# Utilization of Immunoglobulin G Fc Receptors by Human Immunodeficiency Virus Type 1: a Specific Role for Antibodies against the Membrane-Proximal External Region of gp41<sup>⊽</sup>

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Receptors (Fc $\gamma$ Rs) for the constant region of immunoglobulin G (IgG) are an important link between humoral immunity and cellular immunity. To help define the role of Fc $\gamma$ Rs in determining the fate of human immunode-ficiency virus type 1 (HIV-1) immune complexes, cDNAs for the four major human Fc $\gamma$  receptors (Fc $\gamma$ RI, Fc $\gamma$ RIIa, Fc $\gamma$ RIIb, and Fc $\gamma$ RIIa) were stably expressed by lentiviral transduction in a cell line (TZM-bl) commonly used for standardized assessments of HIV-1 neutralization. Individual cell lines, each expressing a different Fc $\gamma$ R, bound human IgG, as evidence that the physical properties of the receptors were preserved. In assays with a HIV-1 multisubtype panel, the neutralizing activities of two monoclonal antibodies (2F5 and 4E10) that target the membrane-proximal external region (MPER) of gp41 were potentiated by Fc $\gamma$ RI and, to a lesser extent, by Fc $\gamma$ RIIb. Moreover, the neutralizing activity of an HIV-1-positive plasma sample known to contain gp41 MPER-specific antibodies was potentiated by Fc $\gamma$ RI. The neutralizing activities of monoclonal antibodies b12 and 2G12 and other HIV-1-positive plasma samples were rarely affected by any of the four Fc $\gamma$ Rs. Effects with gp41 MPER-specific antibodies were moderately stronger for IgG1 than for IgG3 and were ineffective for Fab. We conclude that Fc $\gamma$ RI and Fc $\gamma$ RIIb facilitate antibody-mediated neutralization of HIV-1 by a mechanism that is dependent on the Fc region, IgG subclass, and epitope specificity of antibody. The Fc $\gamma$ R effects seen here suggests that the MPER of gp41 could have greater value for vaccines than previously recognized.

Fc receptors (FcRs) are differentially expressed on a variety of cells of hematopoietic lineage, where they bind the constant region of antibody (Ab) and provide a link between humoral and cellular immunity. Humans possess two classes of FcRs for the constant region of IgG (FcyRs) that, when cross-linked, are distinguished by their ability to either activate or inhibit cell signaling (69, 77, 79). The activating receptors FcyRI (CD64), FcyRIIa (CD32), and FcyRIII (CD16) signal through an immunoreceptor tyrosine-based activation motif (ITAM), whereas FcyRIIb (CD32) contains an inhibitory motif (ITIM) that counters ITAM signals and B-cell receptor signals. It has been suggested that a balance between activating and inhibitory FcyRs coexpressed on the same cells plays an important role in regulating adaptive immunity (23, 68). Moreover, the inhibitory FcyRIIb, being the sole  $Fc\gamma R$  on B cells, appears to play an important role in regulating self-tolerance (23, 68). The biologic role of  $Fc\gamma Rs$  may be further influenced by differences in their affinity for immunoglobulin G (IgG); thus, FcyRI is a high-affinity receptor that binds monomeric IgG (mIgG) and IgG immune complexes (IC), whereas FcyRIIa, FcyRIIb, and FcyRIIIa are medium- to lowaffinity receptors that preferentially bind IgG IC (10, 49, 78). FcyRs also exhibit differences in their relative affinity for the four IgG subclasses (10), which has been suggested to influence the balance between activating and inhibitory  $Fc\gamma Rs$  (67).

In addition to their participation in acquired immunity,

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FcyRs can mediate several innate immune functions, including phagocytosis of opsonized pathogens, Ab-dependent cell cytotoxicity (ADCC), antigen uptake by professional antigen-presenting cells, and the production of inflammatory cytokines and chemokines (26, 35, 41, 48, 69). In some cases, interaction of Ab-coated viruses with FcyRs may be exploited by viruses as a means to facilitate entry into FcyR-expressing cells (2, 33, 47, 84). Several groups have reported FcyR-mediated Ab-dependent enhancement (ADE) of HIV-1 infection in vitro (47, 51, 58, 63, 94, 96), whereas other reports have implicated FcyRs in efficient inhibition of the virus in vitro (19, 21, 29, 44-46, 62, 98) and possibly as having beneficial effects against HIV-1 in vivo (5, 27, 28, 42). These conflicting results are further complicated by the fact that HIV-1-susceptible cells, such as monocytes and macrophages, can coexpress more than one FcyR (66, 77, 79).

HIV-1 entry requires sequential interactions between the viral surface glycoprotein, gp120, and its cellular receptor (CD4) and coreceptor (usually CCR5 or CXCR4), followed by membrane fusion that is mediated by the viral transmembrane glycoprotein gp41 (17, 106). Abs neutralize the virus by binding either gp120 or gp41 and blocking entry into cells. Several human monoclonal Abs that neutralize a broad spectrum of HIV-1 variants have attracted considerable interest for vaccine design. Epitopes for these monoclonal Abs include the receptor binding domain of gp120 in the case of b12 (71, 86), a glycan-specific epitope on gp120 in the case of 2G12 (13, 85, 86), and two adjacent epitopes in the membrane-proximal external region (MPER) of g41 in the cases of 2F5 and 4E10 (3, 11, 38, 93). At least three of these monoclonal Abs have been shown to interact with FCRs and to mediate ADCC (42, 43).

A highly standardized and validated assay for neutralizing Abs against HIV-1 that quantifies reductions in luciferase (Luc) reporter gene expression after a single round of virus infection in TZM-bl cells has been developed (60, 104). TZM-bl (also called JC53BL-13) is a CXCR4-positive HeLa cell line that was engineered to express CD4 and CCR5 and to contain integrated reporter genes for firefly Luc and Escherichia coli β-galactosidase under the control of the HIV-1 Tatregulated promoter in the long terminal repeat terminal repeat sequence (74, 103). TZM-bl cells are permissive to infection by a wide variety of HIV-1, simian immunodeficiency virus, and human-simian immunodeficiency virus strains, including molecularly cloned Env-pseudotyped viruses. Here we report the creation and characterization of four new TZM-bl cell lines, each expressing one of the major human FcyRs. These new cell lines were used to gain a better understanding of the individual roles that FcyRs play in determining the fate of HIV-1 IC. Two FcyRs that potentiated the neutralizing activity of gp41 MPER-specific Abs were identified.

### MATERIALS AND METHODS

Cells and cDNAs. TZM-bl cells were obtained from the NIH AIDS Research and Reference Reagent Program (ARRRP) as contributed by John Kappes and Xiaoyun Wu. The HT1080 and 293T/17 cell lines were obtained from the American Type Culture Collection (Manassas, VA). These three cell lines were maintained in Dulbecco's modified Eagle's medium supplemented with 10% heatinactivated fetal bovine serum, HEPES, and gentamicin. 293FT cells, which constitutively expresses the simian virus 40 large T antigen from the cytomegalovirus promoter (65), were obtained from Invitrogen (Carlsbad, CA) and were maintained in Dulbecco's modified Eagle's medium supplemented with 10% fetal bovine serum, sodium pyruvate, and nonessential amino acids. cDNAs for human Fc $\gamma$ RIIa (H131 allele), Fc $\gamma$ RIIb, and RIIIa (F158 allele) were a gift from Jeffrey Ravetch (The Rockefeller University, NY). cDNA sequences for Fc $\gamma$ RI and the FceR $\gamma$  subunit were synthesized by Blue Heron Biotechnology (Bothell, WA) by using published sequences (55, 75).

Abs, myeloma proteins, and HIV-1-positive plasma samples. Monoclonal Ab b12 (IgG1) was a gift from Dennis Burton (Scripps Research Institute, La Jolla, CA). Monoclonal Abs 2G12 (IgG1), 2F5 (IgG1 and IgG3), and 4E10 (IgG1 and IgG3) were purchased from Polymun Scientific GmbH (Vienna, Austria). Additional gp41-specific monoclonal Abs were obtained from the NIH ARRRP as kindly provided by either Susan Zolla-Pazner (126-7, 98.6, 240-D, and 246-D) (34, 107), Marshall Posner and Lisa Cavacini (F240) (16), or Polymun Scientific GmbH (5F3). The gp41-specific monoclonal Abs m43 and m47 were a gift from Dimiter Dimitrov (National Cancer Institute, Frederick, MD). The monoclonal Abs 2.2B and 7B12 were a gift from James Robinson (Tulane University). HIV immune globulin (HIVIG) is a purified IgG fraction prepared from poled plasma samples from asymptomatic, HIV-1 Ab-positive donors with CD4<sup>+</sup> counts of above 400 cells/µl; this product is available from the NIH ARRRP.

A Fab fragment of 4E10 IgG1 was obtained by using a standard preparation kit from Pierce (Rockford, IL). Briefly, 2 mg of the monoclonal Ab was digested with immobilized papain overnight at 37°C. The Fab fragment was separated from the Fc fragment on a protein A column and suspended in phosphate-buffered saline (PBS) to a concentration of 0.5 mg/ml.

Fluorescein isothiocyanate (FITC)-conjugated Abs for the detection of  $Fc\gamma Rs$  (anti-CD16 clone 3G8, anti-CD32 clone FLI8.26, and anti-CD4 clone 10.1) and phycoerythrin-conjugated Abs for the detection of CD4 (anti-CD4 clone RPA-T4), CCR5 (anti-CD195 clone 2D7), and CXCR4 (anti-CD184 clone 12G5) were purchased from BD Biosciences/BD Pharmingen (San Diego, CA). Rabbit polyclonal Ab to the human FccRI $\gamma$  subunit was obtained from Upstate (Cambridge, MA). Pure IgG1, IgG2, IgG3, and IgG4 human myeloma proteins were obtained from Sigma-Aldrich (St. Louis, MO). In some cases these myeloma proteins were heat aggregated at 63°C for 30 min before use.

Plasma samples from chronically HIV-1-infected blood donors were obtained from Zeptometrix Corporation (plasma samples 01648, 01652, and 01686), from the South African National Blood Services (plasma samples BB12, BB24, BB34, and BB87), and from the Beth Israel Deaconess Medical Center (plasma samples 011, 012, 013, and 014). Donors 01648, 01652, and 01686 were infected with HIV-1 subtype B, whereas donors BB12, BB24, BB34, and BB87 were infected

with HIV-1 subtype C, as determined by *env* sequences. Demographically, donors 011, 012, 013, and 014 were likely infected with HIV-1 subtype B. Plasma samples from two healthy, HIV-1-negative individuals were obtained from Zeptometrix Corporation (plasma samples 0210 and 0211).

Lentiviral expression vectors for the FcyRs. The cDNA sequences for the human FcyRs and the FceRy chain were amplified by PCR using primers FcyRI forward (5'-CACCCTTGGAGACAACATGTGGTTC-3') and reverse (5'-GA GCAAGTGGGCAGGGGACGGTCCAGA-3'), FcyRIIa forward (5'-CACCG TCCTTGGCTGGTTTCTGGGATG-3') and reverse (5'-CCACTCAGCAAGC TGAGAGTATGAC-3'), FcyRIIb forward (5'-CACCTGCGGAATTCCCAAT TCCGGC-3') and reverse (5'-GCAAGACAATGGAGACTAAATACGG-3'), FcyRIIIa forward (5'-CACCGTGACTTGTCCACTCCAGTGTG-3') and reverse (5'-TGCTGCTGCTACTGCTCTTATTAC-3'), and FceRy forward (5'-C ACCAGAACGGCCGATCTCCAGCC-3') and reverse (5'-GTAAACAGCAT CTGAGCATTAGTC-3'). Final PCR products were cloned in the pLenti6/V5 Directional Topo lentiviral vector (Invitrogen, Carlsbad, CA). Every vector was sequenced to confirm the correct orientation and to verify that the open reading frame for the receptors remained unaltered. Recombinant lentiviral vectors were packed into virions in 293FT cells by using the Virapower packaging mix according to the manufacturer's instructions (Invitrogen, Carlsbad, CA). The infectious titer of each recombinant lentiviral stock was determined in HT1080 cells in the presence of the selection antibiotic blasticidin. Expression of the FcyRs was assessed by fluorescence microscopy after staining blasticidin-resistant HT1080 cells with FITC-conjugated Abs specific for each receptor.

Transduction and expression of FcyR in TZM-bl cells. TZM-bl cells were seeded at a density of 10<sup>6</sup> cells/10 ml growth medium in 100-mm culture plates and incubated overnight at 37°C. The medium was replaced with 4 ml of medium containing 2 to 3 transducing units of recombinant lentivirus per cell and the cultures incubated overnight at 37°C. Virus-containing medium was replaced with 10 ml of fresh growth medium and the incubation continued for an additional 24 h, after which time the cultures were maintained in 10 ml of blasticidincontaining selection medium for 10 days. FcyR-expressing cells were sorted a minimum of two times for enrichment. For sorting, cells were removed from flasks with 2.5 ml of a nonenzymatic cell dissociation solution (Sigma-Aldrich, St. Louis, MO), resuspended in PBS containing 0.1% bovine serum albumin (BSA), and counted. Approximately  $5 \times 10^6$  to  $10 \times 10^6$  cells were stained with FITCconjugated anti-FcyR for 1 h at 4°C. Stained cells were washed three times with cold PBS containing 0.1% BSA and suspended in the same solution at a density of 107 cells/ml. Parental TZM-bl cells were stained with the same FITC-conjugated monoclonal Ab as a negative control. Between  $2 \times 10^5$  and  $5 \times 10^5$ fluorescent-positive cells were sorted at 4°C in a BD FACSAria instrument (BD Biosciences) contained in a Bioprotect II hood. Collected cells were suspended in blasticidin-containing culture medium and maintained at 37°C for a minimum of 7 days. Expression of the FcyRs, CD4, CCR5, and CXCR4 in the sorted cell populations was verified by flow cytometry by using a BD FACSCalibur analyzer (BD Biosciences). Between 12,000 and 100,000 live events were acquired per sample. Compensation and analysis were performed by using FlowJo software (Tree Star).

Determination of IgG subclass binding specificity. The IgG subclass specificities of Fc $\gamma$ Rs expressed on TZM-bl cells were assessed by flow cytometry. Briefly, 10<sup>6</sup> cells were incubated for 1 h at 4°C in PBS–0.1% BSA containing myeloma proteins (50 µg/ml). Cells were washed three times and stained with FITC-conjugated goat anti-human IgG (Fab specific). Flow cytometry was performed with a BD FACSCalibur analyzer as described above.

Neutralization assay. Neutralization was measured as a reduction in Luc reporter gene expression after a single round of infection with Env-pseudotyped viruses as described previously (60). Briefly, 200 50% tissue culture infective doses of virus was incubated with serial threefold dilutions of test sample in duplicate in a total volume of 150 µl for 1 h at 37°C in 96-well flat-bottom culture plates. Freshly trypsinized cells (10,000 cells in 100 µl of growth medium containing 75 µg/ml DEAE-dextran) were added to each well. One set of control wells received cells plus virus (virus control), and another set received cells only (background control). After a 48-h incubation, 100 µl of cells was transferred to a 96-well black solid plate (Costar) for measurements of luminescence using the Britelite luminescence reporter gene assay system (Perkin-Elmer Life Sciences). Neutralization titers are the dilution at which relative luminescence units (RLU) were reduced by 50% compared to those in virus control wells after subtraction of background RLUs. Assay stocks of molecularly cloned Env-pseudotyped viruses were prepared by transfection in 293T cells and titrated in TZM-bl cells as described previously (60). The HIV-1 subtype A, B, and C Env clones used for pseudoviruses have been described previously (8, 53, 60, 61) and may be obtained from the NIH ARRRP. All Env clones were from acute/early sexually acquired infections and are considered to possess a tier 2 neutralization phenotype. In



FIG. 1. Expression of the  $Fc\gamma Rs$  on TZM-bl cells. Cell surface expression of the  $Fc\gamma$  receptors was analyzed by flow cytometry using the four established cell lines. Cell suspensions were stained with FITC-labeled mouse monoclonal Abs against the human  $Fc\gamma Rs$  at 4°C. After washing, cells were fixed and analyzed using a BD FACSCalibur analyzer (BD Biosciences). The acquired data were analyzed using a FlowJo software (Tree Star). (A) Cells transduced with  $Fc\gamma RI$  cDNA stained with anti-human CD64. (B) Cells transduced with  $Fc\gamma RIIa$  cDNA stained with anti-human CD32. (C) Cells transduced with  $Fc\gamma RII$  basined with anti-human CD32. (D) Cells transduced with  $Fc\gamma RIIa$  cDNA stained with anti-human CD16. (E) Cells transduced with  $Fc\gamma RII a$  cDNA stained with anti-human FcR- $\gamma$  chain. (F) Cells transduced with  $Fc\gamma RIIIa$  cDNA stained with anti-human FcR- $\gamma$  chain. (F) Cells transduced with  $Fc\gamma RIII a$  cDNA stained with anti-human ScR- $\gamma$  chain. (F) Cells transduced with  $Fc\gamma RIII a$  cDNA stained with anti-human ScR- $\gamma$  chain. (F) Cells transduced with  $Fc\gamma RIII a$  cDNA stained with anti-human CD16. (E) Cells transduced with  $Fc\gamma RIII a$  cDNA stained with anti-human ScR- $\gamma$  chain. (F) Cells transduced with  $Fc\gamma RIII a$  cDNA stained with anti-human ScR- $\gamma$  chain. (F) Cells transduced with  $Fc\gamma RIII a$  cDNA stained with anti-human CD16. (E) Cells transduced with  $Fc\gamma RII a$  cDNA stained with anti-human FcR- $\gamma$  chain. (F) Cells transduced with  $Fc\gamma RII a$  cDNA stained with anti-human ScR- $\gamma$  chain. (F) Cells transduced with  $Fc\gamma RII a$  cDNA stained with anti-human ScR- $\gamma$  chain. (F) Cells transduced with  $Fc\gamma RII a$  cDNA stained with anti-human ScR- $\gamma$  chain. (F) Cells transduced with  $Fc\gamma RII a$  cDNA stained with anti-human ScR- $\gamma$  chain. (F) Cells transduced with  $Fc\gamma RII a$  cDNA stained with anti-human ScR- $\gamma$  chain. (F) Cells transduced with  $Fc\gamma RII a$  cDNA stained with anti-human ScR- $\gamma$  chain. (F) Cells transduced with  $Fc\gamma RII a$  cDNA stained with anti-human ScR- $\gamma$  chain.

addition, clones SC422661.8, SC05.8C11.2344, and 700010040.C9.4520 are considered to be true transmitted/early founder viruses (53).

In some experiments, freshly trypsinized cells were added to diluted test samples in 96-well flat-bottom culture plates and incubated for 2 h to allow the cells to attach. The medium was replaced completely with fresh medium (without test sample) prior to addition of virus. In other experiments, cells (5,000 cells in 100  $\mu$ l of growth medium containing 75  $\mu$ g/ml DEAE-dextran) were seeded in 96-well culture plates and incubated for 1 day prior to the start of the assay. In the latter case, serial dilutions of Ab with and without virus were prepared in separate 96-well plates and transferred to plates containing preseeded cells.

## RESULTS

Expression of FcyRs on TZM-bl cells. cDNAs for human FcyRs were amplified by PCR using primers complementary to regions flanking the receptor open reading frame. PCR products were cloned in the lentiviral vector pLenti6/V5 under the control of a cytomegalovirus promoter. Recombinant retroviral vectors were packed into virions in 293FT cells and used to transduce TZM-bl cells. Transduced cells were selected in blasticidin-containing medium and sorted by flow cytometry. Cells were sorted multiple times until no further enrichment of the FcyR-expressing population was achieved. Parental TZM-bl cells stained negative for all four FcyRs (data not shown). Figure 1 shows the relative FcyR surface expression on each cell line after at least two rounds of live sorting. As expected, cells transduced with FcyRIIa (HH form) and FcyRIIb stained positive with anti-CD32 (Fig. 1B and C); 52% and 91% of the cells were positive, respectively. Also as expected, cells transduced with FcyRI and FcyRIIIa (FF form) stained positive with anti-CD64 (26% positive) and anti-CD16 (51% positive), respectively (Fig. 1A and D).

As described previously (25, 69), we found that surface expression of  $Fc\gamma RI$  and  $Fc\gamma RIIIa$  required coexpression of the  $Fc\epsilon R\gamma$  subunit. A lentiviral vector encoding the cDNA for this subunit was therefore cotransduced at a 2:1 transducing unit ratio with the  $Fc\gamma RI$  and  $Fc\gamma RIIIa$  vectors into TZM-bl cells. Relative surface expression of the  $\gamma$  subunit in cells expressing  $Fc\gamma RI$  (99% positive) and  $Fc\gamma RIIIa$  (77% positive) is shown in Fig. 1E and F. Flow cytometry also was used to monitor the levels of CD4, CCR5, and CXCR4 expression, which were approximately equal on parental TZM-bl cells and the four  $Fc\gamma R$ -expressing cell lines for at least 40 passages (data not shown).  $Fc\gamma R$  expression was equally stable over 40 passages on the different  $Fc\gamma R$  cell lines.

TZM-bl cells expressing FcyRs exhibit differential binding of IgG subclasses. The IgG binding capability of FcyRs expressed on TZM-bl cells was evaluated with human myeloma proteins. Pure IgG1, IgG2, IgG3, and IgG4 human myeloma proteins were incubated with a suspension of each FcyR-expressing TZM-bl cell line. Heat-aggregated myeloma proteins were used for cells expressing the low- to medium-affinity FcyRIIa, FcyRIIb, and FcyRIIIa, because these Fcy receptors have low affinity for mIgG. Binding was quantified by flow cytometry by using FITC-conjugated goat anti-human IgG (Fab specific; Sigma-Aldrich, St. Louis, MO). The results are shown in Fig. 2. No significant binding to parental TZM-bl cells compared to the isotype control Ab was detected for any of the myeloma proteins. Cells expressing the high-affinity FcyRI bound relatively high levels of mIgG1, mIgG3, and mIgG4 and somewhat lower levels of mIgG2. Cells expressing the low- to medium-affinity FcyRIIa, FcyRIIb, and FcyRIIIa



FIG. 2. Binding of human IgG subclasses to TZM-bl cells expressing different  $Fc\gamma Rs$ . Pure myeloma proteins of the four IgG subclasses were incubated with a suspension of each of the  $Fc\gamma R$ -expressing cells. After washing to remove unbound myeloma proteins, bound proteins were quantified by flow cytometry. Because the medium- to low-affinity receptors  $Fc\gamma RIIa$ ,  $Fc\gamma RIIb$ , and  $Fc\gamma RIIa$  bind mIgG poorly, the myeloma proteins were heat aggregated before use in these specific cases. Nonaggregated myeloma proteins were used for  $Fc\gamma RI$ -expressing cells.

bound relatively high levels of heat-aggregated IgG3. In addition, Fc $\gamma$ RIIb and Fc $\gamma$ RIIIa bound relatively high levels of heat-aggregated IgG1, whereas Fc $\gamma$ RIIa bound much lower levels of this subclass. Very weak binding of heat-aggregated IgG2 and IgG4 was detected with Fc $\gamma$ RIIa, Fc $\gamma$ RIIb, and Fc $\gamma$ RIIIa. Our results mostly agree with the IgG subclass specificities of human Fc $\gamma$ Rs determined in a recent systematic study (10). Two exceptions were that (i) we detected IgG2 binding to Fc $\gamma$ RI and (ii) we found that IgG1 bound more strongly than IgG4 to Fc $\gamma$ RIIb. These two discrepancies could be related to the different expression and detection methods used in the two studies.

HIV-1 infection and Ab-mediated neutralization in Fc $\gamma$ R-expressing TZM-bl cells. The susceptibility of each Fc $\gamma$ R-bearing cell line to infection by HIV-1 was compared to that of parental TZM-bl cells by inoculating each cell line with an equal amount of three different R5 (CCR5-utilizing) strains of HIV-1, each belonging to a different genetic subtype (subtypes A, B, and C). Consistent with the observation that Fc $\gamma$ R had no obvious effect on CD4 and HIV-1 coreceptor expression, infectivity in each cell line was approximately equal to that in parental TZM-bl cells (Table 1).

To determine whether the  $Fc\gamma Rs$  can affect Ab-mediated

neutralization of HIV-1, the neutralizing activities of b12, 2G12, 2F5, 4E10, HIVIG, and HIV-1-positive plasma samples were assessed against a multisubtype panel of 12 HIV-1 Env-pseudotyped viruses in parental TZM-bl cells and in each of the four Fc $\gamma$ R-expressing TZM-bl cell lines (Fig. 3 and 4). Differences in neutralization potencies of  $\geq$ 3-fold between parental TZM-bl cells and Fc $\gamma$ R cells were considered significant (differences of <3-fold approach our normal range of interassay variability).

Substantial increases in the neutralization potencies of 2F5

TABLE 1. HIV-1 infectivity in FcyR-bearing TZM-bl cells

FcγR	Mean RLU (SD, % of mean) <sup>a</sup>			
	6535.3 (subtype B)	Du156.12 (subtype C)	Q23.17 (subtype A)	
None FeyRI	124,737 (7.1) 128 362 (8 3)	158,819 (12.0)	106,343 (11.5)	
FcγRIIa FcγRIIb FcγRIIIa	146,455 (3.0) 155,573 (3.3) 119,902 (9.3)	97,816 (12.1) 102,269 (15.8) 113,960 (15.5)	$140,250 (7.2) \\144,429 (6.2) \\134,029 (6.9)$	

<sup>a</sup> Cells were inoculated with an equal amount of virus in 96-well culture plates. RLU were measured after 48 h. Values are the means for eight wells.



FIG. 3. Effect of  $Fc\gamma R$  expression on HIV-1 neutralization by broadly neutralizing monoclonal Abs and HIVIG. The neutralizing activities of monoclonal Abs b12, 2G12, 2F5, and 4E10 (all IgG1) and HIVIG (purified IgG fraction prepared from pooled HIV-1-positive plasma samples) were assessed against four strains each of HIV-1 subtype B (6535.3, QH0692.42, SC05.8C11.2344, and SC422661.87), subtype C (Du156.12, Du422.1, ZM197M.PB7, and CAP45.2.00.G3), and subtype A (Q23.17, Q259.d2.17, Q461.a2, and Q769.d22) in parental TZM-bl cells (black bars) and in TZM-bl cells expressing  $Fc\gamma R$  (gray bars). ID50, 50% inhibitory dose.



FIG. 4. Effect of  $Fc\gamma R$  expression on HIV-1 neutralization by plasma samples from infected individuals. The neutralizing activities of plasma samples from individuals who were chronically infected with either HIV-1 subtype C (BB12, BB24, BB34, and BB87) or subtype B (HIV 011, HIV 012, HIV 013, HIV 014, 1648, 1652, and 1686) were assessed against two subtype B viruses (700010040.C94520 and QH0692.42) and two subtype C viruses (CAP45.2.00.G3 and ZM197M.PB7) in parental TZM-bl cells (black bars) and in TZM-bl cells expressing  $Fc\gamma RI$  (left-hatched bars),  $Fc\gamma RIIa$  (gray bars),  $Fc\gamma RIIb$  (right-hatched bars), and  $Fc\gamma RIIIa$  (horizontally hatched bars). Fifty percent inhibitory dose (ID50) neutralization titers that were below the level of detection (dilution of <1:20) were assigned a value of 10 and are not visible.

and 4E10 against most viruses were observed in  $Fc\gamma RI$ -expressing cells, and moderate increases against most viruses were observed in  $Fc\gamma RIIb$ -expressing cells (Fig. 3). In the case of  $Fc\gamma RI$ -expressing cells, the neutralization potencies of 2F5 and 4E10 was increased as much as 5,000-fold, depending on the virus. Three subtype C viruses that resisted 2F5 (Du156.12, Du422.1, and CAP45.2.00.G3) contained an amino acid change that is known to affect the core epitope of this monoclonal Ab, whereas all viruses contained the core WFXI motif needed for 4E10 neutralization (Fig. 5) (64, 110). The effect of  $Fc\gamma RIIb$  was less pronounced (3-fold to 20-fold increases in neutralization potency, depending on the virus) but nonetheless was seen across multiple viruses.

No Fc $\gamma$ R effect was observed with b12, and only one significant effect was observed with HIVIG, that being a 3.6-fold increase in the potency of HIVIG when assayed in Fc $\gamma$ RI-expressing cells against subtype C virus Du156.12 (Fig. 3). Mixed results were obtained with 2G12, where only three viruses were neutralized in parental TZM-bl cells. No Fc $\gamma$ R effect was seen with one of these viruses (QH0692.42), whereas Fc $\gamma$ RI increased 2G12 potency 58-fold against another virus (6535.3). Results with the third virus (SC422661.8) were unique in that Fc $\gamma$ RIIa and Fc $\gamma$ RIIb impaired the neutralizing activity of 2G12, suggesting a possible case of infection enhancement that countered neutralization. This observation was reproducible and was the only possible case of infection enhancement in our studies.

FcyR effects were rare with HIV-1-positive human plasma

samples. However, one notable exception was a subtype C plasma sample (BB34);  $Fc\gamma RI$  increased the potency of this plasma sample by 280- and 10-fold, respectively, against the two subtype C viruses CAP45.2.00.G3 and ZM197M.PB7 (Fig.

Virus	Subtype	gp41 MPER	
		2F5 4E10	
6535.3	В	LLELDKWGSLWNWFSISNWLW	
QH0692.42	В	LLELDKWASLWNWFDITRWLW	
SC422661.8	В	LLELDKWASLWNWFDITHWLW	
SC05.8C11.2344	В	LLELDKWASLWNWFDITHWLW	
700010040.C9.4520	В	L.ALDKWASLWNWFDIINWLW	
QH0692.42	В	LLELDKWASLWNWFDITRWLW	
SF162P3	В	LLELDKWASLWNWFDIINWLW	
Du156.12	С	LLALDRWQNLWNWFDIINWLW	
Du422.1	С	LLALDSWKNLWNWFDIINWLW	
ZM197M.PB7	С	LLALDKWNSLWSWFDITKWLW	
CAP45.2.00.G3	С	LIALD SWNNLWNWFNI INWLW	
Q23.17	А	LLELDKWANLWSWFDISNWLW	
Q259.d2.17	А	LLALDKWANLWNWFDISNWLW	
Q461.e2	А	LLALDKWANLWNWFDISKWLW	
Q769.d22	А	LIALDKWAN LWNWFDISNWLW	

FIG. 5. Amino acid sequence alignment of the MPER region of the HIV-1 Env-pseudotyped viruses used in this study. The minimal epitopes for 2F5 and 4E10 are boxed. Key amino acid changes in the 2F5 epitope that are referred to in the text are shown in bold.

TABLE 2. Effect of FcyRI expression on other gp41-specific monoclonal Abs

gp41 Ab <sup>a</sup>		50% inhibitory dose $(\mu g/ml)^b$ in:	
	Epitope	TZM-bl cells	TZM-bl/FcγRI cells
2F5	MPER	>25	0.04
4E10	MPER	>25	0.08
M43	Conformational	>25	>25
M47	Conformational	>25	>25
2.2B	Cluster II	>25	>25
7B12	Cluster I	>25	>25
240-D	Cluster I	>3.8	>3.8
246-D	Cluster I	>16.3	>16.3
F240	Cluster I	>25	>25
5F3	Cluster I	>25	>25
126-7	Cluster I	>11.5	>11.5
98.6	Cluster II	>12.5	0.78

<sup>a</sup> All Abs were subclass IgG1.

<sup>b</sup> Neutralization of SHIV SF162P3 was assessed in parental TZM-bl cells and in TZM-bl cells that expressed  $Fc\gamma RI$ . Values are the concentration at which RLU were reduced 50% compared to those in virus control wells after subtraction of background RLU in cell control wells.

4). A recent study identified gp41 MPER-specific neutralizing Abs as a major component of the neutralizing activity of this plasma sample (7).

Given the strong Fc $\gamma$ RI effect on gp41 MPER-specific monoclonal Abs 2F5 and 4E10, we tested whether a similar effect would be seen with other gp41-specific human monoclonal Abs. Ten additional monoclonal Abs, in addition to 2F5 and 4E10, were assayed against a human-simian immunodeficiency virus strain containing a subtype B virus *env*, SF162P3, in TZM-bl and TZM-bl/Fc $\gamma$ RI cells. As shown in Table 2, 2F5 and 4E10 exhibited  $\geq$ 625- and  $\geq$  312-fold greater potencies, respectively, in Fc $\gamma$ RI-expressing cells. All other results were negative except for those with monoclonal Ab 98.6 (cluster II region of gp41), which exhibited  $\geq$ 16-fold-greater potency in Fc $\gamma$ RI-expressing cells. A similar Fc $\gamma$ RI effect on monoclonal Ab 98.6 was observed with three additional subtype B viruses (SF162.LS, 6535.3, and WITO4160.33) but not with three others (6101.1, PVO.4, and TRO.11) (data not shown). Interestingly, the epitope for this monoclonal Ab has been mapped to a region that overlaps the 2F5 epitope (34, 83, 99, 107).

Additional requirements for an FcyR effect on HIV-1 neutralization. In order to more firmly establish a biologic role for  $Fc\gamma R$ , it was necessary to demonstrate a requirement for the Fc region of Ab. In addition, because FcyRI bound IgG1 and IgG3 subclasses nearly equally whereas FcyRIIb showed a preference for IgG3 over IgG1 (Fig. 2), we also assessed a role for IgG subclass. Modified versions of 2F5 and 4E10 were assayed against two HIV-1 subtype B Env-pseudotyped viruses (700010040.C9.4520 and QH0692.42) in parental TZM-bl cells and in TZM-bl cells expressing either FcyRI or FcyRIIb. Neutralization curves for the IgG1 versions of 2F5 and 4E10 in the different cells lines are shown in Fig. 6 (left panel). As expected, FcyRI expression exerted a strong effect on the neutralization potencies of both monoclonal Abs against both viruses. FcyRIIb exerted a smaller effect that was more pronounced with virus 700010040.C9.4520 than with virus OH0692.42. All combinations exhibited true positive effects (based on  $\geq$ 3-fold-increased potency compared to with parental TZM-bl cells), except for the combination of 2F5 assayed with QH0692.42 in cells expressing FcyRIIb, where no clear effect on neutralization was observed. Notably, the positive effects seen with 70010040.C9.4520 were among the most potent in this study.

Based on these results, the same two viruses were used to test a requirement for the Fc region of Ab and to compare the IgG3 and IgG1 versions of the two monoclonal Abs. A Fab



FIG. 6. Importance of IgG subclass and the Fc region of Ab. Left panel, neutralization curves for 2F5 (IgG1) and 4E10 (IgG1) assayed against two HIV-1 subtype B Env-pseudotyped viruses in parental TZM-bl cells (squares) and in TZM-bl cells expressing either FcγRI (circles) or FcγRIIb (triangles). Right panel, neutralization potencies of 2F5 IgG1 and 4E10 IgG1 (black bars), 2F5 IgG3 and 4E10 IgG3 (light gray bars), and 4E10 Fab (dark gray bars) in parental TZM-bl cells and TZM-bl cells expressing either FcγRI, FcγRIIa, FcγRIIb, or FcγRIIa. ID50, 50% inhibitory dose.

version of 4E10, together with separate IgG1 and IgG3 versions of 2F5 and 4E10, was assayed in parental TZM-bl cells and in TZM-bl cells expressing each of the four FcyRs. As shown in Fig. 6 (right panel), none of the FcyRs increased the neutralization potency of 4E10-Fab, thus supporting a requirement for the Fc region in augmenting the potency of the complete IgG molecule. Comparisons of IgG subclass took into account the fact that the IgG3 versions of 2F5 and 4E10 were sometimes 2 to 7 times less potent than their IgG1 counterparts in parental TZM-bl cells. In this regard, the magnitude of an effect in an FcyR-expressing cell line was measured relative to the baseline potency of the corresponding IgG subclass in parental TZM-bl cells. After making this adjustment, FcyRI had a stronger effect on the IgG1 version than on the IgG3 version of 4E10 (Fig. 6, right panel). Thus, the potency of 4E10-IgG1 against viruses 700010040.C9.4520 and QH0692.42 in FcyRI-expressing cells was improved 2,500- and 268-fold, respectively, whereas the potency of 4E10-IgG3 was improved 147- and 9-fold, respectively. FcyRI affected both IgG subclasses of 2F5 nearly equally (<3-fold differences). FcyRIIb had an effect on the IgG1 versions of both monoclonal Abs assayed against virus 700010040.C9.4520 and on the IgG1 version of 4E10 assayed against virus QH0692.42. FcyRIIb had no effect on the IgG3 subclass of either monoclonal Ab.

Sequence of early events in the FcyR effect on neutralization. Most biologic effects of FcyR are mediated by preformed Ab-antigen IC. We sought to determine whether IC formation was necessary prior to  $Fc\gamma R$  engagement in order for  $Fc\gamma R$  to augment the neutralizing activities of 2F5 and 4E10. We also determined whether our use of freshly trypsinized cells in all other experiments might have altered the outcome of the assays. Parental TZM-bl cells and TZM-bl cells expressing individual  $Fc\gamma Rs$  were preincubated for 2 hours with either 2F5, 4E10, or HIVIG, after which time the Abs were removed by one complete change of the medium before addition of virus. Excessive washing was avoided in order to minimize the removal of weakly bound Ab, especially in the case of the lowaffinity FcyRIIb receptor. Assays were performed with two viruses (SC422661.8 and Q23.17) that were highly sensitivity to the FcyRI and FcyRIIb effect seen with 2F5 and 4E10 in Fig. 3. One set of assays used freshly trypsinized cells, whereas another used cells seeded in 96-well culture plates and incubated for 1 day prior to assay to allow recovery from trypsinization. The results in both cases were compared to those obtained at the same time under standard assay conditions (Ab incubated with both the virus and cells) using either freshly trypsinized cells or cells that were preseeded and incubated for 1 day prior to assay.

As seen in Fig. 7, nearly identical results were obtained using freshly trypsinized cells and cells that were preseeded and incubated for 1 day prior to assay. This outcome indicates that the Fc $\gamma$ R effect is reproducible and independent of trypsinization. As additional evidence for the reproducibility of the Fc $\gamma$ R effect, the results obtained under standard assay conditions shown in Fig. 7 were very similar to those shown in Fig. 3 that were obtained under the same standard assay conditions. Notably, no neutralization was detected in parental TZM-bl cells when only the cells were exposed to Ab. Thus, a single complete change of the Abcontaining medium was sufficient to remove all detectable neutralizing activity that was not dependent on FcyR expression. In contrast, preincubation of FcyRI-expressing cells with either 2F5 or 4E10, followed by removal of Abs prior to virus addition, led to potent neutralization of both viruses. In addition, moderate neutralization of both viruses was observed under these conditions when FcyRIIb-expressing cells were used. Notably, the magnitudes of these effects, though substantial, were diminished relative to the magnitudes seen under standard assay conditions. A diminished effect when only the cells were exposed to Ab suggests either that the Abs need to be present throughout the assay for maximum FcyR occupancy or that more than one mechanism of virus neutralization was involved. As a control, HIVIG neutralized only under standard assay conditions, where it was not affected by  $Fc\gamma R$  expression. No neutralization was detected with 2F5, 4E10, and HIVIG when cells expressing either FcyRIIa or FcyRIIIa were used under conditions where only the cells were exposed to Ab (data not shown).

Monoclonal Ab binding to FcyR-expressing TZM-bl cells. We sought to determine whether the differential effects of FcyR on b12, 2G12, 2F5, 4E10, and two IgG subclasses of 2F5 and 4E10 could be explained by FcyR binding capacity. Relative binding was assessed with mIgG because of technical difficulties in producing aggregates of the monoclonal Abs. As shown in Fig. 8, mIgG1 versions of b12, 2G12, 2F5, and 4E10 all demonstrated efficient binding to FcyRI-expressing TZM-bl cells (42 to 73% of cells staining positive with mean fluorescence intensity values of 349 to 928). IgG3 versions of 2F5 and 4E10 bound somewhat better than their IgG1 counterparts. Interestingly, the levels of IgG1-b12 and IgG1-2G12 binding were roughly equivalent to that of IgG1-4E10 binding and were only marginally below the level of 2F5 binding. These results are consistent with the myeloma protein results in Fig. 2 showing that FcyRI-expressing TZM-bl cells bind both IgG subclasses. Overall, differences in IgG subclass binding did not explain why an FcyRI effect on neutralization was seen with 2F5 and 4E10 but not with b12 and 2G12.

Little or no mIgG binding to cells expressing the low-affinity  $Fc\gamma RIIa$ ,  $Fc\gamma RIIb$ , and  $Fc\gamma RIIa$  was detected (data not shown). Low-affinity binding that was not detected by flow cytometry but nonetheless was capable of mediating the  $Fc\gamma RIIb$  effect described above when only cells were exposed to 2F5 and 4E10 (Fig. 7) might have occurred.

Effect of normal human serum. The high-affinity  $Fc\gamma RI$  is the only  $Fc\gamma R$  that binds mIgG, making it possible that mIgG in normal human serum would compete with 2F5 and 4E10 for  $Fc\gamma RI$  binding. To test this possibility, the neutralizing activities of 2F5 and 4E10 were assessed in  $Fc\gamma RI$ -expressing TZM-bl cells in the presence and absence of either fresh or heat-inactivated normal human serum. Monoclonal Ab b12 was tested as a putative negative control. As shown in Fig. 9, 5% normal human serum, whether fresh or heat inactivated, had no effect on IgG1-b12 but completely abolished the  $Fc\gamma RI$ effect on the IgG1 versions of 2F5 and 4E10. Consistent with the known requirement for polymeric Ab, 5% fresh and heatinactivated normal human serum had no measurable effect on neutralization in  $Fc\gamma RIIb$ -expressing cells.



FIG. 7. Enhanced neutralizing activities of gp41 MPER-specific Abs captured by  $Fc\gamma RI$  and  $Fc\gamma RIIb$  on the cell surface prior to virus exposure. Neutralizing activities of 2F5, 4E10, and HIVIG were assessed against two strains of HIV-1 (subtype B strain SC422661.87 and subtype A strain Q23.17) in parental TZM-bl cells (black bars) and in TZM-bl cells expressing either  $Fc\gamma RI$  (hatched bars) or  $Fc\gamma RIIb$  (gray bars). The assays were performed either with freshly trypsinized cells or with preseded cells that had been incubated for 1 day before the start of the assay. Two assay formats were used in both cases: (i) standard conditions in which virus was incubated with Ab for 1 h prior to addition of the combined mixture to cells (virus + cells) and (ii) a modified format in which cells were preincubated with Ab for 2 h, followed by one complete change of the medium to remove Ab prior to addition of virus (cells only). The highest Ab concentrations tested are indicated by a dashed line; thus, values below this dashed line signify positive neutralization. ID50, 50% inhibitory dose.



FIG. 8. Relative binding of HIV-1 broadly neutralizing monoclonal Abs to  $Fc\gamma RI$  on TZM-bl cells. TZM-bl cells expressing  $Fc\gamma RI$  were incubated with either IgG1b12, 2G12, 2F5, or 4E10 for 1 h at 4°C. Cells were washed three times, stained with FITC-conjugated goat antihuman IgG (Fab specific), and analyzed by flow cytometry. Top, percentage of cells staining positive; bottom, mean fluorescence intensity (MFI) of positive cells. Background staining with an isotype control Ab was subtracted in both cases.

### DISCUSSION

The role of  $Fc\gamma Rs$  in determining the fate of HIV-1 IC was investigated by using lentiviral transduction to individually express each of four major  $Fc\gamma Rs$  on the surface of a cell line that is highly permissive to HIV-1 infection. The TZM-bl cell line used for this purpose is one of the most popular cell lines used to measure neutralizing Abs. Expression of each  $Fc\gamma R$  on TZM-bl cells had no obvious effect on CD4, CCR5, and CXCR4 expression and HIV-1 susceptibility. Moreover, the physical integrity of each expressed  $Fc\gamma R$  was maintained as indicated by an ability to bind IgG.

These studies were initiated in part with the expectation that the new TZM-bl cell lines would be helpful in delineating the contributions of individual  $Fc\gamma Rs$  to ADE of HIV-1 infection in vitro. However, only one possible case of FcR-mediated ADE was detected; this case involved the gp120 glycan-specific monoclonal Ab 2G12 assayed against a subtype B virus in Fc $\gamma$ RI-expressing cells. The fact that ADE was so rarely detected might be an indication that  $Fc\gamma Rs$  are relatively inefficient at facilitating HIV-1 infection, at least in TZM-bl cells.

Our major observation was that  $Fc\gamma RI$  and  $Fc\gamma RIIb$  potentiated the neutralizing activities of gp41 MPER-specific Abs while having little if any effect on other HIV-1-specific neutralizing Abs. Thus, both  $Fc\gamma Rs$  augmented the potencies of broadly neutralizing monoclonal Abs 2F5 and 4E10, the nonneutralizing monoclonal Ab 98.6, and an HIV-1-positive



FIG. 9. Normal human serum abrogates the Fc $\gamma$ RI effect on 2F5 and 4E10. Neutralization assays were performed with HIV-1 700010040.C9.4520 in parental TZM-bl cells and in TZM-bl cells expressing either Fc $\gamma$ RI or Fc $\gamma$ RIIb in the absence of serum (black bars) or in the presence of either 5% fresh normal human serum (light gray bars) or 5% heat-inactivated normal human serum (dark gray bars). The monoclonal Abs were of the IgG1 subclass. ID50, 50% inhibitory dose.

plasma sample (BB34) that is known to contain gp41 MPERspecific neutralizing Abs. The  $Fc\gamma RI$  effect was quite substantial, potentiating the neutralizing activity of gp41 MPER-specific monoclonal Abs by as much as 5,000-fold depending on the virus. The  $Fc\gamma RIIb$  effect was not as great but was detected with several viruses. Engagement of  $Fc\gamma RI$  and  $Fc\gamma RIIb$  was shown to require the Fc segment of Ab, because no effect on neutralization was seen when a Fab version of 4E10 was tested.

Positive effects on HIV-1 neutralization were limited to FcyRI and FcyRIIb in that FcyRIIa and FcyRIIIa exerted little if any effect regardless of the monoclonal Ab or HIV-1positive plasma tested. An element of epitope specificity was clearly involved, since no effect was seen with two gp120specific monoclonal Abs (b12 and 2G12). A paucity of gp41 MPER-specific Abs might explain why HIV-1-positive plasma samples were minimally affected by the two  $Fc\gamma Rs$ . In fact, only a minor fraction of the overall neutralizing activity in plasma samples from most HIV-1-infected individuals has been attributed to gp41 MPER-specific Abs (7, 88, 89, 108). Restriction of the FcyR effect to gp41 MPER-specific Abs could not be explained by IgG subclass, because IgG1 versions of b12 and 2G12 were not affected whereas IgG1 versions of 2F5 and 4E10 were. The restriction of this effect to MPERspecific Abs also could not be explained by differences in relative binding efficiencies, because b12 and 2G12 bound FcyRI about as well as did 4E10.

A critical question to ask is whether the FcyRI and FcyRIIb effects on HIV-1 neutralization seen here are predictive of biological consequences of IC engagement of these receptors in vivo. First, the TZM-bl cell line is not part of the immune system. Second, some cellular functions of  $Fc\gamma R$  might not be predicted by an effect on neutralization in TZM-bl cells. For example, FcyRIIIa was able to bind aggregated IgG1 and IgG3 subclasses quite well, but this receptor had no obvious effect on the neutralizing activity of either subclass of 2F5 and 4E10 in TZM-bl cells. Third,  $Fc\gamma RIIIa$  is often the only  $Fc\gamma R$  on natural killer cells, where it can play a major role in mediating ADCC when peripheral blood mononuclear cells are used as effectors. Such assays have been used to demonstrate ADCC activity with IgG1 versions of monoclonal Abs b12 (42, 43), 2G12 (97), and 98.6 (99), but yet these Abs showed no evidence of an FcyRIIIa effect on HIV-1 neutralization in our study. Finally, the high-affinity  $Fc\gamma RI$  binds mIgG and IC with similar affinities, which might account for our observation that

5% normal human serum abolished the Fc $\gamma$ RI effect on 2F5 and 4E10. Competition from circulating mIgG may be expected in vivo, but it is not clear to what extent this will diminish the Fc $\gamma$ RI effect on HIV-1 neutralization. The concentration of gp41 MPER-specific Abs relative to total IgG could be a determining factor, which might explain why Fc $\gamma$ RI augmented the neutralizing activity of HIV-1-positive plasma sample BB34 in the context of total IgG. Similarly, we detected a positive Fc $\gamma$ RI effect on 2F5 and 4E10 spiked at 0.2 mg/ml in normal human serum prior to assay (data not shown). Indeed, evidence in mice suggests that competition from circulating mIgG does not necessarily disable the specific functions of Fc $\gamma$ RI in vivo (4, 6, 41, 50).

The mechanism by which FcyRI and FcyRIIb potentiated the neutralizing activities of gp41 MPER-specific Abs is unclear at this time; however, at least two mechanisms seem possible. One is that by prepositioning gp41 MPER-specific Abs at the cell surface, FcyRs might give Abs a kinetic advantage for virus inhibition. This kinetic advantage could be unique to gp41 MPER-specific Abs, whose epitopes are thought to be exposed for only a short time on a prehairpin intermediate conformation of gp41 during an early stage of fusion (30, 36). Support for this mechanism comes from our evidence that HIV-1 IC formation was not necessary prior to Ab-FcyR engagement in order for FcyRI and FcyRIIb to augment the neutralizing activities of 2F5 and 4E10 (Fig. 7). It has been suggested that the physical space surrounding the gp41 prehairpin intermediate is sterically constrained (54), where favorable access to Ab is achieved when the Fab is oriented parallel to the virus and cell membranes (14, 93). In this regard, the Fab portions of 4E10 and 2F5 appear to possess nonspecific lipid binding properties that have been suggested to provide a kinetic advantage for epitope binding (1, 30). The lipophilic character of these two monoclonal Abs has been attributed to the apex of the long hydrophobic CDR H3 loop, distal from the antigen contact residues at the base of CDR H3 (14, 70).

Given these unusual features of gp41 MPER Ab-epitope interactions, it is possible that  $Fc\gamma R$  engagement stabilizes a favorable orientation of Abs at the sterically constrained viruscell interface to provide an additional kinetic advantage for binding membrane lipid and/or the prehairpin intermediate conformation of gp41. The lower hinge region of Ab-Fc has been shown to dock with the membrane-proximal D2 domain of FcR (105), which, in principle, could approximate the Fab close to the cell membrane. Further positioning of Fab could be influenced by differences in the flexibility of the hinge regions of various IgG subclasses (20, 81, 87), possibly explaining why Fc $\gamma$ RI and Fc $\gamma$ RIIb exerted a somewhat stronger effect on the IgG1 versions than on the IgG3 versions of 2F5 and 4E10 despite stronger binding of IgG3 by both receptors. This mechanism also offers a possible explanation for why Fc $\gamma$ RIIb, with its much lower affinity for mIgG, exerted a weaker effect on the neutralizing activities of 2F5 and 4E10 than the high-affinity Fc $\gamma$ RI receptor. Perhaps other neutralizing Abs were not affected by Fc $\gamma$ R because their epitopes are less cryptic and thus allow more favorable energetics of Ab binding than do epitopes in the MPER of gp41.

Another mechanism by which  $Fc\gamma Rs$  could potentially facilitate HIV-1 neutralization is phagocytosis. HeLa cells, from which the TZM-bl cell line was constructed, are known to exhibit properties of nonprofessional phagocytes (12, 32, 37). Thus, it is possible that TZM-bl cells were converted to professional phagocytic cells by introducing  $Fc\gamma R$  on their surface. Phagocytic destruction of preformed HIV-1 IC might explain why a full  $Fc\gamma R$  effect on neutralization was not seen when cells were preincubated with either 2F5 or 4E10 and nonbound Abs were removed prior to adding virus (Fig. 7).

Much like our findings, Holl et al. reported dramatic increases in the neutralizing activities of IgG1 versions of 2F5 and 4E10 and a much smaller effect on IgG1-b12 in primary cultures of human monocyte-derived macrophages and dendritic cells (DC) (44-46). Their studies also demonstrated a requirement for the Fc region of Ab and provided evidence for the involvement of FcyRI and FcyRII. Thus, by individual expression of human FcyRs in an epithelial cell line, our results reproduced their findings with broadly neutralizing monoclonal Abs against the MPER of gp41. Nonetheless, not all results were concordant, and in fact, our results were discordant with theirs for several monoclonal Abs, including 240-D, 246-D, F240, and 98.6. Discordant results with these highly strain-specific neutralizing Abs might be explained by different epitopes on the separate viruses used in the two studies or by the unusually high levels of CCR5 on TZM-bl cells (18).

Any FcyR-mediated antiviral effects on gp41 MPER-specific neutralizing Abs, whether by entry inhibition or phagocytosis, might be beneficial to several cell types in a setting of preexposure vaccination. For example, though FcyRs are rarely expressed on CD4<sup>+</sup> lymphocytes, several additional HIV-1susceptible cell types express multiple FcyRs and are involved in sexual transmission and the early establishment of long-lived viral reservoirs. In particular, macrophages may be among the first infection-susceptible cells that the virus encounters after mucosal exposure (31, 95), and they are thought to serve as a long-lived virus reservoir in chronic infection (9, 15). Macrophages are well known to express multiple FcyRs, including the recent demonstration of FcyRI, FcyRII, and FcyRIII expression on HIV-1-susceptible vaginal macrophages (90). Other HIV-1-susceptible cells that might benefit from the FcyR effect on gp41 MPER-specific neutralizing Abs include certain subsets of monocytes (57, 109) and DC (24, 73, 91).

In addition to these antiviral effects, an intriguing aspect of  $Fc\gamma RI$  and  $Fc\gamma RII$  is the role that they play in regulating adaptive immunity and peripheral tolerance (23, 68, 69). In-

deed, it has been suggested that these receptors on DC play a role in regulating antigen uptake, antigen presentation, and cell activation (68, 82). Moreover, with the exception of T cells and natural killer cells,  $Fc\gamma RIIb$  is widely expressed on cells of the immune system, and in particular, it is the only  $Fc\gamma R$  on B cells (77). Inhibitory  $Fc\gamma RIIb$  plays an important role in regulating B-cell tolerance either by ITIM-mediated inhibition of B-cell receptor signaling or by inducing apoptosis independently of ITIM (79). In mice, both mechanisms appear to be capable of eliminating autoreactive class-switched B cells that escaped earlier checkpoints (68). The importance of this late checkpoint is further emphasized by a high susceptibility to autoimmune disease in  $Fc\gamma RIIb$ -deficient mice and humans (68).

FcyRIIb-mediated B-cell tolerance could be particularly relevant to 2F5 and 4E10, both of which have been suggested to be rare products of autoreactive B cells that escaped tolerance (1, 39). Under normal conditions, these autoreactive B cells might be eliminated from the body, which offers a partial explanation for why gp41 MPER-specific neutralizing Abs are uncommon in HIV-1-infected individuals (40). Our results showing that gp41 MPER-specific Abs interact with the inhibitory FcyRIIb are suggestive of a possible peripheral tolerance mechanism in which FcyRIIb-bearing B cells serve as a checkpoint for some Ab specificities without affecting other HIV-1specific neutralizing Abs. We note that this mechanism is suggested based on neutralizing activity and that we have not determined whether this interaction leads to B-cell signaling. Interestingly, one HIV-1-positive plasma sample that is known to contain rare gp41 MPER-specific neutralizing Abs (BB34) was affected by FcyRI but was not affected by the potentially tolerizing FcyRIIb, possibly explaining why the Abs were able to be made in this individual. Likewise, the original 2F5 and 4E10 monoclonal Abs were isolated as IgG3 and, unlike their IgG1 counterparts, were not affected by FcyRIIb. It follows that a gp41 MPER-containing immunogen designed in some manner to circumvent FcyRIIb might evade tolerance and elicit broadly neutralizing Abs. Under these conditions, the introduction of additional modifications to increase engagement of activating  $Fc\gamma R$  on DC might lead to further improvement in immunogenicity, including the induction of virus-specific T-cell responses. Examples of such vaccine approaches are under consideration for the treatment of cancer (22, 52, 66, 76) and might prove useful if applied to HIV-1 vaccines. Safe application would depend in part on finding creative ways to circumvent FcyRIIb without unleashing pathogenic autoreactive cells that are normally held in check by this inhibitory receptor.

Finally, the medium- to low-affinity  $Fc\gamma RIIa$  and  $Fc\gamma RIIIa$ , which had no effect on HIV-1 neutralization, are encoded by genes with functional polymorphisms that affect ligand binding. The  $Fc\gamma RIIa$  gene can encode either a histidine (H) or an arginine (R) at position 131 (102).  $Fc\gamma RIIa$  containing H at this position binds human IgG2 and IgG3 with considerably higher affinity than receptors from RR individuals (23, 72). In the case of HIV-1, it has been shown that HIV-1 IC are more efficiently internalized by monocytes from HH subjects than by subjects who carry the RR alleles (28). The  $Fc\gamma RIIIa$  gene can encode either a phenylalanine (F) or valine (V) at position 158 (80). IgG1 and IgG3bind with higher affinity to the V form of this receptor (23, 100). In the present study, the separate cell lines expressed the H form of  $Fc\gamma RIIa$  and the F form of  $Fc\gamma RIIa$  as being more prevalent in humans (56, 59, 92, 101).

In summary,  $Fc\gamma RI$  and  $Fc\gamma RIIb$  augmented the neutralizing activities of HIV-1 gp41 MPER-specific Abs by a mechanism that was highly dependent on the epitope and moderately dependent on the IgG subclass of the Ab. Though the mechanism of this  $Fc\gamma R$  effect is not clear, it could involve either enhanced inhibition of virus entry, phagocytosis, or both. In addition, the interaction of HIV-1 IC with  $Fc\gamma RIIb$  suggests a mechanism that could contribute to B-cell tolerance. These findings indicate that gp41 MPER-specific Abs are of greater importance than previously recognized. The findings also suggest new avenues to pursue to induce such Abs with vaccines.

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