# **RESEARCH PAPER**

# **Effects of zotepine on extracellular levels of monoamine, GABA and glutamate in rat prefrontal cortex**

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**Background and purpose:** The atypical antipsychotic drug, zotepine, is effective in treatment of schizophrenia and acute mania, but the incidence of seizures during treatment is higher than with other antipsychotics. In addition, the mechanisms underlying the clinical actions of zotepine remain uncharacterized.

**Experimental approach:** The effects of intraperitoneal administration of zotepine and haloperidol on the extracellular levels of noradrenaline, dopamine, 5-HT, GABA, and glutamate in the medial prefrontal cortex (mPFC) were compared. Neuronal activities induced by each drug in the ventral tegmental area (VTA), locus coeruleus (LC), dorsal raphe nucleus (DRN) and mediodorsal thalamic nucleus (MTN) were also analysed.

**Key results:** Haloperidol did not affect extracellular neurotransmitter levels in the mPFC. In contrast, zotepine activated neuronal activities in all nuclei and increased the extracellular levels of noradrenaline, dopamine, GABA, and glutamate in the mPFC, but not 5-HT levels. The zotepine-stimulated neuronal activity in the VTA, LC, DRN and MTN enhanced the release of dopamine, noradrenaline, 5-HT, glutamate and GABA in the mPFC, although the enhanced GABAergic transmission possibly inhibited noradrenaline, dopamine and 5-HT release. The other afferent to mPFC, which releases dopamine and noradrenaline, was partially insensitive to GABAergic inhibition, but possibly received stimulatory AMPA/glutamatergic regulation from the MTN. **Conclusions and implications:** Our results indicated that the positive interaction between prefrontal catecholaminergic transmission and AMPA/glutamatergic transmission from MTN might explain the regulatory effects of zotepine on neurotransmitter release. A mechanism is suggested to account for the pharmacological profile of this atypical antipsychotic and for its pro-convulsive action.

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**Keywords:** antipsychotics; dopamine; GABA; glutamate; microdialysis; neurotransmitter release; noradrenaline; schizophrenia; 5-HT; zotepine

**Abbreviations:** ANOVA, analysis of variance; DNQX, 6,7-dinitroquinoxaline-2,3-dione; DRN, dorsal raphe nucleus; HPLC, high-performance liquid chromatography; LC, locus coeruleus; MK-801, dizocilpine; mPFC, medial prefrontal cortex; MTN, mediodorsal thalamic nucleus; VTA, ventral tegmental area

# **Introduction**

Zotepine (2-[(8-chlorodibenzo[b,f]thiepine-10-yl)oxy]-N,N dimethylethylamine) was developed by Fujisawa Pharmaceutical Co. (Osaka, Japan: currently Astellas Pharmaceutical Inc.) in Japan as an antipsychotic drug. Zotepine has an atypical profile and is effective against negative (such as affective

flattening, aboulia and social withdrawal) and positive (such as hallucination and delusion) symptoms, as well as cognitive deficits of schizophrenia. It is also less likely to induce extrapyramidal side effects compared with typical antipsychotics (Meyer-Lindenberg *et al.*, 1997; DeSilva *et al.*, 2006; Hashimoto *et al.*, 2006). In addition, an open pilot study demonstrated the rapid and beneficial therapeutic effects of zotepine in patients with severe mania (Amann *et al.*, 2005). Despite these clinical advantages of zotepine, the clinical incidence of seizures during treatment with zotepine is higher than with other antipsychotic drugs (Hori *et al.*, 1992; Casey, 1997). The mechanism(s) underlying both the clinical actions of zotepine and the complicating seizures remain unclear.

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The elevation of dopamine level in the medial prefrontal cortex (mPFC) is considered to be critical to the ability of atypical antipsychotics to improve cognitive dysfunction and negative symptoms of schizophrenia, since clozapine, risperidone, olanzapine, quetiapine and ziprasidone, but not halperidone, increased the extracellular dopamine level in mPFC (Meltzer *et al.*, 2003). Haloperidol is a typical antipsychotic that acts mainly via dopamine  $D_2$  receptor antagonism (Bymaster *et al.*, 1997). It does not significantly affect the extracellular levels of noradrenaline, dopamine or 5-HT in rat frontal cortex when administered by *in vivo* microdialysis (Rowley *et al.*, 2000; Zhang *et al.*, 2000; Adams and Moghaddam, 2001; Lopez-Gil *et al.*, 2007). However, the atypical antipsychotics risperidone and clozapine significantly increased extracellular 5-HT levels in mPFC, although olanzapine had no such effect (Meltzer *et al.*, 2003). Zotepine, which shows multiple antagonistic profiles with strong affinities to  $5-HT_{2A}$  receptors,  $5-HT_{2C}$  receptors, dopamine  $D_2$  receptors and transporters of noradrenaline and 5-HT (Tatsumi *et al.*, 1999; Richelson and Souder, 2000), also increased the extracellular levels of noradrenaline and dopamine to varying degrees in the rat cortex, but not 5-HT levels (Rowley *et al.*, 1998; Rowley *et al.*, 2000; Nakamura *et al.*, 2005). The contradictory effects of zotepine on extracellular monoamine levels in the mPFC are not explained by its binding profile.

The stimulating effects of the atypical antipsychotics, clozapine and olanzapine, on extracellular dopamine levels in the frontal cortex, do not correlate with neuronal activity in the ventral tegmental area (VTA). This region predominantly projects dopaminergic afferents to the mPFC, but depends on direct action on the frontal dopaminergic transmission system-associated monoamine receptors, as both typical and atypical antipsychotics enhance neuronal activity in the VTA (Gessa *et al.*, 2000; Meltzer *et al.*, 2003). The major dopaminergic, noradrenergic and 5-hydroxytryptaminergic afferents project to the mPFC from the VTA, locus coeruleus (LC) and dorsal raphe nucleus (DRN), respectively (Waterhouse *et al.*, 1983; Van Eden *et al.*, 1987; Kuroda *et al.*, 1998; Lambe *et al.*, 2000), but the major glutamatergic afferents project from other cortical regions and the mediodorsal thalamic nucleus (MTN) (Cotman and Monaghan, 1986; Jay *et al.*, 1992; Kuroda *et al.*, 1998). In the mPFC, the contacts between glutamatergic and monoaminergic neurons are possibly indirect and mediated by GABAergic interneurons, which are regulated by stimulatory NMDA receptors (Yonezawa *et al.*, 1998; Zhu *et al.*, 2004).

Based on these anatomical findings, the present study was designed to clarify the mechanisms of the clinical actions of zotepine. We also compared the effects of zotepine and haloperidol on neuronal activities in the VTA, DRN, LC and MTN. The extracellular levels of dopamine, noradrenaline, 5-HT, GABA and glutamate in mPFCs of freely moving rats were also analysed following administration of the two drugs, using telemetric monitoring for neuronal activity, high-speed and highly sensitive extreme liquid chromatography to determine GABA and glutamate levels, and ionexchange high-performance liquid chromatography (HPLC) to determine levels of dopamine, noradrenaline and 5-HT in freely moving rats.

# **Methods**

## *Experimental animals*

All experiments described in this report were approved by the Ethics Review Committee for Animal Experimentation of Mie University. Male Sprague-Dawley rats (SLC, Shizuoka, Japan), weighing 250–300 g, were housed in air-conditioned rooms (temperature,  $22 \pm 2$ °C) set at 12-h light-dark cycle.

## *Preparation of microdialysis system*

Each rat was placed in a stereotaxic frame and anaesthetized with 1.8% isoflurane. A concentric I-type dialysis probe (0.22-mm diameter; 3-mm exposed membrane; Eicom, Kyoto, Japan) was implanted in the mPFC  $(A = +3.2$  mm,  $L = +0.8$  mm,  $V = -5.5$  mm, relative to bregma) according to the atlas of Paxinos and Watson (1998). The microdialysis probes placed into the mPFC covered the cortical layers II/III to VI. Perfusion experiments commenced 18 h after the rats had recovered from anaesthesia. The perfusion rate was always  $1 \mu L·min^{-1}$ , using modified Ringer's solution composed of (in mmol $\cdot$ L<sup>-1</sup>) 145 Na<sup>+</sup>, 2.7 K<sup>+</sup>, 1.2 Ca<sup>2+</sup>, 1.0 Mg<sup>2+</sup> and 154.4 Cl<sup>-</sup>, buffered to pH 7.4 with 2 mmol·L<sup>-1</sup> phosphate buffer and 1.1 mmol·L-<sup>1</sup> Tris buffer (Okada *et al.*, 2001; Okada *et al.*, 2004). Each dialysate was injected into the liquid chromatography apparatus.

The perfusion was started with modified Ringer's solution alone. Extracellular neurotransmitter levels in the mPFC were measured at 6 h after starting the perfusion. When the coefficients of variation of the level of each neurotransmitter reached less than 5% over 60 min (stabilization), control data were obtained over another 60-min period. The perfusion medium was then switched to modified Ringer's solution with or without the required agent: muscimol  $(50 \mu mol \cdot L^{-1})$ , 6,7-dinitroquinoxaline-2,3-dione (DNQX,  $50 \mu$ mol·L<sup>-1</sup>) or MK-801 (50  $\mu$ mol·L<sup>-1</sup>). After stabilization of the extracellular neurotransmitter levels, the rat was injected with either zotepine  $(1 \text{ and } 3 \text{ mg} \cdot \text{kg}^{-1}, \text{ i.p.})$  or haloperidol  $(0.1 \text{ and } 3 \text{ mg} \cdot \text{kg}^{-1}, \text{ i.p.})$  $1 \text{ mg} \cdot \text{kg}^{-1}$ , i.p.).

# *Liquid chromatography*

The extracellular levels of noradrenaline, dopamine and 5-HT were determined by ion-exchange HPLC with an electrochemical detector (HITEC-500, Eicom) and a graphite carbon electrode set at +450 mV (vs. an Ag/gCl reference electrode). The ion-exchange column (EicomPack CAX,  $200 \times 2.0$  mm, Eicom) was maintained at 25°C and the flow rate of the mobile phase was set at  $250 \mu L·min^{-1}$ . The mobile phase comprised  $0.1 \text{ mol} \cdot L^{-1}$  ammonium acetate buffer (pH 6.0) containing  $0.05 \text{ mol} \cdot \text{L}^{-1}$  sodium sulphate,  $0.3 \text{ mmol} \cdot \text{L}^{-1}$  EDTA-<sub>2</sub>Na, and 30% ( $v$   $v^{-1}$ ) methanol.

The extracellular levels of glutamate and GABA were determined by extreme liquid chromatography (dual xLC 3185PU, Jasco, Tokyo) using o-phthalaldehyde-derivatized fluorescence detection (xLC 3120FP, Jasco). The analytical column (X-PressPak V-C18, particle  $2 \mu m$ ,  $50 \times 2.0$  mm, Jasco) was maintained at 40°C and the flow rate of the mobile phase was set at 500 µL·min<sup>-1</sup>. The mobile phase comprised two eluents:



**Figure 1** Typical chromatograms of monoamine analyses using ion-exchange high-performance liquid chromatography equipped with an electrochemical detector and amino acid analyses using extreme high-pressure liquid chromatography equipped with a fluorescence detector. The chromatograms in A were obtained from 20  $\mu$ L of a standard solution containing 5 fmol (20  $\mu$ L)<sup>-1</sup> of noradrenaline, dopamine, and 5-HT (standard) or prefrontal perfusate (mPFC). The quantification limits for noradrenaline, dopamine and 5-HT were 0.1, 0.2 and 0.5 fmol (20  $\mu$ L)<sup>-1</sup> respectively. The chromatograms in B were obtained from 5 µL of a standard solution containing 1 pmol 5  $\mu$ L<sup>-1</sup> glutamate and 0.2 pmol 5  $\mu$ L<sup>-1</sup> GABA (standard), or prefrontal perfusate (mPFC). The quantification limits for glutamate and GABA were 10 and 20 fmol 5  $\mu$ L<sup>-1</sup> respectively.

eluent A, 3.5 mmol $\cdot$ L<sup>-1</sup> citrate buffer (pH 6.0); and eluent B, 3.5 mmol·L-<sup>1</sup> citrate buffer (pH 3.5) containing 30% acetonitrile (v  $v^{-1}$ ), 30% ethanol (v  $v^{-1}$ ) and 40% water (v  $v^{-1}$ ). The following gradient elution was used: 0–0.2 min, 90% A–10% B; 2.2–2.5 min, 72% A–28% B; 4.6–5.0 min, 42% A–58% B; 6.1 min, 23% A–77% B; 6.2–7.0 min, 0% A–100% B.

Figure 1A and B, respectively, show typical chromatograms of monoamine analysis by ion-exchange HPLC equipped with an electrochemical detector, and of amino acid analysis using extreme high-pressure liquid chromatography equipped with a fluorescence detector.

#### *Electrophysiological measurements*

To determine the effects of zotepine and haloperidol on neuronal activity in freely moving rats, twisted bipolar tefloninsulated stainless steel microelectrodes (80-µm diameter) were chronically implanted under 1.8% isoflurane anaesthesia in the LC (A =  $-9.8$  mm, L =  $+1.3$  mm, V =  $-6.8$  mm, relative to bregma), MTN ( $A = -1.8$  mm,  $L = +0.8$  mm,  $V = -4.6$  mm, relative to bregma), VTA  $(A = -6.0$  mm,  $L = +0.6$  mm,  $V =$  $-8.0$  mm, relative to bregma) and DRN  $(A = -7.3$  mm,  $L = +0.1$  mm,  $V = -5.8$  mm, relative to bregma) according to the atlas of Paxinos and Watson (1997). Another screw electrode was implanted in the bone 1 mm posterior to lambda as a reference electrode. Unit activities were converted to an integrated histogram by rate-averaging software and displayed as neuronal firing frequency (Hz).

Dopaminergic neurons in the VTA were identified using the following criteria: spike duration greater than 2 ms, firing rate of 0.5–9.0 Hz and biphasic/triphasic waveforms (Bunney *et al.*, 1973). 5-Hydroxytryptaminergic neurons in the DRN were identified using the following criteria: spike duration greater than 0.8 ms and firing rate of 0.5–3.0 Hz (Aghajanian *et al.*, 1970). Neurons in the LC were identified using the following criteria: spike duration greater than 2 ms and firing rate of 0.5–6.0 Hz (Aghajanian *et al.*, 1977). Neurons in the MTN were identified using the following criteria: spike duration greater than 0.5 ms and firing rate of 0.5–10.0 Hz. The extracellular neuronal activity (firing frequency) recording commenced 24 h after recovery from anaesthesia, using a telemeter (Unimec, Tokyo) the low bandpass filter set at 100 Hz and high-bandpass at 3 kHz (Okada *et al.*, 1998).

#### *Statistical analysis*

Data are expressed as mean  $\pm$  SD. The dose-dependent effects of haloperidol and zotepine on the extracellular levels of the neurotransmitters were analysed by repeated measurements two-way analysis of variance (repeated two-way ANOVA) using Tukey's multiple comparison. The effects of local perfusion with MK-801, muscimol and DNQX on the zotepine-induced elevation of extracellular neurotransmitter levels were analysed using two-way ANOVA with Tukey's multiple comparison. The dose-dependent effects of antipsychotics on neuronal firing were analysed by one-way ANOVA with Dunnett's multiple comparison. A *P*-value < 0.05 was considered statistically significant.

#### *Materials*

The following drugs were used in this study: zotepine (Astellas Pharma Inc, Tokyo), haloperidol (Sigma, St. Louis, MO), MK-801 (dizocilpine; Sigma), DNQX (Wako Chemicals, Osaka, Japan) and muscimol (Sigma). Haloperidol, zotepine, MK-801 and muscimol were dissolved in modified Ringer's solution containing less than  $0.1\%$  v v<sup>-1</sup> acetate. The pH of the final solution was adjusted to 7.0 with phosphate buffer.

### **Results**

#### *Effects of antipsychotic drugs on neurotransmitter release in mPFC*

The basal extracellular levels of noradrenaline, dopamine and 5-HT in mPFC were  $6.8 \pm 0.9$ ,  $13.2 \pm 2.7$  and  $4.2 \pm 0.6$  fmol per sample  $(20 \mu L)$  respectively (Figures 1 and 2) (not corrected for *in vitro* dialysis probe recovery). The basal extracellular levels of glutamate and GABA were 2.4  $\pm$  0.5 and  $0.6 \pm 0.1$  pmol per sample (20  $\mu$ L) respectively (Figures 1 and 3) (not corrected for *in vitro* dialysis probe recovery). The basal extracellular levels of noradrenaline, dopamine, 5-HT and GABA were tetrodotoxin-sensitive, Ca<sup>2+</sup>-dependent and K+ -sensitive, but the basal extracellular glutamate level was tetrodotoxin-insensitive, Ca<sup>2+</sup>-independent and K<sup>+</sup>-sensitive (data not shown) (van Veldhuizen *et al.*, 1990; Okada *et al.*, 2001; Okada *et al.*, 2004; Okada *et al.*, 2005). In contrast, the K+ -evoked release of all neurotransmitters was tetrodotoxin-

sensitive and Ca<sup>2+</sup>-dependent (data not shown) (van Veldhuizen *et al.*, 1990; Okada *et al.*, 2001; Okada *et al.*, 2004; Okada *et al.*, 2005).

Systemic administration of 0.1 mg·kg-<sup>1</sup> (data not shown) and 1 mg $\cdot$ kg<sup>-1</sup> haloperidol (i.p.) did not affect the extracellular concentrations of noradrenaline, dopamine, 5-HT, GABA or glutamate (Figures 2 and 3). However, zotepine (1 and 3 mg·kg-<sup>1</sup> , i.p.) dose-dependently increased noradrenaline [repeated two-way ANOVA:  $F_{\text{Dose}}(2, 21) = 50.9$ ,  $P < 0.01$ ;  $F_{\text{Time}}(9, 11)$  $189$ ) = 149.5,  $P < 0.01$ ;  $F_{\text{Dose*Time}}$  (18, 189) = 72.8,  $P < 0.01$ ], dopamine [repeated two-way ANOVA:  $F_{\text{Dose}}(2, 21) = 59.1$ ,  $P < 0.01$ ;  $F_{Time}(9, 189) = 188.7$ ,  $P < 0.01$ ;  $F_{Dose*Time}(18, 189) =$ 79.2,  $P < 0.01$ ], GABA [repeated two-way ANOVA:  $F_{\text{Dose}}(2,$  $21) = 22.3$ ,  $P < 0.01$ ;  $F_{Time}(9, 189) = 33.7$ ,  $P < 0.01$ ;  $F_{Dose*Time}(18, 199)$  $189$  = 12.1,  $P < 0.01$ ], and glutamate [repeated two-way ANOVA:  $F_{\text{Dose}}(2, 21) = 17.3, P < 0.01; F_{\text{Time}}(9, 189) = 32.3,$  $P < 0.01$ ;  $F_{\text{Dose}}$ <sup>\*</sup>Time</sub>(18, 189) = 11.3,  $P < 0.01$ )] release without affecting 5-HT levels in the mPFC (Figures 2 and 3).

#### *Effects of antipsychotic drugs on neuronal firing frequencies in the VTA, DRN, LC and MTN*

To clarify the mechanisms by which zotepine increased extracellular neurotransmitter concentrations in the mPFC, the effects of systemic administration of zotepine  $(1 \text{ and } 3 \text{ mg} \cdot \text{kg}^{-1}, \text{i.p.})$  and haloperidol  $(0.1 \text{ and } 1 \text{ mg} \cdot \text{kg}^{-1}, \text{i.p.})$ on neuronal activity in the VTA, DRN, LC and MTN were analysed.

Systemic administration of zotepine  $(1 \text{ and } 3 \text{ mg} \cdot \text{kg}^{-1}, \text{ i.p.})$ significantly increased VTA neuronal firing frequencies over the subsequent 3 h (Figure 4A), and this stimulation correlated with the increased extracellular dopamine levels in the mPFC. In contrast, systemic administration of haloperidol (1 mg·kg-<sup>1</sup> , i.p.) transiently increased neuronal firing frequencies in the VTA over the first 60 min, but after that time the frequencies returned to pretreatment values (Figure 4B).



**Figure 2** Effects of antipsychotic drugs on the extracellular levels of noradrenaline (A), dopamine (B), 5-HT and (C) GABA in the mPFC. Arrows indicate systemic administration of haloperidol (HPD: 1 mg·kg<sup>-1</sup>, i.p.) and zotepine (ZTP: 1 and 3 mg·kg<sup>-1</sup>, i.p.). The ordinates represent the mean  $\pm$  SD (n = 8) neurotransmitter release (fmol per sample). Effects of the antipsychotic agents were compared using repeated two-way ANOVA with Tukey's multiple comparison (\**P* < 0.05; \*\**P* < 0.01).



**Figure 3** Effects of antipsychotic drugs on the extracellular levels of GABA (A) and glutamate (B) in the mPFC. Arrows indicate systemic administration of haloperidol (HPD: 1 mg·kg<sup>-1</sup>, i.p.) and zotepine (ZTP: 1 and 3 mg·kg<sup>-1</sup>, i.p.). The ordinates represent the mean  $\pm$  SD (*n* = 8) neurotransmitter release (pmol per sample). Effects of the antipsychotic agents were compared using repeated two-way ANOVA with Tukey's multiple comparison (\**P* < 0.05; \*\**P* < 0.01).

Consequently, we next investigated the neuronal firing frequencies in the VTA, DRN, LC and MTN during 60–180 min after the systemic administration (i.p.) of zotepine and haloperidol. Zotepine (1 and 3 mg·kg-<sup>1</sup> , i.p.) significantly increased neuronal firing frequencies in the VTA [one-way ANOVA; *F*(2, 21) = 9.6, *P* < 0.01], DRN [one-way ANOVA; *F*(2, 21) = 17.9, *P* < 0.01], LC [one-way ANOVA; *F*(2, 21) = 57.8, *P* < 0.01] and MTN [one-way ANOVA; *F*(2, 21) = 55.8, *P* < 0.01] in a dose-dependent manner, while (0.1 and 1 mg·kg-1, i.p.) had no effects on neuronal firing frequencies in the VTA [one-way ANOVA; *F*(2, 21) = 3.1, *P* = 0.068], DRN [one-way ANOVA; *F*(2, 21) = 1.8, *P* = 0.186], LC [one-way ANOVA; *F*(2, 23) = 3.2,  $P = 0.061$  and MTN [one-way ANOVA;  $F(2, 0.061)$  $(23) = 2.45$ ,  $P = 0.110$  (Figure 4).

## *Interaction between local perfusion with MK-801, DNQX, or muscimol and systemically administered zotepine on neurotransmitter release in the mPFC*

The effect of zotepine (i.p.) on the mean values of extracellular neurotransmitter level was analysed at 60–180 min after zotepine administration, since the significant zotepineinduced rise in extracellular neurotransmitter level was observed 1 h after administration of zotepine.

A two-way ANOVA indicated the significant effects of perfusion with 50  $\mu$ mol·L<sup>-1</sup> MK-801 and systemic administration of zotepine (3 mg·kg<sup>-1</sup>, i.p.) on extracellular levels of noradrenaline [two-way ANOVA:  $F_{\text{zotepine}}(1, 28) = 380.9$ ,  $P < 0.01$ ;  $F_{\text{MK-801}}(1, 1)$  $(28) = 49.1, P < 0.01; F_{zotepine*MK-801}(1, 28) = 4.2, P < 0.05$ dopamine [two-way ANOVA: *F*zotepine(1, 28) = 258.7, *P* < 0.01;  $F_{\text{MK-801}}(1, 28) = 20.4, P < 0.01; F_{\text{zotepine*MK-801}}(1, 28) = 4.3,$ *P* < 0.05], 5-HT [two-way ANOVA: *F*zotepine(1, 28) = 46.4, *P* < 0.01;  $F_{MK-801}(1, 28) = 197.6, P < 0.01; F_{zotepine*MK-801}(1, 28) = 43.8,$ *P* < 0.01], GABA [two-way ANOVA:  $F_{\text{zotepine}}(1, 28) = 59.1$ ,  $P < 0.01$ ;  $F_{MK-801}(1, 28) = 178.9$ ,  $P < 0.01$ ;  $F_{zotepine*MK-801}(1,$  $28$ ) = 39.9,  $P < 0.01$ ] and glutamate [two-way ANOVA:  $F_{\text{zotepine}}(1, 1)$ 

 $28$ ) = 78.0,  $P < 0.01$ ;  $F_{\text{MK-801}}(1, 28) = 15.9$ ,  $P < 0.01$ ;  $F_{\text{zotepine*MK-}}$  $801(1, 28) = 5.4$ ,  $P < 0.01$ ] in the mPFC (Figures 5 and 6). Perfusion with 50  $\mu$ mol·L<sup>-1</sup> MK-801 increased the extracellular levels of noradrenaline  $(P < 0.01)$ , dopamine  $(P < 0.01)$  and 5-HT  $(P < 0.01)$ , but decreased that of GABA  $(P < 0.01)$ without affecting glutamate level in mPFC (Figures 5 and 6). Perfusion with 50  $\mu$ mol·L<sup>-1</sup> MK-801 significantly augmented the stimulatory effects of zotepine  $(3 \text{ mg} \cdot \text{kg}^{-1}, i.p.)$  on extracellular levels of noradrenaline (*P* < 0.01), dopamine  $(P < 0.01)$  and glutamate  $(P < 0.01)$ , but inhibited the stimulatory effects of zotepine on extracellular GABA level (*P* < 0.01). Surprisingly, under a functional NMDA receptor, zotepine did not affect 5-HT release in mPFC, whereas zotepine markedly increased 5-HT release  $(P < 0.01)$  in the same area under NMDA receptor blockade by perfusion with 50  $\mu$ mol·L<sup>-1</sup> MK-801 (Figure 5C).

A two-way ANOVA indicated the significant effects of perfusion with 50  $\mu$ mol·L<sup>-1</sup> muscimol and systemic administration of zotepine (3 mg·kg<sup>-1</sup>, i.p.) on extracellular levels of noradrenaline [two-way ANOVA:  $F_{\text{zotepine}}(1, 28) = 202.2, P < 0.01; F_{\text{musir}}$ mol(1, 28) = 76.8, *P* < 0.01; *F*zotepine\*musimol(1, 28) = 60.8, *P* < 0.01], dopamine [two-way ANOVA: *F*zotepine(1, 28) = 301.5, *P* < 0.01;  $F_{\text{musimol}}(1, 28) = 11.6, P < 0.01; F_{\text{zotepine*musimol}}(1, 28) = 11.3,$ *P* < 0.01], GABA [two-way ANOVA: *F*zotepine(1, 28) = 132.9, *P* < 0.01; *F*musimol(1, 28) = 1.2, *P* > 0.1; *F*zotepine\*musimol(1, 28) = 0.3,  $P > 0.1$ ] and glutamate [two-way ANOVA:  $F_{\text{zotepine}}(1, 28) = 54.9$ ,  $P < 0.01$ ;  $F_{\text{musimol}}(1, 28) = 1.6$ ,  $P > 0.1$ ;  $F_{\text{zotepine}}(1, 28) = 0.1$ , *P* > 0.1] in the mPFC (Figures 5 and 6). Perfusion with 50  $\mu$ mol·L<sup>-1</sup> muscimol alone did not affect the release of noradrenaline, dopamine, 5-HT, GABA or glutamate in mPFC (Figures 5 and 6); however, perfusion with 50  $\mu$ mol·L<sup>-1</sup> muscimol significantly reduced the stimulatory effects of zotepine (3 mg·kg-<sup>1</sup> , i.p.) on extracellular levels of noradrenaline  $(P < 0.01)$  and dopamine  $(P < 0.01)$ , but not 5-HT, GABA or glutamate levels in the mPFC (Figures 5 and 6).



Figure 4 Typical histograms showing the effects of (A) zotepine (3 mg·kg<sup>-1</sup> zotepine, i.p.) and (B) haloperidol (1 mg·kg<sup>-1</sup> haloperidol, i.p.) on neuronal firing frequencies in the VTA. Arrows indicate systemic administration of zotepine (ZTP: 3 mg·kg<sup>-1</sup>, i.p.) and haloperidol (HPD: 1 mg·kg<sup>-1</sup>, i.p.). The ordinates represent the mean neuronal firing frequencies (spikes sec<sup>-1</sup>: Hz). Dose-dependent effects of the antipsychotic agents on neuronal firing frequencies in the (C) VTA, (D) DRN, (E) LC and (F) MTN. The ordinates represent the mean neuronal firing frequencies during 60–180 min after administration of haloperidol or zotepine. Low-dose: HPD at 0.1 mg·kg-<sup>1</sup> and ZTP at 1 mg·kg-<sup>1</sup> ; high-dose: HPD at 1 mg·kg<sup>-1</sup> and ZTP at 3 mg·kg<sup>-1</sup>. The dose-dependent effects were compared using one-way ANOVA with Dunnett's multiple comparison (\**P* < 0.05; \*\**P* < 0.01).

A two-way ANOVA indicated the significant effects of perfusion with 50  $\mu$ mol·L<sup>-1</sup> DNQX and systemic administration of zotepine (3 mg·kg<sup>-1</sup>, i.p.) on extracellular levels of noradrenaline [two-way ANOVA:  $F_{\text{zotepine}}(1, 28) = 339.2$ ,  $P < 0.01$ ;  $F_{\text{DNOX}}(1, 1)$  $28$ ) = 21.9,  $P < 0.01$ ;  $F_{\text{zotepine}^* \text{DNQX}}(1, 28) = 17.9, P < 0.01$ ], dopamine [two-way ANOVA: *F*zotepine(1, 28) = 187.2, *P* < 0.01;  $F_{\text{DNQX}}(1, 28) = 34.6, P < 0.01; F_{\text{zotepine*DNQX}}(1, 28) = 29.9,$ *P* < 0.01], GABA [two-way ANOVA: *F*zotepine(1, 28) = 237.2,  $P < 0.01$ ;  $F_{\text{DNQX}}(1, 28) = 18.9$ ,  $P < 0.01$ ;  $F_{\text{zotepine}}$ \* $\text{DNQX}}(1, 28) = 4.3$ ,  $P < 0.05$ ] and glutamate [two-way ANOVA:  $F_{\text{zotepine}}(1, 28) = 62.4$ ,  $P < 0.01$ ;  $F_{\text{DNQX}}(1, 28) = 0.6$ ,  $P > 0.1$ ;  $F_{\text{zotepine*DNQX}}(1, 28) = 0.06$ , *P* > 0.1] in the mPFC (Figures 5 and 6). Perfusion with 50  $\mu$ mol·L<sup>-1</sup> DNQX alone did not affect the release of noradrenaline, dopamine, 5-HT, GABA or glutamate in mPFC (Figures 5 and 6); however, perfusion with  $50 \mu$ mol·L<sup>-1</sup> DNQX significantly reduced the stimulatory effects of zotepine (3 mg·kg-<sup>1</sup> , i.p.) on extracellular levels of noradrenaline (*P* < 0.01), dopamine (*P* < 0.01) and GABA (*P* < 0.05), but not 5-HT or glutamate levels in the mPFC (Figures 5 and 6).

### **Discussion**

The present data on haloperidol, which is mainly and antagonist at dopamine D<sub>2</sub> receptors (Bymaster *et al.*, 1997), are in agreement in general with those reported by previous

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**Figure 5** Effects of glutamate receptor antagonists (MK-801 and DNQX) and a GABA<sub>A</sub> receptor agonist (muscimol) on zotepine-induced elevation of extracellular levels of (A) noradrenaline, (B) dopamine and (C) 5-HT in the mPFC. The ordinates represent the mean  $\pm$  SD (n = 8) extracellular neurotransmitter levels (fmol per sample) during 60–180 min after zotepine administration (ZTP: 3 mg·kg<sup>-1</sup>, i.p.). The perfusion medium was switched from MRS to MRS without (non-treatment) or with 50 µmol·L<sup>-1</sup> MK-801, 50 µmol·L<sup>-1</sup> muscimol or 50 µmol·L<sup>-1</sup> DNQX. Following stabilization, the rats were injected with either the vehicle (control) or zotepine (ZTP: 3 mg·kg<sup>-1</sup>, i.p.). Data were compared using two-way ANOVA with Tukey's multiple comparison (\**P* < 0.05; \*\**P* < 0.01 vs. non-treatment, #*P* < 0.05; ##*P* < 0.01 vs. control).



Figure 6 Effects of glutamate receptor antagonists (MK-801 and DNQX) and a GABA<sub>A</sub> receptor agonist (muscimol) on zotepine-induced elevation of extracellular levels of (A) GABA and (B) glutamate in the mPFC. The ordinates represent the mean  $\pm$  SD ( $n$  = 8) extracellular neurotransmitter levels (pmol per sample) during 60–180 min after zotepine administration (ZTP: 3 mg·kg<sup>-1</sup>, i.p.). The perfusion medium was switched from MRS to MRS without (non-treatment) or with 50 μmol·L<sup>-1</sup> MK-801, 50 μmol·L<sup>-1</sup> muscimol or 50 μmol·L<sup>-1</sup> DNQX. Following stabilization, the rats were injected with either the vehicle (control) or zotepine (ZTP: 3 mg·kg<sup>-1</sup>, i.p.). Data were compared using two-way ANOVA with Tukey's multiple comparison (\**P* < 0.05; \*\**P* < 0.01 vs. non-treatment, ##*P* < 0.01 vs. control).

investigators (Rowley *et al.*, 2000; Zhang *et al.*, 2000; Adams and Moghaddam, 2001; Lopez-Gil *et al.*, 2007). However, one study demonstrated that lower doses of haloperidol (0.004– 0.3 mg·kg<sup>-1</sup>, s.c.) increased the extracellular levels of dopamine and noradrenaline in the mPFC dose-dependently (Westerink *et al.*, 1998). The reason for these discrepant results regarding the effects of haloperidol on the extracellular

monoamine levels in the frontal cortex is not clear at present. Several atypical antipsychotics that antagonize  $5-HT<sub>2A</sub>$  and dopamine  $D_2$  receptors (olanzapine, risperidone and ziprasidone) also increased the mPFC extracellular dopamine levels (Ichikawa *et al.*, 1998; Meltzer *et al.*, 2003). Therefore, potent receptor antagonism for both  $5-HT_{2A}$  and dopamine  $D_2$  receptors seems to be important for the clinical actions of these atypical antipsychotic drugs (Meltzer *et al.*, 2003). Risperidone and clozapine significantly increase extracellular 5-HT levels in the mPFC, unlike olanzapine. Thus, the ability of atypical antipsychotic drugs to increase extracellular 5-HT level might not be directly related to their affinity for  $5-HT_{2A}$ receptors (Ichikawa *et al.*, 1998). Zotepine has multiple binding profiles with strong affinities to  $\alpha_1$ -adrenoceptors, 5-HT<sub>2A</sub> receptors, 5-HT<sub>2C</sub> receptors and dopamine  $D_2$  receptors (Tatsumi *et al.*, 1999; Richelson and Souder, 2000). This profile therefore gives zotepine the pharmacological features of an atypical antipsychotic drug. The present study clearly demonstrated the different pharmacological effects of haloperidol and zotepine on neurotransmitter release in the mPFC, with haloperidol having no such effects and zotepine increasing the extracellular levels of noradrenaline, dopamine, GABA and glutamate in the mPFC without affecting 5-HT levels. In a previous study, a  $5-HT<sub>2A</sub>$  receptor antagonist had no effect on the extracellular levels of noradrenaline, dopamine or 5-HT, while a 5-HT<sub>2C</sub> receptor antagonist increased that of noradrenaline and dopamine without affecting 5-HT (Gobert and Millan, 1999). Thus, it is possible that the zotepine-induced elevation of noradrenaline and dopamine levels noted in this study without affecting 5-HT levels is due to its  $5-HT_{2C}$  receptor antagonism. However, zotepine inhibits both noradrenaline and 5-HT transporters without exhibiting any affinity for dopamine transporters (Tatsumi *et al.*, 1999). Inhibitors of noradrenaline, dopamine and 5-HT transporters increased the extracellular levels of monoamines (Okada *et al.*, 1999; Rowley *et al.*, 2000; Valentini *et al.*, 2004). Therefore, the antagonistic profiling of zotepine against receptors and transporters does not explain its stimulatory effects on noradrenaline and dopamine levels without affecting 5-HT. To clarify the mechanisms underlying these contradictory results, we compared the effects of zotepine and haloperidol on neuronal activities associated with the monoaminergic and glutamatergic afferents to the mPFC.

The effects of clozapine, olanzapine and haloperidol on extracellular dopamine levels in the mPFC do not correlate with their effects on neuronal activity in the VTA under anaesthesia (Gessa *et al.*, 2000). Intravenous administration of these three antipsychotic drugs transiently activated neuronal activity in the VTA, whereas extracellular dopamine levels in the mPFC were increased by clozapine and olanzapine, but not affected by haloperidol (Gessa *et al.*, 2000). Thus, the atypical antipsychotic-induced increase in extracellular dopamine levels in the mPFC possibly depends on direct action on the mPFC dopaminergic system. In the present study, intraperitoneal administration of zotepine stimulated the VTA neuronal activity for 180 min, and this correlated with the increased extracellular dopamine level in the mPFC. In contrast, intraperitoneal administration of haloperidol also transiently stimulated VTA neuronal activity, but there was no correlation between this effect and extracellular dopamine level in the mPFC. Similarly, neuronal activities in the LC, DRN and MTN were not affected by haloperidol, whereas systemic injection of zotepine stimulated neuronal activity in all nuclei. The present study could not explain these different effects of haloperidol and zotepine on neuronal activity.

Whole-cell patch-clamp experiments using rat dorsal root ganglia demonstrated that zotepine, but not haloperidol, inhibited GABAergic inhibitory Cl- currents (Yokota *et al.*, 2002), suggesting neuronal hyperexcitability in the brain. Alternatively, the stimulatory effects of zotepine on extracellular levels of dopamine, noradrenaline and glutamate depend on neuronal activities in the VTA, LC and MTN, respectively, although there was no correlation between zotepine-induced DRN neuronal stimulation and the effects on extracellular 5-HT level in the mPFC.

The major dopaminergic and 5-hydroxytryptaminergic afferents project to deeper layers in the mPFC from respective VTA and DRN nuclei (Waterhouse *et al.*, 1983; Van Eden *et al.*, 1987; Kuroda *et al.*, 1998; Lambe *et al.*, 2000). Afferents from the LC project to both deep and superficial layers of the mPFC (Waterhouse *et al.*, 1983; Van Eden *et al.*, 1987; Kuroda *et al.*, 1998; Lambe *et al.*, 2000), which comprise noradrenergic terminals and terminals co-releasing noradrenaline with dopamine (Devoto *et al.*, 2005). The glutamatergic afferents project from other cortical regions and the MTN to superficial layers in the mPFC (Cotman and Monaghan, 1986; Jay *et al.*, 1992; Kuroda *et al.*, 1998). These previous findings established the NMDA-GABA hypothesis: that the contacts between glutamatergic and dopaminergic neurons in the mPFC are indirect and mediated by GABAergic interneurones, which are regulated by stimulatory NMDA receptors (Yonezawa *et al.*, 1998; Zhu *et al.*, 2004). The present results support this NMDA-GABA hypothesis, since the local perfusion of MK-801, an NMDA receptor antagonist, reduced and increased the extracellular levels of GABA and monoamine in the mPFC respectively. The stimulatory effect of MK-801 on the extracellular monoamines was inhibited by activation of GABAA receptors (Yonezawa *et al.*, 1998; Zhu *et al.*, 2004). Local perfusion with muscimol also inhibited the stimulatory effect of zotepine on the extracellular levels of noradrenaline and dopamine. Surprisingly, when NMDA receptor signalling was inhibited, zotepine increased the extracellular levels of noradrenaline, dopamine and 5-HT. Thus, the 5-hydroxytryptaminergic projection to the mPFC seems to be regulated predominantly by GABAergic inhibition. Contrary to 5-HT, the noradrenergic and dopaminergic projections probably consist of two types of terminals, sensitive and insensitive regulation by GABA. In addition, AMPA receptor inhibition prevented zotepineinduced elevation of noradrenaline and dopamine extracellular levels, with no effect on 5-HT levels. These results suggest that both afferents of noradrenaline and dopamine in mPFC are regulated by stimulatory AMPA/glutamatergic regulation, but 5-hydroxytryptaminergic afferents are not regulated by AMPA/glutamatergic effects. A major glutamatergic afferent to mPFC is projected from the MTN (Cotman and Monaghan, 1986; Jay *et al.*, 1992; Kuroda *et al.*, 1998). Therefore, the present results suggest that terminals of both noradrenergic and dopaminergic neurons are possibly stimulated by AMPA/ glutamatergic regulation, via MTN projections.

The enhancement of noradrenergic transmission in the mPFC via antipsychotic-induced activation of the LC contributes to the observed improvements in cognitive dysfunction (Lim *et al.*, 2007). Patients with schizophrenia showed no overall change in the number of neurons in the brain cortex, whereas fewer neurons were present in the MTN (Harrison and Weinberger, 2005). Both post-mortem and magnetic resonance imaging (MRI) volumetric studies identified functional interrelationship deficits between the frontotemporal cortex and MTN (Mitelman *et al.*, 2005). Taken together with these clinical findings, the present results suggest that, if dysfunctional glutamatergic transmission is important for the pathophysiology of schizophrenia, then activation of glutamatergic transmission from the MTN to the mPFC possibly contributes mechanistically to the efficacy of zotepine against schizophrenia. Contrary to its clinical advantages, zotepine-induced overload of glutamatergic transmission associated with the MTN might contribute to the pro-convulsive action of zotepine (Hori *et al.*, 1992; Fleischhacker *et al.*, 1994), since MTN and other dorsal midline nuclei have a significant role in the primary seizures circuits of limbic seizures as well as in the spread of seizure activity to other regions (Bertram *et al.*, 2008).

In conclusion, the present study demonstrated that systemic administration of zotepine increased the extracellular levels of noradrenaline, dopamine, glutamate and GABA, but not those of 5-HT, in the mPFC through interaction with the activated neuronal projection located outside the mPFC (or at least in the VTA, LC, DRN and MTN). Zotepine activated neuronal activity in the VTA, LC, DRN and MTN, thus increasing dopamine, noradrenaline, 5-HT, glutamate and GABA release in the mPFC. The enhanced releases of dopamine from the VTA, 5-HT from the DRN and noradrenaline from the LC were inhibited by enhanced GABAergic transmission. Contrary to 5-HT, the afferents of dopamine and noradrenaline are stimulated by enhanced AMPA/glutamatergic transmission and are partially insensitive to GABAergic inhibition in the mPFC. The particularly striking and positive interaction between the prefrontal catecholaminergic transmission and AMPA/glutamatergic transmission from the MTN emphasizes the relevance of mechanistic targets for the clinical actions and pro-convulsive propensity of zotepine.

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# **Conflict of interest**

The authors state no conflict of interest.

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