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Editorial, page 11

A large-scale international meta-analysis of paraoxonase gene polymorphisms in sporadic ALS

ABSTRACT

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Background: Six candidate gene studies report a genetic association of DNA variants within the paraoxonase locus with sporadic amyotrophic lateral sclerosis (ALS). However, several other large studies, including five genome-wide association studies, have not duplicated this finding.

Methods: We conducted a meta-analysis of 10 published studies and one unpublished study of the paraoxonase locus, encompassing 4,037 ALS cases and 4,609 controls, including genome-wide association data from 2,018 ALS cases and 2,425 controls.

Results: The combined fixed effects odds ratio (OR) for rs662 (PON1 Q192R) was 1.09 (95% confidence interval [C] , 1.02-1.16, $p = 0.01$); the genotypic OR for RR homozygotes at Q192R was 1.25 (95% CI, 1.07-1.45, $p = 0.0004$); the combined OR for rs854560 (PON1 L55M) was 0.97 (95% CI, 0.86-1.10, *p* 0.62); the OR for rs10487132 (PON2) was 1.08 (95% CI, 0.92–1.27, *p* 0.35). Although the rs662 polymorphism reached a nominal level of significance, no polymorphism was significant after multiple testing correction. In the subanalysis of samples with genome-wide data from which population outliers were removed, rs662 had an OR of 1.06 (95% CI, 0.97-1.16, *p* = 0.22).

Conclusions: In contrast to previous positive smaller studies, our genetic meta-analysis showed no significant association of amyotrophic lateral sclerosis (ALS) with the PON locus. This is the largest meta-analysis of a candidate gene in ALS to date and the first ALS meta-analysis to include data from whole genome association studies. The findings reinforce the need for much larger and more collaborative investigations of the genetic determinants of ALS. *Neurology*® **2009;73:16–24**

GLOSSARY

ALS = amyotrophic lateral sclerosis; CI = confidence interval; GWAS = genome-wide association studies; OR = odds ratio; **SALS** = sporadic ALS; **SNP** = single nucleotide polymorphism.

Amyotrophic lateral sclerosis (ALS) is a rapidly progressive and devastating neurodegenerative disorder that usually leads to death in only 2 to 5 years. Over 90% of ALS cases occur sporadically and are hypothesized to result from a combination of risk from genes and environment. Among candidate genes proposed as ALS susceptibility factors,^{1,2} recent attention has focused on the paraoxonase gene family. Six recent case-control association studies document an association of polymorphisms in the paraoxonase locus, which includes the *PON1*, *PON3*, and *PON2* genes on chromosome 7 q 21.3-q22.1.³⁻⁸ However, one large candidate gene study and five genome-wide association studies (GWAS) have not supported this association.^{6,9-13} These conflicting results may reflect the relatively small sample sizes and the heterogeneity of single nucleotide polymorphisms chosen for analysis in each report. We hypothesized that a metaanalysis combining all available studies of the PON locus would increase the power to detect a true association and that analyzing a small subset of PON polymorphisms from GWAS data would reduce the multiple testing burden and increase the sensitivity for smaller effect sizes. **Supplemental data at**

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Devices: GoldenGate assay, Illumina BeadArray station, Infinium II SNP Chip assays, Human Hap550K SNP chips, HumanHap 300K SNP chips (Illumina, San Diego, CA); KASPar PCR system (KBiosciences, Hertfordshire, UK); SNaPshot® assay (Applied Biosystems, Foster City, CA).

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Paraoxonase 1 (PON1) is an esterase that metabolizes oxidized lipids and organophosphate insecticides such as chlorpyrifos, diazinon, and to a lesser extent parathion.^{14,15} Epidemiologic studies report an increased risk for sporadic ALS (SALS) after exposure to insecticides and pesticides.16-19 Genetic variation across the paraoxonase gene locus is believed to affect individual susceptibility to these exogenous compounds, including the PON1 coding variants L55M and Q192R and the regulatory region polymorphisms C-108T and A-162G.20,21 PON2 and PON3 share with PON1 activity metabolizing oxidized lipids,²² but do not metabolize organophosphates.

To clarify the evidence linking the PON locus to ALS, we performed a meta-analysis of 10 published association studies and one unpublished study of ALS that included paraoxonase gene polymorphisms (table 1). To reduce publication bias, we also actively sought genotyping results from unpublished data and from GWA studies. By combining individual genotyping results, we were able to eliminate duplicate samples between studies as well as population outliers for a total of 4,037 ALS cases and 4,609 controls. This is the largest genetic meta-analysis to date in ALS and the first to include data from recent genome-wide association studies.

METHODS This meta-analysis complies with the recommendations of the Meta-analysis Of Observational Studies in Epidemiology Group.

Included studies. Our analysis included all SNP association studies of the PON locus in sporadic ALS conducted before August 1, 2008. To accomplish this task, the MEDLINE and PubMed databases were searched using keywords relating to the paraoxonase genes (e.g., "paraoxonase," "PON," "PON1," "PON2," "PON3") or genome-wide analysis ("genome-wide," "whole genome") in combination with ALS (e.g., "amyotrophic lateral sclerosis," "ALS," "motor neuron disease"). To reduce the likelihood of publication bias, unpublished data, negative data from candidate gene studies, and GWAS data were also actively sought. We identified seven candidate gene studies, of which three assayed functional polymorphisms^{3,5,6} and four used tagging single nucleotide polymorphisms (SNPs).^{4,7-9} All of the authors of these studies were contacted to request individual genotyping results; genotypic and phenotypic information was available for inclusion from six of these candidate gene studies, totaling 3,403 ALS cases and 3,654 controls (table 1).^{3,5-9} One published candidate gene study,⁴ which reported an association based on an affected patient-parent trio cohort using paraoxonase tagging SNPs, was excluded because raw data were not made available for this analysis.⁴ However, the case-control arm of that same study found no association with ALS and therefore exclusion of these data are unlikely to have affected our results. To reduce the likelihood of publication bias, we also searched both unpublished data and negative data in the form of GWA studies using the methods above. Five published^{10-13,35} and one unpublished²³ genome-wide studies were identified. The authors of five of these studies^{10,12,13,23,35} provided individually genotyped Illumina chip data for pooling in our analysis. One study was excluded because the PON region was only assayed using pooled DNA in the discovery phase¹¹ and thus individual genotypes could not be utilized. After elimination of identified duplicate samples, a total of 2,041 cases and 2,468 controls were included in the overall analysis from the GWA studies. These samples were used in the subanalysis of GWAS data from which population outliers were also removed.

Data abstraction. The following information was abstracted from each study: study design, geographic location, ethnicity of participants, inclusion criteria, numbers of cases and controls, DNA extraction and genotyping methods, SNPs tested, frequency of genotypes, consistency of genotype frequencies with Hardy-Weinberg equilibrium, and proportion of men. Confirmation of genotype frequencies and genotyping procedures was achieved by requesting individual genotyping data. In the few instances in which genotype frequencies provided by the investigators in tabular data differed slightly from published figures, the tabular data were used. The diagnosis of ALS was limited to definite or probable by El Escorial criteria and individuals with a diagnosis of possible or atypical ALS were excluded from the combined raw genotyping analysis.^{24,25} Ethnic origin was limited in almost all of the studies to white Caucasians of European ancestry; in the combined analysis, other ethnicities were excluded because genotype frequencies vary substantially between Caucasian and African and Asian populations.

Statistical methods for meta-analysis. Owing to the heterogeneity of studied polymorphisms in each report, we used three methods to analyze risk for ALS attributable to each individual PON locus polymorphism. First, we performed a meta-analysis for each candidate SNP using the summary data from all studied populations. Results were combined using either a fixed effects (Mantel-Haenszel) model or random effects model depending on the heterogeneity between studies. Heterogeneity was calculated using Cochran's Q statistic and the I^2 statistic, where I^2 greater than 50% is considered significantly heterogeneous.^{26,27} Funnel plots were also generated to look for evidence of study bias.28 Forest and funnel plots were generated using Review Manager 4.2 (The Nordic Cochrane Center, Copenhagen, Denmark). We did not impute missing genotypes because of the strong possibility of spurious findings.²⁹

As a second method, we formally combined all available raw genotyping results to calculate an association statistic for each SNP. This allowed us to exclude individuals with atypical phenotypes or non-Caucasian ethnicity who had been included in the original reports. Duplicates were eliminated in this analysis using sample ID (as in the case of NIH Coriell samples used in several studies)¹⁰ and by excluding samples with identity by descent > 0.75 based on an analysis of 10,000 SNPs from the GWA studies (see below). This method also allowed us to examine risk using a variety of genetic association models. Logistic regression and allelic and genotypic association tests were performed using PLINK software.^{30,31}

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*Includes duplicate samples identified by source and ID number (i.e., the two studies by Cronin et al. used the same ALS samples). †Determined using 10,000 single nucleotide polymorphisms from genome-wide association studies. $SNP =$ single nucleotide polymorphism; $ALS =$ amyotrophic lateral sclerosis.

Finally, we performed a third analysis restricted to data from the whole genome association studies.10,12,13,23,35 Combining only GWAS data allowed us to eliminate duplicate samples using identity by descent \geq 0.75, and to perform detailed population stratification bias analysis and haplotype analyses. Population structure refers to differences in allele frequencies due to variations in ancestry within a study population. Stratification bias refers to a false genetic association due to differences in the population structures of the case and control populations, and can be corrected by the exclusion of population outliers. Our GWA dataset was subjected to stratification analysis using 10,000 unlinked SNPs from other regions of the genome using PLINK, which is greater than the minimum 200 unlinked biallelic markers required to test for differences in population stratification.³² Based on the distribution of pairwise genome-wide identity-by-state distances, we applied complete linkage hierarchical cluster analysis. As a result, 66 population outliers, defined as 3 SDs from the group mean, were eliminated, leaving 2,018 cases and 2,425 controls.

All genotypes were tested for deviation from Hardy-Weinberg equilibrium using PLINK software. Genetic association tests were performed in PLINK including subsidiary analysis of dominant, recessive, genotypic, and Cochrane-Armitage trend tests. The per-allele OR (relative risk) of the rare allele (i.e., R192) was calculated using the logistic regression function of PLINK. Results were adjusted for multiple testing using the Bonferroni correction using either PLINK or SAS software (SAS Institute, Cary, NC) and visualized using Haploview 4.0.33,34 Bonferroni correction deflates the reported p value to take into account the number of tests performed, using the formula $1 - (1 - \alpha)^{1/n}$ (often approximated by α/n , where α equals 0.05).

Findings of data abstraction. A total of 13 relevant studies were identified, of which 11 were included (table 1). Studies were conducted primarily in the United States and Europe, and all included samples were white/Caucasian from Poland, Australia, the United States, England, Ireland, Holland, Canada, France, or Sweden. All 11 included studies were case-control retrospective studies with controls drawn at random from approximately general populations, except for two studies which also included spousal controls.5,6 Only the French controls used in one study were not age- and gender-matched.²³ Two studies^{3,5}

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Study numbers refer to reference numbers. Published numbers include some duplicate samples, not included in the combined raw genotyping analysis. Areas of squares are proportional to the effective sample size, horizontal lines indicate confidence intervals (CI), and shaded diamonds denote grand totals. The horizontal axis is plotted on a log₁₀ scale. (A) Forest plot of paraoxonase*PON1* Q192R/rs662 polymorphism in amyotrophic lateral sclerosis. The left side of the figure shows a forest plot based on the odds ratios (ORs) for the arginine allele in each study. The right side of the graph shows a forest plot based on the frequency of RR homozygotes from the same studies. The overall ORs for both were calculated using a fixed effects model due to low heterogeneity. Cochran's Q statistic (a test of heterogeneity which follows a χ^2 distribution) for the allelic analysis was not significant (12.12 with 8 degrees of freedom, $p = 0.15$) and the I² statistic equaled 35% (less than 50%). For the analysis of RR homozygotes, Cochran's Q statistic equaled 5.71 with 8 degrees of freedom ($p = 0.68$) and the ¹² statistic equaled 0%. (B) Funnel plot of the nine studies included in the rs662 analysis. The vertical axis shows the standard error of the log OR for each study, while the horizontal axis, the OR, is again plotted in a log10 scale. (C) Forest plot of the *PON1* L55M/rs854560 polymorphism in amyotrophic lateral sclerosis. The overall OR was calculated using a random effects model due to significant heterogeneity (Cochran's Q statistic = 12.26 with 5 degrees of freedom, $p = 0.03$, and $l^2 = 59.2$ %).

used restriction fragment length polymorphism with standard restriction enzymes (*Alw*I or *Dpn*II for PON1 Q192R and *Nla*III for PON1 L55M and *Dde*I for PON 2 C311S). One candidate gene study also used the Applied Biosystems SNaPshot[®] assay (Foster City, CA),⁵ one candidate study used the KASPar PCR system (KBiosciences, UK),⁶ one used the Golden-Gate assay on an Illumina BeadArray station (San Diego, CA),9 and the remaining candidate gene studies used TaqMan SNP Assay probes (Applied Biosystems).^{7,8} All of the genome-wide association studies included used Illumina Infinium II SNP Chip assays, either the Human Hap550K^{6,10} or the HumanHap 300K SNP chips^{12,13,23} (Illumina), which facilitated the pooling of data across all sites.

Combining the individual genotyping data, after subtracting duplicate samples and population outliers, there were 8,646 individuals with confirmed phenotypic information, for a total of 4,037 cases and 4,609 controls. Across the GWA studies, there were 25 SNPs genotyped in common in 2,018

ALS subjects and 2,425 controls (after exclusion of overlapping samples and outliers). This included case-control populations from the United States, Ireland, the United Kingdom, France, and The Netherlands.

RESULTS Meta-analysis and pooled genotype data for PON1 coding variants and promoter polymorphisms. Among the coding and regulatory region PON1 polymorphisms with known putative functional effects, the Q192R polymorphism (rs662) was the most studied; it was included in 11 studies (9 distinct study populations) for a total of 4,151 cases of ALS (65.4% men) and 4,727 controls (66.7% men).^{3,5-10,12,23} The candidate SNP study⁶ and GWAS study³⁵ of Cronin et al. used the same study population, as did the two articles

Allelic values show minor allele frequency and total number of cases and controls. SNP rs662 was assayed in the greatest number of cases and controls. SNP = single nucleotide polymorphism; ALS = amyotrophic lateral sclerosis; OR = odds ratio; Geno = genotypic test (i.e., AA/AG/GG); Trend = Cochrane-Armitage trend test; Dom = dominant test (i.e., $AA/AG+GG$); Rec = recessive (i.e., $AA+AG/GG$).

> by van Es et al.,^{12,13} and therefore their populations were included only once. We used a fixed effects model to analyze the published results for PON1 Q192R (rs662) because the amount of heterogeneity was not significant among the nine included studies ($p = 0.15$). The fixedeffects odds ratio (OR) for the R allele was 1.09 (95% confidence interval [CI], 1.02–1.16, $p = 0.01$) (figure 1A, left). The recessive model (RR genotype) had an

OR of 1.25 (95% CI, 1.07–1.45, $p = 0.004$) (figure 1A, right). Eliminating the discovery study by Slowik et al.3 reduced the fixed effects OR for the R allele to 1.07 (95% CI, 1.0–1.15, $p = 0.05$). The funnel plot in figure 1B demonstrates no significant asymmetry to suggest publication bias, due in part to the inclusion of large published and unpublished negative studies, including the GWAS. We calculate that the total sample

(A) Negative log₁₀ of the unadjusted *p* value for each test in table 2 shown on y axis. (B) Exon mapping of the three paraoxonase genes, including the chromosomal location. (C) Pairwise linkage disequilibrium (D') and log of the odds ratio (lod) values were calculated using Haploview 4.0 for the seven tested single nucleotide polymorphisms. The color code on the Haploview plot follows the standard color scheme for Haploview: white (D' <1, lod <2); shades of pink/red (D' <1, lod >2); blue (D' = 1, lod <2).

size of 4,151 ALS cases and 4,727 controls included in the rs662 analysis provided 80% power to detect an association with a relative risk of 1.1 at a significance level of 0.01 and greater than 90% power to detect a difference at a significance level of 0.05.³⁶

Using the raw genotyping data, we excluded duplicate samples and samples with atypical phenotypes, resulting in 3,978 confirmed cases and 4,560 controls. The overall OR for the R allele remained 1.09 (95% CI, 1.02–1.16, uncorrected $p = 0.013$);

for the homozygous recessive model, the OR was 1.21 (95% CI, 1.048–1.39, uncorrected *p* 0.0029). Similarly, logistic regression calculated that the per-allele relative risk of the R192 variant for ALS was 1.08 (95% CI, 1.02–1.16, $p = 0.013$). Table 2 includes allelic, genotypic, dominant, and recessive models for the seven SNPs that were tested in at least 50% of the samples, shown graphically in figure 2, three of which were in high linkage disequilibrium with each other (D'). The R192 association was not significant after correction for 35 genotypic tests for the seven most commonly tested polymorphisms (Bonferroni-corrected p value = 0.103, table 2).

The rs854560 (PON1 L55M) coding variant was assayed in six studies totaling 3,323 ALS cases and 3,385 controls.3,5-9 There was a significant amount of heterogeneity ($I^2 = 59\%$); therefore, we used a random-effects model which takes additional account of study variation. The combined OR was 0.97 (95% CI, 0.86–1.10, $p = 0.62$) (figure 1C, table 2). The combined OR for rs854560 using validated individual genotypes was 0.95 (95% CI, 0.88– 1.015, $p = 0.12$). The promoter SNP rs705381 (PON1 G-162A) had a pooled OR of 0.92 (95% CI, 0.84–1.01, $p = 0.1$) in 2,330 ALS cases and 2,124 controls from four studies⁵⁻⁸ (table 2). Regulatory SNP rs705379 (PON1 T-108C) was only tested in a total of 364 ALS cases and 345 controls and therefore was not included in this analysis.

Meta-analysis of PON2 and PON3 polymorphisms. PON2 rs11981433 was included in three studies of $2,804$ ALS cases and $2,661$ controls⁷⁻⁹ for which the combined OR was 0.93 (95% CI, 0.86–1.01, *p* 0.07) (table 2). Combining 2,176 ALS cases and 1,934 controls from three studies⁶⁻⁸ for rs10487132 (PON2) produced an OR of 1.05 (95% CI, 0.96– 1.14, $p = 0.31$). PON2 C311S/rs7493/rs6954345 had an OR of 1.16 (95% CI, 0.99–1.36, $p = 0.06$) in the pooled analysis of four studies.^{5-7,9} For a complete list of SNPs tested in at least 25% of samples, see table e-1 on the *Neurology*® Web site at www. neurology.org). None of these 34 SNPs reached significance after multiple testing correction.

Combined genome-wide association data. Restricting the analysis to GWAS data permitted the elimination of duplicate samples and testing for population stratification using 10,000 unlinked SNPs from other regions of the genome using PLINK. After excluding 1,460 duplicates and 66 outliers, there were 2,018 unique ALS subjects and 2,425 unique controls with GWAS data (figure e-1 shows a multidimensional scaling analysis of the remaining samples based on identity-by-state distances). A total of 25 PON SNPs were tested in common, none of which was signifi-

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cant after Bonferroni correction (table e-2 and figure e-2). PON1 Q192R/rs662 was not significant in the pooled stratification-corrected genome-wide dataset (OR = 1.06, 95% CI, 0.97–1.16, $p = 0.22$); this suggests that stratification bias could account for the significant association noted in the combined analysis above for this SNP. Sliding-window haplotype analysis of the genome-wide data using PLINK software did not identify a single haplotype that was significantly associated with ALS after multiple test correction (table e-3).^{30,31}

DISCUSSION This meta-analysis of 11 genetic association studies, involving 4,037 ALS cases and 4,609 controls, provides the most comprehensive assessment so far of the relevance to ALS of polymorphisms within the paraoxonase locus. In addition, it is the largest meta-analysis of ALS to date, and the first to include genotyping data from recent genomewide association studies in ALS. By including genome-wide studies, we were able to reduce publication bias. Our meta-analysis of all 11 studies found a nominally significant overall OR for the G allele (R192) of rs662 of 1.09 (95% CI, 1.02–1.16, *p* 0.01). However, of the seven most commonly tested SNPs, including several that had previously been reported to be significant, no single variant, including rs662, showed robust association with risk for SALS after Bonferroni correction.

When our analysis was restricted to GWAS data from which population outliers had been removed, we found a lower nonsignificant OR for rs662 (1.06, 95% CI, 0.97–1.16, $p = 0.22$) than in our larger analysis. Although the sample size and statistical power of the genome-wide data were significantly smaller (with only 65% power to detect a relative risk of 1.1 at an alpha of 0.05), GWAS data allowed us to explore the influence of population stratification on our meta-analysis findings. The result suggests that the greater effect size observed in the candidate studies may have been falsely positive due to stratification. In our analysis of the genome-wide data, we found no PON polymorphism or haplotype with a Bonferroni-corrected *p* value below 0.05.

Our study has several limitations that must be considered when interpreting its findings. First, it was not possible to implement stratification control for the candidate gene studies; however, this is unlikely to result in a false negative finding unless there is a reversal of association in different subpopulations (Simpson's paradox), which was not observed in the forest plot of rs662. In addition, given that our analysis was limited to white Caucasians of European descent, population-specific differences in PON SNP frequencies, as described in African American populations with AD, would not be expected.37 Second, some of the regulatory promoter polymorphisms for PON1 were not tested in the majority of the samples. Finally, because data on exposure to exogenous toxins were only available in one study,⁵ we could not control for the heterogeneity of environmental toxin substrates across included populations.

Our meta-analysis suggests that common variants across the PON locus do not alter risk for ALS, although the rs662 polymorphism reached an uncorrected level of significance. The results also imply that candidate gene studies in ALS are likely to discover false positive associations, possibly due to population stratification. This supports the inclusion of unlinked genetic markers to test for stratification in future candidate gene studies. It also supports the use of unbiased association study data such as that generated in genome-wide analyses.

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AUTHOR CONTRIBUTIONS

A.-M.W. takes full responsibility for the data, the analyses and interpretation, and the conduct of the research and has had full access to all of the data. A.-M.W., S.C., and J.E.L. drafted and initiated the report. All the investigators were involved in the design, interpretation, and redrafting. Statistical analyses were conducted by A.-M.W., Instructor in Neurology, Harvard Medical School, and Department of Neurology, Massachusetts General Hospital.

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Editor's Note to Authors and Readers: Levels of Evidence coming to *Neurology®*

Effective January 15, 2009, authors submitting Articles or Clinical/Scientific Notes to *Neurology®* that report on clinical therapeutic studies must state the study type, the primary research question(s), and the classification of level of evidence assigned to each question based on the classification scheme requirements shown below (left). While the authors will initially assign a level of evidence, the final level will be adjudicated by an independent team prior to publication. Ultimately, these levels can be translated into classes of recommendations for clinical care, as shown below (right). For more information, please access the articles and the editorial on the use of classification of levels of evidence published in *Neurology*. 1-3

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Classification scheme requirements for therapeutic questions AAN classification of recommendations Class I. A randomized, controlled clinical trial of the intervention of interest with masked or objective outcome assessment, in a representative A = Established as effective, ineffective, or harmful (or established population. Relevant baseline characteristics are presented and substantially as useful/predictive or not useful/predictive) for the given condition equivalent among treatment groups or there is appropriate statistical in the specified population. (Level A rating requires at least two adjustment for differences. consistent Class I studies.) Class II. A randomized, controlled clinical trial of the intervention of interest in a representative population with masked or objective outcome B = Probably effective, ineffective, or harmful (or probably assessment that lacks one criterion a-e in Class I or a prospective matched useful/predictive or not useful/predictive) for the given condition in the specified population. (Level B rating requires at least one Class I cohort study with masked or objective outcome assessment in a representative population that meets b-e in Class I. Relevant baseline study or two consistent Class II studies.) characteristics are presented and substantially equivalent among treatment groups or there is appropriate statistical adjustment for differences. C = Possibly effective, ineffective, or harmful (or possibly useful/predictive or not useful/predictive) for the given condition in Class III. All other controlled trials (including well-defined natural history the specified population. (Level C rating requires at least one Class II controls or patients serving as their own controls) in a representative study or two consistent Class III studies.) population, where outcome is independently assessed, or independently derived by objective outcome measurements. U = Data inadequate or conflicting; given current knowledge, treatment (test, predictor) is unproven. Class IV. Studies not meeting Class I, II, or III criteria including consensus or expert opinion.

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