

Comparative Genomics of the IncA/C Multidrug Resistance Plasmid Family^{∇†}

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Multidrug resistance (MDR) plasmids belonging to the IncA/C plasmid family are widely distributed among *Salmonella* and other enterobacterial isolates from agricultural sources and have, at least once, also been identified in a drug-resistant *Yersinia pestis* isolate (IP275) from Madagascar. Here, we present the complete plasmid sequences of the IncA/C reference plasmid pRA1 (143,963 bp), isolated in 1971 from the fish pathogen *Aeromonas hydrophila*, and of the cryptic IncA/C plasmid pRAX (49,763 bp), isolated from *Escherichia coli* transconjugant D7-3, which was obtained through pRA1 transfer in 1980. Using comparative sequence analysis of pRA1 and pRAX with recent members of the IncA/C plasmid family, we show that both plasmids provide novel insights into the evolution of the IncA/C MDR plasmid family and the minimal machinery necessary for stable IncA/C plasmid maintenance. Our results indicate that recent members of the IncA/C plasmid family evolved from a common ancestor, similar in composition to pRA1, through stepwise integration of horizontally acquired resistance gene arrays into a conserved plasmid backbone. Phylogenetic comparisons predict type IV secretion-like conjugative transfer operons encoded on the shared plasmid backbones to be closely related to a group of integrating conjugative elements, which use conjugative transfer for horizontal propagation but stably integrate into the host chromosome during vegetative growth. A *hipAB* toxin-antitoxin gene cluster found on pRA1, which in *Escherichia coli* is involved in the formation of persister cell subpopulations, suggests persistence as an early broad-spectrum antimicrobial resistance mechanism in the evolution of IncA/C resistance plasmids.

Antimicrobial compounds have been used extensively in agriculture since the 1960s not only to treat and prevent disease in plants, fruits, vegetables, and animals but also to promote growth in fish, poultry, and other livestock (42). The risk of transferring antimicrobial drug resistance to nonresistant bacteria and the propagation of multidrug-resistant (MDR) bacteria from agricultural to clinical and/or community-associated settings are being debated by research, regulatory, and health authorities (27, 28). In this context, the recent discovery of a group of self-transferable IncA/C antimicrobial resistance plasmids, which are widely distributed among agricultural nontyphoidal *Salmonella enterica* isolates from the United States (24, 45) has caused considerable concern in the public health community. Similar IncA/C plasmids were identified in an MDR isolate from Madagascar of *Yersinia pestis*, the causative agent of the plague (16), and MDR strains of *Vibrio cholerae* O139 from China (34), as well as in MDR isolates of the fish pathogen *Photobacterium damsela* subsp. *piscicida* from the United States and Japan (21). While the IncA/C group of

MDR plasmids seems to be efficient in collecting antimicrobial resistance traits and mobilizing them across geographical and taxonomical borders, little is known about the evolutionary origin of these plasmids or the genetic basis for their spread.

The IncA/C reference plasmid, pRA1, was isolated in 1971 from the fish pathogen *Aeromonas liquefaciens*, later renamed *Aeromonas hydrophila*, as a transferable antimicrobial resistance plasmid conferring resistance to sulfonamides and tetracyclines (2). The *repA* gene of pRA1, located at the origin of replication and responsible for encoding the replication initiation protein A, has been sequenced (25) and is used for PCR-based replicon typing of IncA/C plasmids (7). *repA* genes from all sequenced IncA/C plasmids to date share at least 98% nucleotide sequence identity.

To better understand the evolutionary origin of IncA/C plasmids, pRA1 was isolated, sequenced, and compared to all IncA/C plasmid sequences currently available. In addition to pRA1, a pRA1-derived cryptic IncA/C plasmid, designated pRAX, was also sequenced and included in the analysis. pRAX was isolated from *Escherichia coli* D7-3, a strain that was obtained through the conjugative transfer of pRA1 from *A. hydrophila* in 1980 (30). While the laboratory history of the pRAX-carrying strain *E. coli* D7-3 since the conjugative plasmid acquisition is unknown, pRAX was included in this study as it tested positive for the *repA* reference gene from pRA1 (100% nucleotide sequence identity) but negative for 11 out of

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12 additional IncA/C marker genes that were shown to be part of a conserved plasmid backbone shared by recently isolated IncA/C plasmids (45).

MATERIALS AND METHODS

Strain sources. The original pRA1-carrying *Aeromonas hydrophila* strain (2) was obtained from Catherine Llanes (Laboratoire de Bactériologie, Hôpital Jean Minjot, Besançon Cedex, France) who obtained it from Martine Couturier (Université Libre de Bruxelles, Belgium). The pRAX-carrying *E. coli* strain D7-3 was obtained from Stuart Levy (Tufts University, Boston, MA). The history of both bacterial strains prior to their arrival at the Institute for Genome Science is unknown, except for what has been published (2, 26, 30, 31). Both strains were received as agar slants and streaked on LB agar plates supplemented with tetracycline. A single colony from each of these plates was picked, grown overnight, and used to generate glycerol stock cultures.

Plasmid typing and DNA isolation. PCR-based typing of the IncA/C-specific replication initiation protein RepA and of the conserved plasmid backbone identified in recent IncA/C plasmids was performed as described previously (7, 42). pRA1 plasmid DNA was isolated from the original host strain, *Aeromonas hydrophila*, using a protocol for the preparation of DNA from large, low-copy plasmids (46). This protocol utilizes the CosMCPrep kit (Agencourt Bioscience Corporation, Beverly, MA) for DNA enrichment, followed by DNA purification from agarose gels (1% low-melting point agarose; Sigma-Aldrich, St. Louis, MO) using β -agarase (US Biological, Swampscott, MA), followed by phenol-chloroform extractions. To increase the yield of plasmid DNA preparations from *E. coli* D7-3, the copy number of pRAX per cell was increased prior to the isolation, using the EZ-Tn5 <R6K γ ori/KAN-2> Tnp transposome kit (Epicentre Biotechnologies, Madison, WI). Briefly, the *E. coli* D7-3 strain carrying pRAX was made electrocompetent and transformed with the EZ-Tn5 transposome according to the manufacturer's protocol, allowing for random insertion of the <R6K γ ori/KAN-2> transposon. Plasmid DNA was isolated from the resulting pooled transformants, and the DNA was used to transform electrocompetent *pir E. coli* EC100D cells (Epicentre Biotechnologies, Madison, WI). Transformants from this second electroporation step were screened for resistance to tetracycline and kanamycin, thereby selecting for the presence of EZ-Tn5 transposons (kanamycin resistance) integrated into the pRAX plasmid backbone (tetracycline resistance). pRAX derivatives containing the R6K γ ori insertion showed a considerable increase in copy number. Plasmid DNA was then purified using the Qiagen (Valencia, CA) QIAfilter plasmid Midi kit according to the manufacturer's protocols.

Plasmid sequencing. pRA1 and pRAX were assembled from whole-plasmid shotgun Sanger sequence reads. Sequencing libraries were constructed as previously reported (37) and sequenced using 3730xl DNA analyzer (Applied Biosystems). Assembly and manual closure were followed by manual annotation as previously described (37).

Plasmid mating experiments. Conjugative plasmid transfer systems were set up as described previously (45). Briefly, a rifampin-resistant recipient strain was generated from 1-ml overnight cultures of *E. coli* DH10B (Invitrogen) by streaking the concentrated cells from 1 ml onto LB supplemented with rifampin (100 μ g/ml) and by picking resistant clones after 24 h of incubation. For mating reactions, donor and recipient strains were grown in an orbital shaker (225 rpm) in brain heart infusion (BHI) medium at 37°C to mid-log phase, except for the pYR1 donor strain *Yersinia ruckeri* YR71, which was grown at 30°C. Medium for all donor strains contained tetracycline (10 μ g/ml), and medium for the recipient strain contained rifampin (100 μ g/ml). Donor and recipient bacteria were harvested by centrifugation (16,100 \times g, 1 min), and 200 μ l of donor and 400 μ l of recipient were mixed and spotted onto BHI agar plates. Conjugation mixtures were allowed to incubate for 2.5 h at 37°C, after which the cells were resuspended in phosphate-buffered saline, diluted, and plated onto BHI agar containing rifampin (100 μ g/ml) and tetracycline (10 μ g/ml) to counterselect for the donor and the resistance marker encoded on the plasmid.

Comparative sequence analysis. Unless otherwise stated, sequence comparisons on the amino acid or nucleotide level were carried out with the BLAST algorithm. Shared genes found on all IncA/C plasmids were identified using the BLAST Score Ratio Tool (36). Single-nucleotide polymorphisms (SNPs) were identified with the "show-snps" utility that is part of the MUMmer whole-genome alignment tool (11). For the comparison of conjugative plasmid transfer operons, the protein sequences of TraD, TraB, and TraF were concatenated for each of the compared plasmids or integrating conjugative elements. GenBank accession numbers of all proteins can be found in Table S1 in the supplemental material. TraD protein sequences showed at least 64% amino acid identity and

98% sequence coverage compared to TraD^{pRA1} (621 amino acids), TraB protein sequences showed 49% identity and 49% coverage compared to TraB^{pRA1} (438 amino acids), and TraF protein sequences showed 41% identity and 41% coverage compared to TraF^{pRA1} (346 amino acids). Protein sequences were aligned using the MUSCLE software (14). Phylogenetic trees were created using the maximum likelihood estimations with PAUP* (41) and based on Bayesian inference with MrBayes (39).

Nucleotide sequence accession numbers. The plasmid sequences pRA1 and pRAX have been deposited in GenBank (<http://www.ncbi.nlm.nih.gov/GenBank>) under accession no. FJ705807 and FJ705806, respectively.

RESULTS

The IncA/C reference plasmid pRA1. pRA1 is a circular plasmid of 143,963 bp containing 160 genes, 133 of which are encoded on the same strand as the IncA/C-specific replication initiation protein gene (*repA*) (Fig. 1). Some of the general features of pRA1 and all other IncA/C plasmids sequenced to date are summarized in Table 1. pRA1 and recent IncA/C plasmid isolates show strong overall gene synteny and share a highly conserved plasmid backbone (100.8 kb, >80% nucleotide sequence identity). This IncA/C core plasmid carries 100 protein-encoding sequences and is largely identical to the plasmid backbone identified on pIP1202 from *Yersinia pestis* IP275, pYR1 from *Y. ruckeri* YR71, and pSN254 from *Salmonella enterica* Newport SL254. This backbone is common among MDR *Salmonella* isolates from food sources (45). The most prominent IncA/C backbone features are the IncA/C origin of replication and a type IV secretion-like conjugative plasmid transfer system consisting of 16 genes located in three gene clusters at two distinct loci of the plasmid backbone (Fig. 1). Comparison of different pRA1 transfer proteins with the non-redundant protein database at NCBI (blast.ncbi.nlm.nih.gov/Blast.cgi) identified a number of integrating conjugative elements (ICES) as the closest relatives of IncA/C plasmids (see Table S1 in the supplemental material). Consequently, the nomenclature established for one of these ICES, SXT from *Vibrio cholerae*, which was based on experimental characterizations (3), was used throughout the annotation of pRA1. In contrast to all other sequenced IncA/C plasmids and ICES, pRA1 lacks *ssb*, the gene encoding the single-stranded DNA binding protein.

pRA1 carries three potential resistance genes or gene clusters (Table 1). Sulfonamide resistance is conferred by dihydropteroate synthase encoded by a *sul2* gene next to a truncated ISVsa3 element. This conserved resistance gene array is found at different locations on all sequenced IncA/C plasmids (Fig. 1). Adjacent to the sulfonamide resistance gene array and encompassed within two IS26 elements, pRA1 carries a tetracycline resistance gene cluster (*tetRA*) belonging to class D. Different classes of *tetRA* gene clusters are found on all sequenced IncA/C plasmids. Located in the same plasmid region as the sulfonamide and tetracycline resistance determinants, pRA1 also contains a *hipAB*-related gene cluster next to a phage integrase, both of which are absent from all other sequenced IncA/C plasmids. *hipAB* is a toxin-antitoxin (TA) module and was shown to be responsible for the generation of persister subpopulations in *Escherichia coli*, which show increased survival rates under antimicrobial selection (10, 32). The IncA/C-related plasmid pAsa4 from the fish pathogen *Aeromonas salmonicida* subsp. *salmonicida* (Fig. 2) (38) carries the same *hipAB*-integrase gene array as pRA1. Although both

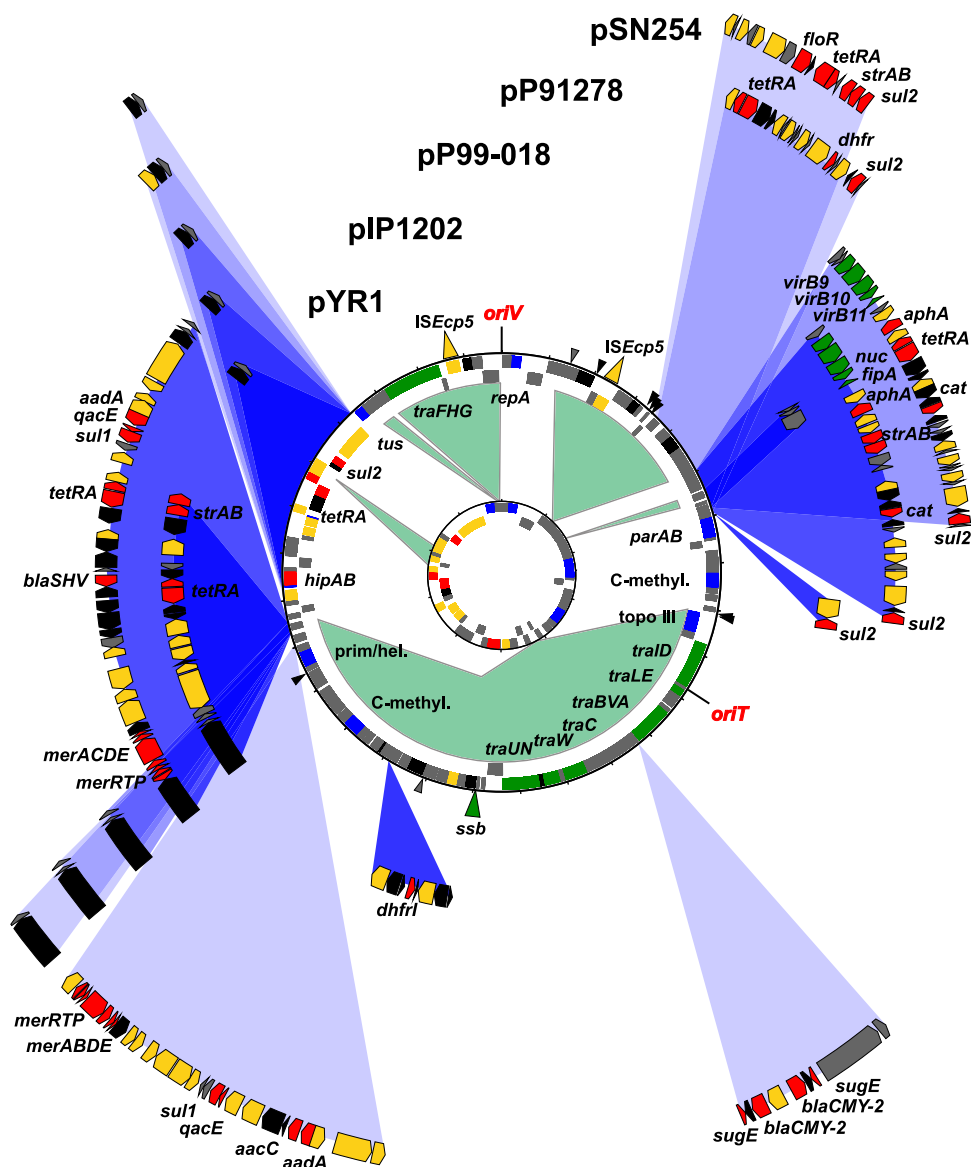


FIG. 1. Circular representation of pRA1 and pRAx compared to previously sequenced IncA/C plasmids. Circles from inside to outside: 1, pRAx (*A. hydrophila*); 2, pRA1 (*A. hydrophila*); 3, pYR1 (*Y. ruckeri*); 4, pIP1202 (*Y. pestis*); 5, pP99-018 (*P. damsela* subsp. *piscicida*); 6, pP91278 (*P. damsela* subsp. *piscicida*); 7, pSN254 (*S. enterica* serovar Newport). Genes were color coded, depending on functional annotations, as follows: transposition/recombination, gold; plasmid maintenance, blue; conjugative plasmid transfer, green; antimicrobial and heavy metal resistance, red; other functions, black; and hypothetical proteins, gray. Sequence fragments present in pRA1 but absent from pRAx are shaded in green. Major differences between pRA1 and pYR1, pIP1202, pP99-018, pP91278, and pSN254 such as additional or different sequence fragments are shown with their specific locations and shaded in blue. Locations of minor differences between pRA1 and all other plasmids (except pRAx) or between pRA1 and any of the other plasmids (except pRAx) are indicated by black and gray arrows, respectively.

a *tetRA* gene cluster (class E) and a *sul2* resistance gene are also present on pAsa4, the strongest sequence conservation between pRA1 and pAsa4 is seen at the *hipAB*-like gene cluster locus (80% nucleotide identity). A third, however, less-related, plasmid-encoded *hipAB*-like gene cluster is found on the InCHI MDR plasmid pK29 from *Klebsiella pneumoniae* (9).

Comparative analysis of historical and current IncA/C plasmids. In addition to pRA1, five recent IncA/C plasmid isolates have been sequenced, all of which were characterized based on MDR phenotypes (Table 1). pIP1202, pSN254, and pYR1 were isolated from the human pathogens *Y. pestis* and *S.*

enterica Newport and from the fish pathogen *Y. ruckeri*, respectively (45). pP99-018 and pP91278 originated from U.S. and Japanese isolates of the fish pathogen *Photobacterium damsela* subsp. *piscicida* (formerly *Pasteurella piscicida*) (21). Sequence diversity within the shared backbone sequences from all sequenced IncA/C plasmids is low. Using a set of 100 shared backbone genes, the number of SNPs ranges from zero (pIP1202 and pP99-018 comparison) to 5,956 (pRA1 and pSN254 comparison). *repA*^{IncA/C} genes from pIP1202 (*Y. pestis*), pSN254 (*S. enterica* serovar Newport), pP91278, pP99-018 (*P. damsela*), and pMRV150 (*V. cholerae*) are 100% identical

TABLE 1. General features of completely sequenced IncA/C plasmids

Parameter	Characteristic of plasmid:						
	<i>A. hydrophila</i>		<i>Y. ruckeri</i>	<i>Y. pestis</i> pIP1202	<i>P. damselae</i>		<i>S. enterica</i> pSN254
	pRAx	pRA1	pYR1		pP91278	pP99-018	
Species				Biovar Orientalis	Subsp. <i>piscicida</i>		Subsp. <i>Enterica</i>
Subclassification							serovar Newport
Strain	NA ^c	NA	YR71	IP275 (17/95)	USA91278	PT99-018	SL254
Isolation	NA	NA	United States	Madagascar	United States	Japan	United States
Yr	NA	NA	1996	1995	1991	1999	2000
Reference		2	45	45	21	21	45
Plasmid							
Estimated copy no.	NA	NA	1	1	NA	NA	1
Size (bp)	49,755	143,963	158,038	182,913	131,520	150,157	176,473
G+C content (%)	52.0	50.6	50.9	52.8	51.7	51.4	52.8
Protein-coding genes	66	158	185	212	161	187	190
Coding sequence (%)	83	85	89	87	89	89	87
No. (%) of genes on positive strand ^a	48 (73)	131 (83)	156 (84)	170 (80)	137 (85)	156 (83)	146 (77)
No. (%) of hypothetical protein genes	45 (68)	102 (65)	109 (59)	105 (50)	98 (61)	105 (56)	99 (52)
No. of transfer genes	0	16	16	16 (+3)	16	16 (+3)	16
No. of SNPs ^b	NA	0	5,702	5,776	5,778	5,776	5,956
Resistance genes							
No. of genes	5	5	6	18	4	5	21
Unspecific	<i>hipAB</i>	<i>hipAB</i>					
β-Lactams				<i>bla_{SHV}</i>			<i>bla_{CMY-2I}</i> , <i>bla_{CMY-2J}</i>
Aminoglycosides			<i>strAB</i>	<i>strAB</i> , <i>aadA</i> , <i>aphA</i>		<i>aphA</i>	<i>strAB</i> , <i>aacC</i> , <i>aadA</i>
Tetracyclines	<i>tetRA_{class D}</i>	<i>tetRA_{class D}</i>	<i>tetRA_{class B}</i>	<i>tetRA_{class D}</i>	<i>tetRA_{class D}</i>	<i>tetRA_{class D}</i>	<i>tetRA_{class A}</i>
Chloramphenicols				<i>cat</i>		<i>cat</i>	<i>floR</i>
Sulfonamides	<i>sul2</i>	<i>sul2</i>	<i>sul2</i>	<i>sul1</i> , <i>sul2</i>	<i>sul2</i>	<i>sul2</i>	<i>sul1</i> , <i>sul2</i>
Trimethoprim			<i>dhfr</i>		<i>dhfr</i>		
Quaternary ammonium compounds				<i>qacEΔ1</i>			<i>qacEΔ1</i> , <i>sugE1</i> , <i>sugE2</i>
Mercury ions				<i>merRTPCADE</i>			<i>merRTPABDE</i>

^a As defined by *repA*.

^b Based on comparison to the pRA1 gene set of 100 genes from the IncA/C plasmid backbone.

^c NA, not applicable.

at the nucleotide sequence level. All antimicrobial resistance determinants are carried within specific, but partially overlapping, mosaic regions that are integrated at few sites within the conserved plasmid backbone. Sequence composition and codon usage deviate in these regions from the conserved plasmid backbone and are indicative of horizontal gene transfer (44). Most resistance determinants are located within resistance gene arrays, composed of resistance genes or gene clusters and mobile genetic elements such as IS elements, transposons or integrons. Several conserved resistance gene arrays, e.g., those coding for sulfonamide (*sul2* plus IS*Vsa3*-like IS element) or mercury resistance (*Tn21*), are found on different plasmids, albeit they are integrated at different sites in the conserved IncA/C plasmid backbone.

An experimental conjugative plasmid transfer system was set up to confirm the functional predictions inferred from the plasmid annotations and the sequence analyses and to determine the transfer efficiency of pRA1 and other IncA/C plasmids to the plasmid-free *E. coli* strain DH10B (see Table S2 in

the supplemental material). In addition to pRA1 host *Aeromonas hydrophila*, four other IncA/C plasmid-carrying strains were tested: *Salmonella enterica* serovar Newport SL254 (pSN254), *Salmonella enterica* serovar Heidelberg SL418 (pN418), *Yersinia ruckeri* YR71 (pYR1), and *E. coli* D7-3 (pRAx). Transfer of the IncF plasmid pCVM29188_146 (GenBank accession no. CP001122) from *Salmonella enterica* serovar Kentucky strain CVM29188 was used for the comparison of transfer rates between IncA/C and IncF plasmids. Of these plasmids, only pRA1, pYR1, pN418, and pCVM29188_146 were transferable to the *E. coli* recipient strain. pYR1 showed the highest transfer rate of 5.0×10^{-2} transconjugants (tc) per CFU of donor, followed by pRA1 (9.2×10^{-3} tc/CFU donor) and pN418 (2.9×10^{-3} tc/CFU donor). All conjugative IncA/C plasmids transferred more efficiently than the *S. enterica* serovar Kentucky IncF plasmid pCVM29188_146 (3.0×10^{-4} tc/CFU donor). To identify conjugative transfer elements related to those found on IncA/C plasmids, three highly conserved transfer proteins (TraBDF) were compared with the nonredundant protein da-

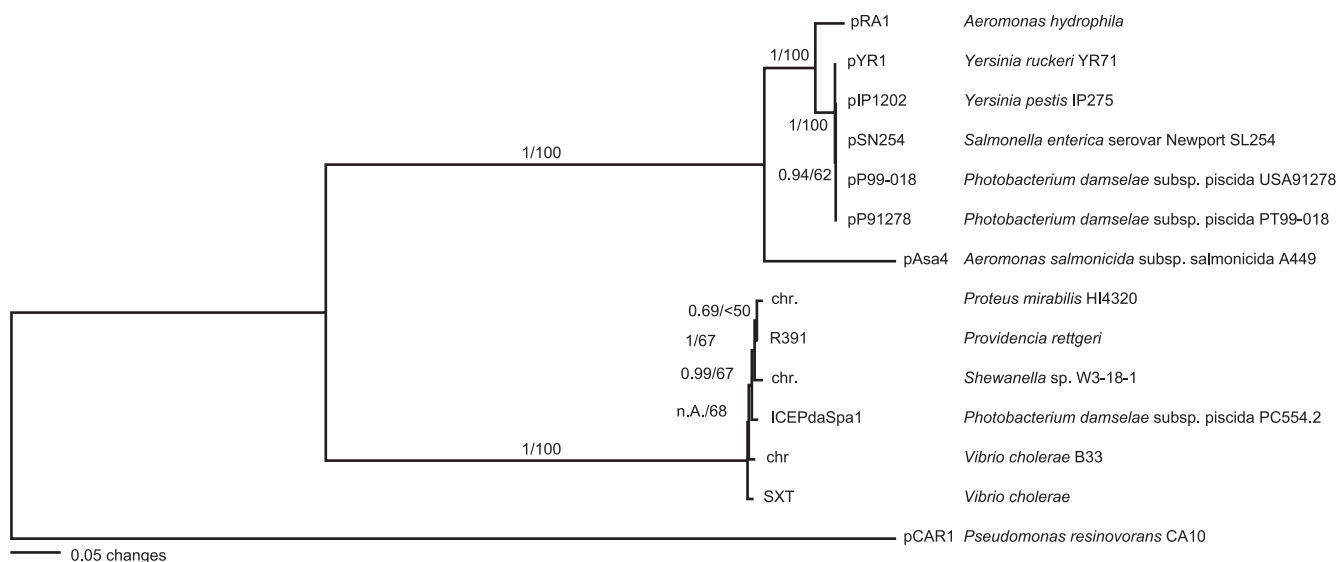


FIG. 2. Phylogenetic relationships of conjugative transfer systems found on IncA/C plasmids and integrating conjugative elements, based on maximum likelihood and Bayesian methods. The tree was created based on the alignment of concatenated protein sequences of TraD, TraB, and TraF. Bootstrap values and posterior probabilities are indicated on branches (see Materials and Methods for details).

tabase at NCBI (see Table S1 in the supplemental material). Protein sequences from all IncA/C plasmids and the resulting best matches at NCBI were concatenated, aligned, and used in phylogenetic analysis (Fig. 2). Apart from a set of proteins from the distantly related *Pseudomonas resinovorans* plasmid pCAR1 that were used as an outgroup, all related transfer proteins were chromosomally encoded. Among these were several protein sets from ICes such as SXT from *V. cholerae* (3), R391 from *Providencia rettgeri* (4), and ICEPdaSpaI from *P. damselae* (33). Phylogenetic tree predictions based on maximum likelihood and Bayesian inference methods show similar tree topologies and identical branching patterns and suggest that the group of chromosomally encoded ICes shares a recent common ancestor with the IncA/C plasmids (Fig. 2).

Analysis of the cryptic IncA/C plasmid pRAX. pRAX (49,763 bp) is about one-third of the size of pRA1. A 2,360-nucleotide (nt)-sequence region from pRAX carrying a ParA-like plasmid partitioning resolvase and two genes annotated as hypothetical proteins is the only sequence fragment that is absent from pRA1, but it can be found on pIP1202, pP99-018, pP91278, and pSN254. Conserved sequence regions between pRAX and pRA1 are distributed over six syntenic blocks that vary in size between 400 and 17,500 bp and share 100% nucleotide sequence identity (see Fig. S1 in the supplemental material). All syntenic sequence blocks that are shared between pRAX and pRA1 overlap by either 2 or 4 nt on pRAX: i.e., the last 2 or 4 nt of one conserved sequence fragment are identical to the first 2 nt of the next conserved sequence fragment (see Fig. S1 in the supplemental material). In contrast, no such sequence overlap is seen between the two syntenic blocks that carry the single sequence fragment from pRAX, which is absent in pRA1. pRAX contains 66 genes, 48 of which are encoded on the same strand as the IncA/C-specific *repA*. The IncA/C plasmid core that is shared between pRAX and all other sequenced plasmids consists of 25.0 kb (23 genes) and is involved in plasmid replication (origin and terminus of replication), main-

tenance (plasmid partitioning), and restriction/modification (DNA methylase). The corresponding gene set includes *repA* (replication initiation protein A), *tus* (terminus site-binding protein), *parAB* (plasmid partitioning proteins), and a cytosine-specific DNA methylase gene. pRAX, on the other hand, lacks 67 genes found on all other IncA/C plasmids, encoding the complete conjugative transfer apparatus, topoisomerase III, a primase/helicase, and a second cytosine-specific DNA methylase.

DISCUSSION

pRA1 was isolated in 1971 as the first member of the IncA/C group of MDR plasmids (2), members of which are now commonly identified among agricultural and clinical isolates in the United States and elsewhere. This group of plasmids is regarded as a considerable public health threat due to its ability to spread across taxonomic borders (8, 20, 29, 34, 44, 45). In this study, we present the complete plasmid sequence of pRA1 and provide new insights into the evolution of MDR IncA/C plasmids that allow for a better understanding of the underlying principles of plasmid propagation.

Previously, it was observed that IncA/C plasmids share a common structural composition that consists of a highly conserved plasmid backbone into which specific horizontally acquired resistance fragments were integrated at a few conserved sites (45). This plasmid architecture is also apparent in pRA1 and other IncA/C plasmids that have been sequenced recently (Fig. 1). It is suggestive of an evolutionary model in which each IncA/C plasmid diverged from a common ancestor through a specific process of stepwise integration events of horizontally acquired resistance gene arrays.

pRA1 shows a reduced antimicrobial resistance spectrum limited to sulfonamides (*sul2*) and tetracyclines (*tetR4*), as compared with all other sequenced IncA/C plasmids (Table 1). This spectrum possibly reflects an earlier stage of MDR plas-

mid evolution, at a time when selective pressure due to antimicrobial use was more limited. At the same time, a bias in the selection of the sequenced IncA/C plasmids cannot be ruled out, since all plasmid-carrying strains were isolated based on their antimicrobial resistance phenotypes. The *Y. pestis* MDR plasmid pIP1202, however, was isolated from a patient with bubonic plague (16). Since antimicrobial therapy in this case was very short and antimicrobial resistance phenotypes have otherwise very rarely been found in *Y. pestis* (1, 15), resistance determinants on pIP1202 were probably acquired prior to the infection of the human host with *Y. pestis* IP275. Interestingly, sulfonamides and tetracyclines were among the first antimicrobial compounds used in human and animal medicine (12, 13). As *tetRA* gene clusters on IncA/C plasmids belong to different classes, it is hypothesized that these clusters were most likely acquired through independent horizontal gene transfer events. The *sul2*-dependent sulfonamide resistance phenotype, on the other hand, is likely to represent the first antimicrobial resistance phenotype to have been acquired by a putative IncA/C precursor. Sulfonamides, in contrast to tetracyclines, are synthetic antimicrobials and were introduced as the first commercially available antimicrobial agent in the 1930s (Prontosil Red; Bayer Laboratories). It is conceivable that sulfonamide resistance, in contrast to tetracycline resistance, which was already present in the environment, had to evolve de novo and could therefore have provided a stronger selective benefit in the early evolution of the IncA/C plasmid family. Of note, sulfonamides are water soluble, often detected in sewage and surface water (17, 22), and the pRA1 host species *A. hydrophila* is a waterborne pathogen found in brackish, fresh, estuarine, marine, chlorinated, and unchlorinated water supplies worldwide (19). The combination of a waterborne host with antimicrobial exposure in the aquatic environment could have readily facilitated the evolution of the pRA1 MDR phenotype. Moreover, its ubiquity would make *A. hydrophila* a likely candidate for IncA/C plasmid transfer between and among different environmental and clinical settings.

The presence of a *hipAB*-related gene cluster on pRA1 and on the IncA/C-like MDR plasmid pAsa4 from *A. salmonicida*, both of which are likely to have evolved from a common ancestor (Fig. 2), raises interesting questions about the potential evolutionary relationship between toxin-antitoxin modules and antimicrobial resistance. In *Escherichia coli*, a chromosomally encoded *hipAB* gene cluster has been associated with the formation of persister subpopulations, which are able to provide a general unspecific antimicrobial resistance mechanism (23). It is possible that plasmid-mediated persistence might also have served as a broad-spectrum antimicrobial resistance mechanism in the early evolution of the IncA/C group of plasmids.

A key function for the horizontal spread of IncA/C plasmids lies in their conjugative self-transferability. Phylogenetic comparisons, based on conjugative transfer operons, predict that IncA/C plasmids are closely related to a group of ICEs (Fig. 2). During vegetative growth, ICEs stably integrate into a host chromosome but excise themselves prior to conjugation, integrating into a new host chromosome after conjugation (5). ICEs were shown to build cointegrates with plasmids, in this case mobilizing entire, otherwise nontransferable, plasmids through conjugation (33). ICEs, like IncA/C plasmids, are

widespread among gram-negative enterobacteria (5), and members of both groups have been identified in *P. damsela* subsp. *piscicida* and *V. cholerae* (Fig. 2). While certainly more comparative sequence analysis will be necessary to develop sound hypotheses in the future, two possible models could explain the evolution of conjugative IncA/C plasmids and ICEs: (i) IncA/C plasmids became transferable through the stable integration of an ICE precursor into the IncA/C plasmid backbone, or (ii) ICEs were derived from conjugative plasmids through excision of the conjugative transfer operon.

We tested and compared four of the sequenced IncA/C plasmids for self-transferability to *E. coli*; successful transfer of pIP1202 from *Y. pestis* to *E. coli* had been shown previously (16). pRA1, pYR1, and pN418 (which has not been sequenced yet) were transferable at comparable rates into *E. coli* and other enteric bacteria, whereas pSN254 and pRAx could not be transferred. Compared to the F-type *S. enterica* serovar Kentucky plasmid pCVM29188_146, the transferable IncA/C plasmids showed an at least 10-fold increase in transfer efficiency. However, previous transfer experiments with IncA/C plasmids from agricultural sources have shown that transfer rates for different plasmids can vary by as much as 10⁴-fold (45). An *ssb* gene is found on all sequenced IncA/C plasmids and ICEs, except for pRA1, suggesting that the single-stranded DNA binding protein is not necessary for conjugative transfer. pSN254 contains the complete set of conjugative transfer genes identified on other transferable plasmids. The only larger fragment, which is absent from pSN254 but present on all transferable plasmids, is a 5.8-kb region upstream of the *parAB* gene cluster. A screening of several transferable and nontransferable IncA/C plasmids for this fragment, using three specific PCR primer pairs, showed no consistent correlation between the presence of this fragment and plasmid self-transferability (data not shown). Welch et al. demonstrated that among the three most abundant agricultural *Salmonella* isolates harboring IncA/C, only *S. enterica* serovar Newport strains failed invariably in plasmid transfer (45). The nontransferability phenotype might therefore be due to chromosomal factors rather than plasmid-encoded features or depend on the presence of additional unknown helper plasmids.

Both pIP1202 and pP99-018 carry an additional transfer-related gene cluster within one of their resistance fragments, which is absent from all other sequenced IncA/C plasmids and ICEs. This entire cluster is most similar to the VirB mating pair formation locus identified on the *Salmonella* IncN plasmid pKM101 (35). It consists of a truncated *virB8*-like gene (similar to *traE*^{pKM101}) and *virB9*-, *virB10*-, and *virB11*-like genes (corresponding to *traO*, *traF*, and *traG* from pKM101, respectively), as well as endonuclease (*nuc*) and conjugal transfer inhibition protein (*fipA*) genes (40). Truncated genes and IS elements next to the VirB fragment suggest that it was acquired via horizontal gene transfer, possibly from another plasmid. The TraG coupling factor, which is encoded in the VirB fragment, has been shown to link mating bridge and plasmid relaxosome during conjugation (6, 18), an effect that is inhibited by FipA (40). Whether VirB fragments on pIP1202 and pP99-018 allow these plasmids to hijack external conjugation machineries encoded on other conjugative plasmids warrants further investigation.

The complete plasmid sequence of the cryptic pRA1-deri-

vate pRAx provides new insights into the minimum requirements for IncA/C plasmid maintenance. Using a PCR-based screening assay for 12 loci (in addition to *repA*) from the conserved IncA/C plasmid backbone, shared between pIP1202, pYR1, and pSN254, Welch et al. showed that of 70 IncA/C plasmids from agricultural sources, each tested positive for at least four loci (one isolate) and on average nine loci (45). pRAx, however, contains only 1 out of these 12 loci, and pRA1 contains 5. These screening results demonstrate that pRAx is likely to contain a reduced plasmid backbone compared to any of the recent IncA/C plasmid isolates. Little is known about the laboratory history of the pRAx-carrying *E. coli* strain D7-3 between the acquisition of pRA1 (30) and the use for plasmid DNA isolation in this study. However, a massive reduction in plasmid size and gene content is apparent from the comparative analysis of pRAx and pRA1. Only 25.0 kb is conserved between pRAx and all other sequenced IncA/C plasmids (Fig. 1), reducing the IncA/C plasmid core sequence to four segments, responsible for basic functions in plasmid replication, maintenance, and inheritance. The fact that pRAx, in spite of the substantial sequence loss, did not acquire a single point mutation compared to pRA1 indicates the possibility that plasmid evolution within the IncA/C group progresses faster through recombination than through the accumulation of SNPs. This is also supported by the observation that pIP1202, pP99-018, and pP91278 have almost identical plasmid backbones (only one and two SNPs difference, respectively [see below]) but very different resistance islands (Fig. 1). If plasmid variations arose due to recombination events during repeated growth of IncA/C plasmid-carrying strains in culture, a procedure which was used to prepare plasmid DNA of pRA1 and pRAx for sequencing (see Materials and Methods), the presence of the 2.3-kb fragment on pRAx and all recently isolated IncA/C plasmids, except for pYR1, would indicate that the sequenced variant represents an incomplete derivative of pRA1. While the events that lead to the plasmid reduction of pRAx are unknown, selective pressures alone are unlikely to account for the observed degree of sequence conservation between pRAx and pRA1 since conserved fragments include several truncated IS elements, which confer no apparent selective benefits. Recently, an IncA/C-type origin of replication has also been found on the small metabolic plasmid pBPs2 (estimated size, 12 to 15 kb) isolated from the obligate intracellular aphid symbiont *Buchnera aphidicola* (43). This might indicate that components involved in core plasmid functions are subject to recombination even between unrelated plasmids.

The isolation of *Y. pestis* strain IP275 with resistance to most antimicrobial drugs recommended for acute or preventive treatment of plague has caused considerable concern in the public health community, not the least due to potential biodefense concerns (1, 15). In this context, it is revealing that the 100-kb plasmid backbones of pIP1202, which was isolated in Madagascar in 1995, and pP99-018, which was isolated in Japan in 1999, lack any SNPs and that the core plasmids of pIP1202 and pP91278, isolated in the United States in 1991, differ by only two SNPs. Moreover, pIP1202 contains 98% of the sequence of pP99-018, including a complete mosaic resistance fragment (Fig. 1). Not only does this suggest that these three plasmids diverged very recently from a common ancestor, it also could indicate that the origin of pIP1202 is in the

aquatic environment. Further comparative sequence analysis of different IncA/C plasmids will be necessary to confirm this hypothesis and deepen our understanding of IncA/C plasmid evolution.

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