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# **Estrogen and Mechanisms of Vascular Protection**

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## Abstract

Estrogen has anti-inflammatory and vasoprotective effects when administered to young women or experimental animals that appear to be converted to pro-inflammatory and vasotoxic effects in older subjects, particularly those that have been hormone free for long periods. Clinical studies have raised many important questions about the vascular effects of estrogen that cannot easily be answered in human subjects. Here we review cellular/molecular mechanisms by which estrogen modulates injury-induced inflammation, growth factor expression and oxidative stress in arteries and isolated vascular smooth muscle cells, with emphasis on the role of estrogen receptors and the Nuclear Factor- $\kappa$ B (NF $\kappa$ B) signaling pathway, as well as evidence that these protective mechanisms are lost in aging subjects.

#### Keywords

Estrogen; smooth muscle cells; inflammation; NFkB; vascular injury; oxidative stress

## **Ovarian Hormones and Cardiovascular Disease in Women**

Cardiovascular disease is the leading cause of death among women in the US, and coronary heart disease (CHD) develops in women on average 10 years later than in men. This lag has been attributed, at least in part, to the protective effects of female sex hormones, particularly estrogens (defined as naturally occurring activators of estrogen receptors) before menopause (1-3). Mechanistic studies carried out in in vitro preparations and in laboratory animals have shown that both natural and synthetic estrogens have anti-inflammatory and vasoprotective effects (4-18). Further, the natural endogenous estrogen 17 $\beta$ -estradiol has been shown to cause rapid endothelium-independent dilation of coronary arteries of men and women, to augment endothelium-dependent relaxation of human coronary arteries ex vivo and to improve endothelial function as assessed by the brachial artery flow-mediated dilation response in postmenopausal women (19). Importantly, the latter vasoprotective effects of estrogen have been observed in the early postmenopausal years in both healthy women and those with CHD, but not in older ( $\geq$ 60 years) postmenopausal women, regardless of the presence or absence of CHD (19,20).

Observational studies have shown substantial benefit (~50% reduction in CHD) of hormone therapy in women who choose to use menopausal hormones (and usually begin taking them in the perimenopausal or early postmenopausal period) (21). Randomized controlled trials of

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menopausal hormone therapy, which typically enroll women 10 years or longer after menopause, after many years of estrogen deprivation, have shown increases in CHD events with hormone treatment (usually conjugated equine estrogen  $\pm$  a progestin) in this older (60-79 years) age group (22-24). In contrast, subgroup analyses of the Women's Health Initiative have shown that women in whom hormone therapy was initiated at a younger age (50-59 years) and earlier post menopause tended to have reduced risk of CHD and total mortality (25,26). Use of unopposed conjugated estrogen was associated with lower risk of CHD than combined estrogen + progestin (medroxyprogesterone acetate), and an ancillary study showed a statistically significant reduction in coronary artery calcium (Agatston) score in younger (50-59 years) women randomized to conjugated estrogen compared to placebo, indicating a reduced calcifiedplaque burden (27).

Possible reasons for the apparent paradox of beneficial or neutral vascular effects of menopausal hormones in young women vs detrimental effects in older women have been widely discussed in the literature (28-31). Many authors have proposed the "timing hypothesis", which postulates that estrogen signaling pathways are altered in older women, particularly those with subclinical vascular disease, in a manner that converts antiinflammatory/vasoprotective effects to pro-inflammatory/vasculotoxic effects (7,15,30,32, 33). However, a very recent study has demonstrated potential benefit of menopausal hormones even in the setting of established atherosclerosis (34). Atherosclerotic plaques from the internal carotid arteries of postmenopausal women receiving menopausal hormones contained fewer inflammatory leukocytes, lower levels of TNF- $\alpha$ , activated Nuclear Factor- $\kappa$ B (NF $\kappa$ B) and matrix metalloproteinase (MMP)-9, and more collagen and inhibitor of NF $\kappa$ B- $\beta$  (I $\kappa$ B- $\beta$ ) compared to plaques from women who had never used hormones. These findings suggest the intriguing possibility that menopausal hormones may contribute to plaque stabilization in the carotid artery by inhibiting NFKB-dependent inflammation, which is thought to be responsible for plaque rupture. The reduction in MMP-9 found in carotid plaques seems to differ from what has previously been described in coronary plaques and in the plasma of women receiving menopausal hormones (35,36). Whether these differences are related to the anatomical location of the plaques, to differences in developmental stage of the plaques, or to other, unmeasured differences between patient populations studied remains to be determined.

Thus, clinical studies have raised many important questions about the effects of estrogen on vascular inflammation that cannot easily be answered in human subjects. Insights from fundamental mechanistic studies are needed in order to delineate the cellular/molecular events that determine the response of blood vessels to inflammatory stresses and to elucidate how estrogen and other ovarian hormones interact with these processes to protect or injure blood vessels (15,30,33). These findings may pave the way to novel strategies for prevention and treatment of cardiovascular disease and other inflammatory disorders.

# Estrogen Modulates Injury-induced Chemokine/Cytokine Expression and Leukocyte Infiltration

Inflammation plays an important role in the pathogenesis of many forms of vascular disease, including atherosclerosis and the response to acute vascular injury (37). Balloon injury of arteries elicits accumulation of neutrophils and monocyte/macrophages in the adventitia surrounding the injury site within hours after the insult (18) (Figure 1). The appearance of these cells is predated by expression of inflammatory mediators, including adhesion molecules and chemokines and cytokines, in acutely injured arteries (13) as well as in atherosclerotic and restenotic vessels, and is associated with activation of a variety of cell types, including adipocytes and fibroblasts, in adventitial tissues (9). Medial vascular smooth muscle cells (VSMCs) are activated early following endoluminal injury, releasing cytokines and

chemokines that reach the periadventitial space to recruit leukocytes and appear to be the chief effector cells for initiation of the early inflammatory response.

Estrogen exerts an early anti-inflammatory effect in the rat carotid injury model (4,13,18). This is reflected in an estrogen dependent sexual dimorphism in the vascular injury response, whereby neointima formation (influx of adventitial and medial cells and deposition of interstitial matrix inside the internal elastic lamella) is greater in males than in females (5,6, 8,17,38). Further, treatment with a dose of estrogen that results in physiologic levels (40-60 pg/ml) of circulating hormone markedly attenuates neointima formation in gonadectomized animals of both sexes. Interestingly, coadministration of medroxyprogesterone acetate (MPA), the synthetic progestin contained in many menopausal hormone preparations and studied in the Women's Health Initiative, completely blocks the effect of estrogen on neointima formation (8). These findings are generally consistent with the observations that estrogen attenuates and either MPA or progesterone exacerbates the inflammatory response to LPS administration in the cerebral vasculature of ovariectomized rats, although when estrogen and a progestin were coadministered in this study, the anti-inflammatory effect of estrogen predominated (39).

Our laboratory has shown that systemic  $17\beta$ -estradiol administration attenuates both expression of inflammatory mediators and infiltration of leukocytes into balloon-injured carotid arteries of ovariectomized rats at a very early time point post injury (13,18).  $17\beta$ -estradiol had a particularly robust modulatory effect on neutrophil chemotaxis by attenuating expression of cytokine-induced neutrophil chemoattractant (CINC)- $2\beta$ , a member of the cysteine-x-cysteine (CXC) chemokine family and a potent chemoattractant for neutrophils in vitro and in vivo (40) and on monocyte chemotaxis by attenuating expression of monocyte chemoattractant for monocytes (40).

Another mechanism that has been implicated in the anti-inflammatory/vasoprotective effects of 17β-estradiol is inhibition of expression and/or action of C-reactive protein (CRP) in injured arteries (41). We tested the hypothesis that  $17\beta$ -estradiol attenuates the vascular injury response by inhibiting expression/action of CRP in a transgenic mouse model that expresses human CRP (CRPtg) in an manner that mirrors its expression in humans (41,42). Following carotid ligation injury, neointima formation was exaggerated in arteries of female CRPtg mice compared to nontransgenic (NTG) controls, whether intact or OVX, but was attenuated in both genotypes by subcutaneously administered 17β-estradiol. Human CRP protein and mRNA were expressed in the neointima of ovariectomized CRPtg mice, and expression was greatly attenuated by 17β-estradiol treatment. CRP was undetectable in other domains of injured arteries and in uninjured vessels. The findings that, as in humans and animal models of atherosclerotic disease (43), CRP is expressed in the injured/diseased vasculature and the extent of the lesion appears to correlate with the level of CRP expression provide indirect evidence that locally expressed CRP may play a functional role in the injury response. Further, these findings suggest that the modulatory effect of 17β-estradiol in the CRPtg model of acute vascular injury could be a consequence of decreased local expression of CRP in the injured artery. Ongoing studies are addressing the mechanisms by which  $17\beta$ -estradiol modulates CRP gene expression and/or its downstream inflammatory actions, e.g. altered expression/activation of its IgG Fc receptors (Fc $\gamma$ Rs), which we have shown to be required for the exaggerated response to vascular injury provoked by CRP in ovariectomized CRPtg mice (44).

# Estrogen Modulates Growth Factor Expression and Oxidative Stress in Injured Arteries

Estrogen modulates the acute vascular injury response and the development of other forms of vascular pathology, in part by altering the expression and/or action of various growth factors, adhesion molecules and chemokines in relevant cell types in the vessel wall (Figure 1). For

example, estrogen inhibits the mitogenic effects of a number of growth factors, e.g. FGF-2 and epidermal growth factor, on VSMCs *in vitro* (45). The effects of estrogen on expression of growth factors and their signaling pathways are growth factor and target cell specific, e.g. estrogen appears to synergize the mitogenic effects of PDGF in VSMCs, and to enhance FGF-2 expression in a variety of cell types, including endometrial and breast cancer cell lines.

Chemoattractant/adhesion molecule expression plays a major role in vascular remodeling by directing migration of adventitial and medial cells into neointima. Our laboratory has demonstrated that expression of the chemoattractant/adhesion molecule osteopontin, which is known to be overexpressed in blood vessels in response to injury, is negatively modulated by  $17\beta$ -estradiol in a dose- and ER-dependent manner in activated rat aortic SMCs *in vitro* (11). Immunodepletion and integrin blocking studies showed that osteopontin, via its  $\alpha v \beta_3$  integrin receptor, can direct adventitial fibroblast migration in vitro and suggested that osteopontin may be an important estrogen-sensitive mediator of adventitial activation and neointima formation in injured blood vessels (11). We observed that FGF-1 stimulates expression of osteopontin mRNA and protein in rat aortic SMCs in vitro via a signaling pathway that involves activation of FGFR-1 and Src/MEK/ERK1/2 kinases and that the pathway plays a functional role in directing adventitial fibroblast migration in vitro (46). Subsequent studies showed that  $17\beta$ estradiol dose-dependently inhibits the stimulatory effect of FGF-1 on a variety of mediators, including iNOS and the adhesion molecule periostin, as well as osteopontin. Periostin is expressed in balloon injured carotid arteries in vivo and in growth factor-treated VSMCs in *vitro*, and is negatively modulated by estrogen via signaling pathways distinct from that described for osteopontin (47,48).

Another important component of the vascular injury response that is modulated by estrogen involves altered production of nitric oxide (NO) due to activation or inhibition of nitric oxide synthase (NOS). The synthesis of NO in the vasculature is catalyzed by two major isoforms of NOS that are regulated by estrogen in a directionally opposite manner. NOSIII (eNOS) is constitutively expressed in endothelial cells and is up-regulated by estrogen via an ER-mediated mechanism (49). Inducible NOS (iNOS, NOSII) is not found in normal blood vessels but is highly expressed in injured arteries. Activated iNOS can produce over 1,000-fold more NO ( $\mu$ M range) for a longer duration than eNOS. At these concentrations, NO can be toxic to tissues via interaction with reactive oxygen species to produce powerful biologic oxidants. High levels of NO that result from expression of iNOS have been implicated in the formation of neointima following vascular injury.

Consistent with the hypothesis that oxidative stress related to iNOS activation is a major determinant of the extent of injury-induced vascular remodeling, we have demonstrated greatly attenuated neointima formation in ligated carotid arteries of mice with homozygous deletion of the iNOS gene (iNOS-/-) (50). The iNOS-/- mice responded to estrogen treatment with further attenuation of neointima formation, suggesting that the negative modulatory effect of estrogen on vascular remodeling is mediated through a variety of signaling cascades, including, but not restricted to iNOS.

## Role of Estrogen Receptors (ERs) in Inflammation and Vasoprotection

Estrogen plays many roles in immunomodulation, and can be either anti- or pro-inflammatory depending on diverse factors such as the target cell type, the target organ with its specific microenvironment, the timing and concentration of estrogen administered and cell type- and microenvironment-specific variability in ER expression (51). Studies with the nonselective ER antagonist ICI 182,780 and in ER knockout mice have confirmed that the antiinflammatory/ vasoprotective effect of estrogen is ER dependent (4,16,52,53). However, the ER subtype dependence of the anti-inflammatory/vasoprotective effects of estrogen and the signaling

pathway(s) involved are incompletely understood. There are at least three, and possibly four distinct ERs: two ligand-activated transcription factors (ER $\alpha$  and ER $\beta$ ), a G-protein coupled receptor (GPER, GPR30), and a putative receptor (ER-X) that has been studied mainly in brain (54). ER $\alpha$  and ER $\beta$  are members of the nuclear hormone receptor superfamily that are expressed in the vasculature and play a role in mediating/modulating responses to vascular injury, mainly through transcriptional regulation. GPER (GPR30) is an intracellular transmembrane ER that initiates many rapid nongenomic signaling events, including intracellular calcium mobilization and synthesis of phosphatidyl-inositol 3,4,5-triphosphate in the nucleus of many cell types (55). GPER has been identified in human internal mammary arteries and saphenous veins, but does not yet have a defined vascular function (54,56).

ER $\alpha$  activation has been shown to attenuate injury-induced vascular remodeling. Studies in knockout mice support ER $\alpha$ -mediated protective effects on vascular remodeling responses to injury (52,53), and in vitro studies have shown that ER $\beta$  also plays a protective role in injured arteries (57,58). Selective ER $\beta$  and ER $\alpha$  mRNA anti-sense oligomers have been used to examine the ER subtype dependence of estrogen-induced inhibition of PDGF-BB-induced p38 and p42/44 mitogen-activated protein kinase (MAPK) phosphorylation, migration, and proliferation in porcine SMCs and endothelial cells (57). The inhibitory effects of estrogen on porcine SMCs were abrogated by down-regulation of ER $\beta$  protein expression, whereas downregulation of ER $\alpha$  had no effect. In contrast, down-regulation of ER $\alpha$  expression in porcine aortic endothelial cells inhibited estrogen-induced p38 and p42/44 MAPK activation, while down-regulation of ER $\beta$  had no effect. Thus, both ER subtypes contribute to vasoprotection in a cell type specific fashion.

Importantly, expression of ER $\beta$  is increased relative to ER $\alpha$  in the setting of oxidative stress, hypoxia and inflammation (59). In this situation, ER $\beta$ -mediated cross modulation of ER $\alpha$  can be important in regulating pathophysiologic processes, e.g. ER $\beta$  activation can inhibit ER $\alpha$ -stimulated IL-1 secretion.

In vivo evidence for a role for ER $\beta$  in estrogen-induced vasoprotection was provided by the observation that local delivery of an ER $\beta$  selective agonist can inhibit neointima formation induced by placement of a perivascular cuff around the femoral artery of wild type (C57/BL/ 65) mice (60). The ER $\beta$ -selective agonist DPN inhibited neointima formation at a dose dependent fashion when applied via a drug-eluting cuff. In contrast, the ER $\alpha$ -selective agonist PPT inhibited neointima formation at low but not at high concentrations. To further demonstrate the specificity of these responses, an ER $\alpha$ -selective antagonist, MPP (20), was used in combination with estrogen, PPT, or DPN. While the inhibitory effect of PPT on neointima formation. These data suggest that selective ER $\beta$  activation can inhibit neointima formation in a mouse model of restenosis.

Exciting findings from our laboratory have identified a novel mechanism by which ER $\beta$  activation may protect against injury-induced inflammation and adverse vascular remodeling: inhibition of TNF- $\alpha$ -induced inflammatory mediator expression in VSMCs (58). Based on published observations that TNF- $\alpha$  induces the rapid recruitment of leukocytes from the circulation in response to many forms of stress and is elaborated from diseased bypass grafts and atherosclerotic arteries, and our own finding that TNF- $\alpha$  expression is dramatically upregulated in balloon-injured rat carotid arteries and modulated by 17 $\beta$ -estradiol administration (13), we used TNF- $\alpha$  as the inflammatory stimulus. We demonstrated that TNF- $\alpha$  stimulated and 17 $\beta$ -estradiol attenuated expression of cINC-2 $\beta$ , whereas PPT had no effect. The anti-inflammatory effects of DPN and 17 $\beta$ -estradiol were blocked by ICI-182,780. TNF- $\alpha$  treatment of rat aortic SMCs produced an increase in neutrophil chemotactic activity

of conditioned media; treatment with 17 $\beta$ -estradiol, DPN and an antibody selective for CINC-2 $\beta$  inhibited this effect, confirming its ER $\beta$  and CINC-2 $\beta$  dependence. These observations are relevant to our carotid injury model, since the injured area is denuded of endothelium for several weeks and the early injury response is driven by activated SMCs and infiltrating leukocytes. Thus, modulatory effects of ER $\beta$  activation on SMC-initiated inflammatory responses likely play an important role in inhibiting early inflammatory changes in the setting of endoluminal vascular injury.

## Estrogen, NF<sub>K</sub>B and Vascular Injury

In the setting of vascular injury, TNF- $\alpha$  activates the NF $\kappa$ B signaling pathway. The NF $\kappa$ B family of inducible transcription factors mediate the immediate-early inflammatory response (61,62). Members of this family contain an N-terminal Rel homology domain (RHD) that is important for DNA binding, dimerization, inhibitor association and nuclear localization. The most common NF $\kappa$ B molecule contains p65 and p50.

Estrogen, via ER activation can inhibit NFkB signaling by a variety of mechanisms (Figure 2). Estrogen inhibits expression of the pro-inflammatory mediator TNF- $\alpha$  (A), which in turn triggers a cascade of cytokines that activate NF $\kappa$ B, thereby mediating a variety of chronic inflammatory diseases, including cardiovascular disease (63). Both ER $\alpha$  and ER $\beta$  inhibit NFkB activity in an estrogen dependent manner in many cell types, including coronary artery SMCs (64). I $\kappa$ B processing is a target for estrogen/ER signaling in that I $\kappa$ B $\alpha$  levels have been shown to be increased in cells that are treated with estrogen and/or overexpress ER (B), and estrogen has been shown to inhibit I $\kappa$ B $\alpha$  phosphorylation and degradation (65). Further, there is evidence that estrogen-induced stabilization of  $I\kappa B\alpha$  may be due to inhibition of IKK activity (C) and/or inhibition of I $\kappa$ B $\alpha$  degradation (D). Accordingly, studies in vascular cells, including rat and human VSMCs (64,66), have shown that estrogen-induced activation of ER inhibits NFkB induced transcription of chemokines/cytokines (E) and can inhibit the nuclear DNA binding activity of NF $\kappa$ B (F). This could occur through a direct interaction between the ER and NF $\kappa$ B in the nucleus and/or by ER-mediated inhibition of upstream NF $\kappa$ B signaling in the cytoplasm. Finally, estrogen can also block JNK induced TNF-a production (G). Our intriguing preliminary data have revealed evidence for both cytoplasmic and nuclear events in the antiinflammatory effects of estrogen in rat aortic SMCs (67). Estrogen both enhanced synthesis of IkB $\alpha$  and inhibited NFkB p65 binding to promoters of pro-inflammatory genes in TNF- $\alpha$ treated cells, thus accelerating a negative feedback loop within the NF $\kappa$ B/I $\kappa$ B $\alpha$  signaling pathway, a potential novel mechanism of estrogen induced vasoprotection.

# Aged Arteries Lose Vasoprotective and Anti-inflammatory Effects of Estrogen

In an attempt to reconcile the findings of harmful vascular effects of estrogen in older women vs. vasoprotective and anti-inflammatory effects in younger women and young female laboratory animals, we tested the hypothesis that responsiveness to estrogen is lost in balloon injured carotid arteries of aged (12 month old) ovariectomized rats (14). We observed that 17 $\beta$ -estradiol treatment has directionally opposite effects on neointima formation in aged (+75%) vs. young (10-12 weeks; -40%) ovariectomized rats. Further, 17 $\beta$ -estradiol had no effect on injury-induced increases in inflammatory mediator expression and neutrophil and monocyte infiltration in injured arteries of aged rats. ER $\alpha$  and ER $\beta$  expression were similar in injured carotid arteries of aged and young animals under both vehicle and 17 $\beta$ -estradiol treatment than attenuates, vascular injury responses in aged animals. Our findings have been confirmed by studies demonstrating that prolonged hypoestrogenicity suppresses the neuroprotective and anti-inflammatory effects of estrogen in a rodent model (68) and that estrogen signaling in the

vasculature is impaired following extended periods of ovarian hormone deprivation, supporting the timing hypothesis (69).

Whether the conversion of vasoprotective/anti-inflammatory effects of estrogens to vasotoxic/ pro-inflammatory effects in aging subjects is a function of prolonged hypoestrogenicity per se or is related to the aging process and/or the development of vascular disease remains an open question. There is abundant evidence that an age-related hyper-inflammatory state exists, in which circulating levels of pro-inflammatory mediators are elevated (70,71). The increased basal inflammatory activity in this environment may be further amplified by acute stimuli, e.g. stress-mediated induction of cytokines such as tumor necrosis factor (TNF)- $\alpha$ , interleukin (IL)-1 $\beta$ , and IL-6 is enhanced in aged rats in vivo and in aged rat macrophages in vitro compared to young adult controls (72,73). While this increased low-grade inflammatory activity in elderly populations has variously been attributed to decreased sex steroid production, increases in amounts of hormonally-active fat tissue, and the atherosclerotic process itself (73), the underlying cellular and molecular mechanisms remain unclear.

Accelerated arterial aging has been attributed to activation of inflammatory and proteolytic pathways that result in increased collagen formation and elastin degradation and reduced VSMC number (70,71). In animal models, this age-related pathology in the larger arteries has been associated with increases in medial thickness, collagen content and collagen/elastin ratio, while numbers of medial SMC nuclei decrease. Further, the VSMCs from older rats are larger and appear to have undergone a phenotypic change toward a dedifferentiated and synthetic state. The cellular and molecular pathways that accelerate the aging process in the vasculature involve activation of NF $\kappa$ B, increased reactive oxygen species production, decreased nitric oxide (NO) bioavailability, and induction of matrix MMPs and TGF- $\beta$  expression (71,74).

How these processes interfere with ER signaling is an unanswered question, but provocative preliminary observations from our own laboratory have identified a novel molecular mechanism that may contribute to the hyper-inflammatory environment and possibly promote a pro-inflammatory effect of estrogens in the aging vasculature (75-77). We are currently testing the novel hypothesis that inflammation-induced injury in the aging vasculature is related to excessive accumulation and impaired dynamic O-GlcNAcylation of critical proteins in the I $\kappa$ B $\alpha$ /NF $\kappa$ B signaling pathway. We postulate that this differential O-GlcNAcylation plays a mechanistic role in the age-related loss of estrogen-induced vasoprotection.

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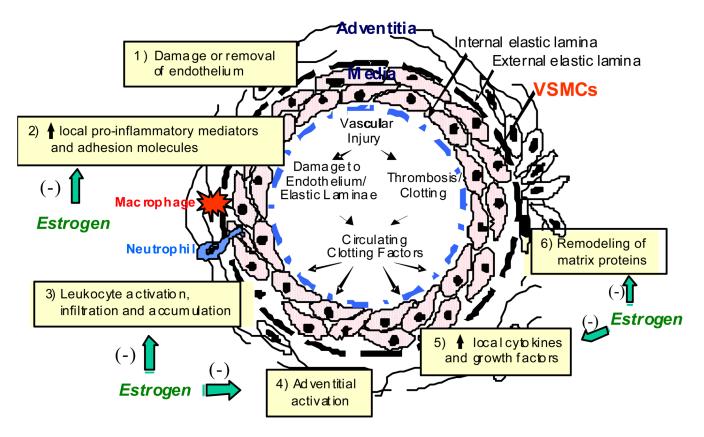
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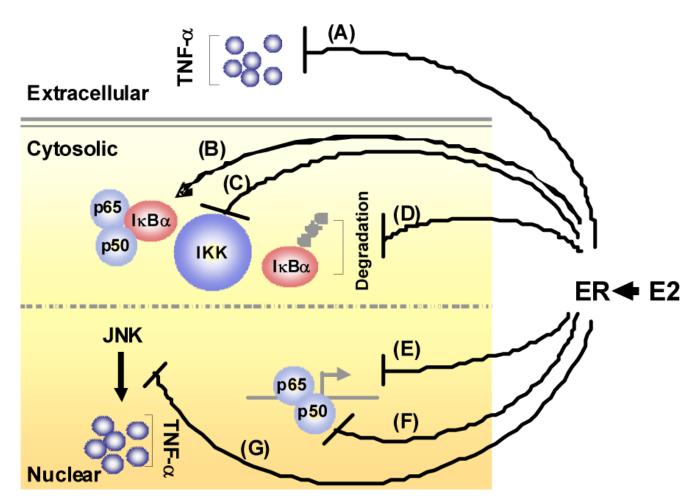
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#### Figure 1.

A simplified schematic illustration of the cellular response to endoluminal vascular injury.



#### Figure 2.

Mechanisms by which E2 treatment attenuates the pro-inflammatory (e.g. TNF- $\alpha$ )-stimulated I $\kappa$ B $\alpha$ /NF $\kappa$ B signaling pathway. E2 inhibits the expression of the pro-inflammatory mediator TNF- $\alpha$  (A) and increases the expression of I $\kappa$ B $\alpha$  (B). E2 can also inhibit IKK-mediated phosphorylation (C) and degradation (D) of I $\kappa$ B $\alpha$ . At the transcriptional level, E2-induced activation of estrogen receptor (ER) inhibits NF $\kappa$ B-induced transcription of chemokines/ cytokines (E) and can inhibit the ability of NF $\kappa$ B to bind to DNA (F). E2 has also been shown to block JNK induced TNF- $\alpha$  production (G).  $\perp$ - indicates inhibitory effects;  $\uparrow$  - indicates stimulatory effects.