Presence of 1q gain and absence of 7p gain are new predictors of local or metastatic relapse in localized resectable neuroblastoma

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We have addressed the search of novel genetic prognostic markers in a selected cohort of patients with stroma-poor localized resectable neuroblastoma (NB) who underwent relapse or progression (group 1) or complete remission (group 2) over a minimum follow-up of 32 months from diagnosis. Twenty-three Italian patients with localized resectable NB (stages 1 and 2) diagnosed from 1994 through 2005 were studied. All patients received surgical treatment. Chemotherapy was administered only to the three stage 2 patients who had *MYCN***-amplified tumors. High-resolution array-comparative genomic hybridization (CGH) DNA copy-number analysis technology was used to identify novel prognostic markers. Chromosome 1p36.22p36.32 loss and 1q22qter gain, detected almost exclusively in group 1 patients, were significantly asso**ciated with poor event-free survival (EFS) ($p = 0.0024$ and $p = 0.024$, respectively). In contrast, patients with **7p11.2p22 gain, who belonged predominantly to group** 2, had a significantly better EFS ($p = 0.015$). The fre**quency of 17q gain or 3p and 11q losses did not differ significantly in group 1 versus group 2 NBs. The sensitive technique allowed us to define the smallest region of 1p deletion. In conclusion, 1q22qter gain and 7p11.2p22**

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resectable NB, but the small study size and the retrospective nature of the findings warrant further validation of the results in larger studies. *Neuro-Oncology 11, 192– 200, 2009 (Posted to Neuro-Oncology [serial online], Doc. D08-00029, October 15, 2008. URL http://neuro -oncology.dukejournals.org; DOI: 10.1215/15228517- 2008-086)*

gain might represent new prognostic markers in localized

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Neuroblastoma (NB) accounts for 9%–10% of pediatric tumors. More than 10,000 children a year worldwide develop NB, which represents the most frequent extracranial solid tumor and the main cause of cancer-related death in preschool-age children.1 Clinical variables associated with poor disease outcome include age greater than 1 year and metastatic disease at diagnosis, together with unfavorable histopathology according to the system of Shimada et al.2–4 *MYCN* proto-oncogene amplification (MNA) is strongly associated with rapid disease progression and poor outcome.⁵⁻⁸ In addition, DNA ploidy is an independent prognostic factor in patients younger than 1 year at diagnosis.⁵

Half of NB patients present with metastatic disease at diagnosis, and approximately one-third survive at 5 years.9 In contrast, patients with localized resectable NB have an excellent prognosis, with approximately 10% of them developing only local recurrences or metastatic progression.10 These latter patients may benefit from

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search for novel prognostic markers is warranted. During the last decade, comparative genomic hybridization (CGH), which allows one-step screening of DNA copy number gains and losses across the entire tumor genome, has been developed.¹⁵ A further refinement of CGH is array-CGH, which makes use of the microarray technology to increase the sensitivity by 10- to 15-fold compared with chromosomal CGH.16 Array-CGH holds great promise for the discovery of subtle genetic abnormalities in localized tumors that were previously undetected with less sensitive techniques. The aim of this study was to search for novel prognostic factors able to predict the risk of local recurrence and/or progression in a small cohort of selected patients with localized resectable NB by using array-CGH.

Materials and Methods

Patients

Twenty-three Italian patients with localized resectable NB (stages 1 and 2) diagnosed from 1994 to 2005 were studied. All patients received surgical treatment. Chemotherapy was administered only to the three stage 2 patients who had MNA tumors. Age at diagnosis ranged from 2 to 116 months. Tumor staging was performed according to the International Neuroblastoma Staging System.³ Tumors were stage 1 in 11 cases and stage 2 in 12 cases. After surgical resection, nine patients suffered from local recurrence and/or metastatic progression (group 1), whereas 14 patients remained disease-free (group 2) over a minimum follow-up of 32 months. All tumors were classified according to the histology-prognostic group classification (International Neuroblastoma Pathology Classification/Shimada)⁴ as favorable (F; 19 patients) or unfavorable (U; four patients) (Table 1). The study was conducted following the approval of a local investigation committee. Based on the results of recent studies, we divided our patients in two age groups using a cutoff of 18 months rather than 12 months.17, 18

Sample Preparation

Aliquots of primary tumor tissue were obtained at diagnosis and snap frozen at –80°C. Cryosections were examined by a pathologist to identify samples with at least 90% tumor cells for subsequent DNA extraction. Each tumor specimen was tested for DNA index by flow cytometry.19

Genomic DNA was extracted from frozen tissues by using the GenElute Mammalian Genomic DNA Miniprep Kit (Sigma Chemical Co., St. Louis, MO, USA) according to the manufacturer's instructions.

Array-Based CGH Analysis

Array-based CGH analysis was performed using commercially available oligonucleotide microarrays containing about 60-mer probes (Human Genome CGH Microarray 244A Kit; Agilent Technologies, Santa Clara, CA, USA). This platform allows genomewide survey and molecular profiling of genomic aberrations with a mean resolution of approximately 6.4 kb. Labeling and hybridization were performed following the protocols provided by Agilent Technologies. Briefly, DNA purified from tumors and reference DNA from normal male or female controls (Promega Corporation, Madison, WI, USA) were double-digested with *RSAI* and *AluI* for 2 h at 37°C. After 20 min at 65°C, DNA labeling was performed according to the Agilent protocol using the random primers labeling kit for 2 h. Each DNA sample was labeled with Cy5-coupled deoxyuridine 5'-triphosphate (Cy5-dUTP) and DNA controls with Cy3-dUTP. Labeled products were column purified, and the labeling efficiency was checked with a Nanodrop ND-1000 spectrophotometer. Cy5 and Cy3 incorporations were measured at 650 and 550 nm, respectively. Test and reference DNA were pooled and mixed with 50 µg human Cot-1 DNA (Bethesda Research Laboratories, Gaithersburg, MD, USA), 50 µl blocking buffer, and 250 µl hybridization buffer (Agilent Technologies). Before hybridization to the array, the mix was denatured at 95°C for 10 min and then preassociated at 37°C for 20 min. Hybridization was carried out for 40 h at 65°C in a rotating oven (20 rpm). The microarray slides were washed according to the manufacturer's protocol with wash buffers supplied with the Agilent Microarray 244A Kit. The slides were dried and scanned at 532 nm (Cy3) and 635 nm (Cy5) using the Agilent G2565BA DNA microarray scanner and the Feature Extraction software (version 9.1.3; Agilent Technologies). Graphical overview was obtained using Agilent CGH Analytics software (version 3.4.27).

Statistical Analysis

Descriptive statistics were performed and quantitative parameters were reported as means and SD, or as medians with minimum and maximum values in case of skewed distributions. Qualitative data were reported as frequencies and percentages. Comparison of qualitative data (gender, stage, etc.) among two groups of patients (group 1 vs. group 2) was made by the chi-square test or by Fisher's exact test in cases of expected frequencies less than five. Comparison of quantitative data (age at diagnosis, number of structural aberrations, etc.) between group 1 and group 2 was made by means of the Mann-Whitney *U*-test because the normality assumption was not fulfilled.

Overall survival (OS) and event-free survival (EFS) curves were drawn by the different groups of patients (group 1 vs. group 2) or by different types of copy number aberrations (CNAs; e.g., 1p loss vs. no 1p loss); survival curves were constructed with the Kaplan-Meier method, and the log-rank test was used to compare these curves.

Table 1. Clinical-pathologic characteristics of 23 localized neuroblastoma patients and copy number aberrations detected by array-CGH

(*continued*)

Table 1. Clinical-pathologic characteristics of 23 localized neuroblastoma patients and copy number aberrations detected by array-CGH (*continued*)

ID	Sex	Age (Months)	Primary Site/ Recurrence	DNA Index	Stage	Histology	Survival (Months)	Outcome	Losses	Gains
19	F	83	Thorax/N		2A	Unfavorable	58	ANED	19p13.3, 22q 11.21 -gter	7, 9q33.3qter
20	M	13	Abdomen/N	1.62	2B	Favorable	100	ANED	4, \times	2, 6, 7, 8, 12, 13, 17, 20, 22q12.1qter
21	F	28	Adrenal/N	1.63	2B	Favorable	59	ANED	9, 21	13, 17
22	M	16	Thorax/N	2.32	2B	Favorable	58	ANED	3p25pter	6, 7, 10, 13, 17q11.2qter, 18
23	M	33	Adrenal/N	1	2B	Favorable	38	ANED	1p21.3pter, 6p24pter, 8q12.1q13.2	amp2p24.2p24.3, 17q21.2qter

Abbreviations: M, male; ANED, alive no evidence of disease; F, female; DOD, dead of disease; AWED, alive with evidence of disease; N, none. The relevant copy number aberrations are shown in boldface.

All tests were two sided, and $p < 0.05$ was considered statistically significant. Statistica, release 6 (StatSoft Corp., Tulsa, OK, USA), was used for all the analyses.

Results

Demographic Features of Patients with Localized Resectable NB

Group 1 included five patients (four stage 1, one stage 2) younger and four patients (one stage 1, three stage 2) older than 18 months (range, 2–76 months). Tumor DNA was mostly near-diploid/tetraploid (Table 1).

Group 2 included seven patients (four stage 1, three stage 2) younger and seven (three stage 1, four stage 2) older than 18 months (age range, 2–116 months). Tumor DNA ranged from diploid to pentaploid (Table 1).

OS and EFS of group 1 and group 2 patients are shown in Fig. 1. Group 2 patients had significantly better EFS and OS than did group 1 patients (log-rank test: EFS, $p < 0.0001$; OS, $p = 0.0019$). Of the 23 patients, five died of disease, and 18 are alive (16 in complete remission, two with evidence of disease) (Table 1). The five patients who died belonged to group 1, and three of them had tumors with unfavorable histology.

Group 1 and 2 patients showed no significant difference in age, gender, disease stage, or DNA ploidy.

Array-CGH Analysis of Localized Resectable NB Tumors

Genomic typing using array-CGH disclosed multiple CNAs in all NB samples (Table 1). The cumulative losses and gains are summarized in Fig. 2A.

The total number of overrepresented regions detected in individual tumors exceeded that of underrepresented regions. Only 3 of 23 tumors had MNA. The most frequent minimal common regions (MCRs) of genomic gains were found on chromosomes 17q25.1qter (18 cases), 7p11.2p22 (10 cases), 13q14.2q33.3 (10 cases), 7q34qter (9 cases), 2p (8 cases), 6p21.2qter (7 cases),

Fig. 1. Overall survival (A) and event-free survival (B) for 23 localized group 1 and group 2 resectable neuroblastoma patients.

Fig. 2. (A) Summary of the patterns of total gains (right, green lines) and losses (left, red lines) detected in 23 localized resectable neuroblastoma samples using array-comparative genomic hybridization (CGH). (B) Aberration patterns present in group 1 tumors. (C) Aberration patterns present in group 2 tumors. Each line represents a copy number aberration in the individual tumors. The thick lines indicate the number of tumors, shown above the lines, with the same imbalances. The arrows indicate the major differences between the two CGH results.

8q24.3 (6 cases), 12q24.11qter (5 cases), and whole chromosome 18 (5 cases). The most frequent MCRs of genomic losses involved chromosomes Xpterq27.3 (9 cases), whole chromosome 4 (8 cases), 1p36.22p36.32 (7 cases), 11q14.1q23 (6 cases), 3p25.2pter (5 cases), 9p24.1pter (4 cases), 9q21.3qter (4 cases), 11p15.4pter (4 cases), 14q23.1q24.3 (4 cases), 16q24.1qter (4 cases), 19q12qter (4 cases), and 21q11.2q22.11 (4 cases) (Fig. 2A). CNAs present in less than 20% of tumor samples were excluded from the above analyses.

Comparison of Array-CGH Profiles in Group 1 and Group 2 NB Patients

Next, array-CGH profiles were compared in group 1 versus group 2 NB tumors (Fig. 2B, C). Group 1 tumors showed structural CNAs commonly detected in NB (1p, 3p, and 11q losses, 17q gain, MNA), $6-8,20-23$ as well as partial CNAs not frequently observed in NB (1q22qter gain, 13q14.2q33.3 gain, 22q13.32 gain, 6p21.2qter gain, 11p15.5 gain, 21q22.12qter loss), and occasional whole-chromosome numerical aberrations (X and 4 losses). The most frequent MCRs of imbalances were 17q21.31qter gain (8 of 9), 1p36.22p36.32 loss (6 of 9), X loss (4 of 9), 1q22qter gain (3 of 9), 3p21.32pter loss (3 of 9), 4 loss (3 of 9), 13q14.2q33.3 gain (3 of 9), 22q13.32 gain (3 of 9), 6p21.2qter gain (2 of 9), 6q12qter gain (2 of 9), 7q34qter gain (2 of 9), 8q24.3 gain (2 of 9), 11q13.3qter loss (2 of 9), 11p15.5 gain (2 of 9), 14q23.1qter loss (2 of 9), and 21q22.12qter loss (2 of 9) (each present in more than 20% of tumors) (Fig. 2B).

Group 2 NBs showed whole chromosomal gains or losses, but also segmental CNAs reported in NB (i.e., 11q loss, 17q gain, MNA), $6-8,20-23$ and partial imbalances of other chromosomes (8q12.1q13.2 loss, 21q 11.2q22.11 loss, 22q11.22 loss). The most frequent MCRs of imbalances were *i*) 17q25.1qter gain, detected in 10 of 14 group 2 tumors (5 of which had gain of whole chromosome 17); *ii*) 7p11.2p22 gain (9 of 14), 13q12qter gain (7 of 14), Xpterq27.3 loss (5 of 14), 9p24.1pter loss

Fig. 3. Median number of structural copy number aberrations (CNAs) per tumor in the two groups of patients (group 1, relapsed tumors; group 2, nonrelapsed tumors). Mann-Whitney *U*-test, $p = 0.016$.

(4 of 14), 9q21.3qter (4 of 14), 19q12qter loss (4 of 14), 8q24.3 gain (4 of 14), 11p15.4pter loss (3 of 14), 16q24.1qter loss (3 of 14), 21q11.2q22.11 loss (3 of 14), and 22q11.22 loss (3 of 14); and *iii*) whole-chromosome numerical aberrations including chromosome 6 gain (5 of 14), chromosome 12 gain (4 of 14), chromosome 18 gain (4 of 14), and chromosome 4 loss (5 of 14) (Fig. 2C).

Group 1 NBs had a significantly higher frequency of 1p loss ($p = 0.0049$, Fisher's exact test), 1q22qter gain $(p = 0.0474;$ Fig. 2B), and structural changes per tumor (medians: 6 in group 1 vs. 2.5 in group 2; $p = 0.016$) than did group 2 NBs (Fig. 3).

The latter tumors showed higher but not significant frequency of numerical changes per tumor (medians: 4.5 in group 2 vs. 1 in group 1, $p = 0.17$) and whole chromosome 12 gain ($p = 0.13$) than did group 1 NBs. 7p11.2p22 gain occurred significantly more frequently in group 2 than in group 1 ($p = 0.029$). Other CNAs detected in group 2 but below statistical significance were 9p24.1pter loss ($p = 0.127$), 9q21.3qter ($p =$ 0.127), and 19q12qter loss ($p = 0.127$) (Fig. 2C).

The frequency of 17q gain, MNA, 3p, and 11q losses did not differ significantly between groups 1 and 2 (Fig. 2B, C). Finally, no significant difference in the frequency or type of CNAs was detected between patients younger and older than 18 months.17,18

The MCR of 1p loss is shown in Fig. 4A. The break point of 1p loss falls onto 1p36 band from 1p36.22 to 1p36.32.

Impact of 1p Loss, 1q Gain, and 7p Gain on EFS of Localized Resectable NB Patients

Chromosome 1p36.22p36.32 loss and 1q22qter gain, detected almost exclusively in group 1 patients, were associated with significantly worse EFS ($p = 0.0024$ and $p = 0.024$, respectively) (Fig. 4B, C). In contrast, patients with 7p11.2p22 gain, who belonged predominantly to group 2, had a significantly better EFS than did those without the same CNA ($p = 0.015$; Fig. 4D). Chromosome 1p36.22p36.32 loss, 1q22qter gain, and 7p11.2p22 gain had no impact on OS of either patient group ($p =$ 0.099, $p = 0.064$, and $p = 0.23$, respectively).

Discussion

Patients with localized resectable NBs usually have excellent survival rates, but a small percentage of them subsequently relapse and/or die of disease. Several genetic features have been associated with poor outcome in localized resectable NBs. MNA, a powerful indicator of poor prognosis in approximately one-third of NB patients with metastatic disease at diagnosis (stage 4), predicts tumor recurrence and/or progression only in a subset of patients with localized disease.⁶ Recently, deletions of 3p and 11q, thought to harbor yet unidentified tumor suppressor genes, have been associated with poor outcome, $2\overline{0}$, 21 and deletion of 1p was correlated with a higher event and death rate in localized unresectable NBs (stage 2 and 3).^{22,24-26} The role of these genetic factors in predicting outcome in localized resectable NB patients is not established.

In this study, we have addressed the search of novel genetic prognostic markers in a selected cohort of patients with localized resectable NB and different clinical outcomes over a 3-year follow-up: local recurrence or metastatic progression (group 1) versus complete remission (group 2).

We have identified two distinct regions of gain that have not been associated previously with localized resectable NB: 1q22qter gain, detected only in group

Fig. 4. (A) Minimal common region of 1p loss. The arrow points to the break point position on chromosome 1p. (B–D) Event-free survival according to chromosome 1p loss (B), chromosome 1q gain (C), and chromosome 7p gain (D).

1 patients and associated with risk of local relapse or metastatic relapse, and 7p11.2p22 gain, detected only in group 2 patients and associated with favorable prognosis. These CNAs likely harbor genes that are biologically and clinically relevant. Several genes related to oncogenesis are localized in 1q22qter region. The *NDSP* (neuroblastoma-derived secretory protein) gene, whose expression has been found to be upregulated and to be correlated with tumor aggressiveness and metastasis in NB,27 maps to 1q243. The *NBPF15* gene, which maps to 1q21.1, was originally identified by positional cloning of a translocation break point from an NB patient.²⁸ Expression of this gene is upregulated in many tumor types.29 The *NTRK1* gene maps to 1q21-q22 and is involved with the *TPM3* (tropomyosin 3) gene in a somatic rearrangement that creates the chimeric oncogene *TRK*. ³⁰ *TRK* is a gene coding for a putative receptor molecule with an associated tyrosine kinase activity that was found to be activated in 25% of patients with papillary thyroid carcinoma. In situ hybridization to human metaphase chromosomes localized the *TRK* gene to $1q32-q41.³¹$ The *GAC1* gene, mapping to $1q32.1$, was found to be amplified and overexpressed in malignant gliomas.32 The *HDGF* (hepatoma-derived growth factor) gene, mapping to 1q21, is expressed ubiquitously in normal tissues and tumor cell lines.³³

Different insulin-like growth factor–binding protein

(*IGBP*) genes have been found to be involved in the control of NB growth.34 Notably, the *IGBP3* gene maps on 7p11.2p22 gain.³⁵ However, a relationship between this gene and the favorable prognosis of patients with 7p11.2p22 gain cannot be established.

In addition, numerical CNAs were more represented in localized resectable NB with favorable outcome, 36 as opposed to structural CNAs that were detected more frequently in group 1 patients. These findings are at variance with a recent report showing that tumors from all stage 1 and 2 NB patients have numerical CNAs.³⁷ Thus, it is tempting to speculate that structural CNAs due to unbalanced chromosome translocations are related to relapse/progression in patients with localized resectable NB. Further studies with larger cohorts of patients will help to confirm our findings and hypotheses.

Localized NBs in group 1 patients were characterized by multiple structural CNAs likely related to unbalanced translocations dominated by 1p loss. Loss of heterozygosity at the distal short arm of chromosome 1 (1p36) was detected in six of nine group 1 patients, three of whom died of disease; two are alive without evidence of disease, and one is alive with disease. In previous studies, allelic loss of 1p36 was detected in about 35% of all NB patients and found to correlate with high-risk tumors characterized by unfavorable prognosis.22,25,36,38,39 Loss of 1p36 is detected in stage 4 NB

mostly in association with MNA.^{36,38-40} In this study, only two of six tumors bearing 1p36 loss had concomitant MNA, pointing to 1p36 loss as an independent prognostic marker of relapse/progression in localized resectable NB patients. This finding is in line with the conclusions of other studies^{22,24-26} and consistent with recent reports indicating that structural abnormalities of chromosome 1p are observed more frequently in relapsing tumors.36,40–43 Recently, the *CHD5* gene, a new member of a chromatin remodeling gene family mapping to 1p36.22p36.32, was shown to function as a tumor suppressor in mice genetically engineered to have a germ line hemizygous deletion,⁴⁴ supporting evidence that an NB tumor suppressor gene resides in the 1p chromosomal region.45–47 The 1p deleted region, which was previously found to be usually quite large (1p32-pter, $1-7.03$ Mb) in primary NB tumors,⁴⁸ has been recently restricted to 2 Mb in NB cell lines.⁴⁶ Accordingly, we have here defined a minimal region of 1p deletion in the specific band 1p36.22p36.32 (5.4-Mb MCR deletion) in primary tumors from group 1 NB patients. Finally, 17q gain was detected in the majority of patients and was devoid of prognostic relevance because it was equally distributed in all groups. In contrast, few tumors displayed 3p or 11q losses.20,21

In conclusion, 1q22qter gain and 7p11.2p22 gain might represent new prognostic markers in localized resectable NB, but the small study size and the retrospective nature of the findings warrant further validation of the results obtained in larger studies.

Appendix

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