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## Cancer DNA Methylation: Molecular Mechanisms and Clinical Implications

Michael T. McCabe<sup>1</sup>, Johann C. Brandes<sup>2</sup>, and Paula M. Vertino<sup>1,\*</sup>

<sup>1</sup>Department of Radiation Oncology, Winship Cancer Institute, Emory University, Atlanta, GA 30322.

<sup>2</sup>Department of Hematology and Oncology, Winship Cancer Institute, Emory University, Atlanta, GA 30322.

### Abstract

DNA methylation plays a crucial role in the regulation of gene expression and chromatin organization within normal eukaryotic cells. In cancer, however, global patterns of DNA methylation are altered with global hypomethylation of repeat-rich intergenic regions and hypermethylation of a subset of CpG-dense gene-associated regions (CpG islands). Extensive research has revealed the cellular machinery that catalyzes DNA methylation, as well as several large protein complexes that mediate the transcriptional repression of hypermethylated genes. However, research is only just beginning to uncover the molecular mechanisms underlying the origins of cancer-specific DNA methylation. Herein, we present several recent advances regarding these mechanisms and discuss the relationship between histone modifications (i.e. H3K4me2/3, H4K16Ac, H3K9me2/3, H3K27me3, H4K20me3), chromatin-modifying enzymes (G9a, EZH2, hMOF, SUV4–20H), and aberrant DNA methylation. Additionally, the role played by inflammation, DNA damage, and miRNAs in the etiology of aberrant DNA methylation is considered. Finally, we discuss the clinical implications of aberrant DNA methylation and the utility of methylated biomarkers in cancer diagnosis and management.

### DNA Methylation, CpG Islands, and Gene Silencing

DNA methylation plays an essential role in normal development through its effects on gene imprinting, X-chromosome inactivation, and transcriptional silencing of repetitive elements. In mammalian species, DNA methylation occurs on the number 5 carbon of the pyrimidine ring of cytosines within the context of the CpG dinucleotide (1). In normal human cells, the majority of CpGs are methylated. In general, CpGs are under-represented within the genome as a result of the increased frequency with which methyl-cytosines undergo CpG to TpG transition mutations. CpGs can, however, be found near the expected frequency in clusters referred to as CpG islands (2,3). CpG islands have presumably retained their CpG content throughout evolution by virtue of their unmethylated, and thus more stable, status within the germ line. Consistent with this hypothesis, organisms whose genomes exhibit little CpG methylation, such as *Drosophila* and *C. elegans*, possess CpGs at the expected frequency and show little variation in CpG distribution (4).

Originally identified by Bird *et al* as regions of CpG-dense DNA that could be cleaved by the methylation-sensitive restriction enzyme HpaII (5), multiple mathematical algorithms have subsequently been proposed for the classification of CpG islands (2,3,6). One of the most commonly used set of criteria that minimize the identification of repetitive-elements requires a minimum observed/expected CpG ratio of 0.65 and GC content greater than 55% over a

\*Corresponding author: Emory University School of Medicine Winship Cancer Institute 1365-C Clifton Rd NE C4086 Atlanta, GA 30322 United States 404 778–3119 404 778–2512 (First Alternate Telephone) 1–404 778–5530 (fax) E-mail: pvertin@emory.edu.

distance of 500bp (3). By this definition, the human genome contains nearly 38,000 CpG islands (or ~28,000 for the non-repetitive portion of human genome; unpublished data). A large fraction of these islands (37%) localize to the 5' regulatory regions (promoters) of genes with approximately 70% of known genes having a CpG island within -2kb to +1kb of their transcription start site (unpublished data).

DNA methylation is mediated by a family of highly-related DNA methyltransferase enzymes (DNMT1, DNMT3A, and DNMT3B) which transfer a methyl group from S-adenosyl-L-methionine to cytosines in CpG dinucleotides (1,7). Typically, the "maintenance" of DNA methylation patterns in somatic cells is attributed to DNMT1, whereas *de novo* DNA methylation during embryonic development is credited to DNMT3A and DNMT3B (1,7,8). This clear delineation of functions is an over-simplification though, as DNMT1 can also contribute to *de novo* DNA methylation both *in vitro* and *in vivo* (1,7,9,10) and the maintenance of methylation in certain regions of the genome requires DNMT3A and DNMT3B (11).

The methyl-cytosines established by the DNMTs serve as binding sites for the methyl-CpG binding domain (MBD) proteins MeCP2, MBD1, MBD2, MBD3, MBD4 (12) and Kaiso, a methyl-cytosine binding protein composed of a POZ-domain and C2H2 zinc finger-domain (13). Through interactions with histone deacetylases, histone methyltransferases, and ATP-dependent chromatin remodeling enzymes, the MBDs translate methylated DNA into a compacted chromatin environment that is repressive for transcription (14).

## The Cancer "DNA Methylome"

DNA methylation patterns in human cancer cells are considerably distorted (Figure 1A). Typically, cancer cells exhibit hypomethylation of intergenic regions which normally comprise the majority of a cell's methyl-cytosine content (15). Consequently, transposable elements may become active and contribute to the genomic instability observed in cancer cells (16). Simultaneously, cancer cells exhibit hypermethylation within the promoter regions of many CpG island-associated tumor suppressor genes, such as the retinoblastoma gene (*Rb1*), glutathione S-transferase pi (*GSTP1*), and E-cadherin (*CDH1*). As a result, these regulatory genes are transcriptionally silenced resulting in a loss-of-function. Thus, through the effects of both hypo- and hyper-methylation, DNA methylation significantly affects the genomic landscape of cancer cells, potentially to an even greater extent than coding region mutations which are relatively rare (17).

The recent development of several genome-scale methylation screening technologies (reviewed in (18)) has considerably expanded our understanding of DNA methylation patterns, both in normal and cancerous cells. In addition to confirming 1) that the repetitive portion of the genome is heavily methylated while most CpG islands remain unmethylated in normal cells, and 2) that cancer cells exhibit widespread loss of intergenic DNA methylation with gain of methylation at many gene-associated CpG islands, these studies have generated significant novel information regarding DNA methylation patterns. For example, within the DNA "methylome" of individual tumors approximately 1–10% of CpG islands are aberrantly hypermethylated (19,20). Contrary to previous notions, one study found that nearly 5% of gene-associated CpG islands are methylated in normal peripheral blood leukocytes (PBLs) and that a fraction of these normally methylated CpG islands become hypomethylated and transcriptionally active in cancer cells (21). Genome-wide studies also revealed that promoter-associated CpG islands are not the only islands affected by aberrant DNA methylation. Some CpG islands located within the 3' ends of genes (22) and in intergenic regions (23) exhibit hypermethylation in cancer cells. However, unlike promoter methylation, it is unclear to what extent methylation of these non-promoter CpG islands might affect gene expression. In fact, analysis of several genes with 3' CpG islands demonstrated increased gene expression upon

hypermethylation suggesting a novel function for DNA methylation in this location (22). Thus, cancer-associated DNA methylation patterns are more complicated than previously thought and may have as yet unanticipated effects on gene expression and cellular function.

## Mechanisms of Aberrant CpG Island Methylation

Genome-wide studies are also revealing the relationships between the DNA methylomes of different tumor types. Tumors derived either from different tissues or from the same tissue, but with different histology, exhibit unique methylation profiles (19,24-26). Despite the considerable variation among tumors, a subset of CpG islands are frequently methylated in multiple tumor types (19,26). One recent study found that while approximately 40% of hypermethylation events occurred in only one tumor-type, more than 10% were methylated in at least 50% of tumor types (26). Thus, it appears that one mechanism driving the hypermethylation of some CpG islands is an inherently elevated susceptibility to *de novo* methylation. However, it remains unclear what contributes to this susceptibility. Several mechanisms, centering around two basic themes, have been proposed: selective advantage and selective targeting. The selective advantage hypothesis posits that aberrant DNA hypermethylation begins with random seeding of DNA methylation throughout the genome, perhaps resulting from deregulation of the DNA methylation machinery. Those methylation events occurring within the promoters of genes that function to limit cell survival and proliferation (i.e. tumor suppressor genes) are then selected for during tumor progression. Support for this hypothesis was recently provided by mouse models of cancer in which *MYC* over-expression was coupled with inactivation of *Pten*, *Trp53*, or *E2f2* (27). Nearly 4 dozen CpG islands were found to exhibit late-stage differential methylation that occurred in a genotype-specific manner. Since the different genotypes generate unique selective pressures, it can be argued that these genotype-specific methylation events resulted from the outgrowth of cells that harbored advantageous hypermethylation events. However, since not all hypermethylated genes confer a growth or survival advantage, and many are not expressed in normal tissues, selective advantage can not be the only mechanism.

The second hypothesis suggests that hypermethylation results from the aberrant targeting of DNMTs to certain regions and/or that these regions possess intrinsic, *cis*-acting features that make them better substrates for *de novo* DNA methylation. An example of a specific *trans*-acting factor that might target methylation is the oncogenic fusion protein PML-RAR which is capable of directing *de novo* DNA methylation to its target genes (28). On the other hand, the hypothesis that *cis*-acting mechanisms play a role in the targeting of DNA methylation is supported by the findings that hypermethylated genes tend to cluster in the genome (29,30) and that they exhibit common sequence signatures (30-33). Several approaches have been utilized to identify *cis*-acting DNA sequences that might contribute to methylation susceptibility. First, the DNA methylation machinery has been found to have a target site preference that extends at least 4bp 5' and 3' of the CpG site (34). *In vitro* validation experiments demonstrated a 500-fold difference in the methylation rates of preferred substrates (e.g. CTTAC**CG**CAAG) compared to non-preferred substrates (e.g. TGTTC**CG**GTGG) (34). A related approach utilizing massively parallel sequencing of bisulfite modified DNA from leukemia and lymphoma samples identified a 30bp motif that was capable of predicting methylation susceptibility for CpG dinucleotides with up to 75% accuracy in cross-validation studies (35). Second, several groups, including ours, have utilized pattern recognition and/or motif elicitation to discover DNA sequence signatures of CpG dinucleotides or CpG islands with increased susceptibility to DNA methylation, both in normal or cancer cells (30-33). Despite the identification of several sequences that correlate with methylation susceptibility, the specific functions of these patterns remain unknown. Additionally, little consensus exists between the patterns identified by different groups, which likely results from the use of different datasets and varied computational approaches. Similar analyses of CpG islands resistant to

hypermethylation have identified motifs that correlate with zinc-finger transcription factor binding (36) and, perhaps unexpectedly, with Alu repetitive elements (33). Identification of these sequence signatures has permitted the development of classification algorithms which predict the methylation status of CpG islands either in normal cells (31,37), a cell culture model of *de novo* methylation (32,33), or cancer cells (38,39). Through further pattern recognition and supervised learning approaches, we may discover additional features of CpG islands that regulate methylation susceptibility.

## The Histone Code and DNA Methylation Connection

DNA methylation is only part of a broader epigenetic code that dictates transcriptional potential of genomic domains. DNA is wrapped around an octamer of histone proteins to form the nucleosome, the smallest unit of chromatin. The amino terminal tails of the histones protrude from the nucleosome body and are subject to considerable post-translational modifications including acetylation, methylation, phosphorylation, ubiquitination, and sumoylation (40). The constellation of specific modifications, referred to as the “histone code”, influences interactions with the DNA backbone, neighboring nucleosomes, and non-histone chromatin proteins (*e.g.* modification-specific binding factors) to mediate the assembly of a chromatin environment that is either permissive or repressive for transcription (41). In general, permissive regions exhibit an open chromatin structure marked by hyperacetylation of histones H3 and H4 and di- and tri-methylation of histone H3 at lysine 4 (H3K4me<sub>2/3</sub>) (42) (Figure 1). In contrast, repressed regions exhibit a compact chromatin structure that lacks H3/H4 acetylation and H3K4 methylation, and instead is enriched in the “repressive” modifications, di- and tri-methylation of H3K9 (H3K9me<sub>2/3</sub>), tri-methylation of H3K27 (H3K27me<sub>3</sub>), and trimethylation of H4K20 (H4K20me<sub>3</sub>) (Figure 1) (42,43). While the code is not yet fully deciphered, it is apparent that DNA methylation can both influence, and be influenced by, histone modifications.

Like DNA methylation, the histone portion of the epigenome undergoes both widespread and gene-specific changes in cancer. Overall, cancer cells exhibit a global decrease in the levels of H4K20me<sub>2/3</sub>, H3K9me<sub>2</sub>, and H4 acetylation (Ac), particularly at H4K16 (44,45). Like cancer-associated DNA hypomethylation, the loss of H4K16Ac and H4K20me<sub>2/3</sub> derives primarily from the repetitive fraction of the genome, occurs in premalignant lesions, and increases in magnitude during tumor progression (44). The loss of DNA methylation, H3K9me<sub>2</sub>, and H4K20me<sub>3</sub> speaks to a global dysregulation of transcriptional repression in cancer cells, which may promote tumorigenesis through the de-repression of endogenous transposons (*e.g.* Alu) or miRNAs (see below), an impaired DNA damage response (46), loss of checkpoint controls (47), and increased chromosomal instability (48).

The mechanisms by which CpG islands remain unmethylated in normal cells and acquire DNA methylation in cancer cells is likely intimately linked to the underlying histone code. The unmethylated CpG islands of active genes are enriched in acetylated H3 and H4 and H3K4me<sub>2</sub> (42) (Figure 1). Nucleosomes are strongly positioned across CpG islands in their active and unmethylated state, except at the transcription start site where there is often a one nucleosome gap (49,50). In contrast, the CpG islands of genes that are aberrantly methylated in cancer cells are remodeled such that nucleosomes are more randomly positioned and there is a shift from H3/H4 acetylation and H3K4 methylation, to H3K9me<sub>2/3</sub> and/or H3K27me<sub>3</sub> (Figure 1). This is achieved through the recruitment of MBDs, histone deacetylases (HDACs), histone methyltransferases, and H3K9me<sub>2/3</sub> binding proteins (*eg.* HP1) which lock the domain into a heterochromatin-like state that is mitotically heritable and essentially irreversible.

Whereas considerable effort has gone into defining the characteristics of these beginning and end stages, the exact sequence of events and underlying molecular mechanisms are not yet

resolved. Of considerable recent interest is the inter-dependent relationship between DNA methylation and histone methylation. Methylation of H3K9 and DNA methylation are tightly associated in heterochromatin and transcriptionally-repressed euchromatic regions. H3K9 methylation is absolutely required for DNA methylation in fungi and directs CpNpG methylation in plants (51,52). The SUV39H1/2 histone methyltransferases catalyze the trimethylation of H3K9 at pericentric heterochromatin, and are necessary for the maintenance of DNA methylation in these regions (53). Dimethylation of H3K9 plays an equally important role in gene silencing in euchromatin and is catalyzed by distinct H3K9 methyltransferases, G9a and the related GLP/Eu-HMTase1 (54). G9a plays an important role in the silencing and subsequent *de novo* DNA methylation of embryonic and germline genes during normal development (55), and is necessary for the maintenance of DNA methylation at endogenous retrotransposons, imprinted loci, and other genes in differentiated cells (56). Interestingly, G9a-mediated DNA methylation does not require its catalytic activity (55), suggesting that it may have additional functions in directing DNA methylation, such as the recruitment of DNMTs or the recognition of methyl-lysine residues (57). A model has recently emerged for the coordinated regulation of DNA methylation and H3K9me2 involving their co-deposition during DNA replication through direct or indirect interactions between DNMT1, G9a, the H3K9me2/3 binding factor HP1, and UHRF1, a recently described DNMT1 co-factor that binds preferentially to hemi-methylated DNA (Figure 2A) (58-61).

G9a-mediated H3K9 methylation may be one of the key factors in the maintenance of transcriptionally-silent gene promoters in cancer. Reactivation of silenced tumor suppressor genes in response to 5-aza-2'-deoxycytidine-induced DNA demethylation is accompanied by a concomitant decrease in H3K9me2, but not other silencing marks such as H3K9me3 or H3K27me3 (62,63). Furthermore, G9a is enriched at the promoters of aberrantly methylated genes in cancer cells, and co-recruitment of G9a, DNMT1, and HP1 to the promoter of the *survivin* gene stimulates H3K9me2 and DNA hypermethylation (61). Indeed, recent evidence suggests that inhibition of G9a alone is sufficient to induce the reactivation of silenced metastasis suppressor genes in cancer cells, an effect that is potentiated by concurrent inhibition of DNMT1 (64), thus paving the way for novel therapeutic approaches aimed at the combined inhibition of H3K9 methylation and DNA methylation (see below).

Polycomb-mediated repression is another chromatin-based silencing mechanism with ties to aberrant DNA methylation in cancer. The EZH2 histone methyltransferase is a component of the Polycomb Repressive Complex 2 (PRC2) and represses developmental regulatory genes through establishment of the H3K27me3 mark (Figure 2B). A second complex, Polycomb Repressive Complex 1 (PRC1), which consists of HPH, HPC, RING1, and BMI1, binds H3K27me3 and stimulates transcriptional silencing through nucleosome compaction mediated by exclusion of chromatin remodeling enzymes and ubiquitylation of H2AK119 (65, 66). Several links have now been established between this important developmental transcriptional regulator and DNA methylation: 1) both the DNA methylation and polycomb machinery are required for early embryonic differentiation and development (67, 68), 2) PRC components interact with the DNMTs either directly, as in the case of EZH2, or indirectly through the DNMT1-associated protein 1 (Dmap1), as in the case of BMI1 (69, 70), and 3) EZH2, Bmi1, and Dmap1 are necessary for the maintenance of some CpG island methylation patterns in both normal and cancer cells (69, 70).

PRC2 is an attractive candidate for the targeting of aberrant hypermethylation. Several of its components, including EZH2, are over-expressed in cancer, and a 'polycomb repression' signature is observed in metastatic prostate cancer (71,72). PRC2 is necessary for *de novo* methylation of p16 during immortalization of mammary epithelial cells (73) and is required, along with DNMT1, to maintain epigenetic silencing of the *Fas* gene in K-ras-transformed cells (74). Furthermore, several recent studies have demonstrated that genes marked by PRC2

in embryonic stem cells and/or normal cell types are predisposed to future hypermethylation in cancers (39,43,75,76). Interestingly, a sequence signature which predicts methylation-prone CpG islands also identifies PRC2 binding sites and incorporation of PRC2 binding information into the prediction algorithm improved prediction accuracy (39). However, the complexity of this relationship is highlighted by recent studies that have reported genes that acquire H3K27me3 and EZH2 binding *de novo* in cancer cells without DNA methylation (77), genes that lose the H3K27me3 mark after acquiring *de novo* DNA methylation and H3K9 methylation (77,78), and genes whose aberrant DNA methylation is maintained in the absence of H3K27me3 or EZH2 binding (79). Thus, the simple notion of one repressive mark equaling future DNA hypermethylation appears over-simplified.

Interestingly, the connection between PRCs and DNA methylation may not be limited to an effect on H3K27me3. Recent work has shown that PRC2 recruits to its target genes the H3K4me2/3 demethylase Rbp2 (Jarid1a) which may promote DNA methylation through demethylation of H3K4 (Figure 2C) (80). Methylated CpG islands universally exhibit loss of H3K4 methylation both in the context of normal differentiation and cancer-associated silencing (81, 82). Unmethylated H3K4 (H3K4me0) is recognized by the catalytically-inactive DNMT regulatory factor DNMT3L, which may stimulate *de novo* DNA methylation via its binding partners, DNMT3A or DNMT3B (83) (Figure 2C). While this mechanism was reported to function during gene imprinting, it may also contribute to cancer-associated hypermethylation.

Alterations in histone H4 modifications may also contribute to the aberrant silencing of certain genes in cancer. H4K20me3 is a repressive mark found in constitutive heterochromatin and at imprinted genes where it is selectively enriched on the DNA methylated allele (47,84,85). Recent work from our lab indicates that H4K20me3 localizes to the promoter of the *TMS1/ASC* gene in human breast cancer cells in which it is methylated and transcriptionally silent, suggesting that H4K20me3 also plays a role in the repression of selected genes in cancer (50). Currently, little is known about the targeting of H4K20me3 to individual genes, but it may involve an interaction between SUV4-20H, the histone methyltransferase responsible for H4K20me2/3, and the retinoblastoma tumor suppressor which is necessary for its localization to heterochromatin (86). H4K20me3 may repress transcription in part by antagonizing H4K16Ac (Figure 2D). H4K16Ac is associated with active genes, but also plays an important role in mediating euchromatin/heterochromatin boundaries in yeast (87). Similarly, we find that H4K16Ac selectively marks the nucleosomes flanking the unmethylated CpG island and maintains nucleosome positioning and gene activity at the *TMS1/ASC* locus. Thus, loss of H4K16Ac may be a pre-requisite to epigenetic silencing in cancer cells. Down-regulation of hMOF, the histone acetyltransferase responsible for H4K16Ac, has been observed in human breast cancers and medulloblastomas (88), and its loss of function leads to defects in the cell cycle and genome instability (89). Together, these data point to the dysregulation of an epigenetic switch involving H4K16Ac and H4K20me3 that may be involved in the aberrant silencing of at least some tumor suppressor genes in cancer.

While studies regarding the role of repressive histone modifications in cancer have focused primarily on lysine methylation, recent work also suggests a potential role for histone arginine methylation. Arginine methylation is mediated by a family of protein arginine methyltransferase (PRMTs) which are classified into two general types based on whether they catalyze dimethylation asymmetrically (me2a) or symmetrically (me2s) (90). PRMT6 and CARM1 are type I PRMTs that are responsible for H3R2me1 and H3R2me2a (90). PRMT5, on the other hand, is a type II PRMT that mono- methylates and symmetrically dimethylates H4R3 and H3R8 (90). H3R2me2a represses transcription by inhibiting both H3K4 methylation (91) and binding of the basal transcription machinery (92). Since loss of the H3K4me3 and CpG island hypermethylation are closely correlated, it is possible that methylation of H3R2 also has an impact on *de novo* DNA methylation. A more direct effect on DNA methylation

may be mediated by H4R3me2s as DNMT3A was recently shown to bind histone tails bearing this modification (93). Furthermore, modulation of PRMT5 levels positively correlated with DNA methylation at the  $\gamma$ -globin promoter (93). A role for H4R3me2 in cancer is supported by immunohistochemical studies demonstrating that the levels of H4R3me2 (along with 4 other histone modifications), was capable of predicting the risk of local recurrence following prostatectomy in low-grade prostate cancers (94).

The above discussion underscores the complex relationship that exists between the histone code and susceptibility to DNA methylation and suggests that this relationship may be crucial to the development and targeting of DNA hypermethylation in cancer cells. From a clinical/translational point of view, a multi-faceted strategy targeting multiple components of the epigenetic machinery may be more effective for the re-awakening of silenced tumor suppressor genes. Thus far, clinical applications of “epigenetic therapy” have primarily focused on nucleoside analog inhibitors of the DNMTs, alone (95) and in combination with HDAC inhibitors (95-98). Histone methyltransferase inhibitors have not yet been widely explored in cancer therapy, but small molecule inhibitors of these enzymes are beginning to reach preclinical testing. In particular, a small molecule inhibitor of G9a and GLP, BIX01294, has demonstrated efficacy in reducing global and gene-specific H3K9me2 levels, resulting in the reactivation of several known G9a targets (99). The structure of BIX01294 in complex with GLP and the cofactor S-adenosyl-methionine was recently solved (100), and should facilitate lead optimization to generate new, more effective compounds. Similarly, an inhibitor of polycomb complexes, DzNEP, was identified in a screen of the NCI small molecule library for agents that induce E2F-mediated apoptosis (101). A known inhibitor of adenosyl-homocysteine hydrolase, DzNEP induces cell death at doses in the  $\mu$ M range in a number of cancer cell lines, but not in normal cells. DzNEP induces hypomethylation of H3K27me3 and to a lesser extent H4K20me3, but has no impact on H3K9me3. Interestingly, DzNEP treatment was more effective than 5-aza-2'-deoxycytidine alone, or in combination with an HDAC inhibitor, at inducing the reactivation of PRC2 target genes (101). However, only a fraction of the genes induced by DzNEP treatment were also up-regulated in response to knockdown of PRC2 components suggesting that DzNEP likely affects other histone methyltransferases in addition to EZH2. As the area of cancer epigenetics continues to grow, it is very likely that additional histone methyltransferase inhibitors and agents that target other components of silencing complexes (e.g. histone demethylases and DNA/histone methylation binding factors) will continue to surface.

## Drivers of Hypermethylation: Carcinogens, DNA Damage, and microRNAs

While the precise molecular mechanisms underlying the establishment of aberrant hypermethylation remain elusive, recent studies have identified some of the contributing etiologic factors. For example, chronic exposure of human bronchial epithelial cells to tobacco-derived carcinogens drives hypermethylation of several tumor suppressor genes including E-cadherin and *RASSF2A* (102). Stable knockdown of DNMT1 prior to carcinogen exposure prevented methylation of several of these genes indicating a necessary role for this enzyme in the molecular mechanism underlying hypermethylation (102). The reactive oxygen species (ROS) associated with chronic inflammation is another source of DNA damage with the potential to affect DNA methylation as halogenated pyrimidines, one form of ROS-induced damage, mimic 5-methylcytosine and stimulate DNMT1-mediated CpG methylation in vitro and in vivo (103,104). Indeed, study of the glutathione peroxidase 1 and 2 double knockout model of inflammatory bowel disease found that 60% of genes that are hypermethylated in colon cancers also exhibit aberrant methylation in the inflamed non-cancerous precursor tissues (105). Although the mechanisms by which DNA damage mediates DNA methylation are not fully understood, O'Hagan *et al* (106) have examined the process with an engineered cell culture model in which a unique restriction site was incorporated into the CpG island of the E-cadherin

promoter. Following induction of a double-strand DNA break in this model, the SIRT1 histone deacetylase was recruited to the site along with components of PRC2, DNMT1, and DNMT3B (106). The region was subsequently deacetylated at H4K16, methylated at H3K27, transcriptionally silenced, and in some cases DNA hypermethylated. Taken together, these studies suggest that one source of *de novo* DNA methylation during carcinogenesis may be the DNA damage generated during persistent cancer-associated inflammation.

Another area of recent interest is the contribution of microRNA (miRNA) species to DNA methylation. These single-stranded non-coding RNA molecules of ~21 nucleotides regulate gene expression through partial complementary hybridization with the 3' untranslated regions of mRNAs with subsequent mRNA cleavage or translational inhibition. As many as 1000 miRNAs may be encoded within the human genome (107) and, with each miRNA possibly controlling the expression of multiple targets, it is estimated that more than 25% of human genes may be regulated by miRNAs. MicroRNAs are processed and matured by the DICER RNase III family nuclease and studies of cells deficient in DICER have implicated miRNAs in *de novo* DNA methylation (108-110). *DICER*<sup>-/-</sup> ES cells exhibit considerable loss of DNA methylation at H4K20me3- and H3K9me3-enriched heterochromatin, suggesting that DICER is necessary for the maintenance of DNA methylation in these regions (108). The miR-290 family miRNAs normally target the retinoblastoma-like 2 (*Rbl2*) gene whose protein product represses E2F-mediated transcription of all three active DNMTs (Figure 3). These miRNAs fail to mature in *DICER*<sup>-/-</sup> cells, thus leading to down-regulation of the DNMTs (108). Functional miRNA processing was also required for the hypermethylation of at least 8 CpG islands, including that of *SFRP4*, in a human colon cancer cell line lacking DICER (110). Finally, Fabbri *et al* (111) identified another family of miRNAs (miR-29) that repress the *DNMT3A* and *DNMT3B* genes directly (Figure 3). Interestingly, this family of miRNAs is itself hypermethylated in lung cancers leading to overexpression of the *de novo* DNA methyltransferases (111). Restoration of the miR-29 family in lung cancer cell lines induced DNA demethylation and reduced cell proliferation and tumorigenicity (111). Recent studies utilizing miRNA profiling approaches have demonstrated that a significant fraction of miRNAs are regulated by epigenetic mechanisms (112,113). Pharmacologic 'unmasking' of miRNAs hypermethylated in cell lines derived from lymph node metastases identified three miRNAs that normally function to suppress metastasis by targeting the c-MYC, CDK6, E2F3, and TGIF2 transcripts (113). Thus, it is clear that a complex inter-dependent relationship exists between miRNAs and DNA methylation with important implications for both gene silencing and tumor progression (i.e. metastasis).

## Clinical Applications of DNA Methylation

Recent advances in our understanding of cancer-associated DNA methylation underlie many promising clinical applications including the development of molecular markers for early detection of cancer, prediction of prognosis, and prediction of treatment outcomes. The ability of methylation markers to detect cancers has been evaluated in multiple body fluids including sputum, plasma, stool, urine and nipple aspirates (Table 1). While the results have been encouraging, limitations have thus far prevented wide-spread clinical application. First, the methylation frequency of many candidate genes is not high enough to achieve the sensitivity required for a clinical test. Second, a methylation assay that exhibits suitable sensitivity in primary tumors may not perform as well when applied to bodily fluids. Technical advances in the near future are expected to dramatically reduce these problems. Current genome-wide methylation profiling technology which permits the rapid and simultaneous analysis of thousands of loci will likely help identify novel, superior methylation markers with higher sensitivity and specificity.



Abnormal promoter methylation can also provide prognostic information (Table 1). In resected early stage lung cancer, methylation of the pro-apoptotic gene *DAPK* has been associated with significantly shorter disease-free and overall survival (OR for death 1.69) (114). In hormone receptor (+) Her-2 (-) breast cancer, *PITX2* methylation was both an independent risk factor for recurrence in node-negative patients treated with tamoxifen (115) and node-positive patients treated with anthracycline-based adjuvant chemotherapy (116). Combinations of multiple methylation markers may provide even more prognostic potential. A 4-marker panel including *p16*, *H-cadherin*, *APC*, and *RASSF1A* was associated with a higher risk of recurrence in resected early stage lung cancer, particularly when simultaneous methylation of two markers (*p16* and *H-cadherin*) was present in both primary tumor and histologically negative mediastinal lymph nodes (OR for recurrence 15.5) (117). Similar relationships exist between the number of methylated genes and risk of relapse in breast and prostate cancer (118-120).

Since genes involved in the repair of DNA damage are frequently targeted by hypermethylation in cancers, the study of these loci may be useful in predicting response to chemotherapy (Table 1). The best correlation reported to date is between methylation of the *MGMT* gene and response to alkylating agents in gliomas (121,122). Loss of *MGMT* renders cells unable to repair alkylating agent chemotherapy-induced DNA damage and induces cell death. These findings have been validated in prospective randomized trials in patients with gliomas (122). Analogously, correlations have been observed between *CHFR* silencing/methylation and sensitivity to taxanes (123-125), *FANCF* methylation and sensitivity to cisplatin in ovarian cancer cell lines (126), *p73* methylation and sensitivity to cisplatin in the NCI60 cell line panel (127), and methylation of the premature aging syndrome *Werner-1* gene and sensitivity to the topoisomerase II inhibitor irinotecan in colon cancer (128). With increasing application of genome-wide methylation profiling, it is anticipated that additional methylation markers with improved prognostic potential will soon be available. When combined with other profiling techniques, such as gene expression profiling, a patient's DNA methylome may play a crucial role in the development of personalized medicine.

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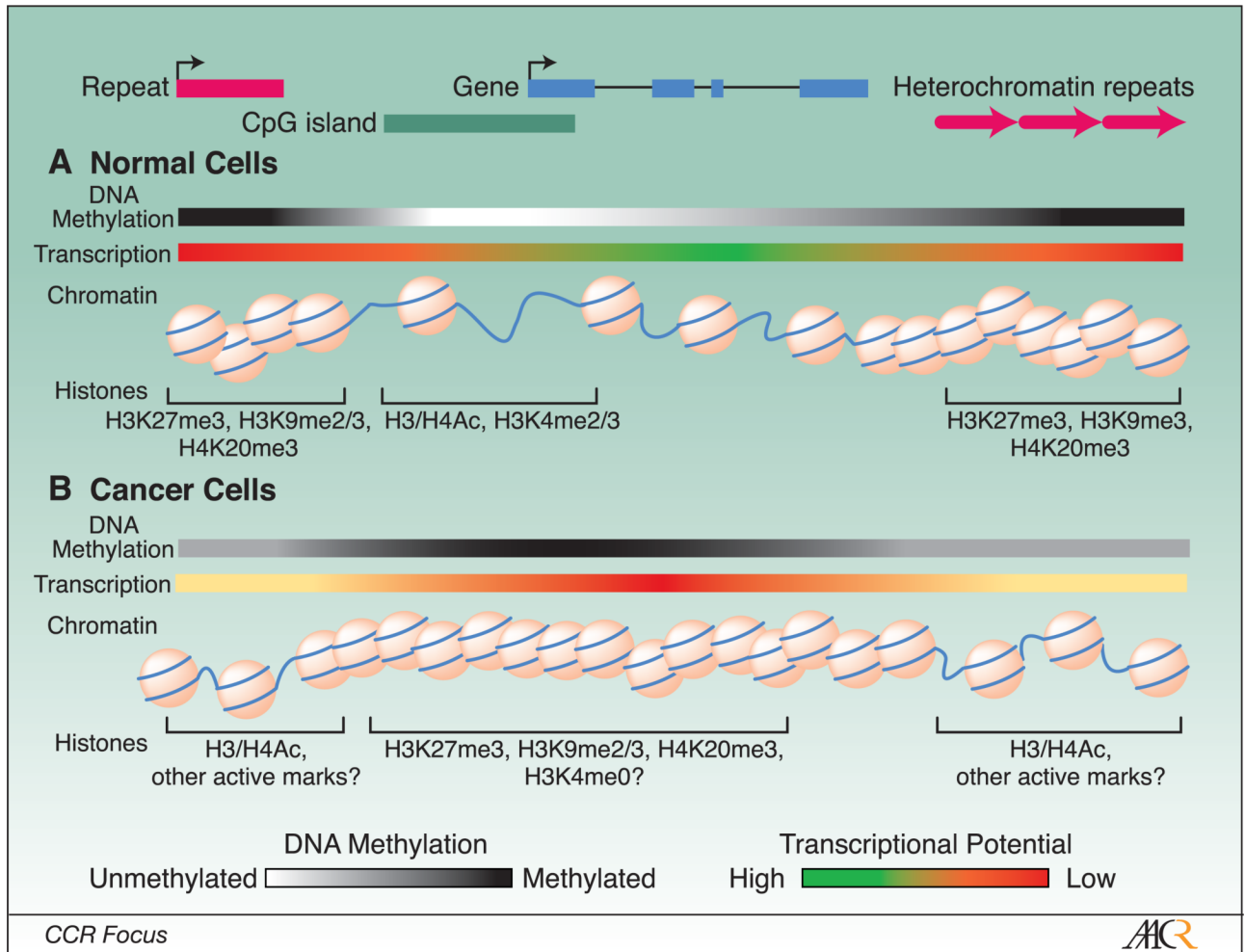
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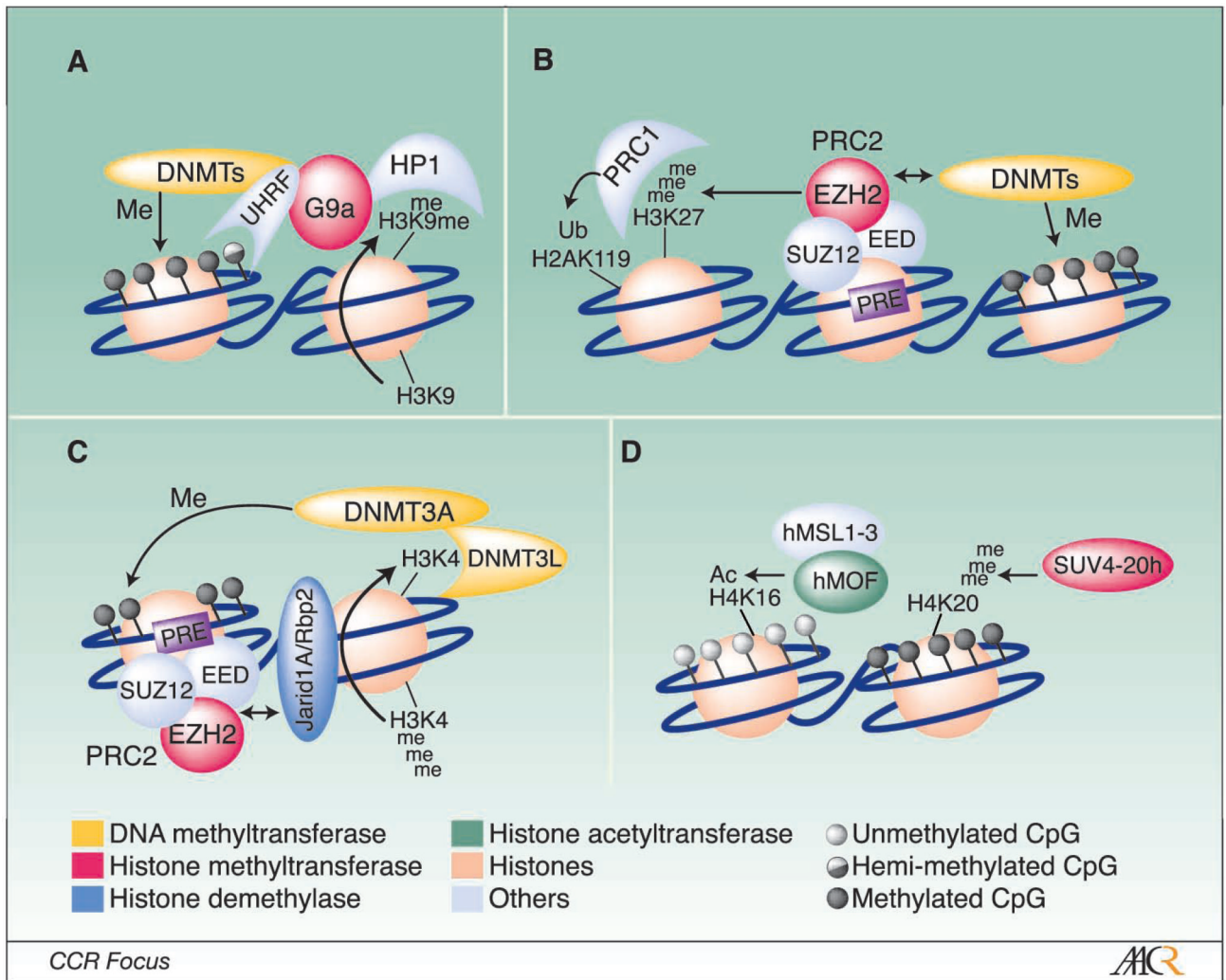
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**Figure 1. DNA methylation and histone modification patterns are altered in cancers**

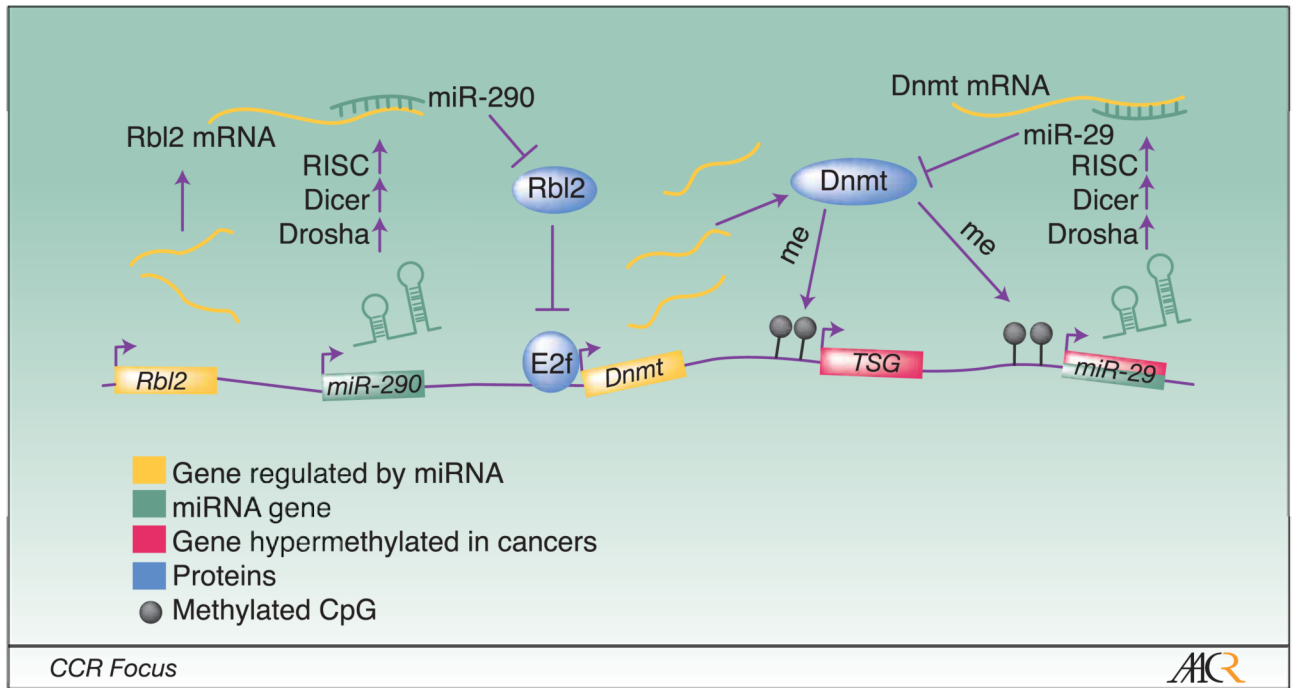
A, Approximately 70% of genes possess promoter-associated CpG islands that mostly remain unmethylated in normal cells unlike the remainder of the genome which tends to be heavily methylated. Maintenance of an unmethylated promoter CpG island positively contributes to a high transcriptional potential and is associated with active histone modifications including histone H3 and H4 acetylation and methylation at H3K4. B, Cancer cells, on the other hand, exhibit dense hypermethylation of up to 10% of CpG islands as well as hypomethylation of bulk chromatin including intergenic regions and repetitive elements. A densely methylated CpG island is capable of driving chromatin compaction and repressing gene expression in association with repressive modifications including H3K9me3, H3K27me3, and/or H4K20me3.





### Figure 2. Chromatin-mediated repression mechanisms associated with DNA methylation

**A, G9a links H3K9me<sub>2</sub> and DNA Methylation.** The H3K9 methyltransferase G9a may stimulate *de novo* DNA methylation through either the direct recruitment of DNMTs, or indirectly, through interactions between the DNMTs and the methylated H3K9 binding factor, HP1. Recent evidence linking G9a to the DNMT1 cofactor UHRF1 suggests that H3K9me<sub>2</sub> and DNA methylation may be coordinately regulated during DNA replication. **B, PRC2 mediates H3K27me<sub>3</sub> and recruits the DNMTs.** In addition to mediating the repressive H3K27me<sub>3</sub> modification, PRC2 may recruit the DNA methyltransferases to its target genes thereby stimulating *de novo* DNA methylation. Via this mechanism, PRC2 drives the irreversible silencing of many genes normally involved in stem cell maintenance, development, and differentiation. **C, PRC2 stimulates demethylation of H3K4.** PRC2 may also have an indirect effect on DNA methylation through the recruitment of the Rbp2 (Jarid1a) H3K4me<sub>2/3</sub> demethylase to its target genes. Rbp2 catalyzes the demethylation of H3K4me<sub>2/3</sub> to H3K4me<sub>0</sub> which further recruits DNMT3L and the DNMT3A *de novo* methyltransferase. **D, Relationship between H4K16Ac, H4K20me<sub>3</sub>, and DNA Methylation.** The histone H4 modifications H4K20me<sub>3</sub> and H4K16Ac may play opposing roles in gene repression. Recent work indicates that some genes that undergo aberrant DNA methylation in cancer lose H4K16Ac and gain H4K20me<sub>3</sub>, a mark typically associated with repressed genes. Unfilled circles, unmethylated CpGs. Filled circles, methylated CpGs.



**Figure 3. MicroRNAs (miRNAs) play a complex role in the regulation of genome-wide DNA methylation patterns**

Micro RNAs are ~21 nucleotide single-stranded non-coding RNA molecules that are transcribed as a primary microRNA (pri-miRNA) transcript before undergoing two processing events by Drosha and Dicer. The mature miRNA interacts with the RNA-induced silencing complex (RISC) which can mediate both translational repression and mRNA transcript cleavage depending on the extent of homology between the miRNA and its target. At least two families of miRNAs affect the expression of DNMTs. The miR-290 family stimulates DNMT expression by targeting Rbl2, a retinoblastoma family protein that represses E2F-mediated activation of the DNMT genes. In contrast, the miR-29 family directly represses DNMT3A and DNMT3B transcripts. However, in cancer cells, the miR-29 locus is hypermethylated leading to transcriptional silencing of miR-29 and elevated expression of DNMT3A and DNMT3B.

**Table 1**  
Current testing and clinical application of DNA methylation for cancer.

Diagnosis and early detection					
Study	Cancer type	Gene	Tissue	Sensitivity	Specificity
Belinsky et al (129)	Lung	<i>p16, PAX5-b, MGMT, DAPK, GATA5, RASSF1A</i>	sputum	64%	64%
Gonzalzo et al (130)	Prostate	<i>GSTP1</i>	urine	58%	67%
Hoque et al (131)	Prostate	<i>GSTP1/p16/ARF/MGMT</i>	urine	87%	near 100%
Chen et al (132)	Colon	<i>Vimentin exon1</i>	stool	43%	90%
Lenhard et al (133)	Colon	<i>HIC1</i>	stool	42%	near 100%
Krassenstein et al (134)	Breast	<i>DAPK, RAR-b, p16,p14, RASSF1, GSTP1</i>	nipple aspirate	82%	100%

Prognosis			
Study	Cancer type	Gene	Outcome
Lu et al (114)	Lung	<i>DAPK</i>	HR for death (M vs. U) 1.69
Brock et al (117)	Lung	<i>p16, H-cadherin, APC, RASSF1A</i>	HR for death (M vs. U) up to 15.5
Harbeck et al (115)	Breast	<i>PITX2</i>	HR for distant recurrence (M vs.U) 2.35
Alumkal et al (119)	Prostate	<i>ASC, CDH-13</i>	HR for PSA recurrence (M vs. U) 5.64

Prediction of response				
Study	Cancer type	Gene	Therapy	Outcome
Esteller et al (121)	Glioma	<i>MGMT</i>	Carmustine	HR for death U vs M: 9.5
Hegi et al. (122)	Glioma	<i>MGMT</i>	Temozolomide	HR for death U vs M: 2.2
Tamiguchi et al (126)	Ovarian	<i>FANCF</i>	Cisplatin	<i>in vitro</i> assays IC50 <1.0 uM(S)>1.0uM (RS)
Satoh et al (125)	Gastric	<i>CHFR</i>	Taxane	increased sensitivity in <i>in vitro</i> assays
Agrelo et al. (128)	Colon	<i>Werner-1</i>	Irinotecan	OS 39.4(M) vs 20.7 (U) months p<0.05