vesicular stomatitis virus, human immunodeficiency virus, Epstein-Barr virus, influenza and cytomegalovirus (Andreasen et al., 2000; Buller et al., 1987; Ruedl et al., 1999; Tripp et al., 1995; Zimmerli et al., 2005). Likewise, helper-independent CTL can be generated against *Listeria* (Hamilton et al., 2001). The ascribed mechanism by which helper-independent CTL were elicited was activation of DC by the microbial infection (Ruedl et al., 1999; Sun and Bevan, 2004) or self help by CD8<sup>+</sup> T cells (Hamilton et al., 2001; Wang et al., 2001), although the latter mechanism fails to explain how such cells were stimulated to begin with. Although many microbial pathogens directly activate DC, the basis of why only some pathogens can elicit helper-independent CTL remains unclear.

The use of a blocking anti-CD40L mAb is also of translational interest. The mAb can prolong graft survival dramatically in both mouse and primate transplantation studies (Bucher et al., 2005; Kirk et al., 1999). It can promote non-myeloablative conditioning for the establishment of chimerism (Ito et al., 2006) and it can ameliorate autoimmunity (Bagenstose et al., 2005; Hanninen et al., 2002; Komura et al., 2007). Most such studies have presumed that the anti-CD40L acts on CD4<sup>+</sup> T cells alone. We have previously shown that in the absence of CD4 T cells, treatment with anti-CD40L Ab still reduced CTL response to alloantigens (Zhan et al., 2000). One recent report has also shown that in the absence of CD4<sup>+</sup> T cells CD40L is still important for the priming of transgenic CD8<sup>+</sup> T cells (Hernandez et al., 2007) and suggested a role for CD40L on CD8<sup>+</sup> T cells.

No previous studies have explored the converse phenomenon where CD40L on DC may trigger CD40 on T cells. We found that endogenous *in vivo* CTL responses to cell-associated ovalbumin (OVA) were sensitive to CD40L blockade even in the absence of CD4 help. We then set out to understand the mechanism of anti-CD40L treatment and to determine the role of CD40L in the absence of CD4<sup>+</sup> T cells, including its expression on CD8<sup>+</sup> T cells and DC. Results from both *in vitro* mixed lymphocyte reactions and *in vivo* mixed bone marrow chimera experiments directed us to focus on the role of CD40L being expressed on DC and the correlation between CD40L upregulation by selected TLR agonists and viruses and their ability to facilitate helper-independent CTL responses. It should also be noted that our studies primarily relate to primary CTL induction; the parameters for the generation of memory may be different.

## RESULTS

### Anti-CD40L treatment decreases the endogenous *in vivo* cytotoxic T cell (CTL) response

We initially used the endogenous CTL response to OVA as our model system. Anti-CD40L treatment was administered intraperitoneally on the day of immunization with cell associated OVA (viz. OVA-coated spleen cells). Seven days later, *in vivo* CTL activity was assessed by comparing the numbers of peptide loaded targets versus unloaded targets. As expected, we found that anti-CD40L or anti-CD4 treatment decreased the endogenous CTL response (Fig 1A). Moreover, in the absence of CD4<sup>+</sup> T cells, anti-CD40 antibody could substitute for help and restore CTL killing (Fig 1A) as had been shown previously (Bennett et al., 1998; Schoenberger et al., 1998). The agonistic anti-CD40 (FGK45) is thought to simulate CD4 help to license DC for CTL priming. Initially we thought that anti-CD40L acted by interfering

with CD4<sup>+</sup> T cell licensing of DC and thus had assumed that anti-CD40L would have no effect in any model that induced CTL without CD4<sup>+</sup> T cells.

To investigate this, we used two different mouse models that lack CD4<sup>+</sup> T cells. In the first model, we used GK mice, which secrete anti-CD4 (GK1.5) antibody transgenically, effectively depleting the mouse of all peripheral CD4<sup>+</sup> T cells (Zhan et al., 2004). In the second model, we analyzed MHC class II KO mice, which are unable to positively select for CD4<sup>+</sup> T cells (Cosgrove et al., 1991). Again, we used anti-CD40 to facilitate priming of CTL responses to OVA-coated spleen cells. Surprisingly in both models, our results showed that even in the absence of CD4<sup>+</sup> T cells, the anti-CD40L Ab treatment was still able to suppress the CTL response (Fig. 1B,C). This indicated that the antibody treatment played another role, apart from blocking CD4 help and led us to investigate what other cell type is being targeted by this treatment.

### CD40L is expressed on DC

The expression of CD40L on CD4<sup>+</sup> T cells has been well documented (Grewal et al., 1996). However, the expression of CD40L on other cells has been less well characterized. CD40L expression on DC has been reported for human blood DC (Pinchuk et al., 1996), mouse Langerhans cells (Salgado et al., 1999), mouse lung DC (Masten et al., 1997) and plasmacytoid DC (Kuwajima et al., 2006). In preliminary studies, we found that surface staining of CD40L on splenic CD8<sup>+</sup> T cells and DC (both resting and activated) was unconvincing. To further explore CD40L expression, we next used intracellular staining, as we surmised that accumulation of CD40L after the addition of monensin during the procedure might avail easier detection. Lymph node cells obtained from naïve wildtype C57BL/6 (B6) or CD40L<sup>-/-</sup> mice were cultured for 4 hours in the presence of PMA and Ionomycin, permeabilized and stained for intracellular stores of CD40L. DC were simply cultured overnight in media, which is known to induce activation (Wilson et al., 2006). CD40L, as expected, stained strongly on CD4<sup>+</sup> T cells (Fig 2A), compared with either CD40L<sup>-/-</sup> controls (Fig 2A) or with control antibody staining (data not shown). CD8<sup>+</sup> T cells showed virtually no staining of CD40L (Fig 2B). We found that CD40L was also expressed quite strongly on cultured splenic DC (Fig 2C). Given that CD40 is also found on activated wildtype CD8<sup>+</sup> T cells ((Bourgeois et al., 2002; Sun and Bevan, 2004); Fig 2D) and DC, it was feasible that CD40L expressed by DC may interact with CD40 on T cells, in addition to the previously described interaction of CD40L on T cells interact with CD40 on DC.

### CD40L<sup>-/-</sup> DC have reduced function in priming CD8<sup>+</sup> T cells

To explore further the role of CD40L being expressed by DC, we tested whether this CD40L was required for priming CD8<sup>+</sup> T cells in an endogenous CTL assay. To discriminate between the role of CD40L on DC and that of CD8<sup>+</sup> T cells, we generated mixed bone marrow chimeras that would allow us to examine independently the different cell types expressing CD40L. Irradiated mice were reconstituted with equal parts of bm1 and CD40L<sup>-/-</sup> bone marrow. Bm1 mice differ from B6 mice in that H-2K<sup>bm1</sup> has three mutations in the H-2K<sup>b</sup> molecule that disallows presentation of the OVA peptide SIINFEKL (Nikolic-Zugic and Carbone, 1990). The resultant mice will have two sets of DC. One set can present OVA, but is CD40L deficient. The other set cannot present SIINFEKL, but is capable of expressing CD40L. In this situation, any CTL priming must be due to the CD40L deficient DC. To examine CTL priming in the absence of CD4 help, reconstituted mice were CD4-depleted and treated with agonistic anti-CD40 Ab. To exclude the possibility that the bm1 T cells are unable to kill, mixed chimeras of bm1 and Rag1<sup>-/-</sup> (RAG) bone marrow (1:1 ratio) were generated. Such mice will have bm1 T cells and a set of wildtype B6 DC (that can present OVA and express CD40L). Our results (Fig 3A) show that there was a significant decrease in killing in bm1/CD40L<sup>-/-</sup> chimeric mice

compared with the chimeric bm1/RAG controls. Thus the CD40L<sup>-/-</sup> DC are less capable of eliciting CTL priming.

### Elimination of DC by diphtheria toxin in CD40L<sup>-/-</sup> and CD11cDTR mixed bone marrow chimeras reduces CTL killing

To show that DC were critical in this CD40L-dependent antigen presentation, we generated chimeric mice with CD11cDTR (Probst et al., 2005) and CD40L<sup>-/-</sup> BM. The CD11cDTR mice express a fusion protein of the grivet monkey diphtheria toxin receptor and green fluorescent protein (GFP) under the control of the CD11c promoter. Therefore, all mice are generated similarly and the reconstitution of DC with CD40L<sup>-/-</sup> vs CD11cDTR CD40L<sup>+</sup> genotype can easily be tracked by GFP and CD11c expression (CD11c<sup>+</sup>GFP<sup>-</sup> vs CD11c<sup>+</sup>GFP<sup>+</sup>). Diphtheria toxin (DT) was administered to one group (100ng per 20g mouse on day -1, 0 and 1 relative to immunization; n=5) and compared to untreated mice. All mice were depleted of CD4<sup>+</sup> T cells with 0.5mg GK1.5 prior to immunizing with 2×10<sup>7</sup> irradiated OVA-coated spleen cells I.V. and 0.1mg anti-CD40 (FGK45) I.P. After 7 days, 2×10<sup>7</sup> CFSE<sup>high</sup> labelled peptide pulsed cells and CFSE<sup>low</sup> labelled control cells in equal numbers were injected I.V. Spleens were analyzed 24 hours later in this *in vivo* CTL assay. As shown in Fig 4b, the DC in these mixed BM chimeras comprise 32–40% CD11cDTR and 60–68% CD40L<sup>-/-</sup>. Thus the majority of DC are of the CD40L<sup>-/-</sup> genotype and therefore if anything there is a bias to show presentation function by the CD40L<sup>-/-</sup> DC. The depletion of GFP<sup>+</sup> CD11cDTR DC (CD40L<sup>+/+</sup> genotype) upon administration of DT (Fig 4b) resulted in a substantial reduction (>50%) in CTL killing (Fig 4a; p=0.021). This confirms that DC expressing CD40L is a critical component of CTL priming in the absence of CD4<sup>+</sup> T cells.

### CD40<sup>-/-</sup> but not CD40L<sup>-/-</sup> CD8<sup>+</sup> T cells have reduced *in vivo* killing

RAG mice were sublethally irradiated (300 cGy) and reconstituted with either CD40L<sup>-/-</sup> (Fig 3B) or CD40<sup>-/-</sup> (Fig 3C) bone marrow. Mice were depleted of CD4<sup>+</sup> T cells prior to immunizing with OVA-coated spleen cells and anti-CD40 Ab. Using CFSE labeled peptide coated cells to detect differential *in vivo* lysis, we showed that CD40L<sup>-/-</sup> CD8<sup>+</sup> T cells but not CD40<sup>-/-</sup> CD8<sup>+</sup> T cells can be primed to become CTL (Fig 3B,C).

### CD40L<sup>-/-</sup> DC are inefficient at T-cell priming, but CD40L<sup>-/-</sup> T cells are able to be stimulated *in vitro*

To further test the role of CD40L expression by DC on T-cell priming, we investigated an *in vitro* system, viz. mixed lymphocyte reactions (MLR) between BALB/c and B6 cells, using naïve CD8<sup>+</sup> T cells as responders. Purified (97–99% purity) CD11c<sup>+</sup> cells were used as APC and the proliferation of mismatched purified CD8<sup>+</sup> T cells was measured by thymidine incorporation after 3 days. The addition of anti-CD40L to the MLR caused a significant decrease in proliferation of CD8<sup>+</sup> T cells (Fig 5A), supporting our earlier findings of its effect on CTL generation *in vivo*. Whereas CD40L<sup>-/-</sup> CD8<sup>+</sup> T cells behaved like wildtype CD8<sup>+</sup> T cells (Fig 4B), CD40L<sup>-/-</sup> DC are poor stimulators compared to wildtype DC (Fig 5C). Reciprocally, CD40<sup>-/-</sup> CD8<sup>+</sup> T cells showed decreased proliferation, consistent with a role of CD40L on DC (Fig 5D). Hence for CD8<sup>+</sup> T cell responders in MLR, it is important for DC to have CD40L and for CD8<sup>+</sup> T cell responders to have CD40.

### CD40L on DC is upregulated upon activation with TLR 3 and 9 agonists

Our results above indicated that CD40L on DC was important in priming anti-CD40 potentiated CTL responses. This led us to investigate whether anti-CD40 might upregulate CD40L on DC. We cultured DC for 8 hr with anti-CD40 Ab. This shortened timepoint was necessary, because longer incubation of DC (e.g. overnight) resulted in their activation (Wilson et al., 2006) and CD40L upregulation (Fig 2C). Indeed, we found that CD40L on DC was upregulated by anti-

CD40 Ab (Fig 6A). This raised the question whether other DC-activating agents like TLR agonists (mimicking infection) could also upregulate CD40L on DC. To test this, a panel of TLR agonists were used, including pam2cys (TLR2), poly I:C (TLR3), LPS (TLR4), Loxoribine (TLR7) and CpG (TLR9). We observed expression of CD40L when adding TLR3 agonists (poly I:C) or TLR9 agonists for an 8 hour timepoint (Fig 6B). In contrast, agonists against TLR2 (Pam2cys), 4 (LPS) and 7 (Loxoribine) despite activating DC as shown by CD86 expression (supplementary Fig 1), did not upregulate CD40L (Fig 6B).

### **The potentiation of helper-independent CTL responses by TLR3 and 9 agonists is dependent on CD40L upregulation**

As shown above, anti-CD40 can upregulate CD40L on DC and facilitate CTL priming in the absence of CD4<sup>+</sup> T cells. As TLR3 and TLR9 agonists could also upregulate CD40L on DC just like anti-CD40 (Fig 6B), we wanted to determine whether these agonists could also potentiate helper-independent CTL responses. We showed that in CD4 T cell-deficient mice (GK mice), the only TLR ligands to allow helper-independent CTL induction were those that upregulated CD40L, viz. poly I:C and CpG (black columns; Fig 6C). Moreover, this induction was dependent on CD40L, as anti-CD40L dramatically reduced the CTL response (white columns; Fig 6C).

### **Helper-independent pathogens may license APC by upregulating CD40L**

As agonists to TLR 3 and 9 can activate APC to potentiate helper-independent CTL responses, we investigated whether a pathogen itself is able to have the same function. It has been known that some pathogens are able to elicit immune responses in the absence of CD4<sup>+</sup> T cells (Behrens et al., 2004; Shedlock and Shen, 2003; Sun and Bevan, 2003) and that this was due to such viruses activating DC (Wu and Liu, 1994). However, no differential activation between viruses that elicit CTL without help versus those that do not has been forthcoming. Thus, we questioned whether the upregulation of CD40L is required for these helper-independent responses. To investigate this, we chose two viruses: influenza whose primary effector CTL responses are mainly helper-independent (Allan et al., 1990; Tripp et al., 1995) and herpes simplex virus (HSV) whose CTL responses are mainly helper-dependent (for the intravenous and intradermal route (Smith et al., 2004); for flank infection, supplementary Fig 2). Mice depleted of CD4<sup>+</sup> T cells were infected intranasally with influenza virus and treated with either anti-CD40L or control IgG. The CTL response against the influenza NP peptide pulsed cells was reduced in the treated mice (Fig 7Ai & ii). The number of NP specific CD8<sup>+</sup> T cells was also dramatically reduced as shown with tetramer staining (Fig 7Aiii). Thus, we hypothesized that part of helper-independence for influenza-induced CTL immunity is the virus's ability to upregulate CD40L on APC. Indeed, we showed that influenza infection of DC upregulated CD40L expression *in vitro* whereas HSV did not (Fig 7B), even though both viruses upregulated CD86 (Fig 7B). Therefore we conclude that upregulation of CD40L on APC is one mechanism by which some pathogens are able to elicit helper-independent effector CTL.

## **DISCUSSION**

CD40/CD40L interactions have long been associated with DC maturation (O'Sullivan and Thomas, 2003), although the majority of investigations have approached this from the angle of DC displaying CD40. As both CD40L and CD40 are found in CD4<sup>+</sup> T cells, DC (highlighted in this study) and CD8<sup>+</sup> T cells, there are many potential permutations for amplifying the response within or between each cell type. Moreover such potential interactions may differ among various situations e.g. between initial CTL induction vs. memory generation. In this study we have provided evidence that CD40L is required on DC for optimal priming of naïve CD8<sup>+</sup> T cells to become CTL. Our results show that anti-CD40L treatment decreases the endogenous *in vivo* cytotoxic T cell response to OVA. Anti-CD40L treatment is most effective

when used on the day of immunization, which inferred interference at the priming/expansion phase rather than the effector phase. Although the importance of CD40L expression by CD4<sup>+</sup> T cells and CD40 by DC in licensing DC for CTL priming is not in dispute, little attention has been drawn to the possible role for CD40L expression by DC in helper-independent responses. In using two different models of CD4 deficient mice we have shown that anti-CD40L treatment decreases the CTL response in the absence of CD4<sup>+</sup> T cells. Thus, in the absence of CD4<sup>+</sup> T cells, anti-CD40L treatment must be targeting another cell type that expresses CD40L. Upon examination of CD40L expression on various cell types we discovered that CD40L is upregulated on DC. This CD40L seems to be functionally required as *in vivo* CTL assays in bone marrow chimeras showed that when the DC are CD40L<sup>-/-</sup>, killing is reduced. Likewise *in vitro* MLRs show that CD40L<sup>-/-</sup> DC do not prime T cells as effectively as wildtype DC, whereas CD40L<sup>-/-</sup> CD8<sup>+</sup> T cells proliferate just as efficiently as wildtype CD8<sup>+</sup> T cells. CD40<sup>-/-</sup>CD8<sup>+</sup> T cells however, in both *in vivo* and *in vitro* assays are less efficacious than wildtype or CD40L<sup>-/-</sup> CD8<sup>+</sup> T cells. CD40 expression by T cells, especially activated ones, has been reported previously (Bourgeois et al., 2002; Munroe and Bishop, 2007), although the role for CD40 on CD8<sup>+</sup> T cells remained undefined. It has been shown to have a co-stimulatory function, augmenting *in vitro* responses to agonists against CD3 and CD28 (Munroe and Bishop, 2007). Our work may give insight into an undefined pathway where the CD40 on these CD8<sup>+</sup> T cells interact with the CD40L on the DC. Our hypothesis that CD40L on DC is important in helper-independent responses does not discredit the notion that CD40 may also play a role in some situations. Hernandez et al. (Hernandez et al., 2007) had ascribed a role of CD40L on CD8<sup>+</sup> T cells, much of that work used the P-14 TCR transgenic system (and lymphocytic choriomeningitis virus gp33 peptide on H-2D<sup>b</sup>). In contrast, we have found no evidence that CD40L is important on CD8<sup>+</sup> T cells in our endogenous models as CD40L<sup>-/-</sup> CD8<sup>+</sup> T cells are able to have normal cytotoxic activity in the presence of CD40L<sup>+</sup> DC. We have also looked at TCR-transgenic models viz OT-I and found OT-I T cells are not reliant on CD40L for proliferation or killing (data not shown). Given the recent findings that clonal size is important in determining outcomes it may not be surprising that there may be differences between TCR-transgenic systems versus endogenous systems (Ford et al., 2007; Mintern et al., 2002). One of the key components of the Hernandez paper was the CD40L<sup>-/-</sup> P-14 T cells proliferated poorly compared with wildtype P-14 T cells *in vivo*. Interestingly, anti-CD40 stimulation availed these CD40L<sup>-/-</sup> T cells to proliferate and this suggests that CD8<sup>+</sup> T cells do not require CD40L to proliferate. Our proposal that anti-CD40 Ab can upregulate CD40L on DC to prime CD8<sup>+</sup> T cells would explain this result.

We therefore propose that CD40L on the DC interacts with the CD40 on CD8<sup>+</sup> T cells and is crucial for helper-independent CTL responses. As CD40 is expressed on other immune cells including CD4<sup>+</sup> T cells, B cells, endothelial cells, thymic epithelial cells and DC themselves (Gray et al., 2006; Quezada et al., 2004; van Kooten and Banchereau, 2000), whether CD40L on DC may interact with CD40 of all these cell types invites further elucidation. The CD40L on DC can be induced *in vitro* upon activation with TLR3 and TLR9 agonists. TLR3 and 9 agonists can also be used to generate helper-independent CTL responses; this can be dramatically blocked by anti-CD40L. However agonists to TLR2, 4 and 7 failed to upregulate CD40L and were unable to deliver signals to prime T cells *in vivo*. Thus to generate helper-independent CTL responses, TLR3 and 9 agonists can upregulate CD40L expression on DC and promote CTL priming. TLR3 and 9 agonists (but not TLR2 or 4 agonists) have previously been shown to facilitate cross-presentation *in vitro* (Datta et al., 2003). Moreover, the addition of TLR3 or TLR9 agonists to cationic liposomes can elicit *in vivo* cross-priming of CD8<sup>+</sup> T cells independent of CD4<sup>+</sup> T cell help (Zaks et al., 2006). Our findings now offer a mechanistic explanation for their findings, i.e. upregulation of CD40L on DC by agonists to TLR3 and TLR9 promotes CD8<sup>+</sup> T cell priming.

As TLR agonists mimic microbial infection, we surmised that pathogens that promote CTL priming in a helper-independent fashion may do so by upregulating CD40L on DC. Viruses can infect both human and murine DC leading to activation and upregulation of co-stimulatory molecules such as CD40 and CD80/86 (Harui et al., 2006; Jain et al., 2007; Montoya et al., 2005; Saurwein-Teissl et al., 1998; Wu and Liu, 1994). CD40L as a costimulatory marker on DC has not been investigated in this capacity, presumably because most workers assume that CD40L is primarily a T cell molecule. We chose two infectious models: intranasal influenza, which is helper-independent (Allan et al., 1990; Tripp et al., 1995) and HSV flank infection, which is mostly dependent on CD4 help (supplementary Fig 2), just like for the intravenous or footpad route (Smith et al., 2004). CD40L on DC was upregulated by influenza but not by HSV, whereas both infections upregulated CD86 on DC. Moreover, the helper-independent CTL responses by influenza were substantially reduced by anti-CD40L treatment (Fig 7A).

The mechanism of how viruses like ectromelia, vesicular stomatitis virus, human immunodeficiency virus, Epstein-Barr virus, influenza and cytomegalovirus can potentiate helper independent CTL responses in the absence of CD4 help remained unclear despite much exploration (Hamilton et al., 2001; Ruedl et al., 1999; Sun and Bevan, 2004; Wang et al., 2001). Our work provides at least one mechanism by which some viruses can elicit CTL responses in a CD4 deficient environment. Many viruses, such as HIV (Lore et al., 2002; Trimble et al., 2000), MCMV (Mintern et al., 2006), HPV (Ortiz-Sanchez et al., 2007), HCMV (Moutaftsi et al., 2002) and HSV (Mikloska et al., 2001) have developed evasion strategies that downregulate co-stimulatory markers on both T cells and DC. This evasion mechanism leads to decreased T cell mediated immunity to the virus, allowing chronic infections to manifest. Interestingly, HIV has been shown to downregulate CD40L on T cells (Subauste et al., 2007), thus it would be interesting to speculate that CD40L on DC may also be downregulated. Correcting such a deficiency (e.g. by TLR agonists) could potentially lead to increased immunity in the absence of CD4<sup>+</sup> T cells in HIV<sup>+</sup> patients. There is ongoing work investigating the creation of viruses or cell lines expressing CD40L (Bereta et al., 2004; Dotti et al., 2001; Mehling et al., 2001; Ostrowski et al., 2000; Tomihara et al., 2008) for either vaccine strategies or eradication of tumours using cell based therapies. Our findings that CD40L upregulation on DC is involved in generating CTL responses to influenza virus may lead to increased understanding of how these therapies may be tailored.

In conclusion, we show that CD40L upregulation on DC allows the generation of helper-independent CTLs. This CD40L upregulation also explains why only certain TLR agonists can promote helper-independent CTLs and provides a mechanism by which certain viruses can stimulate helper-independent CTL.

## EXPERIMENTAL PROCEDURES

### Mice

Mice aged 6 to 8 weeks were used in all experiments. CD4 deficient mice GK5 (transgenic for anti-CD4 monoclonal antibody (Zhan et al., 2004)), CD40L deficient mice (CD154-H) (Xu et al., 1994), MHC class II knockout mice (Cosgrove et al., 1991), CD11cDTR mice (Probst et al., 2005), Rag1<sup>-/-</sup> (Mombaerts et al., 1992) CD40<sup>-/-</sup> and MHC class I:C.H-2<sup>bm1</sup> (called bm1) mice were maintained in specific pathogen free condition in the animal facilities of Walter and Eliza Hall Institute of Medical Research. All mice were used according to the regulations of the Institute Animal Ethics Committee.

### DC preparations

DC were harvested from spleens as previously described (Vremec et al., 1992). Spleens were finely diced and added to a collagenase-DNase solution. Digestion occurred for 25mins prior

to adding EDTA. After sieving, diluting and centrifuging, the pellet was then resuspended in 5mL of Nycodenz/4 spleens and overlaid onto a further 5mL Nycodenz in a polypropylene tube. 2mL of FCS-EDTA was overlaid onto the splenic cell layer. The gradient was then centrifuged at 4000rpm without brake for 15 minutes. The low-density cells were collected and washed with EDTA-BSS-FCS before cell counts were performed. Depletion antibody cocktail containing antibodies against CD3, Thy1, GR1, B220 and Ery was added to negatively select for DC. Dynabeads sheep anti-rat IgG magnetic beads (DynaL Biotech, Oslo, Norway) were washed in EDTA-BSS-FCS and added at 5 beads per cell for 20 minutes at 4°C on a slowly rotating mixer. Cells were then depleted via negative selection on a magnet (Miltenyi Biotec, Bergisch Gladbach, Germany), collecting the supernatant and performing the depletion twice for extra purity. Cells were washed in EDTA-BSS-FCS, counted and checked via flow cytometry for yield.

### Intracellular staining

Cells were stained intracellularly for CD40L. T cells from B6 mice or CD40L<sup>-/-</sup> mice were cultured  $4 \times 10^6$  cells/ml in a 24 well plate in RPMI with 10% FCS in 5% incubator. PMA (25ng/ml) and Ionomycin (1 µg/ml) for 3 hours. Monensin (GolgiStop, Becton Dickinson, Franklin Lakes, NJ) at 0.6µl/ml was added to the cultures to prevent transport out of the cells. Cells were washed and stained with surface markers prior to fixing. Cells were fixed with 100µl Cytofix (Becton Dickinson) for 20 minutes at 4°C. Cells were permeabilised in saponin (PermWash, Becton Dickinson) before staining with biotinylated CD40L and allophycocyanin-streptavidin (BDBiosciences, San Jose, CA).

### In vivo CTL assays

Mice were immunized with  $2 \times 10^7$  irradiated OVA coated spleen cells from either a B6 or b61 donor with 1µg LPS I.V. 7 days later mice were given I.V.  $2 \times 10^7$  CFSE<sup>high</sup> labeled SIINFEKL (Mimotopes, Clayton, Australia) peptide pulsed cells and CFSE<sup>low</sup> labeled control unpulsed cells in equal ratios. 18–24 hours later spleens were analyzed by flow cytometry.

### Generation of chimeric mice

Lethal irradiation consisted of two doses of 550 cGy (γ-irradiation; <sup>60</sup>Co source) 2 hr apart. Femoral bone marrow,  $1 \times 10^6$  cells were injected I.V. into the recipient mice within hours of irradiation. Neomycin sulfate was added prophylactically to the drinking water for four weeks post irradiation.

Mice were treated with 100µl of anti-Thy1 antibody (T24) I.P. day one post reconstitution to eliminate residual host T cells. Chimeric mice were used experimentally 6–8 weeks later.

### Mixed lymphocyte reactions

Spleens and lymph nodes were isolated from C57BL/6, BALB/c or CD40L<sup>-/-</sup> mice. DC and CD8<sup>+</sup> T cells were purified by MACS (Miltenyi Biotec) positive selection.  $2 \times 10^5$  T cells, as responders, were cultured with allogeneic DC, as stimulators at concentrations of 1:100. Cells were cultured in a 96 well round bottom plate in MT-RPMI-10% FCS with 55µM 2-mercapto-ethanol and incubated with 5% CO<sub>2</sub>. Syngeneic stimulators and responders were used as background controls. [<sup>3</sup>H] thymidine was added 2 or 3 days later. Cells were harvested 18 hours after the addition of [<sup>3</sup>H] thymidine and proliferation was measured as CPM over background.

### Antibodies and TLR agonists

Anti-CD40L (MR1), anti-CD40 (FGK45) and anti-CD4 (GK1.5) were purified by in-house facilities. Flow cytometry antibodies were purchased from BDBiosciences. Loxoribine and



Polyinosinic-Polycytidylic Acid (Poly I:C) were purchased from Invivogen, San Diego, CA; Lipopolysaccharide (LPS) from *E. coli* 0111:B4 was purchased from Sigma, St Louis, MO. Dr. David Jackson, University of Melbourne, generously provided Pam2Cys.

### Viral Infections

All viruses were stored at  $-70^{\circ}\text{C}$  in PBS as single use aliquots. The influenza strain used was Influenza A/Puerto Rico/8/34 (Mt Sinai, H1N1) (PR8). Titre of the original influenza virus AF17B =  $3.1 \times 10^7$  plaque forming units (pfu)/ml. B6 mice were infected with 30 pfu per mouse of the above strain of influenza intranasally in a volume of 20 $\mu\text{l}$ . Purified DC were infected by incubating at  $37^{\circ}\text{C}$  for 45 min with virus at a concentration of 5 pfu/cell. The suspension was swirled periodically. DC were washed three times in KDS-RPMI-FCS to ensure any excess virus was removed prior to incubation for five hours to upregulate surface markers. HSV flank infections were performed as previously described (Allan et al., 2006; van Lint et al., 2004).

### Tetramer Staining

Mediastinal lymph nodes were removed from mice infected with influenza 5 days prior. Cells were prepared into a single cell solution and incubated with NP tetramer-PE, 1 in 200, for 40 minutes at room temperature. Cells were then stained for CD8, 30 minutes on ice and analysed via flow cytometry.

### Statistical Methods

Data are shown as mean  $\pm$  SEM. Non-normally distributed data were compared with unpaired Mann Whitney U Tests.

### Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

### Acknowledgments

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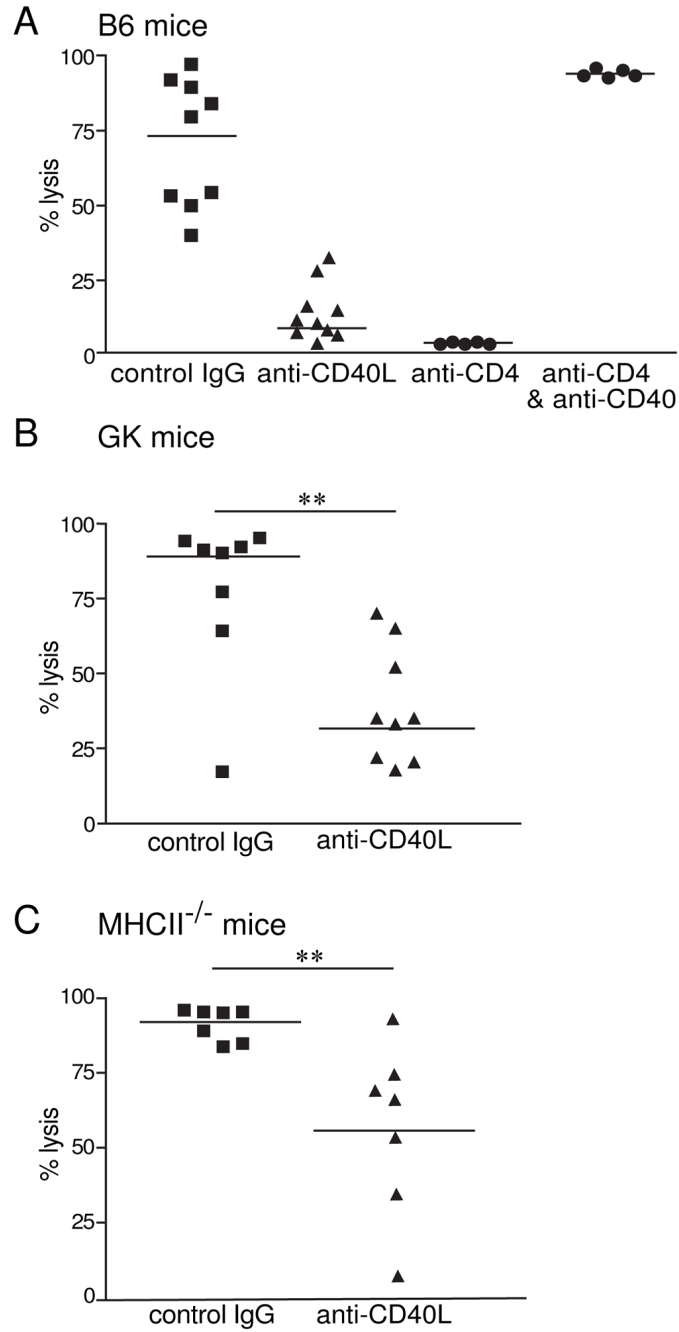
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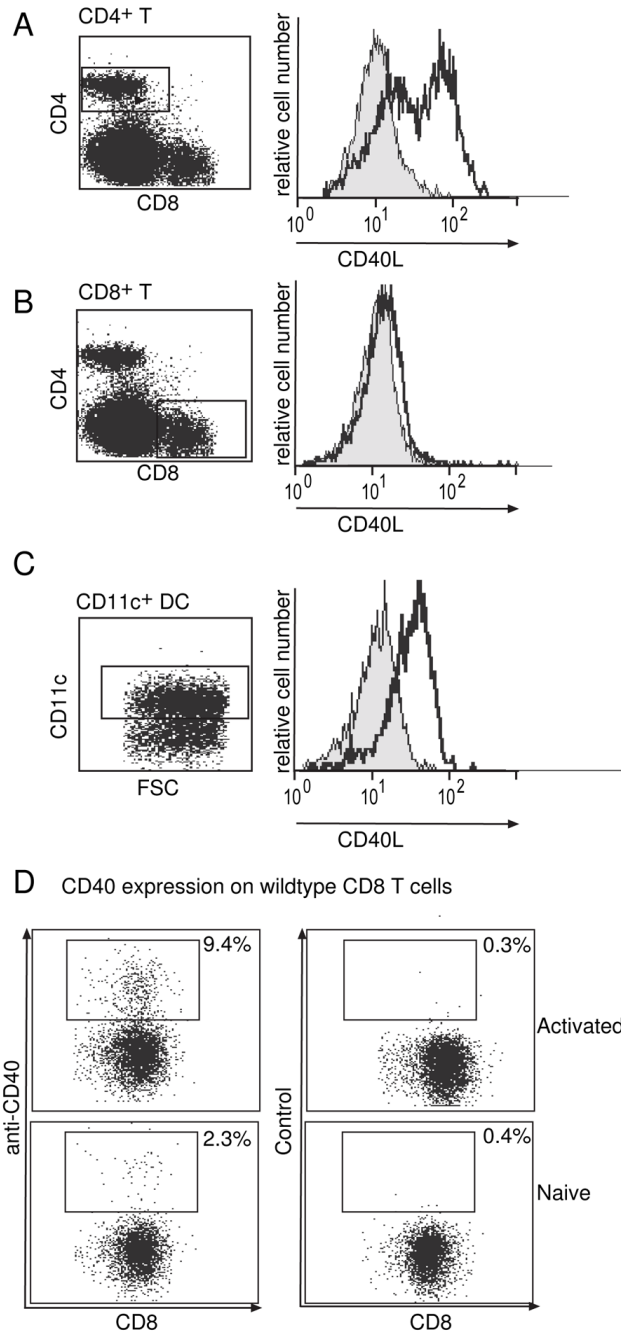
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**Figure 1. Treatment with Anti-CD40L decreases the CTL response even in the absence of CD4<sup>+</sup> T cells**

Mice, wildtype (A), GK transgenic mice (B) and MHC class II knockout mice (C) respectively, were immunized with  $2 \times 10^7$  irradiated OVA-coated spleen cells with  $1 \mu\text{g}$  LPS I.V. On the same day as immunization,  $400 \mu\text{g}$  of anti-CD40L (MR1) or control hamster IgG I.P. was administered. After 7–8 days mice were given I.V.  $2 \times 10^7$  CFSE<sup>high</sup> labeled peptide pulsed cells and CFSE<sup>low</sup> labeled control unpulsed cells in equal numbers. After 24 hours spleens were analyzed by flow cytometry. Percentage lysis is calculated by the reduction in the CFSE<sup>high</sup> labeled peptide pulsed target cells compared with unprimed mice. CD4 deficient

mice (A) and (B) were treated with 100 $\mu$ g of anti-CD40 (FGK45) I.P. on the day of immunization. \*\* $p=0.0076$  and  $0.0072$  respectively; Mann-Whitney U Test.

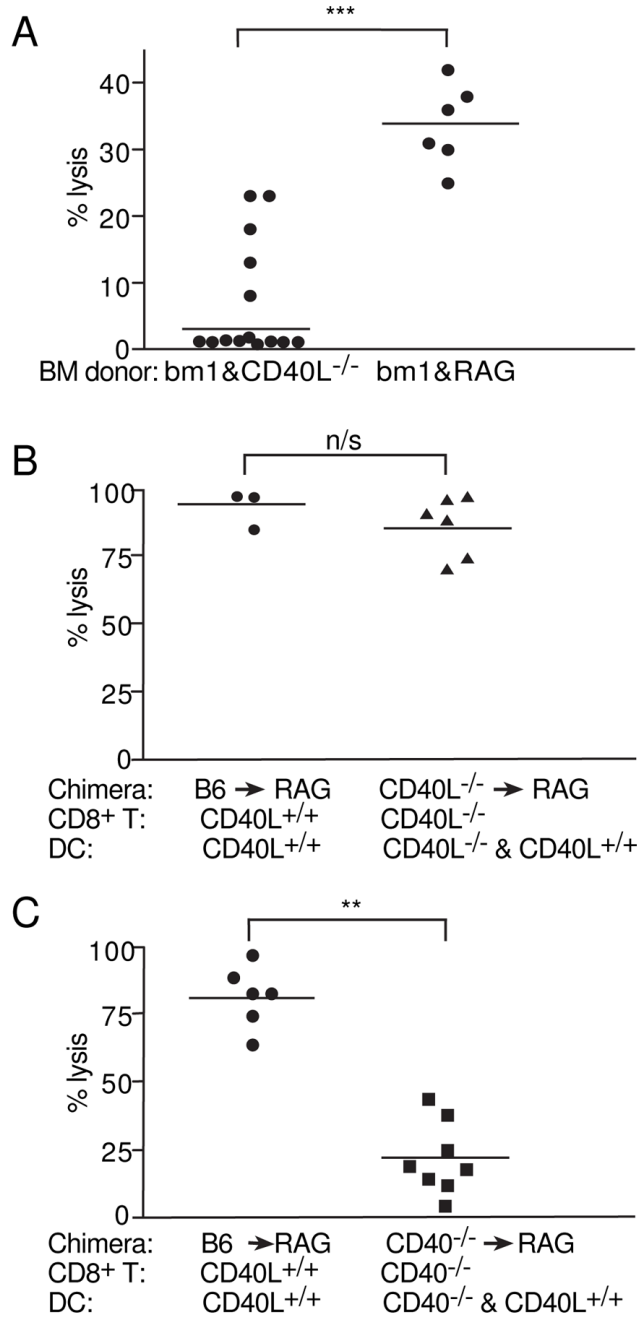


**Figure 2. CD40L is expressed on DC and CD8<sup>+</sup> T cells modestly express CD40**

Lymph node cells from naïve C57BL/6 mice (grey filled) or CD40L<sup>-/-</sup> mice (black) were stimulated in vitro for 4 hours with PMA and Ionomycin. Cells were stained for CD4 and CD8 and intracellular CD40L. (A) is gated on CD4<sup>+</sup> cells and shows strong expression of CD40L. (B) gated CD8<sup>+</sup> cells shows modest expression of CD40L. (C) DC were purified from spleens of naïve C57BL/6 mice (grey filled) or CD40L<sup>-/-</sup> mice (black) and cultured overnight prior to intracellular staining.

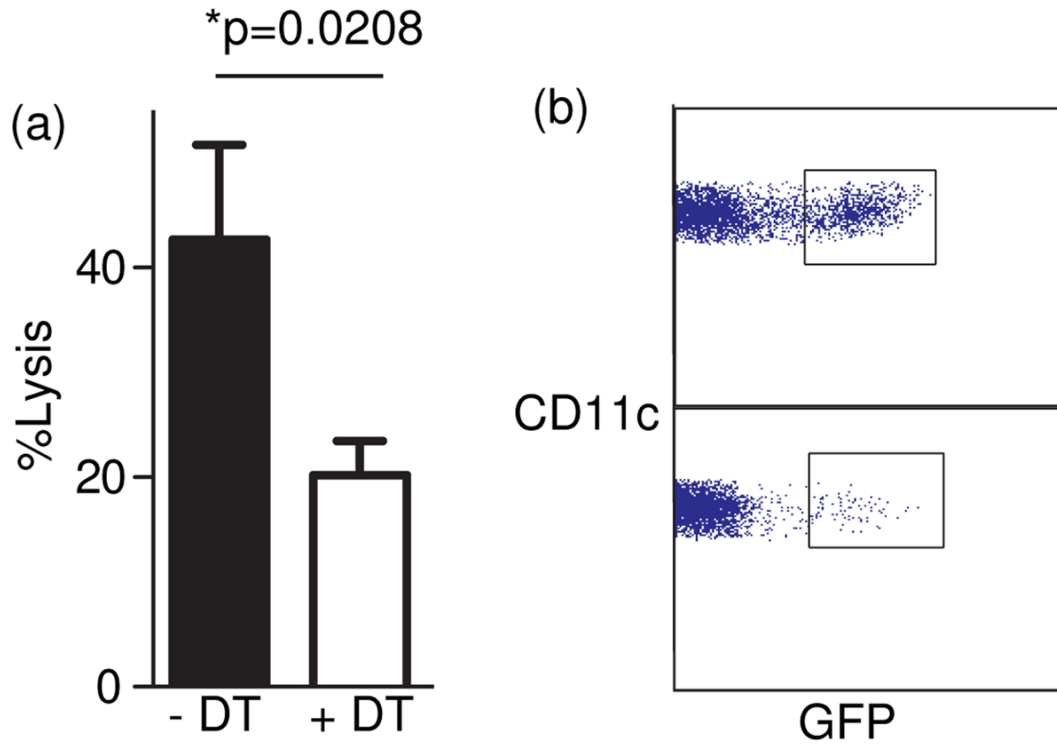
(D) Lymph node cells from C57BL/6 mice were analyzed immediately or were activated with PMA and Ionomycin. They were then stained with CD8 and CD40 and analyzed using flow cytometry.





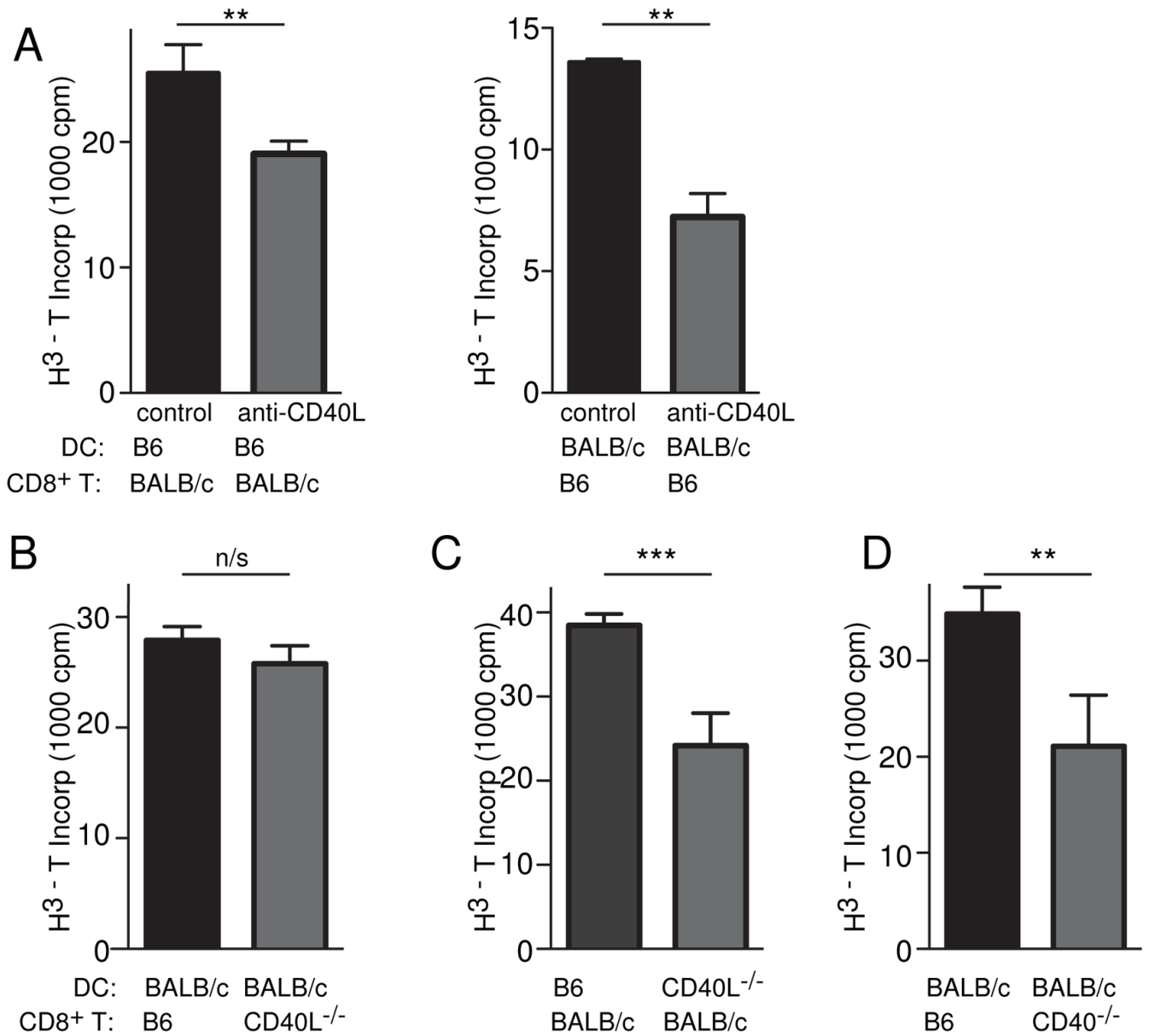
**Figure 3. CD40L<sup>-/-</sup> APC have reduced function in priming CD8<sup>+</sup> T cells in vivo CD4 deficient models**

Mice, either (A) bm1 reconstituted or (B) and (C) RAG reconstituted mice were treated with 0.5mg GK1.5 prior to immunizing with  $2 \times 10^7$  irradiated ova coated spleen cells with 1g LPS I.V. and 0.1mg anti-CD40 (FGK45). Staining with a non-competing RM4-4 Ab had shown that the CD4 depletion was complete. After 7–8 days  $2 \times 10^7$  CFSE<sup>high</sup> labeled peptide pulsed cells and CFSE<sup>low</sup> labeled control unpulsed cells in equal numbers. After 24 hours spleens analyzed by flow cytometry. Percentage lysis is calculated by the reduction in the CFSE<sup>high</sup> labeled peptide pulsed target cells compared with unprimed mice. \*\*\* p < 0.0002, Mann-Whitney U Test.



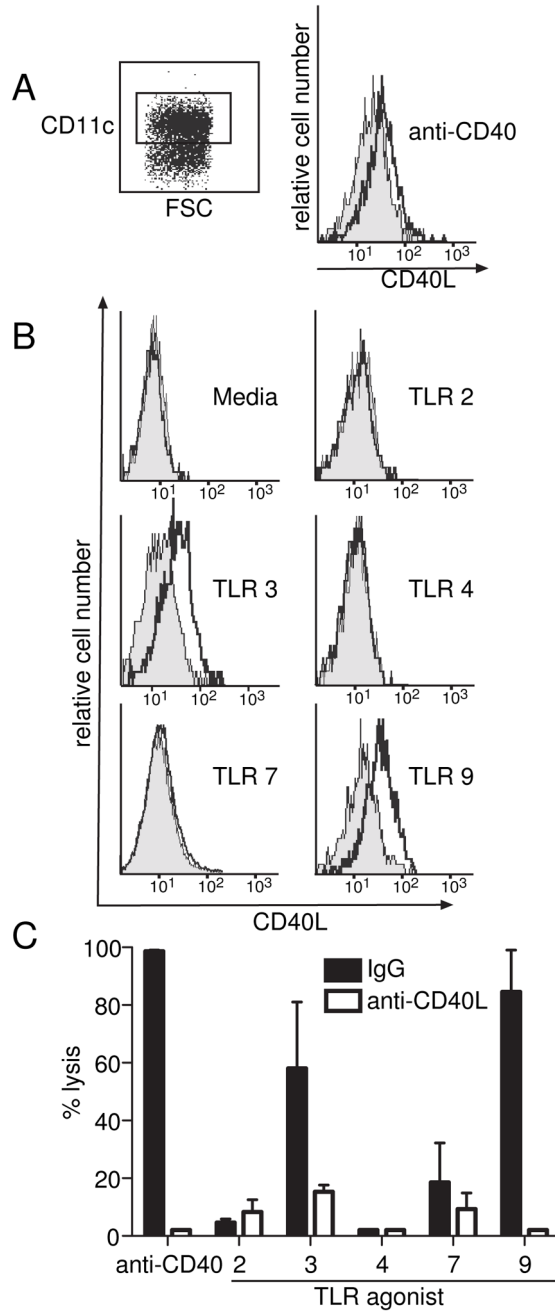
**Figure 4. Elimination of DC by diphtheria toxin in CD40L<sup>-/-</sup> and CD11cDTR mixed bone marrow chimeras reduces CTL killing**

Chimeric mice were generated by using CD11cDTR BM and CD40L<sup>-/-</sup> BM. Diphtheria toxin (DT) was administered to one group (n=5) prior to immunization and compared to untreated mice (n=5). Mice were treated with 0.5mg GK1.5 prior to immunizing with  $2 \times 10^7$  irradiated OVA-coated spleen cells I.V. and 0.1mg anti-CD40 (FGK45). After 7 days,  $2 \times 10^7$  CFSE<sup>high</sup> labelled peptide pulsed cells and CFSE<sup>low</sup> labelled control cells in equal numbers were injected I.V. Spleens were analyzed 24 hours later. Percentage lysis was calculated by the reduction in the peptide pulsed target cells compared to unprimed mice. In (b) DC were enriched using a nycodenz gradient and stained for CD11c. As DTR was made as a fusion of DTR and GFP, DC from CD11cDTR mice express GFP. Thus the DC comprise 35% CD11cDTR and 65% CD40L<sup>-/-</sup>. Moreover, the GFP<sup>+</sup> CD11cDTR DC are depleted upon administration of DT.



**Figure 5. CD40L<sup>-/-</sup> DC are inefficient at eliciting MLR**

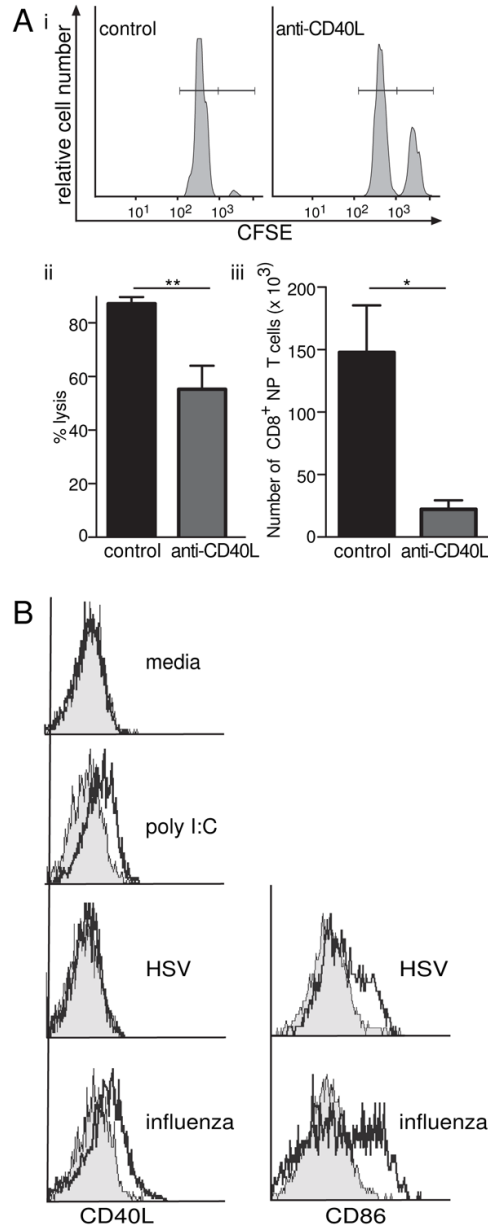
2×10<sup>5</sup> purified CD8<sup>+</sup> T cells were cultured with allogeneic purified CD11c<sup>+</sup> DC for 3 days prior to the addition of [H<sup>3</sup>] thymidine. Proliferation was measured by the incorporation of [H<sup>3</sup>] thymidine above that of syngeneic cultures. (A) B6 T cells with BALB/c DC or BALB/c T cells with B6 DC are inhibited by the addition of anti-CD40L to the cultures (B) CD40L<sup>-/-</sup> T cells respond equally as well as wildtype. (C) CD40L<sup>-/-</sup> DC are poorer presenters to BALB/c T cells (D) CD40L<sup>-/-</sup> T cells proliferate to a lesser extent (\*\*p=0.003, Mann Whitney U Test). Mean + SEM are shown.



**Figure 6. CD40L is upregulated in DC by TLR 3 and TLR 9 agonists and these agonists can potentiate CD40L dependent CTL responses**

DC were purified (CD11c<sup>+</sup>) and cultured for 8 hours with (A) anti-CD40 monoclonal antibody (FGK45) or (B) a panel of agonists for TLR 2, 3, 4, 7 and 9. Histograms show expression of intracellular CD40L on gated CD11c<sup>+</sup> cells from C57BL/6 mice (black) relative to CD40L<sup>-/-</sup> mice (grey). (C) GK (CD4 deficient) mice were immunized with 2×10<sup>7</sup> irradiated OVA coated spleen cells with 1μg LPS I.V. and either 0.1mg anti-CD40, 40nmols CpG, 20nmols Pam2Cys, 1mg Loxoribine or 100μg Poly I:C. Anti-CD40L or hamster IgG, 400μg, was also given on the day of immunization. After 7 days 2×10<sup>7</sup> CFSE<sup>high</sup> labeled peptide pulsed cells and CFSE<sup>low</sup> labeled control unpulsed cells in equal numbers. After 24 hours spleens

analyzed by flow cytometry. Percentage lysis is calculated by the reduction in the CFSE<sup>high</sup> labeled peptide pulsed target cells compared with unprimed mice.



**Figure 7. Influenza can upregulate CD40L on the dendritic cell and generate CD40L partially dependent CTLs**

(A) Mice treated with GK1.5 (anti-CD4) were infected with 30 pfu intranasal influenza (PR8 strain); on the same day 400µg Anti-CD40L or IgG treatment was administered. 6 days later mice were injected with  $2 \times 10^7$  CFSE<sup>high</sup> labeled peptide (influenza NP<sub>366-374</sub>) pulsed cells and CFSE<sup>low</sup> labeled control unpulsed cells. After 24 hours spleens analyzed by flow cytometry. (i) Histograms show higher proportion of CFSE<sup>high</sup> cells in anti-CD40L treated mice. (ii) Percentage lysis is calculated by the reduction in the CFSE<sup>high</sup> labeled peptide pulsed target cells compared with uninfected mice, column graph shows mean and SEM of percentage lysis of target cells. \*\*p=0.0027, Mann-Whitney U Test. (iii) Mediastinal lymph nodes (MSLN) were taken from CD4 depleted influenza infected mice at day 5 and stained with CD8/NP tetramer. The bar graph shows total number of CD8<sup>+</sup> NP specific T cells in the MSLN. \*p=0.0286, Mann-Whitney U test. (B) CD11c<sup>+</sup> DC were purified and infected for 1 hour with

5 pfu/cell of either PR8 influenza or HSV. DC were then cultured for 6 hours prior to surface staining of CD11c and CD86 and intracellular staining of CD40L. Histograms are gated on CD11c<sup>+</sup> cells. CD40L histograms show B6 (black line) overlayed onto CD40L<sup>-/-</sup> DC (grey filled). CD86 histograms show HSV- or influenza-infected (black line) cells overlayed onto uninfected DC (grey filled).