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## MicroRNAs Involved in Tumor Suppressor and Oncogene Pathways; Implications for Hepatobiliary Neoplasia

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### Abstract

MicroRNAs are a class of small regulatory RNAs that function to modulate protein expression. This control allows for fine-tuning of the cellular phenotype, including regulation of proliferation, cell signaling, and apoptosis; not surprisingly, microRNAs contribute to liver cancer biology. Recent investigations in human liver cancers and tumor-derived cell lines have demonstrated decreased or increased expression of particular microRNAs in hepatobiliary cancer cells. Based on predicted and validated protein targets as well as functional consequences of altered expression, microRNAs with decreased expression in liver tumor cells may normally aid in limiting neoplastic transformation. Conversely, selected microRNAs that are upregulated in liver tumor cells can promote malignant features, contributing to carcinogenesis. In addition, microRNAs themselves are subject to regulated expression, including regulation by tumor suppressor and oncogene pathways. This review will focus on the expression and function of cancer-related microRNAs, including their intimate involvement in tumor suppressor and oncogene signaling networks relevant to hepatobiliary neoplasia.

### Keywords

Cholangiocarcinoma; Hepatocellular carcinoma; miRNA; Oncogene; Tumor suppressor

### Overview of MicroRNA Expression and Function

The description and regulation of microRNA biogenesis has been extensively reviewed (1–3) and will be addressed here briefly (Figure 1A). Transcription of microRNA genes is under control of promoter elements regulated by established transcription factors, such as c-Myc (4,5). This regulation of expression may provide for a clinically useful point of intervention, either by stimulating a microRNA whose expression is inappropriately suppressed or by inhibiting expression of an amplified microRNA.

The primary transcript is cleaved by the endonuclease-containing microprocessor complex in the nucleus to yield the precursor microRNA. Of note, increased processing of the mir-21 primary transcript by TGF- $\beta$  induced SMAD activity has recently been described in vascular smooth muscle cells (6). Surprisingly, the mechanism is through the non-canonical action of SMAD binding to the RNA helicase p68 rather than transcriptional activation (Figure 1A). It remains to be seen if SMAD-assisted processing contributes to mir-21 overexpression in hepatobiliary cancers, or if SMAD increases processing of other primary microRNAs. The precursor microRNA is then exported from the nucleus and cleaved in the cytoplasm by Dicer and the mature microRNA is incorporated into the RNA-induced silencing complex

(RISC) by the RISC-loading complex. The resulting microRNA-loaded RISC contains a single-stranded 19–23 nucleotide microRNA that guides sequence-specific translational suppression.

Silencing of microRNA targets is directed by base-pairing of the microRNA to the cognate messenger RNA, specifically at the microRNA “seed” nucleotides 2–7 (Figure 1B), augmented by neighboring nucleotides. Thus, microRNAs can have dozens to hundreds of targets (7, 8). Quantitative proteomic analysis of microRNA targets has recently been employed (7, 8), and confirmed the multitude of targets for several microRNAs. Further, based on the modest protein silencing observed in these studies, microRNAs may act to fine-tune protein expression rather than acting as an all-or-none switch. Conversely, it should also be noted that multiple microRNAs may cooperatively target the same mRNA resulting in stimulus- and context-specific microRNA inhibition of protein expression. In addition to the majority of studies indicating microRNA mediated suppression of protein expression, recent reports also describe increased expression of targets by microRNAs (9, 10).

## MicroRNAs in Cancer Biology

Significant efforts to describe microRNA expression in cancer have yielded a wealth of data, and a few notable trends. It is generally, though not universally, observed that microRNA expression levels are decreased in cancer compared to non-tumor tissue. This may be attributable to a broad suppression of microRNA expression by cancer associated transcription factors. For example, c-Myc suppresses expression of greater than 10 microRNAs in two B-cell lymphoma models (5). Alternatively, in cancer cell lines, precursor microRNAs were present in the nucleus but the mature form was absent from the cytoplasm, including liver tissue and hepatocellular carcinoma (HCC) samples (11). This suggests post-transcriptional regulation, presumably by altered processing or transport, though accelerated degradation of the mature microRNA remains a possibility. In a rat model of induced HCC, mir-122 expression was decreased, a finding also observed in 50% of human tumor samples (12). In HCC, an array-based analysis identified 44 microRNAs that were expressed at lower levels in HCC compared to normal livers (13). A separate study comparing HCC to liver cirrhosis demonstrated downregulation of 34 microRNAs and increased expression of only one microRNA in HCC (14). Common to these analyses is decreased expression of the liver specific microRNA, mir-122, as well as mir-199. Other microRNAs demonstrating decreased expression in HCC include family members of let-7, mir-125, mir-150, mir-195, and mir-200 (15–20). In contrast, there are a handful of microRNAs that exhibit increased expression in tumors. Specific examples in liver cancer include mir-21 and the mir-17–92 cluster (12,13,16,18,21,22), with mir-17–92 expression increasing with progressive de-differentiation in HCC (15).

Based on the above information, it is clear a large number of targets are regulated by microRNAs. How these microRNAs regulate critical pathways of hepatobiliary cancer development and progression, represents a useful framework for understanding microRNAs and cancer.

## Targeting Tumor Suppressors

Tumor suppressors are often lost through genetic or epigenetic mechanisms, but silencing through microRNA targeting may also be important. For instance, phosphatase and tensin homolog deleted on chromosome 10 (PTEN) is a tumor suppressor that counters phosphatidylinositol-3 kinase (PI3K) activation. PI3K stimulates AKT resulting in a survival signal, thus PTEN silencing allows PI3K/AKT activation and inappropriate survival of cancer cells. In addition, PTEN causes decreased nuclear cyclin D1 levels and cell cycle arrest in G1. Loss of PTEN, therefore, allows unchecked cell cycle progression. Importantly,

PTEN has been identified in cholangiocarcinoma (23) and HCC (13) as a target for mir-21, frequently upregulated in cancer.

Transforming growth factor-beta (TGF- $\beta$ ) has a complicated role in cancer, acting initially as a tumor suppressor but after malignant transformation promoting proliferation and survival. The TGF- $\beta$  signaling pathway phosphorylates and activates SMAD transcription factors, which in turn promote expression of the cyclin-dependent kinase inhibitor p21/Cip1 and downregulate c-Myc expression. In addition, ligation of the TGF- $\beta$  receptor promotes phosphorylation of death-associated protein 6 (DAXX), which then activates JNK, promoting apoptosis (24). Thus, decreased TGF- $\beta$  signaling can permit cell proliferation and limit apoptosis. It is of significant interest, then, that mir-21 targets the TGF- $\beta$  pathway at multiple steps. Specifically, in glioblastoma cells, mir-21 targets the TGF- $\beta$  receptors TGFBR2 and TGFBR3 as well as DAXX; increased or decreased mir-21 levels have reciprocal effects on TGFBR2, TGFBR3, and Daxx. In addition, mir-21 inhibition also permits increased expression of the ligands TGFB1 and TGFB2 and SMAD3 at the mRNA level (25).

While estrogen signaling can promote carcinogenesis in some tissues, it acts as a tumor suppressor for HCC, contributing to the lower HCC incidence in women. Estrogen receptor- $\alpha$  has been identified as a target for mir-18a in HCC, and mir-18a (a mir-17-92 cluster microRNA) was expressed at higher levels specifically in women with HCC. Transfection with mir-18a increased proliferation and decreased the estrogen-mediated transcriptional response in HCC cells (26). Thus, in some patients, mir-18a overexpression may defeat the protective effects of estrogen by silencing receptor expression.

The retinoblastoma family of proteins (Rb1, p107, and Rbl2/p130) binds E2F transcription factors blocking entry into the cell cycle. Downregulation of retinoblastoma releases E2F which promotes proliferation. Retinoblastoma proteins have been shown to be important in hepatobiliary cancers (27,28) and represent possible targets for post-transcriptional gene silencing. Of note, in lung, colon, and breast cancer, expression of the mir-17-92-related mir-106 has an inverse expression to Rb1 (29) and in the lung, retinoblastoma 2 (Rbl2/p130) (30,31) was silenced by the mir-17-92 cluster. The specific role of mir-17-92 targeting of retinoblastoma proteins in HCC or cholangiocarcinoma remains to be demonstrated, but is likely.

Interestingly, while E2F proteins drive mir-17-92 expression, mir-17-92 also targets E2F proteins. For instance, in HepG2 cells, a mix of antisense oligonucleotides to mir-17-92 led to increased E2F1 (protein) and E2F3 (mRNA) expression (21). As mir-17-92 is often increased in cancer (including HCC and cholangiocarcinoma), mir-17-92 silencing of E2F proteins at first seems paradoxical, given that the E2F transcription factors promote cell cycle progression. However, excessive activity of E2F1 also causes apoptosis (32), so the feedback inhibition of mir-17-92 on E2F proteins may allow proliferation while mitigating apoptosis, consistent with a fine-tuning function of microRNAs. Another relevant mir-17-92 target is p21/Cip1, demonstrated in B-cell lymphoma (33) and other non-liver tumor tissues (34). Activation of p21/Cip1 is sufficient to hold the cell at the G1/S checkpoint, thus p21/Cip1 silencing may help promote proliferation. The regulation of cell division is further altered in HCC cells by the overexpression of mir-221 in 71% of HCCs causing decreased expression of the cyclin-dependent kinase inhibitors p57 and p27 and increasing the number of cells in S phase (35).

In addition to dysregulated proliferation, inappropriate resistance to apoptosis is an important feature of hepatobiliary cancers. Related to Bcl-2 by their Bcl-2 homology domain 3 (BH3) regions, BH3-only proteins are pro-apoptotic, and can act as tumor suppressors

(36). The above-mentioned mir-17-92 cluster targets Bim expression in B cells, B cell lymphoma, T cells, lung tissue, and gastric cancer (33,37-40); thus mir-17-92 may act through multiple pathways to promote proliferation and stifle apoptosis (Figure 2). The role of mir-17-92 silencing of Bim in liver cancers remains to be defined. Mice deficient in Dicer manifest increased Bim protein expression and apoptosis likely due in part to loss of Bim suppression by mir-17-92 (37). By extension, the finding of increased hepatocyte apoptosis in mice with liver-specific Dicer deletion (41) would be consistent with microRNA regulation of a proapoptotic protein, such as Bim, in hepatocytes, but requires further investigation.

A tumor suppressor of significant interest is p53, a protein lost or mutated in over half of all human cancers. Recently, mir-29 family members were found to upregulate p53 expression in non-liver cancer cell lines indirectly through PI3K (42). The role of p53 or PI3K regulation by microRNAs in hepatobiliary cancer is unknown, though mir-29 is expressed at lower levels in malignant cholangiocarcinoma cells (43). Indirectly, mir-21 is thought to inhibit the p53 pathway without affecting p53 levels, though the mechanism is not known (25,44). Alternately, activation of p53 induces expression of mir-34 in multiple cell types, including a murine model of hepatocellular carcinoma (45), silencing genes involved in cell cycle progression as well as apoptosis (46). It is possible then that loss of p53 function fails to stimulate mir-34 expression, allowing unchecked proliferation and survival. Consistently, mir-34a was decreased in 76% of HCC patients, all of which had mutated p53 (47). The role of mir-34 in liver cancer deserves further clarification, as mir-34a was detected in HCC but not normal tissue by microRNA array (48), and expressed at a higher level in HCC cell lines, while mir-34c was decreased in HBV-positive compared to HCV-positive patients (49), and a murine model of induced HCC manifests decreased mir-34a levels (17). Correlation of mir-34 expression with p53 status may help refine this issue (47).

## Allowing Oncogene Expression

The common finding of lower microRNA expression in cancerous tissue suggests that microRNA targets normally kept in check may be released in tumor cells. In lung tumors, let-7 was found to suppress the proto-oncogene Ras (50). In addition, transfection of a colon cancer cell line with let-7 suppressed not only Ras, but also c-Myc expression (51). The decreased expression of let-7 in many cancers may allow Ras and c-Myc overexpression. Although let-7 expression in HCC and cholangiocarcinoma is not always suppressed (13,48), let-7 has been described to be downregulated in HCC compared to cirrhotic liver (14).

Overexpression of mir-34 may have therapeutic value in HCC and cholangiocarcinoma. mir-34 has been shown to regulate the antiapoptotic protein Bcl-2, N-Myc (52), and the receptor tyrosine kinase c-Met (45,47). Finally, cyclin E2 (CCNE2) and cyclin-dependent kinase 4 (CDK4) are additional targets that may allow proliferation when mir-34 expression is low (45). Thus overexpression of mir-34 may be expected to have a beneficial effect in liver cancers by decreasing proliferation through targeting of N-Myc, CDK4, CCNE2, and c-Met as well as increasing apoptosis through targeting Bcl-2.

Mcl-1, Bcl-2, Bcl-w and other related proteins inappropriately block cancer cell apoptosis and are known to be targeted by microRNAs. Mcl-1 is frequently overexpressed in HCC and cholangiocarcinoma and is a known target of mir-29b (43) and mir-320 (22) in cholangiocarcinoma cells, and mir-101 in HCC (53). Restoring mir-29b expression in cholangiocarcinoma cells sensitizes to apoptosis, and antagonism of mir-29b in non-malignant cells allowed Mcl-1 protein overexpression and apoptosis resistance. Similarly, transfection with mir-320 or mir-101 sensitized cells to apoptosis induction (22,53). Bcl-2 is

likewise a target for mir-204 in cholangiocarcinoma cells (22). The dominant microRNA in the liver is mir-122 (54), which is lower in HCC samples (12,14), so it is of interest that mir-122 targets Bcl-w (55). Bcl-w is predominantly expressed in testicular tissue, and so a role in HCC may be unexpected. However, with downregulation of mir-122, it is not unreasonable to speculate that Bcl-w may be expressed ectopically due to relief of repression. Restoring mir-122 expression in HepG2 and Hep3B cells targeted Bcl-w mRNA and protein expression and there were 25–35% fewer cells and 2- to 2.5-fold increased Caspase-3 activity 72 hours after mir-122 transfection (55). The reduced cell number after 72 hours may be due to an increase in cell death, or alternatively through decreased proliferation, potentially due to mir-122 silencing of cyclin G1 (14). Proliferation may also be increased through overexpression of Stathmin, a microtubule regulatory protein that is a target of mir-223, which was decreased in HCC (56), or through the concerted effects on c-Met, FoxP1, and HDAC4 by mir-1-1 which is suppressed by CpG methylation in HCC (57).

Another pathway commonly activated in HCC is Wnt signaling. Wnt family ligands bind their receptor (frizzled) resulting in inhibition of glycogen synthase kinase 3 $\beta$  (GSK3 $\beta$ ). GSK3 $\beta$  phosphorylates  $\beta$ -catenin causing degradation, thus inhibition of GSK3 $\beta$  leads to accumulation and transcriptional activity of  $\beta$ -catenin, including transcription of cyclin D1. Recently, it was demonstrated that mir-141, mir-200a, -200b, -200c, and mir-429 inhibited Wnt/ $\beta$ -catenin signaling. Further investigation of this microRNA family in Wnt/ $\beta$ -catenin signaling is warranted. Potential intersection with the TGF- $\beta$  signaling pathway exists here, as mir-200a/b/c, mir-141, and mir-429 are all downregulated by TGF- $\beta$  (58).

## Altered MicroRNA Expression

MicroRNA expression is regulated at multiple levels, including transcriptional and post-transcriptional controls. While TGF- $\beta$ /SMAD signaling is important in preventing tumorigenesis, paradoxically, tumor cells have enlisted this pathway to their advantage, and often show activation of TGF- $\beta$ /SMAD signaling. It is then an important finding that receptor-associated SMAD proteins promote expression of mir-21. The mechanism involves a novel association of SMAD1/5 with the microprocessor complex, specifically the p68 helicase in the nucleus demonstrated in pulmonary artery smooth muscle cells. This association promotes processing of the mir-21 primary transcript (and possibly pri-mir-199a), promoting increased mature mir-21 (see Figure 1) (6). Additionally, TGF- $\beta$  signaling was found to increase expression of the clustered mir-23a, mir-27a, and mir-24 in HCC cell lines, and expression of this cluster was increased in human HCC tumor tissue. The authors also showed that mir-24 and mir-27a increased cell number and an antagonist of mir-27a increased caspase 3/7 activity after TGF- $\beta$  treatment (59).

In the liver, inflammation plays a significant role in carcinogenesis, including the stimulatory effect of IL-6 as a mitogen and pro-survival factor in cancer cells. In addition to transcriptional effects, IL-6 also can modulate gene expression by increasing expression of DNA methyl transferase-1. In cholangiocarcinoma, IL-6 silenced expression of 7 microRNAs that were increased by an inhibitor of DNA methylation (miR-99a, miR-122a, miR-145, miR-182, miR-198, miR-291-5p, and miR-370; (60)). Indeed, methylation appears to regulate expression of many microRNAs (61). In the liver, decreased expression of mir-1-1 in HCC samples was found to be due to CpG methylation of exon 1 and intron 1 of the mir-1-1 gene (57).

The oncogenic effect of viral infection of hepatocytes likely includes the local inflammatory reaction, chronically increased hepatocyte proliferation, the cirrhotic environment, as well as insertional effects in the case of hepatitis B virus (HBV). Samples from cirrhotic, virally infected livers compared to non-cirrhotic, non-infected samples showed increased

expression of a host of microRNAs, including mir-221, -222, -23b, let-7a and let-7d (18). The same study showed altered expression of 16 microRNAs in HCC, including increased expression of the mir-17-92 family member mir-18, mir-21, and mir-221 as well as decreased expression of mir-101, mir-199 family members, and mir-223. Nineteen microRNAs correlated with patient survival, with low expression in the group with poor survival, including let-7 (-7c and -7g) mir-29c and mir-221.

Molecular mechanisms causing dysregulated microRNA expression in the liver due to genomic alteration have been described, including chromosomal rearrangement in virally-infected cells and single-nucleotide polymorphism. The woodchuck hepatitis virus (WHV) is a hepadnavirus similar to HBV, and an unusual chromosomal break was described in a WHV-associated cancer in which the 5' end of *hcr* (the gene encoding mir-122) was translocated to the c-Myc locus, inducing a 50-fold increase in c-Myc expression (62), presumably due to expression of c-Myc by the mir-122 promoter. The mir-122 gene that was severed from its promoter lost expression, as mir-122 levels in this cell were reportedly reduced (63). A separate study described a G to C single nucleotide polymorphism in the stem-loop of the mir-146a precursor sequence associated with HCC in males (GG genotype), and the G allele was processed more efficiently to mature mir-146a. Transfection of cells with mir-146a, mimicking the presumed higher expression in GG patients, increased cell proliferation and colony formation of NIH/3T3 cells (64).

## Implications for Hepatobiliary Cancers

HCC and cholangiocarcinoma each commonly arise under conditions of inflammation and ongoing cellular injury, providing an environment rich in cytokines and chemokines that can be hostile to cell survival. Thus, two prominent features of hepatobiliary cancers are increased proliferation (even in pre-malignant phases) and resistance to apoptosis. Prominent signaling pathways important for carcinogenesis in the liver include TGF- $\beta$ /SMAD, PI3K/AKT/mTOR, Ras/MAPK, Wnt/ $\beta$ -catenin, and hepatocyte growth factor/c-Met. In HCC, molecular classification of tumors reveals subgroups with activated Wnt/ $\beta$ -catenin signaling distinct from receptor tyrosine kinases. Thus, elevated mir-21 and mir-17-92 expression may contribute to hepatobiliary cancer development (18,21,56), potentially through regulation of TGF- $\beta$ /SMAD. Likewise, PTEN negatively regulates PI3K/AKT/mTOR signaling and mir-21 mediated suppression of PTEN in cholangiocarcinoma and HCC has been demonstrated (13,23). The negative regulation of Wnt signaling by the mir-200 family may be especially important in HCC where mir-200 and the related mir-141 are frequently expressed at lower levels in HCC (14,15,17,18). The potential role of Ras overexpression in response to decreased let-7 in liver cancer requires further investigation. Figure 2 illustrates validated microRNA targets in hepatobiliary cancers.

The altered profile of microRNAs in hepatobiliary neoplasia offers an opportunity clinically, as microRNA levels may aid in diagnosis, prognosis, and treatment. The diagnostic potential includes using microRNA expression as a marker to distinguish tumor from non-tumor (16), as well as a serum, bile, or stool marker of occult disease. For cholangiocarcinoma, the need for a tumor marker is apparent, given the low yield of cytology in malignant biliary strictures due to the desmoplastic nature of bile duct cancers (65). The prognostic utility of microRNA expression may lie in empiric associations with molecular, pathologic, or clinical features (16,19). Ultimately, a mechanistic understanding of microRNA function may provide a better link between expression and prognosis. The therapeutic promise of this class of regulators rests in the broad ability of individual microRNAs to shape the cellular phenotype. Therapy directed individually at apoptosis, angiogenesis, proliferation, or metastasis carries a likelihood of treatment resistance. However, targeting one or a few microRNAs may allow a concerted assault on several or all of these features of malignancy.

Delivery of microRNAs to the tumor remains a significant challenge, but may not be prohibitive because microRNAs are endogenously expressed. Targeting expression or processing with small molecule inhibitors may allow therapeutic management of microRNA expression and bypass the limitations of gene therapy. Contemplation of affecting microRNA regulation toward a therapeutic goal will depend on understanding the relevant protein targets of that microRNA.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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## Abbreviations

<b>RISC</b>	RNA-induced silencing complex
<b>HCC</b>	hepatocellular carcinoma
<b>PTEN</b>	phosphatase and tensin homolog deleted on chromosome 10
<b>PI3K</b>	phosphatidylinositol-3 kinase
<b>TGF- <math>\beta</math></b>	transforming growth factor- $\beta$
<b>DAXX</b>	death-associated protein 6
<b>Rb1</b>	retinoblastoma 1
<b>Rbl2/p130</b>	retinoblastoma-like 2
<b>BH3</b>	Bcl-2 homology domain 3
<b>CCNE2</b>	cyclin E2
<b>CDK4</b>	cyclin-dependent kinase 4
<b>GSK3 <math>\beta</math></b>	glycogen synthase kinase 3 $\beta$

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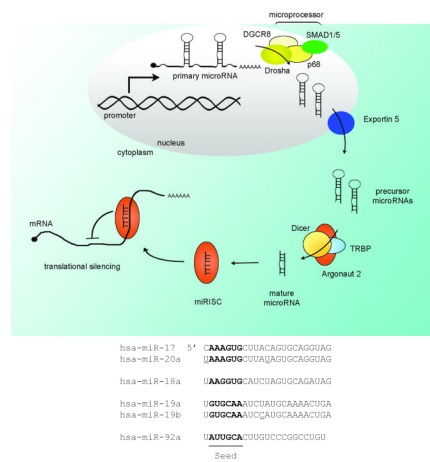
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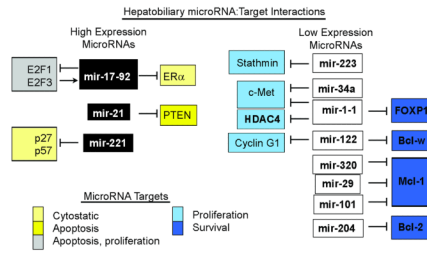
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### Figure 1. MicroRNA Expression and Processing

(A) MicroRNAs are expressed as primary transcripts and processed by the microprocessor in the nucleus to precursor microRNAs. For the TGF- $\beta$  responsive mir-21 and mir-199a, interaction of SMAD proteins with the p68 helicase in the microprocessor increases processing to the precursor, facilitating expression via processing. The precursor is exported for subsequent cleavage by Dicer in the cytoplasm. The mature microRNA is loaded into the RISC complex and suppresses translation of target mRNAs. (B) The mature microRNA is 19–23 nucleotides in length, with the specificity-determining seed region (nucleotides 2–7) at the 5' end. MicroRNA family members generally share an identical seed and differ at only a few positions overall (underlined). The mir-17–92 cluster is illustrated, demonstrating the seed (bold) as well as the high degree of similarity of family members (mir-17 and mir-20a). Note that the mir-18 sequence is similar to mir-17 and mir-20a but has a different seed sequence, indicating a different set of targets.



**Figure 2. MicroRNAs Involved in Proliferation and Apoptosis**

MicroRNAs commonly overexpressed in hepatobiliary cancer, such as mir-17–92 and mir-21 (black boxes), target proteins involved in apoptosis (yellow) and inhibiting cell proliferation (light yellow). In addition, E2F proteins have pleiotropic effects on proliferation and apoptosis. MicroRNAs with lower expression in hepatobiliary cancer (white boxes) target proteins that drive proliferation (light blue) or promote survival (blue). The effect is that in general, oncogenes are released and tumor suppressors silenced by microRNA dysregulation in cancer.