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Efficacy and Safety of Bevacizumab Plus Erlotinib for Patients with Recurrent Ovarian, Primary Peritoneal, and Fallopian Tube Cancer: A Trial of the Chicago, PMH, and California Phase II Consortia

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Abstract

Objectives—The objectives of this phase II trial were to assess the activity and tolerability of the combination of bevacizumab and erlotinib in patients with recurrent ovarian, primary peritoneal or fallopian tube cancer.

Methods—This was a single arm, multicenter phase II trial with overall objective response as the primary endpoint. Eligible patients had two or fewer prior chemotherapy regimens for recurrent or refractory disease and no prior anti-VEGF or anti-EGFR agents. Bevacizumab, 15 mg/kg, was administered intravenously every 21 days and erlotinib, 150 mg orally, was given daily.

Results—Between July and October 2005, 13 patients were enrolled. There were two major objective responses, one complete response of 16+ months duration and one partial response of 11 months duration, for a response rate of 15% (95% CI 1.9% to 45.4%). Seven patients had a best response of stable disease. The most common grade 3 or 4 toxicities included anemia (n=1), nausea (n=2), vomiting (n=1), hypertension (n=1), and diarrhea (n=2). One patient with an ileostomy was removed from the study secondary to grade 3 diarrhea. Two patients had fatal gastrointestinal perforations.

Conclusion—There was no strong suggestion that this combination was superior to single agent bevacizumab, and the rate of gastrointestinal perforation was of concern. The study was therefore stopped. Identification of risk factors for gastrointestinal perforation will be of importance for the use of bevacizumab in the treatment of ovarian cancer.

Introduction

Vascular endothelial growth factor (VEGF) has been implicated in the pathogenesis of ovarian cancer[1–3]. VEGF expression has been correlated with tumor progression, advanced stage,

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ascites, shortened disease-free survival and poor overall survival in advanced ovarian cancer [4–7]. Bevacizumab is a humanized recombinant antibody that prevents VEGF receptor binding and inhibits angiogenesis and tumor growth. Prospective phase II trials have already established the activity of bevacizumab in recurrent ovarian cancer with single agent response rates in the range of 16%–21% [8,9].

The human epidermal growth factor receptor (EGFR) is expressed in 35%–70% of advanced epithelial ovarian carcinomas [10,11]. High tumor EGFR expression has been correlated with advanced stage and poor survival in ovarian cancer [12–14]. Erlotinib HCl (Tarceva; Genentech, Inc, South San Francisco, CA) is an orally available, EGFR tyrosine kinase inhibitor that is FDA approved for the treatment of non-small cell lung cancer. Gordon et al evaluated erlotinib monotherapy at 150 mg per day in 34 patients with recurrent, refractory EGFR-positive ovarian cancer. Two patients had a partial response, giving an overall objective response rate of 6%. The one-year survival rate was 35.3% [15].

EGFR activation has been suggested to promote VEGF secretion [16]. Combining an anti-VEGF and an anti-EGFR therapy may provide a synergistic anti-cancer therapy with the potential to overcome resistance and improve clinical outcomes. Phase I and II studies of bevacizumab and erlotinib showed no pharmacokinetic interaction and full doses of both agents have been administered to patients with nonsquamous stage IIIB/IV non-small cell lung and renal cell carcinoma [17] [18].

This multi-center study investigated the clinical activity and safety of bevacizumab and erlotinib in patients with recurrent ovarian, primary peritoneal, and fallopian tube cancer.

Methods

Eligibility Criteria

The clinical trial was reviewed and approved by the Institutional Review Board (IRB) at the University of Chicago Cancer Center and the IRBs of all participating institutions. All patients provided written informed consent before study participation according to institutional and federal guidelines. Eligible patients were at least 18 years old and had measurable, recurrent or progressive epithelial ovarian, primary peritoneal or fallopian tube carcinoma. Patients were also required to have: ECOG performance status of 0 to 2, absolute neutrophil count of $\geq 1,500/\mu\text{L}$, platelet count of $\geq 100,000/\mu\text{L}$, serum bilirubin level less than or equal to the institutional upper limits of normal (ULN), AST/ALT ≤ 2.5 times the ULN in patients without liver metastases and ≤ 5.0 times the ULN in patients with liver metastases, serum creatinine ≤ 1.5 mg/dL, urine protein $< 1+$ or 24 hour urine protein < 1000 mg. Patients must have received platinum-based chemotherapy for primary disease, and patients with a platinum-free interval of more than 12 months from primary therapy were required to have been retreated with a platinum-containing regimen. No more than two prior cytotoxic chemotherapies were allowed in the setting of recurrent disease. Patients were excluded if they had prior treatment with VEGF or EGFR directed therapy, evidence of brain metastases, a stroke, arterial thromboembolic event or myocardial infarction within the past 6 months, a major surgical procedure within 28 days prior to day 1 of therapy, uncontrolled hypertension, or increased risk of bleeding. A history of bowel obstruction or fistula was not an exclusion criterion; however, patients with gastrointestinal tract disease resulting in an inability to take oral medication or prior surgical procedures affecting absorption were not eligible.

Treatment and Monitoring

Radiologic assessment of measurable disease was performed by computed tomography scan (CT) or magnetic resonance imaging (MRI) within 28 days prior to registration. Baseline

laboratory testing included CBC with differential and platelets, creatinine or calculated creatinine clearance, AST/ALT, bilirubin and CA-125. All patients received bevacizumab, 15 mg/kg in 100 mL normal saline on day 1 of each 21-day treatment cycle. The first dose was administered over 90 minutes. If this was well tolerated, the second dose was administered over 60 minutes and then subsequent doses over 30 minutes. Erlotinib, 150 mg orally per day, was administered continuously, and compliance was monitored with a patient diary. No dose reductions were indicated for bevacizumab toxicity; for most bevacizumab toxicities, including grade 3 thrombosis or hemorrhage, treatment was to be held and then restarted if/when patient met clinical parameters. Erlotinib was to be held for grade 3 rash or diarrhea until resolution to grade 1 or better, and then restarted at a dose of 100 mg per day. Bevacizumab and erlotinib were supplied by the National Cancer Institute/Division of Cancer Treatment and Diagnosis. CBC/differential, serum chemistries, and urine protein, were repeated every three weeks. Patients were evaluated for response every three cycles (nine weeks). Treatment was continued until unacceptable toxicity or progression of disease. Response was defined using the international criteria proposed by the Response Evaluation Criteria in Solid Tumors (RECIST) Committee[19].

Correlative Studies

EGFR staining of archival paraffin-embedded, formalin fixed tissue (Zymed Clone 31G7 Cat no: 28-0005) [20] was performed according to previously published methods.[21] Genomic DNA was also isolated from archival formalin fixed, paraffin-embedded tumor tissues. Samples were digested overnight with Proteinase K (Qiagen: Cat no: 19133). EGFR exons 19 and 21 were amplified by PCR using primary and secondary PCR primer pairs. PCR fragments were purified and submitted for DNA sequencing against forward and reverse primers. Analysis of DNA sequence was done using Sequencher4.2 (Gene Codes Corp., Ann Arbor, MI) as previously published. [21]

Blood and urine were collected prior to treatment and approximately nine weeks after treatment initiation. Plasma VEGF, serum VEGFR2, and urine VEGF were analyzed using ELISA (Quantikine Human Vascular Endothelial Growth Factor Immunoassay; R&D Systems, Minneapolis).

Statistical Methods

The primary end point of this phase II study was objective response rate (complete and partial responses). There were no formal stopping rules for observed toxicity. A Simon optimal two-stage design was employed. A $\leq 10\%$ response rate was to preclude further study (null hypothesis), whereas a $\geq 30\%$ response rate would suggest that further study would be warranted (alternative hypothesis). Using α and β errors of 0.10 and 0.10, respectively, twelve assessable patients were to be enrolled in the first stage and if ≤ 1 response was observed, the trial was to be terminated. Otherwise, an additional 23 patients were to be enrolled, for a total of 35 patients, and if ≥ 6 responses were observed the treatment would be considered sufficiently active to warrant further testing. This design had a 0.65 probability of stopping at the first stage if the true response rate was 10%. An exact 95% confidence interval (CI) was calculated for the response rate based on the binomial distribution. Overall and progression-free survival rates were estimated using the Kaplan-Meier method. Median progression-free and overall survival times and their respective 95% CIs were constructed using the method of Brookmeyer and Crowley[22]. For the analysis of the correlative data, a Wilcoxon rank-sum test was used to compare baseline levels and changes from baseline to cycle 3 between responders and non-responders. Cox proportional hazards regression models were employed to examine the association between each correlate and survival.

Results

Between July and October 2005, thirteen patients were accrued to the study. The thirteenth patient was enrolled because she signed consent before her physician was notified that the accrual for the first stage was complete. Clinical characteristics of the cohort are shown in Table 1. A median of six cycles were administered to each patient (range 2 to 22 cycles).

Adverse Events

Toxicity data are shown in Table 2. The most common non-hematological toxicities were skin rash (85%), diarrhea (77%), stomatitis (31%), elevated bilirubin (23%), proteinuria (31%), headache (15%), and epistaxis (31%). The most common grade 3 and higher adverse events were anemia (8%), nausea (15%), vomiting (8%), hypertension (8%), diarrhea (15%), and bowel perforation (15%).

One patient with an ileostomy from a second debulking surgery with partial colon resection experienced grade 3 diarrhea and dehydration that required hospitalization. The patient was removed from the study for toxicity although her CT scan showed stable disease after 3 cycles of treatment (her CA-125 dropped from 214 pre-treatment to 122 after cycle #1 and 134 after cycle #2). A second patient developed grade 3 diarrhea, grade 2 rash, nausea, and vomiting within two weeks of starting her first treatment cycle. She experienced a treatment delay of eight days and her erlotinib dose was reduced to 100 mg per day, which was well tolerated. A CT scan showed stable disease after 3 cycles of treatment.

There were two patients with gastrointestinal perforations. Both were fatal. The first patient's most recent abdominal surgery was her initial surgery for ovarian cancer, including a total abdominal hysterectomy and bilateral salpingoophorectomy (TAH/BSO) which was about one year prior to registration on study. She had two subsequent chemotherapy regimens prior to starting on study. Her baseline CT scan showed moderate ascites with omental caking and a small bowel implant causing focal narrowing, but no definite evidence of small bowel obstruction. She was admitted after cycle two (one month after starting therapy) with a small bowel obstruction (SBO) that responded to conservative management. Her CA-125 had decreased by 20% after the first cycle. One week after cycle 3 (about two months after starting therapy) she was rehospitalized with nausea, vomiting, and abdominal pain. A CT was consistent with persistent SBO and suggested bowel perforation with loculated free air within the ascitic fluid. There was progression of her liver lesions. She was treated with palliative measures only, at her request.

The second patient had no abdominal surgery since her TAH/BSO/debulking about two and a half years prior to registration on study. She had two prior chemotherapy regimens. Her baseline CT showed mesenteric nodules consistent with peritoneal carcinomatosis and bowel closely adherent to the vaginal cuff with a possible small vaginal fistula. Clinical symptoms of fistula were not reported. She was taken off treatment for disease progression after cycle three (despite a drop in CA-125 from 104 to 27 after two cycles). Two weeks later (42 days from the last dose of bevacizumab and about three months after starting on study), she was hospitalized and diagnosed with a small bowel obstruction. A CT scan revealed probable distal small bowel ischemia and pneumoperitoneum consistent with bowel perforation. She declined surgery due to the high risk and limited further treatment options.

Response and Survival

There was one confirmed complete response of 16+ months duration among the first twelve patients. This patient had fallopian tube cancer, one prior regimen, about a 6 month disease-free interval since completing primary therapy, a baseline CA-125 of 1171 and fairly low-

volume disease (1.9 cm peritoneal mass and a 2.8 cm peri-rectal fluid collection). After 16 months of therapy she elected to come off treatment; four months later her CA-125 rose above normal, and her CT showed evidence of recurrent disease. Patient number thirteen (papillary serous carcinoma of the ovary, three prior regimens, potentially platinum sensitive disease) had a confirmed partial response of eleven months duration. Thus, there was an objective response rate of 15% (95% CI, 1.9% to 45.4%) among all thirteen patients and a response rate of 8% (95% CI, 0.2% to 38.5%) for the pre-defined first stage (i.e one of twelve patients). Seven patients (54%) had stable disease.

For the survival analyses, all thirteen patients were included. Seven patients have died. Median follow-up was 11.7 months (range 6.8 to 17.4 months) for survivors. The estimated median progression-free survival was 4.1 months (95% CI, 2.1 to 6.6), and median overall survival was 11.0 months (95% CI, 5.3 to not yet reached). The probability of being progression free at six months was 0.38 (95% CI, 0.14 to 0.63). The 1-year survival probability was 0.42 (95% CI, 0.14 to 0.67). A plot of CA-125 levels is shown in Figure 1. The Kaplan-Meier curves are in Figures 2 and 3.

EGFR Expression and Mutation

Genomic DNA was successfully extracted from six (1 CR, 1 PR, 3 SD, 1 PD) tumor tissue specimens to evaluate for EGFR gene somatic mutations. No EGFR mutations were found in exon 19 or exon 21 in any of the samples.

Immunohistochemical analysis revealed only one patient with EGFR positive (2+) expression (a clear cell carcinoma) out of nine specimens available for testing. The patient received three cycles of treatment prior to removal from the study for progression of disease.

Plasma VEGF, Serum VEGFR2, and Urine VEGF

Plasma VEGF levels, serum VEGFR2 levels, and urine VEGF levels were evaluated (Table 3). The value of the analyses is limited as the number of patients available for analysis was small, and no samples were available on the patient with a partial response; only serial samples for urine VEGF were available on the patient with a complete response. Thus, these analyses should be considered exploratory. The median baseline plasma VEGF level was 80.5 pg/ml (range, 16–198 pg/ml). For analysis, we combined CR+PR+SD (responders) versus PD (non-responders). There were no significant differences in baseline plasma VEGF levels ($p=0.75$), urine VEGF ($p=0.34$), or VEGFR2 ($p=1.00$) between responders and non-responders. There were no significant relationships between overall survival and baseline plasma VEGF ($p=0.84$), urine VEGF ($p=0.068$), or serum VEGFR2 levels ($p=0.45$). There was a similar lack of association found between PFS and baseline plasma VEGF ($p=0.28$), urine VEGF ($p=0.34$), and serum VEGFR2 levels ($p=0.63$).

Discussion

Our trial closed after the first stage of accrual and the criteria for proceeding to the second stage were not met. As the thirteenth patient entered on the trial had responded, consideration was given to amending the trial to allow continuation with the observed level of response. However, the two deaths from bowel perforation were of concern, and although they appeared clinically related to the development of small bowel obstruction, and numerous eligibility criteria revisions were discussed, we were not certain which would actually decrease the risk. The numbers were too small for any firm conclusions about the activity of the regimen. However, there was no strong signal that the combination of erlotinib plus bevacizumab was superior to single agent bevacizumab (see Table 4), and bevacizumab was (and is) being studied in the

front-line treatment of ovarian cancer, which seemed likely to be a safer setting. We therefore elected to allow the trial to close as written.

In a phase II study of gefitinib in patients with recurrent ovarian cancer reported by Schilder et al. [23] the only responding patient had a mutation in the catalytic domain of the EGFR of the tumor. It is possible that it is only this group of ovarian tumors that will benefit from EGFR tyrosine kinase inhibitors alone or in combination. The prevalence of mutations in the kinase domain of EGFR has been reported to be low in ovarian cancer[24]. We found no EGFR mutations in the six tumors on which mutational analysis was successfully performed. It is also possible that the combination is not truly synergistic; of note, the phase II randomized study of bevacizumab with or without erlotinib in renal cell carcinoma showed no evidence of benefit for the combination. [25]

Predictors of response/resistance to bevacizumab or other antiangiogenic agents are not yet established. In a GOG trial of the antiangiogenic agent, thalidomide, in the treatment of endometrial carcinoma, elevated baseline plasma VEGF (and not serum VEGF) was associated with increased risk of progression and death[26]. We did not find any such association in our ovarian cancer patients. However, the numbers were very small.

Unlike some other bevacizumab trials we did not observe any severe CNS toxicities or arterial thrombotic events. One patient had grade 3 hypertension. The rate of rash was comparable to that observed in the single agent erlotinib trial (90%).[15] The rate of diarrhea was comparable to that observed in the single agent trial of gefitinib (500 mg/day) in patients with ovarian cancer: Schilder et al reported 8 of 27 pts (30%) developing grade 3 diarrhea and 1 of 27 developing grade 3 stomatitis on gefitinib, [23] but higher than that reported with single agent erlotinib: Gordon et al reported that 38% of their patients developed diarrhea (6% grade 3); they did not report any stomatitis.[15] These differences may represent a real increase in the rate of diarrhea/stomatitis with the combination of bevacizumab and erlotinib, but they may also represent chance variation because of the small numbers of patients. The rate of grade 3 diarrhea on the erlotinib/bevacizumab arm of the randomized phase II renal cell trial was 8% [25]; Cannistra et al reported 34% diarrhea (2% \geq gr 3) on single agent bevacizumab. We suggest that erlotinib be used very cautiously or avoided in patients with an ileostomy.

As noted above, the rate of bowel perforation in this study was concerning. While it would be possible to hypothesize that the diarrhea caused by erlotinib could increase the risk of bowel perforation, there is no evidence to support this. One of the perforations occurred after completion of therapy. Both occurred in the setting of small bowel obstruction.

The NCI alerted investigators via an investigational new drug (IND) action letter dated October 4, 2005 of the risk of gastrointestinal perforation in ovarian cancer patients treated with bevacizumab[27]. The risk appeared to be highest in heavily treated patients with extensive bowel involvement. As can be seen in Table 4, Garcia et al combined bevacizumab and metronomic oral cyclophosphamide and reported four GI perforations or fistulae among seventy patients.[28] Cannistra et al treated 44 patients with platinum resistant disease that progressed after second line topotecan or liposomal doxorubicin with single agent bevacizumab. Five had gastrointestinal perforations, one of which was fatal. [9] All had radiographic evidence of bowel involvement at study entry and stable disease at the time of perforation. A blinded independent review facility (IRF) radiologist reviewed baseline radiographs from all study patients treated on that trial, but no radiographic variables that could predict for gastrointestinal perforation risk were found. A trend for increased frequency of gastrointestinal perforation was observed for bowel wall thickening and bowel wall obstruction, but these associations were not statistically significant after accounting for multiple possible risk factors. A recent summary of the published literature to date suggested

that the overall rate of bowel perforation in ovarian cancer patients treated with bevacizumab is 5–6% [29]. This is somewhat higher than the rate reported for another intra-abdominal malignancy, colon cancer. The randomized trial comparing irinotecan, fluorouracil, and leucovorin (IFL) plus bevacizumab to IFL alone in patients with previously untreated colorectal cancer noted a 1.5% rate of gastrointestinal perforation in the patients treated with bevacizumab (vs 0% in those treated with IFL alone) [30], and similar rates of gastrointestinal perforation have been observed in other large colon cancer trials. [31] Colon cancer patients may less often have bulky intra-abdominal disease (and more often, for example, extensive liver metastases) than ovarian cancer patients, and therefore be less prone to develop bowel obstructions, which appeared to be a risk factor in our series. It has been suggested that pretreated patients can be more safely given bevacizumab if they have no clinical symptoms of bowel obstruction, no evidence of rectosigmoid involvement on pelvic exam, and no evidence of bowel involvement on CT scan.[32] These recommendations are reasonable, although they will likely eliminate a fair number of platinum resistant ovarian cancer patients from bevacizumab therapy. It should also be noted that the colon cancer trials reported were in previously untreated patients, who may be more generally treatment-responsive and therefore also less likely to develop a small bowel obstruction. Hopefully front-line trials of bevacizumab in ovarian cancer, such as GOG 218 (see below) will also demonstrate a lower rate of bowel perforation.

It is possible that bevacizumab will be used to best advantage in ovarian cancer patients earlier in their disease and/or in combination with chemotherapy. Bevacizumab combined with chemotherapy has been reported to significantly prolong progression-free survival, and in some cases, overall survival in metastatic colon, breast, and lung cancer[30,33–35]. Penson et al evaluated bevacizumab in combination with carboplatin and paclitaxel in chemotherapy naïve patients with epithelial ovarian, fallopian, primary peritoneal or uterine papillary serous tumors. Bevacizumab was administered with chemotherapy for 6–8 cycles and continued for one year consolidation. Preliminary toxicity data on the 30 evaluable patients revealed 1 nasal perforation, 2 cases of delayed wound healing and no bowel perforations. Response data from this trial have not yet been published[36]. Two randomized trials, GOG 218 and ICON 7 are evaluating bevacizumab in combination with platinum-based chemotherapy in previously untreated ovarian cancer patients. Careful toxicity monitoring is built into GOG 218, and there has so far been no signal that the trial should be stopped for safety concerns. Safety and efficacy data from these trials will allow us to assess the therapeutic benefit of bevacizumab.

Acknowledgments

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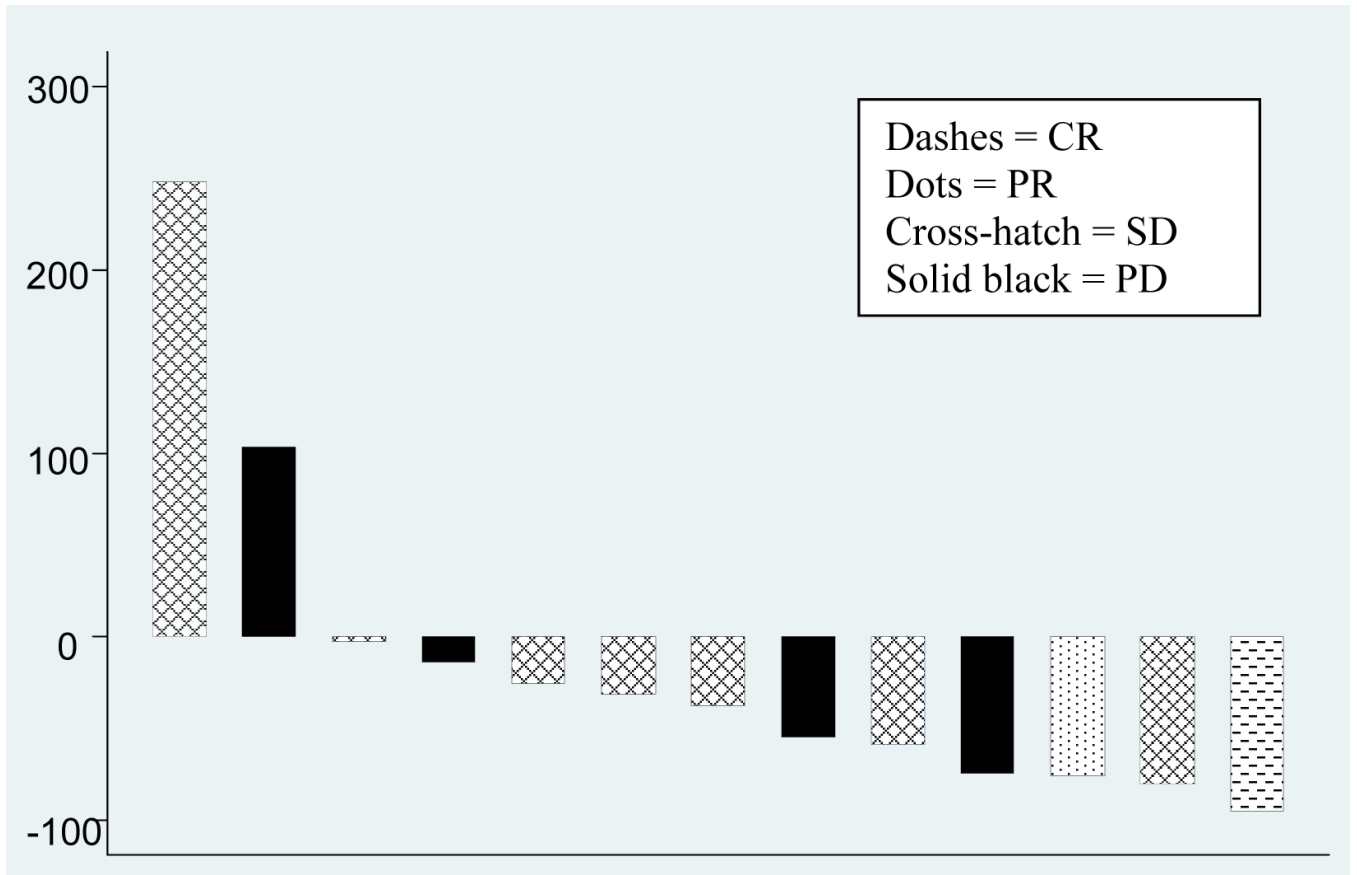


Figure 1.
Percent change in CA-125 after two cycles of treatment

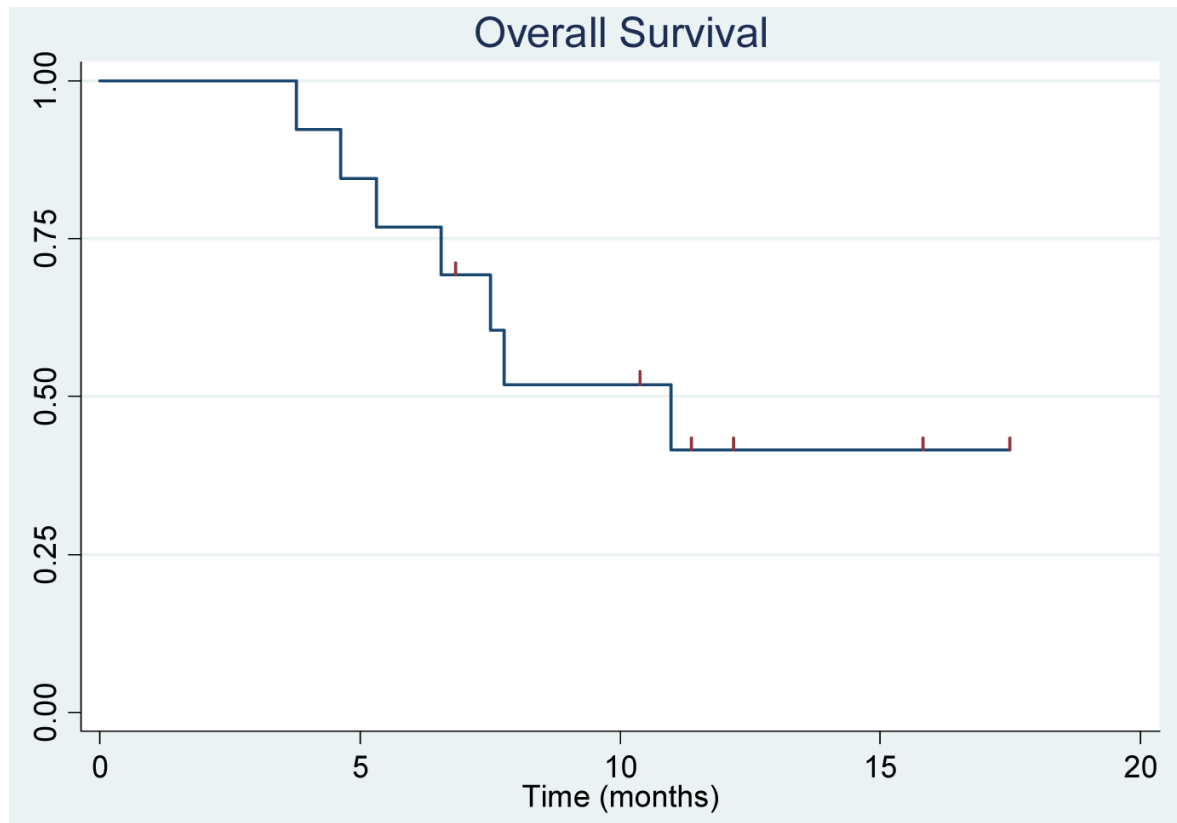


Figure 2.
Kaplan –Meier estimation of overall survival

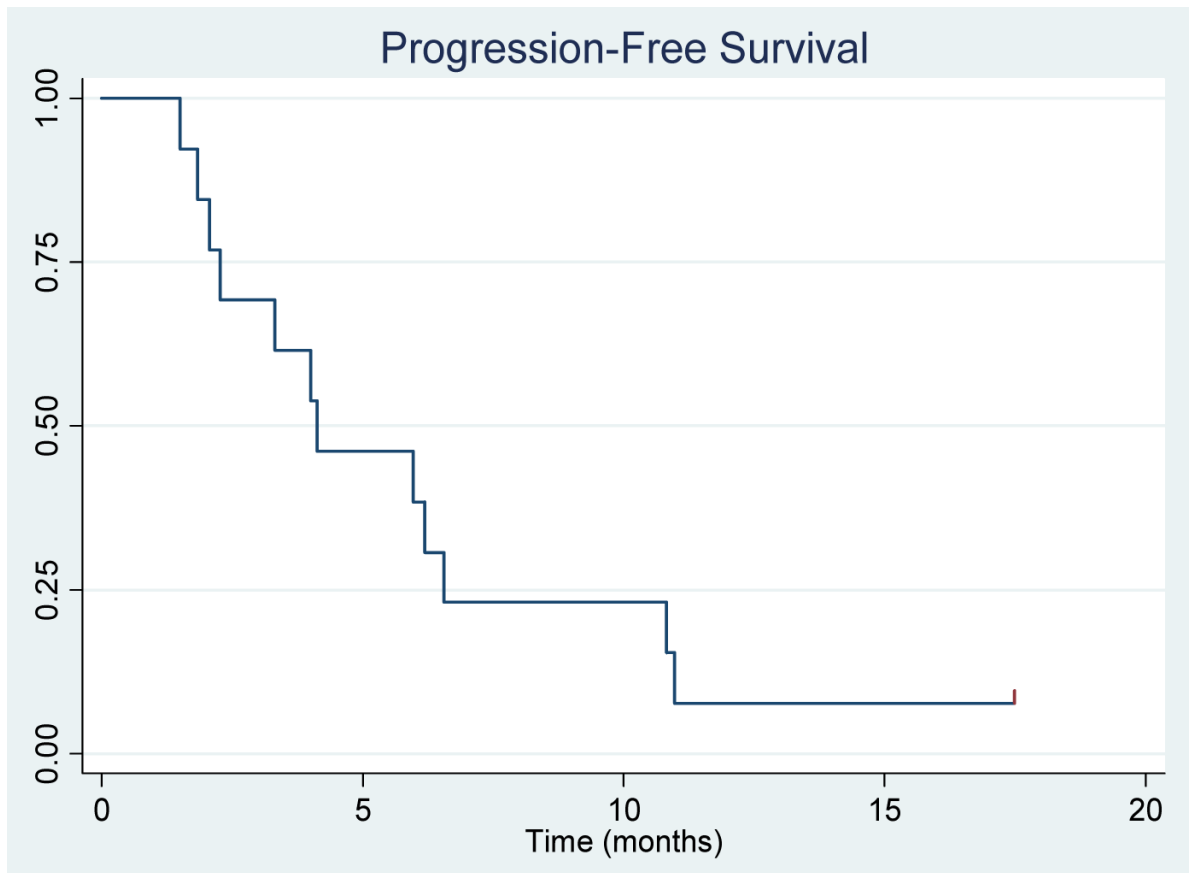


Figure 3.
Kaplan-Meier estimation of progression- free survival.

Table 1

Patient Characteristics

	No.
Patients Enrolled	13
Age (years)	
Median	56
Range	45–70
Primary Site	
Ovarian	11
Fallopian Tube	2
Histology	
Serous	9
Clear cell	2
Endometrioid	1
Adenocarcinoma	1
ECOG PS	
0	6
1	4
2	3
Primary Platinum Response	
1 ⁰ Refractory *	4
1 ⁰ Resistant (<6 mo)	2
1 ⁰ Sensitive (≥6 mo)	7
# Prior Chemo Regimens	
1	1
2	8
3	4

* Includes any patient who failed to obtain a complete response or progressed during primary therapy

Table 2
Worst-grade toxicities for any cycle with bevacizumab and erlotinib (N=13)

Grade	1/2	3/4/5
Hematologic		
Anemia	4 (31%)	1 (8%)
Lymphopenia	7 (54%)	0 (0%)
Neutropenia	0 (0%)	0 (0%)
Thrombocytopenia	2 (15%)	0 (0%)
Non-hematologic		
Fatigue	8 (62%)	0 (0%)
Hyperglycemia	5 (38%)	0 (0%)
Rash	11 (85%)	0 (0%)
Proteinuria	4 (31%)	0 (0%)
Bowel Perforation	0 (0%)	2 [*] (15%)
Headache	2 (15%)	0 (0%)
Epistaxis	4 (31%)	0 (0%)
Hypertension	0 (0%)	1 (8%)
Gastrointestinal		
Nausea	4 (31%)	2 (15%)
Vomiting	4 (31%)	1 (8%)
Constipation	2 (15%)	1 (8%)
Diarrhea	8 (62%)	2 (15%)
Stomatitis	4 (31%)	0 (0%)
Elev Alk phos	5 (38%)	0 (0%)
Elev SGPT	4 (31%)	0 (0%)
Elev SGOT	3 (23%)	0 (0%)
Elev Bilirubin	3 (23%)	0 (0%)

*The only grade 5 (fatal) toxicities were the two bowel perforations

Table 3

Median, min/max VEGF levels, and number (n) of patients.

	Responders (CR+PR+SD)	Non-responders (PD)	p-value
Baseline plasma VEGF	105 16/198 (n=6)	74 52/147 (n=4)	0.75
Baseline urine VEGF	68 8/814 (n=7)	227 29/355 (n=4)	0.34
Urine VEGF change	-10 -66/133 (n=5)	19 -151/68 (n=3)	0.65
Baseline VEGFR2	15990 6515/19860 (n=5)	15770 14998/18320 (n=4)	1.00
VEGFR2 change	2648 129/5393 (n=4)	280 -3317/1874 (n=3)	0.16

Table 4

Selected Phase II Trials of Bevacizumab in Ovarian Cancer

Author (year)	n	#Prior Chemotherapy Regimens*	Regimen	RR	Median PFS	Median OS	Bowel Perforation and Fistula
Burger (2007)	62	1-2	Bevacizumab 15 mg/kg q 3 wk	21%	4.7 mos	17 mos	0
Cannistra (2007)	44	2-3	Bevacizumab 15 mg/kg q 3 wk	16%	4.4 mos	11 mos	n=5 (11%)
Garcia (2008)	70	1-3	Bevacizumab 10 mg/kg q 2 wk+ Cyclophosphamide 50 mg po daily	24%	(median TTP) 7.2 mos	17 mos	n=4 (6%)
Current Report	13	1-3	Bevacizumab 15 mg/kg q 3 wk+ Erlotinib 150 mg daily	15%	4.1 mos	11 mos	n=2 (15%)

* all trials required measurable disease; none permitted pts with first platinum-free interval < 12 mos unless retreated