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Decoding reveals the contents of visual working memory in early visual areas

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Abstract

Visual working memory provides an essential link between perception and higher cognitive functions, allowing for the active maintenance of information regarding stimuli no longer in view1,2. Research suggests that sustained activity in higher-order prefrontal, parietal, inferotemporal and lateral occipital areas supports visual maintenance3-11, and may account for working memory's limited capacity to hold up to 3-4 items9-11. Because higher-order areas lack the visual selectivity of early sensory areas, it has remained unclear how observers can remember specific visual features, such as the precise orientation of a grating, with minimal decay in performance over delays of many seconds12. One proposal is that sensory areas serve to maintain fine-tuned feature information 13, but early visual areas show little to no sustained activity over prolonged delays14-16. Using fMRI decoding methods17, here we show that orientations held in working memory can be decoded from activity patterns in the human visual cortex, even when overall levels of activity are low. Activity patterns in areas V1-V4 could predict which of two oriented gratings was held in memory with mean accuracy levels upwards of 80%, even in participants exhibiting activity that fell to baseline levels after a prolonged delay. These orientation-selective activity patterns were sustained throughout the delay period, evident in individual visual areas, and similar to the responses evoked by unattended, task-irrelevant gratings. Our results demonstrate that early visual areas can retain specific information about visual features held in working memory, over periods of many seconds when no physical stimulus is present.

To investigate the role of early visual areas in working memory, we used functional magnetic resonance imaging (fMRI) to monitor cortical activity while participants performed a delayed orientation discrimination task. During each trial, observers maintained fixation while two sample orientation gratings (~25° and ~115°) were briefly presented in randomized order, followed by a numerical cue indicating whether to remember the first or

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Author Contributions: FT devised and designed the experiments, SAH and FT programmed the experiments, SAH conducted the experiments and carried out the analyses with assistance from FT, FT and SAH wrote the paper together.

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second grating (Fig. 1a). After an 11-second retention interval, a test grating was presented, and participants indicated how it was rotated relative to the cued grating ($\pm 3^{\circ}$ or $\pm 6^{\circ}$). This experimental design allowed us to isolate memory-specific activity. By presenting the same two gratings on every trial, we ensured that stimulus-driven activity could not predict the orientation held in working memory. It was also critical that the memory cue appeared after the presentation of the gratings and not beforehand. Otherwise, subjects could attend more to the appearance of the cued grating, which would enhance orientation-selective responses to that stimulus 17.

Behavioural data confirmed that observers could discriminate small differences in orientation between the cued grating and test grating. Observers showed equally good performance when the first or second grating had to be remembered (75% and 73% correct, respectively, T(5) = 1.24, P = 0.27).

We used fMRI decoding methods to determine whether activity in early visual areas might reflect the contents of working memory (see **Methods** and Supplementary Methods). Although orientation selectivity primarily resides at fine spatial scales in the visual cortex, we have previously shown that pattern classification methods can successfully recover orientation information from cortical activity sampled at coarser resolutions using fMRI17. Here, we investigated whether activity patterns during the delay period might predict which of the two orientations was held in working memory. For each trial, we calculated the average response of individual voxels over time points 6-10 seconds (Fig. 1b, grey region), selecting voxels from regions corresponding to 1-4° eccentricity in areas V1 through V4. The activity patterns observed on each trial served as input to a linear classifier with the cued orientation indicating the corresponding label. Classification accuracy was determined using cross-validation methods.

Ensemble activity pooled from areas V1-V4 was highly predictive of the orientation held in working memory, with prediction accuracy reaching 83% (Fig. 2, green curve). This decoding performance greatly exceeded chance level of 50% ($T(5) = 18.2, P < 10^{-5}$), and proved highly reliable in each of the 6 participants (performance exceeding 58.75%, P < 0.05, binomial test). Interestingly, decoding was just as effective when the first grating was cued rather than the second (82.1% vs. 83.6%, respectively, T(5) = 1.0, P = 0.36), indicating that this orientation information in visual cortex was robust to potential interference from a subsequent item. Such robustness to interference has previously been found only in the prefrontal cortex5. Individual visual areas showed similar levels of orientation decoding performance (F(3,15) = 1.71, P = 0.21) ranging from 71–74% accuracy, with every participant showing above-chance decoding in each area. This indicates that maintaining an orientation in working memory is associated with widespread changes in orientation-selective activity throughout the early visual system, including V1, the first stage of orientation processing.

How do these orientation-selective responses for remembered gratings compare with stimulus-driven activity elicited by direct viewing of actual gratings? In a second experiment, participants had to identify letters presented rapidly at fixation while ignoring low-contrast oriented gratings (25° or 115°) flashing in the surround. Although the gratings

were quite faint and task-irrelevant, they nonetheless evoked strong orientation-selective responses in early visual areas (Fig. 2, red curve). Activity in individual areas, V1, V2, and V3, was highly predictive of the orientation of the unattended gratings. Performance was considerably worse for V3A/V4 (F(3,15) = 20.4, $p < 10^{-4}$), presumably because activity in higher extrastriate areas is more dependent on visual attention18. Next, we evaluated the similarity of orientation-selective activity patterns in the two experiments by training the classifier on one data set and testing it on the other. Generalization performance for activity pooled across V1-V4 was below the performance found in the working memory experiment (Fig. 2, black curve), but still significantly above chance (T(5) = 6.0, P < 0.005). Generalization was also better in V1 and V2 (F(3,15) = 4.5, P < 0.05), perhaps because these early areas exhibit stronger orientation-selective responses under stimulus-driven conditions17. Successful generalization across the two experiments is noteworthy given how they differed in both stimulus and task. It appears that retaining an orientation in working memory recruits many of the same orientation-selective subpopulations as those that are activated under stimulus-driven conditions.

Additional analyses confirmed that successful orientation decoding could not be explained by global differences in response amplitudes to the two orientations, as decoding applied to the averaged response of each visual area led to chance-level performance (46-57% accuracy, Supplementary Fig. 1a). We also tested for potential effects of global radial bias 19, and found that decoding was significantly impaired by spatially averaging the response of neighboring voxels corresponding to different radial segments of the visual field (Supplementary Fig. 1b). By contrast, local variations in orientation preference within each radial segment led to high decoding accuracy (Supplementary Fig. 1c), consistent with the notion that much of the orientation information extracted by the classifier resulted from local anisotropies in orientation preference 17 (Supplementary Fig. 2).

Next, we investigated whether orientation-selective activity is maintained throughout the working memory delay period, by performing our decoding analysis on individual fMRI time points. Although individual fMR images exhibit poorer signal to noise, we could still detect changes in orientation-selective activity over time in both experiments. Orientation decoding of stimulus-driven activity in areas V1 through V4 rose above chance level within 4 seconds of stimulus onset (T(5) = 4.13, P < 0.01) and reached asymptotic levels by ~6 seconds (consistent with the slow hemodynamic BOLD response); performance remained high as gratings continued to be shown throughout the 16-s stimulus block (Fig. 3a). In comparison, orientation-selective activity in the working memory experiment was delayed by ~ 2 seconds, rising significantly above baseline by 6 seconds (T(5) = 4.36, P < 0.01) and reaching plateau by 8 seconds. This delayed onset is consistent with the fact that observers did not see the task-relevant cue until 1.2 seconds after the first grating appeared and required additional time to interpret the cue. More striking is the fact that orientationselective activity persisted throughout the delay period, when no physical stimulus was present, up until presentation of the test grating at time 13 seconds. Decoding of individual areas led to somewhat lower levels of performance; nonetheless, a similar pattern of results was found, as is shown for V1 (Fig. 3b).

Interestingly, this maintenance of orientation-selective information throughout the delay period did not seem to depend on a sustained boost in overall BOLD activity. The time course of mean BOLD activity for each visual area revealed a transient response to the first two gratings and a subsequent response to the test grating, with some suggestion of sustained activity in the intervening period (Fig. 1b). However, the level of sustained activity varied widely across subjects. For example, in V1 half of our subjects showed greater than baseline activity late in the delay period, whereas half did not (Supplementary Fig. 3a,b). Nevertheless, orientation decoding performance was equally good for the two groups (74% vs. 75%, respectively) and sustained throughout the delay period (Supplementary Fig. 3c,d). Additional analyses supported the notion that the overall BOLD amplitude from a region was unrelated to the amount of memory-related information available in the detailed activity pattern. We found no significant relationship between BOLD amplitudes and decoding accuracy across subjects, or across trials for individual subjects. Thus, it appears that low amplitude signals can nonetheless contain robust memory-related information throughout the entire delay period.

Additional control experiments indicated that this sustained orientation-selective activity reflected active maintenance of the cued orientation throughout the delay period rather than other cognitive processes. When observers were presented with a randomly selected pair of near-orthogonal orientations on every trial, it was still possible to decode which of the two orientations was held in working memory from activity in early visual areas (Supplementary Fig. 4). The use of randomly selected orientations ensured that long-term memory could not contribute to delayed discrimination; instead, accurate performance could only be achieved by maintaining the task-relevant grating seen on each trial (behavioural accuracy 76.2%). In another experiment, observers were shown two sample orientations followed by a numerical cue whose colour indicated whether to make a speeded judgment about the task-relevant orientation or to retain that orientation for subsequent discrimination. Whereas the immediate report task led to unreliable orientation decoding, active maintenance of the taskrelevant grating over an extended 15-s delay led to sustained orientation-selective activity in areas V1 through V4 (Supplementary Fig. 5). Finally, we tested for effects of visual expectancy by omitting the sample gratings and providing only an initial cue to indicate the approximate orientation (~25° or ~115°) observers should expect at test. Expectation of a specific future orientation to be discriminated led to good behavioural performance (77.5% correct), but weak orientation-selective responses, as indicated by near chance-level decoding (Supplementary Fig. 6).

We also considered whether eye movements could account for successful decoding of remembered orientations; there are several reasons why this seems unlikely. First, sample gratings were presented for only 200 ms, too briefly for participants to prepare an eye movement within that time; also the working memory cue occurred afterward, when no other stimulus was present. Second, an eye-tracking control experiment confirmed that all 6 participants maintained stable fixation when performing the working memory task (see Supplementary Methods). Unlike activity in visual cortex, eye position signals failed to predict the orientation held in working memory (orientation decoding accuracy, 50.2%, P = 0.94, n.s.). Finally, it would be difficult to explain how strategic eye movements during

working memory might elicit differential activity patterns that resemble those evoked by unattended gratings when participants had to attend to letters at fixation. Both the stimulus conditions and the strategic demands of the two experiments were profoundly different.

Our results provide novel evidence that early visual areas can retain specific information about visual features held in working memory. When participants had to remember a precise orientation, this information was maintained in sensory areas, including the primary visual cortex where orientation tuning is strongest. Although V1 is essential for low-level feature processing, there is increasing evidence to suggest V1's role in conscious perception20, attentional selection18,20 and more complex cognitive functions21,22. We find that early visual areas are not only important for processing information about the immediate sensory environment, but can also maintain information in the absence of direct input to support higher order cognitive functions.

Thus far, there has been little evidence to link V1 activity to visual working memory, perhaps because these tasks do not normally lead to increased activity in the visual cortex14-16. One study did find relatively greater V1 activity when monkeys had to report a remembered spatial location by means of an eye movement23; but this increase in baseline activity could reflect the effects of spatial attention18,24 or eye movement preparation25. Here, we found that overall activity in visual cortex fell to near-baseline levels after prolonged delays, yet decoding of these low amplitude signals led to reliable prediction of the orientation held in memory.

Our findings suggest a potentially important source of memory-related information that may have been overlooked in previous studies, and indicate promising avenues for future research. Assuming that items in visual working memory are encoded by low levels of population activity, application of population decoding methods could help uncover the underlying neural representations. Previous attempts to decode remembered information from delay-period activity in single neurons have typically led to low or chance levels of performance5,16,26. Perhaps if signals from many neurons or neuronal sites were recorded simultaneously to exclude effects of correlated noise27, far greater information could be uncovered about items retained in memory, as was demonstrated here. The role of synaptic activity in visual cortex might also be useful to explore, given that the BOLD response is more strongly associated with synaptic than spiking activity28. One recent study has reported suggestive evidence of enhanced local field potentials (4-10 Hz) in area V4 of the monkey during a visual working memory task29. Curiously, spiking activity did not increase overall but was more likely to be observed at a specific phase of these slow oscillations, suggesting that the relationship between working memory and spiking activity might go beyond simple changes in firing rate.

It will be interesting for future studies to investigate whether working memory information found in visual cortex is actively maintained by long-range recurrent interactions between higher order areas and early visual areas, local recurrent activity within early visual areas, or a combination of both mechanisms. Presumably, prefrontal or parietal areas contributed to the top-down selection process, given that participants had to interpret an abstract cue indicating which of two orientations to hold in memory. However, it has been debated

whether feedback signals from higher order areas would necessarily reflect the contents of working memory8. Most network models of working memory have emphasized the importance of local recurrent activity30. In these models, a specific pattern of activity can be sustained after stimulus removal if units tuned to similar features share strong excitatory connections, balanced by broad inhibition from units tuned to other features. It is possible that the functional organization of orientation-selective neurons in the visual cortex could provide an infrastructure for such interactions. The present results demonstrate that early visual areas can indeed sustain information for periods of many seconds, indicating their function is not restricted to sensory processing but extends to the maintenance of visual features and patterns in memory.

Methods Summary

Six observers, ages 24–36, with normal or corrected-to-normal vision, participated in this study, after providing written informed consent. The study was approved by the Vanderbilt University Institutional Review Board.

The main study consisted of three fMRI experiments. The working memory experiment involved delayed discrimination of one of two randomly cued orientations (Fig. 1a). Sinewave gratings were centrally presented at ~25° or ~115° orientation (radius 5°, contrast 20%, spatial frequency 1 cpd, randomized phase). The unattended gratings experiment required participants to report whenever a "J" or "K" appeared within a sequence of centrally presented letters (4 letters/second, performance accuracy 87.3%) while taskirrelevant gratings flashed on or off every 250 ms during each 16-second stimulus block. Gratings were identical to those used in the working memory experiment, but presented at lower contrast (4%) to elicit weaker visual responses, as might be expected during working memory. The visual-field localizer experiment consisted of blocked presentations of flickering random dots (dot size, 0.2°; display rate, 10 images/second), presented within an annulus of 1-4° eccentricity. This smaller window was used to minimize selection of retinotopic regions corresponding to the edges of the grating stimuli. Observers were instructed to maintain fixation on a central bull's eye throughout every experiment. Participants completed 8-10 working memory runs (32-40 trials per orientation), 4-5 unattended grating runs (28-35 blocks per orientation), and 2 visual-field localizer runs.

Scanning was performed using a 3.0-Tesla Philips Intera Achieva MRI scanner at the Vanderbilt University Institute of Imaging Science. We used gradient-echo echoplanar T2*-weighted imaging (TR, 2000 ms; TE 35 ms; flip angle, 80° ; 28 slices, voxel size, $3 \times 3 \times 3$ mm) to obtain functional images of the entire occipital lobe, as well as posterior parietal and temporal regions. Participants used a bite bar system to minimize head motion.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Acknowledgments

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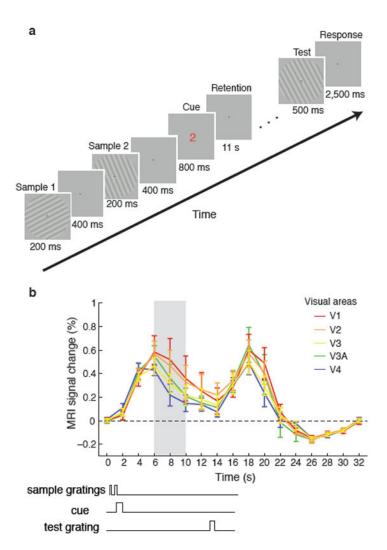


Figure 1. Design of working memory experiment and resulting time course of fMRI activity **a**, Timing of events for an example working memory trial. Two near-orthogonal gratings $(25^{\circ}\pm3^{\circ}, 115\pm3^{\circ})$ were briefly presented in randomized order, followed by a numerical cue (red "1" or green "2") indicating which grating to remember. After an 11s retention period, a test grating was presented, and subjects reported whether it was rotated clockwise or counterclockwise relative to the cued grating. **b**, Time course of mean BOLD activity (N=6) in corresponding regions of areas V1–V4 during the working memory task (0–16s) and subsequent fixation period (16–32s). Error bars indicate ± 1 S.E.M. Time points 6–10s (shaded grey area) were averaged for subsequent decoding analysis of delay-period activity. The start of this time window was chosen to allow for peak BOLD activity to fully emerge; we selected a conservative end point of 10s to exclude any potential activity elicited by the test grating.

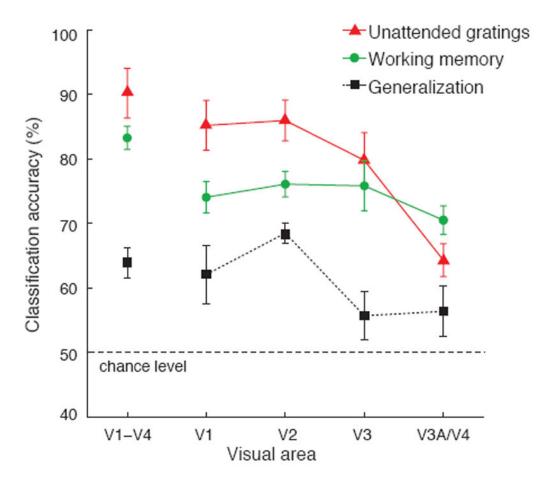


Figure 2. Orientation decoding results for areas V1-V4

Accuracy of orientation decoding for remembered gratings in the working memory experiment (green circles), unattended presentations of low-contrast gratings (red triangles), and generalization performance across the two experiments (black squares). Error bars indicate ±1 S.E.M. Decoding was applied to the 120 most visually responsive voxels in each of V1, V2, V3, and V3A/V4 (480 voxels for V1-V4 pooled), as determined by their responses to a localizer stimulus (1-4°eccentricity). Areas V3A and V4 showed similar decoding performance but had fewer available voxels, so these regions were combined.

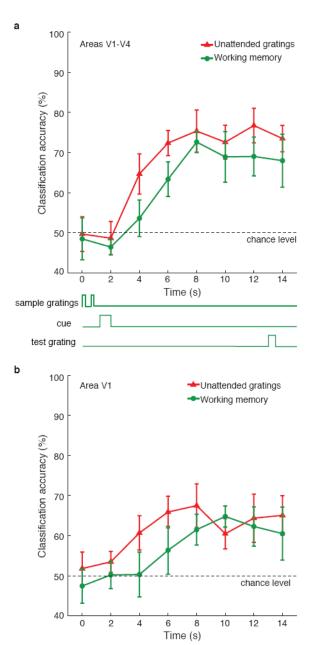


Figure 3. Time-resolved decoding of individual fMRI time points Orientation decoding of unattended stimulus gratings (red triangles) and remembered gratings during working memory (green circles), for activity obtained from areas V1-V4 (a) and V1 only (b). Note that orientation information persists throughout the delay period during the working memory task, up until presentation of the test grating at time of 13s. Error bars indicate ± 1 S.E.M.