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## **Serotonergic Drugs and Valvular Heart Disease**

### **Richard B. Rothman** and **Michael H. Baumann**

*Clinical Psychopharmacology Section, Intramural Research Program, National Institute on Drug Abuse, National Institutes of Health, DHHS, Baltimore, MD, USA*

### **Abstract**

**Background—**The serotonin (5-HT) releasers ( $\pm$ )-fenfluramine and (+)-fenfluramine were withdrawn from clinical use due to increased risk of valvular heart disease. One prevailing hypothesis (i.e., the "5-HT hypothesis") suggests that fenfluramine-induced increases in plasma 5-HT underlie the disease.

**Objective—**Here we critically evaluate the possible mechanisms responsible for fenfluramineassociated valve disease.

**Methods—**Findings from in vitro and in vivo experiments performed in our laboratory are reviewed. The data are integrated with existing literature to address the validity of the 5-HT hypothesis and suggest alternative explanations.

**Conclusions—**The overwhelming majority of evidence refutes the 5-HT hypothesis. A more likely cause of fenfluramine-induced valvulopathy is activation of  $5-HT_{2B}$  receptors on heart valves by the metabolite norfenfluramine. Future serotonergic medications should be designed to lack  $5-HT_{2B}$ agonist activity.

### **Introduction**

5-Hydroxytryptamine (5-HT or serotonin) is an important cell-to-cell signaling molecule found in all animal phyla  $<sup>1</sup>$ . In mammals, substantial concentrations of 5-HT are present in the central</sup> and peripheral nervous systems, gastrointestinal tract and cardiovascular system. 5-HT is capable of exerting a wide variety of biological effects by interacting with specific membranebound receptors, and at least 13 distinct 5-HT receptor subtypes have been cloned and characterized <sup>2</sup>. With the exception of the 5-HT<sub>3</sub> receptor subtype, which is a transmitter-gated ion channel, 5-HT receptors are members of the 7-transmembrane G protein-coupled receptor superfamily  $3$ . In humans, the serotonergic system is implicated in various physiological processes such as sleep-wake cycles, maintenance of mood, control of food intake and regulation of blood pressure. Accordingly, drugs that affect 5-HT-containing cells or 5-HT receptors are effective treatments for numerous indications, including depression, anxiety, obesity, nausea and migraine.

The 5-HT releasing agents ( $\pm$ )-fenfluramine (Pondimin<sup>™</sup>) and (+)-fenfluramine (Redux<sup>™</sup>) were approved for the treatment of obesity by the U.S. Food and Drug Administration in 1973 and 1996, respectively. Both fenfluramines were subsequently withdrawn from the marketplace in 1997 because valvular heart disease (VHD) was discovered in some patients receiving these drugs 4, 5. Fenfluramine-associated VHD was characterized by thickening of valve leaflets and increased regurgitation of blood, most often detected by echocardiography. Initial findings from uncontrolled studies suggested that fenfluramines produce VHD in a high

Correspondence: Richard B. Rothman M.D., Ph.D., Chief, Clinical Psychopharmacology Section, IRP/NIDA/NIH, 333 Cassell Drive, Suite 4500, Baltimore, Maryland 21224, USA, +1 443-740-2652 (phone), +1 443-740-2241 (fax), E-mail: rrothman@mail.nih.gov.

percentage of patients, but recent retrospective studies show a much lower risk than once feared. For example, two meta-analyses of available clinical data report an odds ratio of  $\sim$ 2.2 for the incidence of valvular regurgitation in patients exposed to fenfluramines  $6, 7$ . Additionally, the echocardiographic abnormalities in fenfluramine patients are often not accompanied by clinically significant symptoms of VHD  $\frac{8, 9}{8}$ . Some investigators have suggested that the lack of clinically significant symptoms might be related to an adaptive dilatation of the heart chamber, a condition which responds well to the utilization of ACE inhibitors 10. Most evidence indicates that the prevalence of VHD increased with both longer duration of fenfluramine treatment and higher doses administered  $11$ . One recent report suggests that patients with a history of fenfluramine treatment have higher rates of surgery for VHD when compared to the general population  $^{12}$ .

Without question there were some fenfluramine-treated patients who were afflicted with severe VHD. When heart valves from these patients were surgically removed and examined, they displayed intact valve architecture with a glistening white appearance and plaque-like encasement of the leaflets and chordal structures. The unique heart valve abnormalities in these patients resembled those seen in carcinoid syndrome, a disease caused by 5-HT-secreting tumors. Because fenfluramines are potent 5-HT releasers <sup>13, 14</sup> and 5-HT has established mitogenic effects  $15, 16$ , investigators initially suspected that serotonergic mechanisms were involved in the pathogenesis of fenfluramine-associated VHD. While many patients were treated with the combination of phentermine plus fenfluramine (i.e., "phen/fen"), no data have linked phentermine treatment alone with VHD, and this medication remains available for the clinical management of obesity. Additionally, there is no evidence to support the notion that phentermine-induced release of NE would have a synergistic effect on the serotonergic actions of fenfluramine  $17$ . At the present time, most data support the hypothesis that fenfluramineassociated VHD is related to the activation of  $5-HT_{2B}$  receptors by norfenfluramine, the main metabolite of fenfluramine (for review see:  $^{18}$ ). The purpose of the present article is to: 1) review the basic pharmacology of fenfluramine and norfenfluramine, 2) discuss the effects fenfluramine on circulating levels of 5-HT, and 3) consider the evidence supporting a role for 5-HT2B receptors in mediating VHD associated with the use of fenfluramines and other serotonergic medications.

### **Pharmacology of Fenfluramines**

It is well established that fenfluramines stimulate 5-HT transmission in the central nervous system  $^{19}$ . Specifically, these agents increase extracellular levels of 5-HT in nervous tissue by a mechanism involving 5-HT transporter proteins (SERT)  $13, 20$ . Drugs that interact with SERT, or other membrane-bound transporter proteins, can be divided into two classes: uptake inhibitors and substrate-type releasers. Uptake inhibitors bind to transporter proteins but are not transported. These drugs increase extracellular concentrations of neurotransmitters by interfering with neurotransmitter uptake from the synaptic cleft. Substrates also bind to transporters, but these compounds are subsequently translocated into nerve terminals where they promote the release of intracellular neurotransmitters by a process of carrier-mediated exchange <sup>21</sup>. Most findings indicate that stereoisomers of  $(\pm)$ -fenfluramine and  $(\pm)$ norfenfluramine increase extracellular 5-HT by acting as substrates for SERT (for review see <sup>22</sup>). In vivo microdialysis studies confirm that 5-HT release evoked by  $(\pm)$ -fenfluramine or (+)-fenfluramine is antagonized by pretreatment with the SERT uptake blocker fluoxetine  $(Prozac<sup>TM</sup>)$  23, 24.

Pharmacokinetic investigations have revealed that stereoisomers of (±)-fenfluramine are *N*de-ethylated by liver enzymes to yield the metabolites,  $(+)$ - and  $(-)$ -norfenfluramine <sup>25</sup>. In humans and animals receiving systemic ( $\pm$ )-fenfluramine, circulating concentrations of (+)and (−)-norfenfluramine are similar to or greater than concentrations of fenfluramine itself

25, 26. Moreover, stereoisomers of  $(\pm)$ -fenfluramine and  $(\pm)$  norfenfluramine cross the bloodbrain barrier to accumulate in the central nervous system. Thus, peripheral administration of (±)-fenfluramine gives rise to four distinct pharmacological agents with potential neurobiological activity.

We developed a high-throughput in vitro assay method that can be used to discriminate between drugs that act as transporter uptake inhibitors vs. substrate-type releasers. Using this method, it is possible to profile the mechanism of action of test drugs at SERT, norepinephrine (NE) transporters (NET) and dopamine (DA) transporters (DAT), using nearly identical assay conditions  $27, 28$ . With few exceptions  $29, 30$ , investigations examining the neuropharmacology of fenfluramines and norfenfluramines have focused on the serotonergic effects of these drugs (for review see  $^{22}$ ). For this reason, we studied the interaction of  $(\pm)$ fenfluramine, (±)-norfenfluramine, and their stereoisomers, with SERT, NET and DAT using in vitro assays and in vivo microdialysis methods  $14$ .

As reported in Table 1, the predominant action of  $(\pm)$ -fenfluramine and its stereoisomers is to evoke 5-HT release. (+)-Fenfluramine releases [ ${}^{3}H$ ]5-HT with an EC<sub>50</sub> value of 51.7 nM whereas (−)-fenfluramine releases [3H]5-HT with an  $EC_{50}$  value of 147 nM, about a 3-fold difference in potency. In the  $[3H]$ NE release assay, (+)-fenfluramine displays activity that is about 6-fold weaker than its activity in the  $[3H]5$ -HT release assay. (−)-Fenfluramine is very weak in the NE release assay. Thus, (−)-fenfluramine is more selective than (+)-fenfluramine as a  $[^{3}H]$ 5-HT releaser, but (−)-fenfluramine is less potent. ( $\pm$ )-Fenfluramine and its stereoisomers are essentially inactive in the DA release assay.

(±)-Norfenfluramine and its stereoisomers are also potent 5-HT releasers. (+)-Norfenfluramine releases  $[3H]$ 5-HT with an EC<sub>50</sub> value of 59.3 nM, whereas (−)-norfenfluramine releases  $[3H]$ 5-HT with an EC<sub>50</sub> of 287 nM. ( $\pm$ )-Norfenfluramine and its stereoisomers are much more potent at releasing  $[3H]NE$  than fenfluramines. For example,  $(+)$ -norfenfluramine releases [<sup>3</sup>H]NE with an EC<sub>50</sub> value of 72.7 nM, compared to (+)-fenfluramine which releases [<sup>3</sup>H]NE with an  $EC_{50}$  value of 302 nM (see above). It is important to note that norfenfluramines release  $[3H]NE$  and  $[3H]5-HT$  with roughly equivalent potency. Additionally, the potency of (+)norfenfluramine to evoke  $[3H]NE$  release is similar to the potency of phentermine and (−)ephedrine, which are known NE-releasing agents. Norfenfluramines are weak releasers of  $[3H]$ DA, but in this regard norfenfluramines are more potent than fenfluramines.

We carried out in vivo microdialysis experiments in rat prefrontal cortex to examine the effects of (+)-fenfluramine and (+)-norfenfluramine on extracellular concentrations of 5-HT, NE and DA. The microdialysis data depicted in Fig. 1 demonstrate that (+)-fenfluramine produces dose-related increases in dialysate levels of all three monoamines in cortex. The stimulatory effect of (+)-fenfluramine on dialysate 5-HT is the predominant action of this drug, with 5-HT levels reaching about 1000 percent above baseline (10-fold increase) at a 1 mg/kg dose and about 2200 percent of baseline (22-fold increase) at a 3 mg/kg dose. (+)-Fenfluramine-induced also increases extracellular NE, but this effect is 5-fold lower in magnitude when compared to 5-HT effects. (+)-Fenfluramine is weak as a releaser of DA, and the drug is only effective at the high dose.

Similar to (+)-fenfluramine, (+)-norfenfluramine produces dose-related elevations in dialysate 5-HT, NE and DA in rat cortex. As shown in Fig. 2, the rise in extracellular 5-HT evoked by (+)-norfenfluramine is comparable in magnitude to that observed with (+)-fenfluramine. (+)- Norfenfluramine increases dialysate NE levels to a greater extent than that observed with (+) fenfluramine. In contrast to (+)-fenfluramine, (+)-norfenfluramine evokes increases in extracellular DA that are similar in magnitude to its effect on extracellular NE. Interestingly, the ability of (+)-norfenfluramine to increase extracellular NE and DA is reduced by

pretreatment with the NE uptake blocker nisoxetine, while increases in extracellular 5-HT are unaffected. The nisoxetine findings suggest the possibility that NET sites are involved in the release of cortical NE and DA produced by (+)-norfenfluramine.

Viewed collectively, our results emphasize the complex pharmacology of  $(\pm)$ -fenfluramine. From a pharmacokinetic perspective, administration of  $(\pm)$ -fenfluramine generates four bioactive agents: (+)-fenfluramine, (−)-fenfluramine, (+)-norfenfluramine and (−) norfenfluramine. While these compounds are predominately 5-HT releasing agents, they all interact to some degree with NET and DAT at sufficient doses. We have previously proposed that substrate activity at SERT is one factor responsible for the increased incidence of primary pulmonary hypertension in patients who have taken fenfluramines 31. Data presented here demonstrate that the interaction of norfenfluramines with SERT and NET may contribute significantly to the mechanism of systemically administered fenfluramine. Fenfluramines and norfenfluramines also display direct interactions at multiple 5-HT receptor subtypes. Activation of  $5-\text{HT}_{2C}$  receptors is thought to mediate the anorectic effects of fenfluramines, and (+)-norfenfluramine is probably involved in this effect due to its potent affinity for 5-  $HT_{2C}$  receptors  $32-37$ . Accumulating evidence shows that activation of  $5-\text{HT}_{2B}$  receptors underlies VHD associated with  $(\pm)$ -fenfluramine and  $(+)$ -fenfluramine 38, 39 and also might contribute to the development of pulmonary hypertension <sup>40</sup>.

### **Effect of Fenfluramines on Plasma 5-HT**

As noted in the Introduction, clinical investigators initially speculated that serotonergic mechanisms were involved in the pathogenesis of VHD in fenfluramine-treated patients  $4$ . In particular, it has been known for many years that high concentrations of plasma 5-HT are related to VHD in carcinoid syndrome. Given the histopathological similarities between the heart valves from carcinoid patients and those from fenfluramine-treated patients, it seemed logical to assume that elevations in plasma 5-HT could be responsible for fenfluramineassociated VHD. This hypothesis, termed the "5-HT hypothesis" of VHD, postulates that fenfluramine administration increases plasma 5-HT to concentrations which are sufficient to produce mitogenesis and subsequent valvular damage  $41$ . Thus, the effect of fenfluramine on plasma 5-HT is an aspect of its pharmacology directly relevant to the possible pathogenic mechanisms.

Despite the importance of the 5-HT hypothesis for explaining the etiology of fenfluramineassociated VHD, the effects of fenfluramine and related agents on plasma 5-HT have not been well studied. Research investigations conducted in the 1990s are not consistent with the 5-HT hypothesis, since they show that acute fenfluramine does not increase plasma 5-HT in rats  $42<sup>2</sup>$ , and chronic fenfluramine lowers blood 5-HT in humans (see 43 and references therein). It is noteworthy that most studies report baseline plasma 5-HT levels at least 10-times higher than actual values (i.e.,  $\sim$  1 nM), perhaps reflecting the difficulties in preparing plasma without platelet activation and subsequent 5-HT release.

Given the uncertainties regarding the validity of the 5-HT hypothesis, we developed a microdialysis method to measure plasma levels of 5-HT in whole blood samples from conscious catheterized rats. Our method involves careful blood handling to minimize the chance of platelet activation or lysis that would trigger 5-HT release  $17$ . Using this method, we showed that baseline dialysate levels of 5-HT in rat blood are approximately 0.20 nM, or about 1 nM when corrected for probe recovery. The data in Fig. 3 demonstrate that acute i.v. administration of fenfluramine, or other SERT substrates such as 3,4-

methylenedioxymethamphetamine (MDMA) and methamphetamine, evokes dose-dependent increases in plasma 5-HT ranging from 4-fold to more than 20-fold above baseline. In contrast, amphetamine and phentermine, which have low potency as SERT substrates, produce much

smaller increases in plasma 5-HT. Acute administration of the SERT uptake blocker fluoxetine produces modest but significant increases in plasma 5-HT. When fenfluramine and other SERT substrates are added directly to blood samples in vitro, dose-dependent increases in plasma 5- HT are observed. Importantly, the potency of drugs to elevate plasma 5-HT correlates significantly with their ability to evoke  $\binom{3}{1}$ . Figure 1 release from rat brain synaptosomes, implicating a critical role for SERT. Taken together, our microdialysis findings suggest that fenfluramine and other SERT substrates are able to increase plasma 5-HT by interacting with SERT proteins, most likely those expressed on platelets. Our data are consistent with older literature demonstrating that fenfluramine releases 5-HT from platelets in vitro <sup>44, 45</sup> and functions as a substrate for platelet SERT proteins 46. In contrast, our findings do not agree with those of Johnson et al.  $^{47}$  who reported that (+)-fenfluramine does not release  $[$ <sup>14</sup>C]5-HT from human platelets in vitro. A possible explanation for the differences between our findings and those of Johnson et al. is that we measured the release of endogenous 5-HT in whole blood samples, rather than the release of preloaded  $[{}^{14}C]$ 5-HT from isolated platelets.

The next logical step in these studies was to determine the effect of chronic fenfluramine on plasma 5-HT 48, and we examined the effects of chronic fluoxetine for comparative purposes. As depicted in Fig. 4, chronic administration of fenfluramine via 14 days of osmotic minipump infusion significantly increases baseline plasma 5-HT levels 2-fold and 4-fold after 3 mg/kg/ day and 10 mg/kg/day, respectively. Interestingly, exposure to chronic fenfluramine significantly and dose-dependently reduces the ability of acute i.v. fenfluramine (1 mg/kg) to increase plasma 5-HT. Acute administration of fenfluramine elevates extracellular 5-HT by 20-fold in saline-pretreated rats, as compared to 6-fold and 4-fold following chronic administration of 3 and 10 mg/kg/d  $(\pm)$ -fenfluramine. By comparison, chronic administration of fluoxetine via 14 days of osmotic minipump infusion does not change baseline plasma 5- HT levels. However, chronic fluoxetine significantly and dose-dependently reduces the effects of acute fenfluramine on plasma 5-HT. An acute challenge dose of i.v. fenfluramine (1 mg/kg) elevates extracellular 5-HT by 18-fold in the vehicle condition, as compared to 4-fold and 2 fold following the chronic administration of 3 and 10 mg/kg/d of fluoxetine. These data reveal that chronic exposure to fenfluramine or fluoxetine markedly diminishes the ability of acute fenfluramine to evoke increases in plasma 5-HT.

Chronic administration of fenfluramine and fluoxetine significantly alters whole blood levels of 5-HT. As shown in Figure 5, chronic fenfluramine reduces whole blood 5-HT by about 40% compared with saline control when measured 7 days after minipump implantation. Similar reductions are observed at day 13. The fenfluramine-induced reduction in blood 5-HT is not dose-dependent, indicating that the ability of fenfluramine to deplete platelet 5-HT has already reached a maximal effect at the 3 mg/kg/d dose. Chronic fluoxetine dose-dependently and significantly reduces whole blood 5-HT by ~35% (3 mg/kg/day) and ~75% (10 mg/kg/day) compared with saline control when measured 7 days after minipump implantation, and this reduction is sustained up to 13 days. The whole blood data indicate that fenfluramine and fluoxetine deplete platelet 5-HT, and this effect may help to explain the reduction in fenfluramine-induced 5-HT release after these chronic drug treatments.

We also measured circulating levels of fenfluramine, fluoxetine and their respective metabolites after 13 days of chronic drug administration. The blood concentration of fenfluramine is increased significantly from 55 to 212 ng/ml when the dose of infused drug is increased from 3 to 10 mg/kg/day. The blood level of norfenfluramine also rises from 19 to 115 ng/ml in the same rats. The blood levels of fenfluramine and norfenfluramine measured in rats receiving 3 mg/kg/day are similar to those reported in humans taking 60 mg fenfluramine/day, namely 62.9 ng/ml fenfluramine and 20.7 ng/ml norfenfluramine 43. After 13 days of chronic administration of fluoxetine, the fluoxetine blood concentrations are 11 and 113 ng/ml after the 3 and 10 mg/kg/day doses, respectively. The blood levels of norfluoxetine

are 74 and 795 ng/ml in the same rats. The blood levels of fluoxetine and norfluoxetine measured in rats receiving 10 mg/kg/day are similar to those reported in humans taking 20 mg fluoxetine/day <sup>49</sup>. Our pharmacokinetic data demonstrate that osmotic minipump delivery of fenfluramine and fluoxetine to rats can produce drug and metabolite levels that are similar to those observed in patients taking prescribed doses of these medications.

It is now well accepted that large elevations in blood 5-HT produced by experimental methods in rodents, or pathological conditions in humans, can cause VHD with characteristic plaquelike encasement of valve leaflets  $50-52$ . By contrast, chronic administration of fenfluramine does not uniformly produce VHD in rodents, and no reproducible animal model has been established in this regard 53, 54. In one study, Gustafsson et al. (2005) administered chronic daily injections of exogenous 5-HT to induce VHD in rats. In their study, "free" 5-HT was measured using in vivo microdialysis in femoral muscle, rather than in a peripheral vein. Free 5-HT levels ranged from 580 nM to 974 nM when corrected for 31% probe recovery. These values are at least 100-fold higher than the low nM levels of 5-HT observed after chronic fenfluramine treatment in our study 48. Gustafsson et al. (2005) also reported that chronic administration of 5-HT increased whole blood levels of 5-HT from 6,986 nM to 21,236 nM. In our study (Fig. 5), as expected on the basis of previous work (see 43 and references therein), chronic fenfluramine decreased whole blood 5-HT by 40%. These considerations support the hypothesis that chronic fenfluramine does not increase 5-HT concentrations in plasma or blood to a level reported to cause VHD in the rat. It is important to note, however, that the threshold dose of exogenous 5-HT required to produce VHD has not been determined, and this issue warrants further experimentation.

Several studies report that carcinoid syndrome causes marked increases in platelet 5-HT. Kema et al <sup>55</sup> reported that patients with carcinoid tumors had median platelet 5-HT levels of 31.45  $nmol/10<sup>9</sup>$  platelets as compared to 4.4 in normal controls. In a later study, the same group showed that carcinoid patients had median platelet 5-HT levels of  $23.8 \text{ nmol}/10^9$  platelets as compared to 3.4 in normal controls 56. A similar 8.6-fold increase in platelet 5-HT was reported by others 57. Robiolio et al. 50 reported that carcinoid patients with VHD have higher serum and platelet 5-HT levels than carcinoid patients without VHD. The serum and platelet 5-HT levels in carcinoid patients with VHD were elevated 11.5-fold and 6.6-fold, respectively, as compared to normal controls. Since the increased levels of 5-HT in blood and platelets observed in rat models  $51$  and in carcinoid patients is driven by indirect infusion of  $5-HT$  into the blood stream, it is reasonable to conclude that such elevations in blood and platelet 5-HT will also result in large increases (>20-fold) in plasma 5-HT. In our rat study, chronic fenfluramine at clinically-relevant doses decreased blood 5-HT levels, and increased plasma 5-HT only 2-fold to 4-fold above baseline. Again these data dispute the notion that chronic fenfluramine increases plasma or blood 5-HT to a level sufficient to cause VHD in humans. Our results are similar to those observed in rats chronically exposed to hypoxic conditions  $58$ . Of course, we cannot exclude the possibility that small increases in plasma 5-HT produced by chronic fenfluramine could be enough to stimulate mitogenic responses in susceptible individuals and increase the risk of developing VHD. However, this scenario seems unlikely since treatment with lithium  $59$  or MAO inhibitors  $60$ , which are nor known to increase the risk of VHD, produces comparable increments in plasma 5-HT.

When viewed collectively, the available evidence is not compatible with the 5-HT hypothesis of fenfluramine-associated VHD  $^{41,61}$ . Although the 5-HT hypothesis may have been tenable as an explanation of fenfluramine-associated VHD at one point, recent studies implicate 5-  $HT_{2B}$  receptors, rather than plasma 5-HT per se, as the culprit in the pathogenesis of this disease 39. As will be described in the next section, medications that are known to induce VHD such as fenfluramine, methysergide, ergotamine, pergolide and cabergoline share a common mechanism - namely, these drugs or their major metabolites are potent and efficacious 5-

 $HT<sub>2B</sub>$  agonists. 5-HT<sub>2B</sub> receptors are richly expressed in heart valve cells. When stimulated, these cells undergo mitogenesis that leads to excessive growth culminating in valvulopathy (for review, see  $^{18}$ ).

### **Serotonin 5-HT2B receptors and Valvular Heart Disease**

Based on historical data, and our microdialysis findings reviewed above, we surmised that elevations in plasma 5-HT are not involved in the etiology of fenfluramine-associated VHD. Therefore, in a separate set of experiments, we investigated whether stereoisomers of fenfluramine or norfenfluramine might directly activate specific 5-HT receptor subtypes mediating mitogenic effects <sup>39</sup>. A number of additional test drugs were included in these experiments as positive and negative controls. "Positive controls" were ergot alkaloids known to increase the risk of VHD, such as methysergide, its active metabolite methylergonovine, and ergotamine  $62-64$ . "Negative controls" were drugs that interact with monoamine transporters but were not known to cause VHD. These drugs included phentermine, fluoxetine and its metabolite norfluoxetine. Echocardiographic studies in humans support the assertion that fluoxetine (and other SSRIs)  $^{65}$ , phentermine  $^{66}$  and sibutramine (a NE/5-HT uptake inhibitor)  $^{67}$  do not cause VHD. We also tested the antidepressant trazodone and its active metabolite, m-chlorophenylpiperazine (mCPP), as negative controls 68, 69. mCPP has agonist activity at a wide range of 5-HT receptor subtypes  $2,70$  and is capable of releasing neuronal 5-HT via a transporter-mediated mechanism similar to fenfluramines  $71, 72$ .

Our working hypothesis was that fenfluramines, norfenfluramines and positive control drugs would share the ability to activate a mitogenic 5-HT receptor subtype expressed in heart valves, while the negative control drugs would not. An initial receptorome screen led to a detailed evaluation of the binding of these drugs to the 5-HT<sub>2</sub> family of receptors  $39$ . Table 2 reports binding data, and Table 3 reports the functional effects of these compounds at cloned human 5-HT<sub>2A</sub>, 5-HT<sub>2B</sub> and 5-HT<sub>2C</sub> receptors. Interestingly, fenfluramines have low affinity for all  $5-\text{HT}_2$  receptor subtypes. By contrast, we found that norfenfluramines display high affinity and efficacy at the 5-HT<sub>2B</sub> receptor subtype ( $K_I = 10 - 50$  nM), consistent with the findings of others  $38, 73$ . Methysergide acts as a partial agonist at the  $5-HT_{2B}$  receptor, while the metabolite methylergonovine has even greater affinity and efficacy. Ergotamine is a potent partial agonist at the 5-HT<sub>2B</sub> receptor. Among the negative control drugs tested, only mCPP exhibits agonist activity at the  $5-HT_{2B}$  site. It is noteworthy that trazodone binds to the 5- $HT_{2B}$  receptor with moderate affinity, but functions as an antagonist. Thus, when trazodone is metabolized to mCPP in vivo  $^{68}$ ,  $^{69}$  the 5-HT<sub>2B</sub> actions of mCPP are probably blocked by antagonist actions of the parent compound. Findings summarized by Setola et al.  $^{74}$  emphasize that many valvulopathic agents are actually "pro-drugs" which are converted to bioactive metabolites with 5-HT<sub>2B</sub> activity. Specific examples of this pro-drug phenomenon are illustrated by 3,4-methylenedioxymethamphetamine (MDMA) and methysergide- these parent drugs are *N*-demethylated to form metabolites which are potent efficacious  $5-HT_{2B}$  agonists.

Our results with the various positive control drugs strongly implicate the  $5-\text{HT}_{2B}$  receptor as a major culprit in the development of drug-induced VHD, and accumulating data support this hypothesis 18, 38, 73, 75.  $5\text{-}HT_{2B}$  receptors are abundantly expressed on aortic and mitral valves  $38$ , and these receptors are known to stimulate mitogenisis  $76, 77$ . Further evidence for the role of  $5-\text{HT}_{2B}$  receptors in drug-induced VHD is based on the effects of ergot medications such as carbergoline and pergolide. Both of these medications increase the risk of VHD in human patients and are also potent  $5-\text{HT}_{2B}$  receptor agonists (for review see:  $^{18}$ ). In contrast, the 5-HT<sub>2B</sub> receptor antagonist lisuride does not increase the risk for VHD <sup>18, 78</sup>. Setola and coworkers 75 showed that the illicit amphetamine analog MDMA and its *N*-demethylated metabolite, 3,4-methylenedioxyamphetamine (MDA), are  $5-HT_{2B}$  receptor agonists. These drugs stimulate prolonged mitogenic responses in human valvular interstitial cells via

activation of 5-HT<sub>2B</sub> receptors <sup>74</sup>, probably via phosphorylation of extracellular kinases (ERK) and Src kinase. <sup>18</sup>. As predicted by this study, a recent clinical report found that heavy MDMA users display a significantly higher incidence of valvular regurgitation when compared to control subjects  $^{79}$ . More clinical investigations are needed to clearly establish the link between illicit MDMA use and the risk for developing VHD

### **Conclusions**

 $(\pm)$ -Fenfluramine is a drug with complex pharmacological actions. Stereoisomers of  $(\pm)$ fenfluramine are rapidly metabolized to form (+)- and (−)-norfenfluramine. All of these isomers are potent SERT substrates that release endogenous 5-HT from neurons. Additionally, norfenfluramines displays a number of other effects including substrate activity at NET to release NE, and direct agonist activation of  $5-\text{HT}_{2B}$  and  $5-\text{HT}_{2C}$  receptor subtypes. Acute administration of fenfluramine produces transient increases plasma 5-HT, but chronic administration of the drug causes only modest elevations in baseline plasma 5-HT. Substantial evidence now confirms that fenfluramine-induced increases in plasma 5-HT are not sufficient produce VHD. At the present time, the most likely explanation for fenfluramine-associated valvulopathy is activation of  $5-HT_{2B}$  receptors by norfenfluramine. In agreement with this hypothesis, all medications that are known to produce VHD are 5-HT<sub>2B</sub> receptor agonists. It seems feasible that modest elevations in plasma 5-HT produced by fenfluramine administration could play a synergistic role with direct actions of norfenfluramine on  $5-HT_{2B}$  receptors. However, a significant contribution of plasma 5-HT is unlikely because plasma concentrations of fenfluramine and norfenfluramine (i.e.,  $\sim$  100 nM) far exceed plasma concentrations of 5-HT. In addition,  $5-HT_{2B}$  agonists which do not elevate plasma  $5-HT$  cause VHD, while medications which produce small increases in plasma 5-HT do not. Taken together, the findings support our opinion and those of our collaborators <sup>18</sup> that investigational medications and their metabolites should be devoid of agonist activity at  $5-HT_{2B}$  receptors when administered at clinical doses. Similarly, physicians should exercise caution when prescribing existing medications that are known to be 5-HT<sub>2B</sub> receptor agonists.

### **Expert Opinion**

The widespread use of fenfluramines in the 1990s ultimately led to the recognition of VHD as an unexpected adverse effect. A role for serotonergic mechanisms in the etiology of fenfluramine-associated VHD was suspected early on. Indeed, some investigators suggested that: "caution should be urged in the long-term use of other agents that act on serotonergic mechanisms, albeit by different pathways<sup>"</sup>, 80. Such opinions introduced doubt about the safety of a many serotonergic medications, including antidepressants that block the uptake of 5-HT. Several possible pathogenic mechanisms were proposed to explain fenfluramine-associated VHD. These mechanisms included fenfluramine-induced increases in plasma  $5-HT$ <sup>41</sup> and synergistic interactions with phentermine to block monoamine oxidase type- $A^{81}$ . Several lines of evidence reviewed here and elsewhere strongly argue against these hypotheses 13, 48, 82. It has been established that all medications associated with cardiac valvulopathy share the common ability to activate  $5-\text{HT}_{2B}$  receptors, thus providing the simplest mechanistic explanation for fenfluramine-associated VHD  $^{38}$ ,  $^{39}$ . Recently, several compounds including pergolide, cabergoline and MDMA were identified as 5-HT<sub>2B</sub> receptor agonists and predicted to be valvulopathic  $74, 75$ . These predictions have been borne out by subsequent clinical investigations <sup>18, 79</sup>. Viewed collectively, the data support our opinion and those of our collaborators  $18$  that new candidate medications and their metabolites should be designed to avoid agonist activity at the  $5-HT_{2B}$  receptor. Physicians should exercise caution in prescribing medications that are known to be  $5-HT_{2B}$  receptor agonists. Finally, the observation that MDMA may produce VHD deserves additional study, as this adverse effect may ultimately cause greater morbidity than the widely studied effects of MDMA on brain function  $83, 84$ .

### **Acknowledgments**

#### **Declaration of interests**

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#### **Figure 1.**

Dose-response effects of (+)-fenfluramine on extracellular levels of 5-HT, NE and DA in rat frontal cortex. Rats undergoing in vivo microdialysis sampling received i.v. injections of 1.0 and 3.0 mg/kg (+)-fenfluramine at time zero and 60 min, respectively. Dialysate samples were collected at 20 min intervals and immediately assayed for 5-HT, NE and DA. Data are peak effects measured 20 min after drug injection, expressed as mean  $\pm$  SEM for N=6 rats. 5-HT levels are normalized to % of preinjection baseline. \* = P<0.05 with respect to preinjection control. Figure modified from <sup>14</sup>.

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#### **Figure 2.**

Dose-response effects of (+)-norfenfluramine on extracellular levels of 5-HT, NE and DA in rat frontal cortex. Rats undergoing in vivo microdialysis sampling received i.v. injections of 1.0 and 3.0 mg/kg (+)-norfenfluramine at time zero and 60 min. Dialysate samples were collected at 20 min intervals and immediately assayed for 5-HT, NE and DA. Data are peak effects measured 20 min after drug injection, expressed as mean  $\pm$  SEM for N=6 rats. 5-HT levels are normalized to % of preinjection baseline.  $* = P < 0.05$  with respect to preinjection control. Figure modified from 14.



#### **Figure 3.**

Effects of amphetamine analogs and fluoxetine on dialysate 5-HT levels measured in blood from conscious rats. Drug or saline vehicle was administered i.v. at time zero. Serial blood samples were withdrawn at 15 min intervals and dialyzed ex vivo. Dialysate samples were assayed for 5-HT. Data are peak effects measured in the first sample after drug injection, expressed as mean ± SEM for N=6 rats/group. 5-HT levels are normalized to % of preinjection baseline.  $* = P < 0.05$  compared to saline controls. Figure modified from <sup>17</sup>.

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#### **Figure 4.**

Acute in vivo effects of  $(\pm)$ -fenfluramine on dialysate 5-HT levels measured in blood from conscious rats previously treated with chronic administration of  $(\pm)$ -fenfluramine (fen) or fluoxetine (fluox). For chronic treatments, drugs were dissolved in saline (fen) or 50% ethanol/ saline (fluox), and administered s.c. via osmotic minipumps for 2 weeks. On day 14, fenfluramine was dissolved in saline and administered i.v. at 0 min. Serial blood samples were withdrawn at 15 min intervals and immediately dialyzed. Data are mean  $\pm$  SEM for N=9 rats/ group. For Baseline effects, 5-HT levels are normalized to % of vehicle control groups. For acute fenfluramine challenge effects, 5-HT data are peak effects measured 15 min postinjection expressed % of preinjection baseline. \* = P<0.05 compared to saline-pretreated controls. Figure modified from <sup>48</sup>.

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#### **Figure 5.**

Effects of chronic administration of  $(\pm)$ -fenfluramine (fen) and fluoxetine (fluox) on whole blood 5-HT levels measured 7 and 13 days after minipump implantation. Drugs were dissolved in saline (fen) or 50% ethanol/saline (fluox), and administered s.c. via osmotic minipumps for 2 weeks. Blood samples were withdrawn via indwelling jugular catheters and serum 5-HT was measured using double-antibody RIA methods. Data are mean  $\pm$  SEM for N=9 rats/group expressed as % of vehicle control values.  $* = P \le 0.05$  compared to saline or ethanol/saline controls at corresponding time points. Figure modified from 48.



Table is taken from <sup>14</sup>. Values are mean  $\pm$  SD for n=3 experiments.

*1* Data taken from 28.

### Ki Values of Test Drugs at 5-HT<sub>2</sub> Receptors.



Values are mean  $\pm$  SD for n=3 experiments. Data taken from <sup>39</sup>.

**Table 3**

### Functional Activity of Test Drugs at 5-HT<sub>2</sub> Receptors.



Values are mean  $\pm$  SD for n=3 experiments. Data taken from <sup>39</sup>.

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