

Focus Review

Silent chromatin at the middle and ends: lessons from yeasts

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Eukaryotic centromeres and telomeres are specialized chromosomal regions that share one common characteristic: their underlying DNA sequences are assembled into heritably repressed chromatin. Silent chromatin in budding and fission yeast is composed of fundamentally divergent proteins that assemble very different chromatin structures. However, the ultimate behaviour of silent chromatin and the pathways that assemble it seem strikingly similar among *Saccharomyces cerevisiae* (*S. cerevisiae*), *Schizosaccharomyces pombe* (*S. pombe*) and other eukaryotes. Thus, studies in both yeasts have been instrumental in dissecting the mechanisms that establish and maintain silent chromatin in eukaryotes, contributing substantially to our understanding of epigenetic processes. In this review, we discuss current models for the generation of heterochromatic domains at centromeres and telomeres in the two yeast species.

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Introduction

Centromeres

The centromere is essential for proper segregation of chromosomes in mitosis and meiosis and is therefore of vital importance for genetic stability. It is the DNA region in which the kinetochore is formed, a structure that allows chromosomes to associate with spindle microtubules. Centromere function and its many associated proteins are conserved, yet centromere specification is not always hard-wired to the DNA sequence and displays dramatic plasticity (reviewed in Sullivan *et al*, 2001; Allshire and Karpen, 2008). Centromeres can have different structures depending on their size, the number of kinetochore microtubules they interact with and whether or not they are surrounded by pericentric heterochromatin.

Both *Schizosaccharomyces pombe* (*S. pombe*) and *Saccharomyces cerevisiae* (*S. cerevisiae*) are monocentric

eukaryotes with localized centromeres, in contrast to holocentric organisms such as *Caenorhabditis elegans*, in which kinetochores form along the entire chromosome. A conserved feature of all centromeres is the special histone H3 variant, called Cnp1 in *S. pombe* and Cse4 in *S. cerevisiae*, which is found exclusively within the core centromeric region (Smith, 2002). In most other aspects, budding and fission yeast centromeres are quite different. In *S. cerevisiae*, complete centromere function is specified by only 125 bp of DNA comprising three distinct centromeric DNA elements (CDE I, II and III). The 15 bp of CDE III is most important as it attracts a complex containing sequence-specific DNA-binding proteins (Ndc10, Cep3, Ctf13 and Skp1). This complex dictates the assembly of the single Cse4-containing nucleosome, which spans the middle AT-rich CDEII element (Meluh *et al*, 1998; Furuyama and Biggins, 2007). Directly analogous elements are absent in *S. pombe*. Rather, centromere structure comprises a central core domain (*cnt*) bearing Cnp1 nucleosomes surrounded by a long inverted repeat. Each centromeric flank can be divided into two regions: the inner repeats (*imr*), which are specific to each of the three centromeres, and the outer repeats (*otr*), which are composed of elements known as *dg* and *dh* (Bjerling and Ekwall, 2002). The arrangement of *dg* and *dh* repeats with respect to each other and to the central core differs at each of the three fission yeast centromeres. Notably, the *otr* regions in *S. pombe* are assembled into silent heterochromatin, which is important for proper centromere function (see also accompanying Focus Review by Torras-Llort *et al*).

Telomeres

The telomere assumes a 'cap' structure that maintains and protects the ends of eukaryotic linear chromosomes (Zakian, 1996). Telomeres impede chromosomal fusion (end-to-end joining) by blocking activation of the DNA damage checkpoint response and locally impairing double-strand break repair. Most importantly, telomeres and the RNA-directed enzyme telomerase ensure the addition of TG repeats that are otherwise eroded with each successive round of cell division. Collectively, these functions stabilize chromosome ends and contribute to genomic stability. Importantly, the telomeres of both budding and fission yeasts are assembled into silent chromatin structures (Huang, 2002; see also accompanying Focus Review by Luke and Lingner).

Telomeric DNA consists of three main parts: a short single-stranded (ss) 3' overhang, double-stranded (ds) telomeric repeats and the subtelomeric region. The ss overhang and double-stranded stretch in *S. cerevisiae* comprise ~300 bp of an irregular TG_{1–3} repeat that lies terminal to subtelomeric sequences. The subtelomeric regions include up to four tandem copies of Y' elements, short internal TG_{1–3} repeats

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and an X element composed of imperfect repeats and a conserved 437 bp core (Zakian, 1996).

The telomeric repeats of *S. pombe* are also 300 bp long, but are somewhat more degenerate. They consist mainly of TTACA(G)_n (where $n = 1-8$), and contain interspersed repeats of TTACGG and TTACACGG, each with two Gs. The repeats at both ends of chromosome III are immediately flanked by repeats of ribosomal RNA genes, whereas chromosomes I and II share similar subtelomeric sequences that contain open reading frames (ORFs). Telomere-linked helicases (tlh) are encoded by the most distal ORFs in the subtelomeric regions of chromosomes I (*tlh1*+) and II (*tlh2* +). These putative helicases are members of the recQ family and display extensive sequence homology with the *dh* and *dg* repeats found at centromeres (cenH like) (Wood *et al.*, 2002; Mandell *et al.*, 2005). Interestingly, the *S. cerevisiae* Y' elements also encode a DNA helicase, which is expressed primarily in meiosis (Louis and Haber, 1992; Yamada *et al.*, 1998). In *S. pombe*, there is conservation among neighbouring ORFs in addition to the homology shared by *tlh1* + and *tlh2* +, indicating that the two subtelomeric regions resulted from a duplication.

Although the terminal telomere sequence is associated with non-histone proteins forming a 'telosome', subtelomeric regions in both fission and budding yeast are nucleosomal (Vega-Palas *et al.*, 1998; Wiren *et al.*, 2005). Important is the presence, or absence, of post-translational modifications on the histone tails of subtelomeric nucleosomes. In *S. cerevisiae*, lysines at positions 9, 14, 18, 23 and 27 on H3, at positions 5, 8, 12 and 16 on H4, at position 7 on H2A, and at positions 11 and 16 on H2B are hypoacetylated in subtelomeric chromatin (Thompson *et al.*, 1994; Braunstein *et al.*, 1996; Suka *et al.*, 2001). Moreover, two specific and universally conserved marks of active or open chromatin, H3K4^{me} and H4K16^{ac}, are absent from telomeres in both yeasts. Although *S. cerevisiae* has no H3K9^{me} at all, this modification is characteristically present throughout fission yeast heterochromatin, including pericentric DNA, subtelomeric domains and at silent mating-type loci (Nakayama *et al.*, 2001). Nucleosomes bearing H3K9^{me} are also typically hypoacetylated on H4K16 and H3K14.

In budding yeast, the hypoacetylated status of histone tails seems to be sufficient to favour the binding of the silent information regulatory (SIR) complex, Sir2-3-4, which in turn ensures a heritable downregulation of transcription of subtelomeric genes. This is called telomeric position effect, or TPE (see below). Sir2, a conserved NAD-dependent histone deacetylase, can act on all lysines of the H3 and H4 tails, but particularly targets H4K16^{ac} (Blander and Guarente, 2004), as well as H3K9^{ac} in *S. pombe* (Shankaranarayana *et al.*, 2003). Other markers of active chromatin, notably di- and trimethylated forms of histone H3K79, antagonize the binding of the SIR complex and impair repression of subtelomeric genes (van Leeuwen *et al.*, 2002). Thus, the predominant pattern of histone modification at budding yeast telomeres is an absence of active marks, whereas *S. pombe* requires the positive signal provided by H3K9^{me}. Intriguingly, in fission yeast Sir2 cooperates with Clr3 to eliminate acetylation marks on both H4K16, H3K9 and K14, which allows for subsequent methylation of H3K9 (Wiren *et al.*, 2005).

In addition to subtelomeric histones, a sequence-specific factor binds the TG-rich telomeric repeats. In almost every species these repeat-binding factors share a *myb*-like DNA-

binding domain (Konig and Rhodes, 1997). In budding yeast, the terminal repeats are bound by the repressor activator protein 1 (Rap1), whereas in *S. pombe* the analogous protein is called Taz1. In addition, *S. pombe*, similar to man, has a Rap1 homologue that lacks the DNA-binding domain. Fission yeast Rap1 associates with telomeric repeats through Taz1, again analogous to the association of human Rap1 with Trf1. The additional telomere-associated proteins can be divided into two classes: those that mediate end maintenance by controlling telomerase accessibility, and those that promote silent chromatin. Ku, a heterodimer that binds all DNA ends regardless of sequence, has a special role at telomeres: it contributes both to controlling telomerase and to promoting silent chromatin. In addition, budding yeast Ku has a crucial role in anchoring telomeres to the nuclear envelope (NE), which further facilitates the nucleation and spread of chromatin-mediated gene silencing (see below) (Hediger *et al.*, 2002; Taddei *et al.*, 2004, 2009). In the absence of yKu, TG repeats in yeast shorten, subtelomeric repression is lost and strains become temperature sensitive (Fisher and Zakian, 2005).

The epigenetic nature of centromeres and telomeres

Epigenetics is the study of heritable changes in gene function that occur without a change in the sequence of the DNA. Centromere assembly and propagation provide a unique example of an epigenetic process as protein structures are assembled onto DNA and then stably propagated through numerous cell divisions in a DNA sequence independent manner. Despite their variation in size and sequence composition, the epigenetic aspect of centromeres is highly conserved.

The epigenetic nature of centromeres is manifest in the fact that—although there are different requirements for centromere establishment—a functional centromere is transmitted epigenetically to daughter cells. Even the *S. cerevisiae* centromere shows epigenetic behaviour. Specifically, mutations in certain kinetochore proteins were shown to abolish *de novo* establishment of the *S. cerevisiae* centromere, although functional centromeres could be stably propagated for over 25 generations in this background (Mythreye and Bloom, 2003). Moreover, mutations in the core CDE element reduced the association of cohesin with naïve centromeres, but had little effect on established centromeres (Tanaka *et al.*, 1999). In *S. pombe*, plasmids with minimal centromeric DNA establish functional centromeres stochastically, but once the functional state is attained it is propagated faithfully (Steiner and Clarke, 1994). Finally, a recent study also showed that heterochromatin and RNA interference (RNAi) are required to establish, but not to maintain, CENP-A^{Cnp1} chromatin at fission yeast centromeres (Folco *et al.*, 2008).

Whether the telomeric functions of capping and end-replication behave epigenetically is unclear, yet telomere-associated gene silencing is one of the classic examples of semi-stable, yet heritable, transcriptional repression (Figure 1) (Gottschling *et al.*, 1990). Both native subtelomeric genes and reporters integrated into telomere proximal zones succumb to transcriptional silencing through chromatin-mediated mechanisms. Despite the fact that the subtelomeric repression of transcription in budding and fission yeast share many heterochromatin-like features, the molecular mechanisms of repression differ significantly, as explained below.

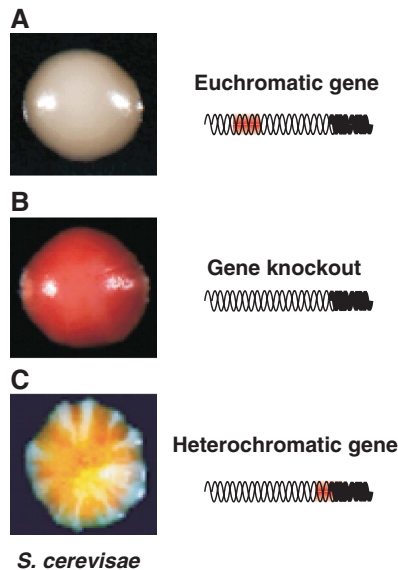


Figure 1 Variegated expression of a gene on packaging into a heterochromatic structure. (A) Cells expressing the wild-type *ADE2* gene from its endogenous, euchromatic locus produce colonies that are white, (B) whereas those lacking the *ADE2* gene appear red. (C) Juxtaposition of *ADE2* to heterochromatin results in its silencing without changing the underlying coding sequence. Although inherited, the packaging state of *ADE2* (euchromatic versus heterochromatic) can switch at a low frequency. This results in a variegating phenotype in a clonal population of cells. An example of a telomeric position effect (TPE) (Gottschling *et al.*, 1990) in *S. cerevisiae* is shown here.

Position effect variegation

Position effect variegation (PEV) is a universally conserved epigenetic phenomenon through which inserted or translocated genes are influenced by nearby heterochromatin. Thereafter, the ensuing expression status of the gene is clonally inherited. Importantly, centromeric heterochromatin and PEV are only observed in organisms that have extensive domains of repetitive DNA at their centromeres. Thus, the 125-bp centromere of *S. cerevisiae* is not heterochromatic, and does not silence genes. On the other hand, in fission yeast reporter genes inserted in the centromeric regions *cnt*, *imr* and *otr* are subject to PEV (Allshire *et al.*, 1994, 1995). Depending on the centromeric region, repression of the reporter is more or less pronounced. In the outer repeats, marker genes are tightly repressed, whereas marker genes inserted within the central core or the inner most repeats display a more variegated pattern of expression. The impact of local context on gene silencing is a conserved feature of PEV, which ensures domain- rather than promoter-specific repression. Although budding yeast lacks centromeric PEV, similar events are observed at the silent mating-type loci and near telomeres.

In both budding and fission yeast, epigenetic gene silencing is crucial for mating-type determination, as it guarantees that these single-celled organisms can switch mating-type (Rusche *et al.*, 2003). In each species the haploid genome contains the information needed to form at least two different cell types. In budding yeast, one of the two sets of mating-type information must be kept transcriptionally silent in haploid cells, or else the haploid behaves as a diploid and is unable to mate. In other words, the cell assumes a 'pseudo diploid' character, suppressing the information needed to

form a zygote, undergo meiosis and sporulate. Thus, the robustness of the species requires heritable repression of at least one set of mating-type determining genes.

The mechanisms that ensure mating-type repression in budding yeast, also serve to mediate position-dependent repression at telomeres (Aparicio *et al.*, 1991; reviewed in Huang, 2002; Rusche *et al.*, 2003). In an analogous manner, mechanisms that repress recombination and transcription at fission yeast centromeres contribute to silencing at the mating-type locus and TPE. The repression of mating-type information in both species is robust and extremely stable, whereas TPE is strongly variegating. This variegation is manifest as an ability to switch at a low frequency between 'on' and 'off' states and then propagate either state for many generations (Figure 1).

The other criteria that define epigenetic repression and which are fulfilled by flies, *S. cerevisiae* and *S. pombe* are as follows:

- (1) correlation with an altered chromatin structure that spreads outwards from a site of nucleation, silencing independently of the promoter concerned;
- (2) reduced accessibility for large molecules or complexes;
- (3) presence of hypoacetylated histones and/or specific marks that bind structural chromatin components;
- (4) an involvement of nucleosome-binding non-histone complexes that are limiting in abundance and show sensitivity to gene dosage; and
- (5) heritability through either mitotic or meiotic division.

Screens in flies, *S. pombe*, and *S. cerevisiae* have identified mutations that enhance or suppress heterochromatin-induced silencing, classically called E(var)s and Su(var)s (Muller, 1930; Wakimoto, 1998). Hundreds of suppressors of PEV have been identified to date, and these have proven to be useful tools to study heritable repression, as well as centromere and telomere biology (Allshire *et al.*, 1995; Pidoux and Allshire, 2004). Some of the mutated genes encode for histone modifying enzymes, heterochromatin proteins (HPs) or histone variants (reviewed in Huang, 2002; Rusche *et al.*, 2003). Notably, genetic approaches such as these have allowed the field to create a general definition of heterochromatin, although the molecular mechanisms may be clearly distinct in different organisms.

Silent chromatin assembly in budding yeast

The assembly of silent chromatin is a multistep process, starting with the nucleation of a nucleosome-binding repression complex at specific regulatory sequences and its subsequent spread into neighbouring sequences. Pioneering studies on the ordered assembly of silent chromatin have been carried out in *S. cerevisiae* and have provided a foundation for understanding epigenetic repression (reviewed in Rusche *et al.*, 2003). In brief, the formation of silent chromatin in budding yeast requires the association of a heterotrimeric nucleosome-binding SIR complex that contains Sir2, Sir3 and Sir4 proteins in 1:1:1 stoichiometry (Cubizolles *et al.*, 2006). The complex is recruited to DNA by interactions with proteins that bind to chromosome ends or to specific regulatory sites called silencers. At budding yeast telomeres, the SIR complex is recruited by Rap1 and the yKu heterodimer. The Rap1 protein binds once every 18 bp within the TG repeat, and each Rap1 molecule provides a binding site for Sir4 (Luo

et al, 2002). Sir4 recruitment is further catalysed by the yKu70/80 heterodimer, which is associated with the telomere through its DNA-end-binding function independently of Sir4 (Gravel *et al*, 1998; Martin *et al*, 1999). Importantly, Sir4 binding to Rap1 is antagonized by Rif1/Rif2 (Mishra and Shore, 1999).

Sir4 is necessary for the recruitment of the entire SIR complex, although once nucleated, excess Sir3 can propagate along nucleosomes without Sir4 (Hecht *et al*, 1996). Sir2's NAD-dependent histone deacetylase activity keeps telomeric nucleosomes in a hypoacetylated state (Imai *et al*, 2000). Sir2 binds neither DNA nor histones with high affinity, but once recruited by Sir4, Sir2-mediated deacetylation can create a high-affinity binding site for Sir3. Sir3 has dimerization capacity and in complex with Sir2-4, results in the spread of the SIR complex outward from the nucleation site (Hecht *et al*, 1996; Liaw and Lustig, 2006). Sir3 contributes to the specificity for deacetylated histone tails, whereas Sir4 enhances the affinity of the complex through its ability to bind DNA (Martino *et al*, 2009) (Figure 2).

During the deacetylation reaction catalysed by Sir2, NAD is hydrolysed and generates a by-product called O-acetyl-ADP-ribose (O-AADPR; Tanny *et al*, 1999; Tanner *et al*, 2000). This by-product can enhance the stability of the SIR-nucleosomal complex and may provoke a conformational change of the SIR-bound nucleosomal fibre (Tanny *et al*, 1999; Tanner *et al*, 2000; Tanny and Moazed, 2001; Liou *et al*, 2005; Martino *et al*, 2009). Although these *in vitro* results are compelling, questions remain as how this works *in vivo*, because Sir2 deacetylation activity could be replaced in modified yeast by a class I catalytic domain that does not generate O-AADPR, with only minor loss of transcriptional repression (Chou *et al*, 2008).

Transcriptional silencing itself is thought to arise from sterical hindrance of positive regulators of transcription, by the interaction of the SIR complex with nucleosomes (Hecht *et al*, 1995). SIR complex association also leads to the sequestration of the silent chromatin at the NE through association with Esc1 (Gartenberg *et al*, 2004; Taddei *et al*, 2004). Both the binding of the SIR complex to nucleosomes and the recruitment of silent chromatin to the NE, have been shown to render silent chromatin less accessible to the recombination machinery and to the action of enzymatic probes, such as a bacterial DNA methyltransferase or restriction endonucleases (Gottschling, 1992; Singh and Klar, 1992; Loo and Rine, 1994).

Despite this sequestration, certain classes of DNA-binding proteins seem able to access silent chromatin. For example,

recognition sites for the FLP and Cre recombinases located within budding yeast silent chromatin domains are accessible to these enzymes when expressed at high levels (Holmes and Broach, 1996; Cheng *et al*, 1998). Moreover, promoters within a silenced domain can remain accessible to proteins of the transcription machinery, although the factors that stimulate elongation seem to be excluded (Sekinger and Gross, 2001; Gao and Gross, 2008). Fission yeast heterochromatin may also be accessible to the transcription machinery, because heterochromatin defects have been attributed to specific RNA pol II mutants (Djupedal *et al*, 2005; Kato *et al*, 2005). In addition, small interfering RNAs (siRNAs) have been identified, which match pericentromeric heterochromatin (Reinhart and Bartel, 2002; Cam *et al*, 2005; Bühler *et al*, 2008). Consistently, it was shown that though transcription of the 'forward' strand of pericentric DNA repeats was inhibited by heterochromatin formation, the 'reverse' strand seemed to be transcribed equally in both wild-type and heterochromatin-deficient strains (Volpe *et al*, 2002). This might suggest that transcription can cooperate with RNA decay mechanisms to keep heterochromatic regions repressed. The implications of this are discussed in more detail below.

Heterochromatin assembly in fission yeast

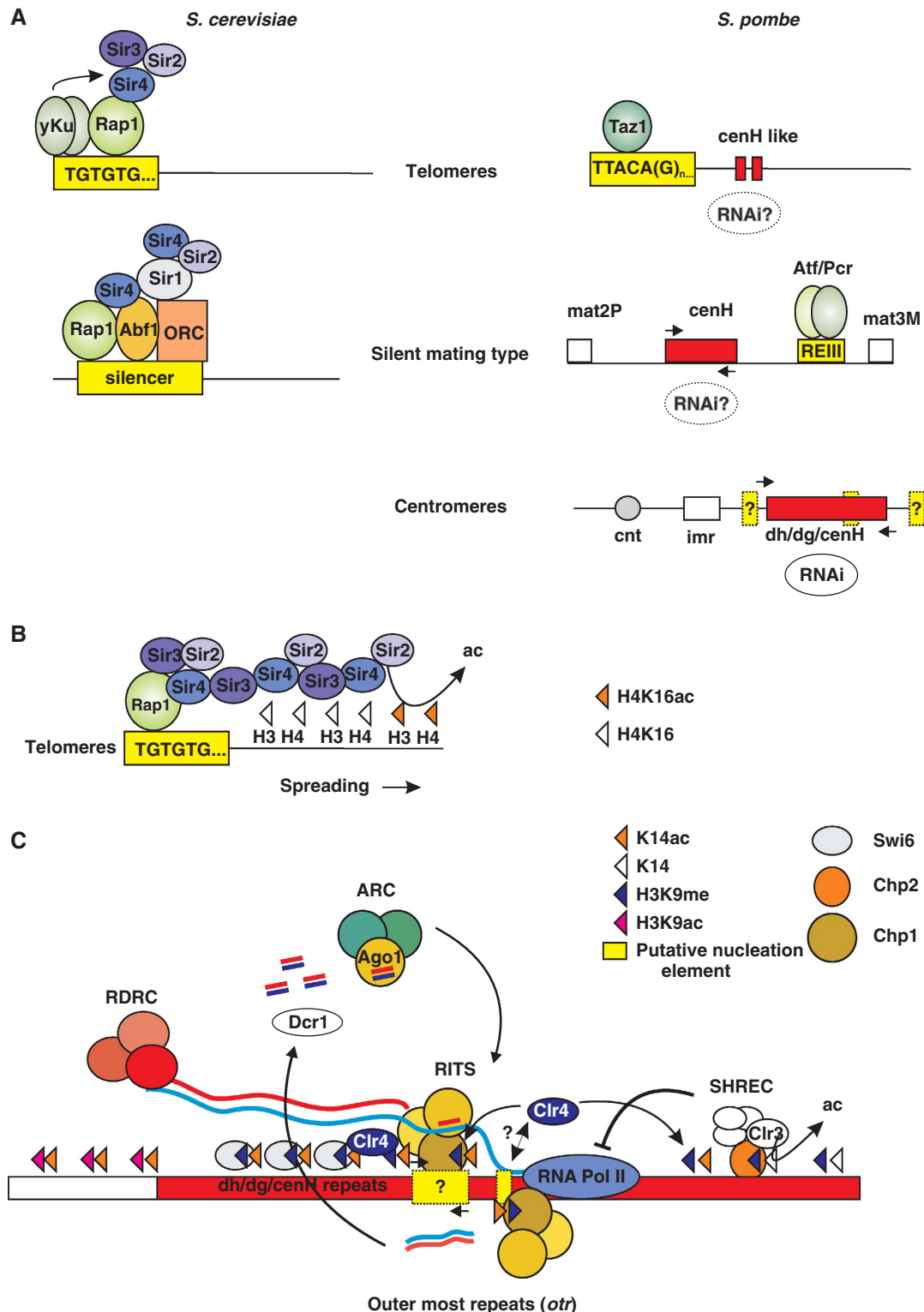
The assembly of heterochromatin in fission yeast, similar to that in budding yeast, involves orchestrated changes in chromatin modifications. After deacetylation of the histone H3 N-terminus by the class I and II histone deacetylases Clr3 and Clr6 (homologs of the HDACs Hda1 and Rpd3, respectively), and the class III NAD-dependent deacetylase Sir2, the methyltransferase, Clr4, methylates histone H3 at lysine 9, creating a binding site for the Swi6, Chp1 and Chp2 chromodomain proteins (Grewal *et al*, 1998; Partridge *et al*, 2000; Nakayama *et al*, 2001; Bjerling *et al*, 2002; Shankaranarayana *et al*, 2003; Motamedi *et al*, 2008). Swi6 and Chp2 are homologous to HP1 proteins, a conserved family of chromatin factors that recognizes methylated H3K9 in all species (Jacobs *et al*, 2001; Jacobs and Khorasanizadeh, 2002). Similar to the SIR complex, sequential cycles of Swi6 binding and Clr4 recruitment have been proposed to mediate the spreading of H3K9 methylation along the chromatin fibre (Nakayama *et al*, 2001; Grewal and Moazed, 2003).

Recent studies have begun to elucidate mechanistic details of assembly and maintenance of these heterochromatic structures. Specifically, it has been shown that the fission yeast chromodomain proteins Swi6, Chp1 and Chp2, although found at both centromeric and telomeric heterochromatin, contribute in distinct ways to heterochromatin assembly at

Figure 2 Silent chromatin assembly in budding and fission yeast. (A) *Cis*-acting DNA sequences (nucleation sites, yellow boxes) are necessary to nucleate assembly of silent chromatin. *Trans*-acting proteins that directly bind the nucleation sites are indicated. Nucleation sites at fission yeast centromeres are likely to exist, although they have not been identified to date (yellow boxes). Bidirectional transcription (indicated by black arrows) of *cen*dg/dh/H-like sequences (red boxes) is thought to produce dsRNA, which is processed into siRNAs by the RNAi machinery in *S. pombe*. siRNAs are required at least for the initiation of heterochromatin assembly at the silent mating-type locus and in addition for the maintenance of heterochromatin at centromeres. (B) Sir3 and Sir4 have dimerization capacity that results in the spread of the SIR complex outward from the nucleation site. Sir3 contributes to the specificity for deacetylated histone tails, whereas Sir4 enhances the affinity of the complex through its ability to bind DNA. Sir2-mediated deacetylation keeps telomeric nucleosomes hypoacetylated creating a high-affinity binding site for Sir3. (C) In *S. pombe*, the RITS complex promotes Clr4-mediated H3K9 methylation by associating with nascent transcripts through siRNA base pairing, and with methylated H3K9 through the chromodomain of its Chp1 subunit. Low levels of H3K9 methylation are maintained in RNAi mutant cells by a yet to be identified alternative pathway (putative nucleation element, yellow box). Primary siRNAs originating from dsRNA formed by bidirectional transcription of a centromeric sequence could prime further dsRNA synthesis and secondary siRNA generation by recruiting the RDRC complex to the nascent transcript. This would allow the spreading of H3K9me away from the nucleation site. H3K9^{me} is bound by the chromodomain proteins Chp1, Chp2 and Swi6. The binding of Chp2 to H3K9^{me} results in the recruitment of the SHREC complex, which in turn deacetylates H3K14. For unknown reasons this reduces RNA Pol II occupancy.

these loci (Thon and Verhein-Hansen, 2000; Partridge *et al*, 2000, 2002). First, Chp1 contributes to *de novo* assembly at all sites of heterochromatin, yet contributes to the maintenance of repressed chromatin exclusively at centromeres (Sadaie *et al*, 2004). This may stem from the fact that different heterochromatic regions are more or less dynamic; centromeric domains seem to be less stable and more in need of establishment events.

Much similar to the situation in *S. cerevisiae*, the nucleation of heterochromatin in fission yeast requires *cis*-acting recruitment events (Figure 2), such as the recruitment of *S. pombe* Rap1 by Taz1 (Kano and Ishikawa, 2001; Zhang *et al*, 2008). Again similar to *S. cerevisiae*, recruitment pathways are partially redundant: the Taz1–Rap1 interaction is compensated by a second Taz1-dependent pathway that nucleates methylation of H3K9 by Clr4 (Kano *et al*, 2005). At the



mating-type locus, an element called *REIII* recruits ATF/CREB family proteins and helps to nucleate heterochromatin (Jia *et al.*, 2004), whereas two further elements, *REII* and *cenH* elements (similar to *dg* and *dh* repeats found at the centromere) function cooperatively to enhance heterochromatin formation at the mating-type locus (Ayoub *et al.*, 2000). The *cis*-acting nucleation sites at centromeres seem to be less well defined. Indeed, recent evidence suggests that transcription of pericentromeric *dg* and *dh* repeats has a critical function in heterochromatin assembly (Figure 2). It seems that, in addition to specific DNA sequences, transcription and/or non-coding RNAs (ncRNA) can provide an initial scaffold for the formation of heterochromatin (Cam *et al.*, 2009). This observation, coupled with the fact that strains defective in RNA processing mechanisms compromise PEV (Bühler *et al.*, 2007; Houseley *et al.*, 2007; Murakami *et al.*, 2007; Vasiljeva *et al.*, 2008; Wang *et al.*, 2008), have challenged the paradigm that heterochromatin excludes transcription.

Transcriptional scaffolds for the assembly of silent chromatin

Although it seems paradoxical, transcription may well be a prerequisite for the assembly and maintenance of some forms of silent chromatin. Although we know little about the underlying mechanisms that link RNA to chromatin, there is growing evidence that ncRNAs can contribute to epigenetic inheritance (Bernstein and Allis, 2005). One of the most prominent examples is the ncRNA Xist that is involved in X chromosome inactivation in mammalian females (Leeb *et al.*, 2009; Senner and Brockdorff, 2009). Xist nucleates a repressive chromatin state *in cis* for almost an entire chromosome. ncRNAs have also been linked to certain forms of gene repression in budding yeast. For instance, a non-coding antisense RNA has been implicated in transcriptional silencing of Ty1 retrotransposons (Berretta *et al.*, 2008), and antisense transcription has been shown to regulate chromatin-dependent silencing of the *PHO84* gene in an aging yeast culture (Camblong *et al.*, 2007). The *PHO84* antisense RNA is normally kept at a low level by the nuclear exosome, an RNase complex with 3'-5' exonucleolytic activity. When this antisense RNA is degraded, *PHO84* sense mRNA is present in maximal amounts, yet under stress conditions the antisense ncRNA accumulates and recruits the exosome to the *PHO84* gene, reducing the sense message. The *PHO84* ncRNA then seems to recruit a histone deacetylase to the locus to further inhibit sense transcription (Camblong *et al.*, 2007). Although this is neither an SIR-dependent nor a heritable state of repression, it does underscore the role of RNA in the suppression of mRNA accumulation.

Finally, ncRNA has recently been detected to bind chromosome ends in which it contributes to the regulation of telomerase. The non-coding telomeric repeat-containing RNA (TERRA) is transcribed towards the chromosomal end in humans, mouse, hamster, zebrafish and in both budding and fission yeasts (Azzalin *et al.*, 2007; Luke *et al.*, 2008; Schoeftner and Blasco, 2008). Its role in gene repression is unclear, and the disruption of TPE through loss of SIR factors actually increased the level of TERRA, meaning that its presence is inversely correlated with repression (see also accompanying focus review by Luke and Lingner, in press). In contrast to this, it was found that fission yeast centromeric *dg* and *dh* transcripts are positively correlated with the

assembly of heterochromatin in an RNA-dependent manner (Bühler and Moazed, 2007; Grewal and Elgin, 2007; Zaratiegui *et al.*, 2007).

One major difficulty in assigning function to ncRNAs is to distinguish between the effects of transcription *per se* and a function of the transcript itself. It is possible that RNA Pol II transcribes non-coding DNA to remodel chromatin and that the resulting ncRNA is a non-functional by-product. Indeed, genes can be activated by transcription through promoter regions making DNA sequences more accessible to the transcription machinery (Hirota *et al.*, 2008). It is also possible that genes become silenced as a consequence of transcription interference (Martens *et al.*, 2004; Hongay *et al.*, 2006). Finally, RNA could also actively recruit modifying enzymes that help assemble a higher-order chromatin structure. Interestingly, some HP1 proteins themselves have affinity for RNA (Muchardt *et al.*, 2002), and recent work on the fission yeast Swi6 showed that it specifically interacts with heterochromatic transcripts. This led to the proposal that its RNA-binding activity serves the general function of retaining heterochromatic RNAs on chromatin (Motamedi *et al.*, 2008).

RNAi-mediated heterochromatin assembly

In fission yeast several lines of evidence argue that RNA serves as a scaffold for the assembly of heterochromatin. In particular, the RNAi pathway contributes to repression at fission yeast centromeres, in which siRNAs, together with long ncRNAs, are essential for the formation of heterochromatin at pericentric *dg/dh* repeats. RNAi is a conserved silencing mechanism that is triggered by double-stranded RNA (dsRNA) (Bartel, 2004; Hannon, 2002). The mechanism of silencing involves the generation of small RNA molecules of ~22 nucleotides from the longer dsRNAs by an RNase III-like enzyme called Dicer (Bernstein *et al.*, 2001). These siRNAs then load onto an effector complex called RNA-induced silencing complex (RISC). RISC complexes contain Argonaute, which is a member of the conserved Argonaute/PIWI family of proteins that are required for RNAi in a variety of systems (Caudy *et al.*, 2002; Hammond *et al.*, 2001; Hutvagner and Zamore, 2002; Mourelatos *et al.*, 2002; Zamore, 2001). siRNA-programmed RISC targets cognate mRNAs for degradation (Caudy *et al.*, 2003). In a related process, small RNAs, called miRNAs, are produced from hairpin RNA transcripts by Dicer enzymes and programme RISC for translational repression of target mRNAs (Pillai, 2005). In some organisms, the RNAi response also requires an RNA-directed RNA polymerase (RdRp) that may be involved in amplifying dsRNA using siRNAs as primers (Dalmay *et al.*, 2000; Sijen *et al.*, 2001).

Although absent in *S. cerevisiae*, the key components of RNAi, Dicer and Argonaute, and an RNA-dependent RNA polymerase, are found in *S. pombe*. Deletion of the genes encoding any of these proteins (Dcr1, Ago1 and Rdp1, respectively) results in loss of H3K9 methylation and Swi6 localization at centromeres (Volpe *et al.*, 2002). Moreover, siRNAs corresponding to centromeric repeats have been identified (Reinhart and Bartel, 2002; Cam *et al.*, 2005; Bühler *et al.*, 2008). Importantly, RNAi turned out to contribute to the initiation of heterochromatin assembly at all heterochromatic loci, but it is only required for maintenance at centromeres (Figure 2) (Jia *et al.*, 2004; Sadaie *et al.*, 2004; Kanoh *et al.*, 2005). Thus, Chp1 and the RNAi machin-

ery seem to be functionally linked. Strikingly, Chp1 resides in a complex together with Ago1, a newly identified factor Tas3, and centromeric siRNAs. This complex has been termed RNA-induced transcriptional silencing (RITS) complex and is required for silencing and high H3K9 methylation levels at centromeric *dg* and *dh* repeats (Verdel *et al*, 2004).

Further biochemical analysis of the *S. pombe* RNAi proteins resulted in the identification of two additional RNAi effector complexes that are important for centromeric heterochromatin formation: the Argonaute siRNA chaperone (ARC) complex and the RNA-directed RNA polymerase complex (RDRC) (Motamedi *et al*, 2004; Buker *et al*, 2007). RDRC has RNA-dependent RNA polymerase activity and is thought to amplify siRNAs (Motamedi *et al*, 2004). As in the RITS complex, ARC contains siRNAs bound to Argonaute. However, the siRNAs found in ARC are mostly double stranded, suggesting that ARC is a precursor complex involved in siRNA maturation. The RITS complex contains single-stranded siRNAs (Buker *et al*, 2007), which have been proposed to act as specificity factors for association with chromatin. In principle, siRNAs could target specific chromatin regions by base pairing with either DNA or nascent RNAs (Grewal and Moazed, 2003).

Studies over the past years have provided support for a model in which siRNAs act as guide molecules to target histone modifying enzymes to chromatin through base pairing between siRNA and pre-mRNA, during RNA elongation by RNA pol II. This would allow nascent RNA to serve as a scaffold for the recruitment of histone modifying enzymes (Figure 2). Support for this model comes from artificial tethering of RITS to the transcript of a normally euchromatic gene. Tethering of the RITS complex to *ura4*⁺ RNA through a site-specific RNA-binding protein (N protein of phage λ) results in heterochromatin assembly and silencing of the cognate *ura4*⁺ gene. This tethering also results in the generation of *ura4*⁺-specific siRNAs, and silencing requires proteins associated with both RNAi and heterochromatin (Buhler *et al*, 2006).

Downregulation of RNA Pol II in fission yeast

Recent work in fission yeast has shed new light on the mechanism of H3K14 deacetylation by the Clr3 HDAC and its relative contribution to chromatin-mediated gene repression. Affinity purification of Clr3 showed a complex termed SHREC (Snf2/Hdac-containing repressor complex) (Sugiyama *et al*, 2007). Core components of SHREC include Clr1, Clr2, the Clr3 histone deacetylase and the Mit1 chromatin-remodelling protein. SHREC seems to act downstream of heterochromatin assembly (H3K9 methylation) to catalyse the deacetylation of H3K14. This in turn seems to limit transcription by impairing access of DNA Pol II to heterochromatin (Figure 2) (Bjerling *et al*, 2002; Sugiyama *et al*, 2007). SHREC itself is targeted to heterochromatic loci by different ligands, two of which are Chp2 or Ccq1. Chp2 seems to be particularly important at centromeres, whereas Ccq1 functions only at the telomere, together with Taz1 (Kanoh *et al*, 2005; Sugiyama *et al*, 2007; Motamedi *et al*, 2008). Briefly, at centromeres the recognition of H3K9^{me} by Chp2 recruits SHREC to facilitate histone H3 deacetylation, which in turn coincides with reduced presence of RNA pol II. On the other hand, Ccq1 circumvents the requirement for H3K9

methylation. Similarly, Atf1/Pcr1 are required for Clr3 targeting to a nucleation site at the mating-type locus, although it is not clear that this acts through physical recruitment of SHREC (Yamada *et al*, 2005).

The observation that RNA Pol II occupancy at heterochromatic loci increases on deletion of SHREC components implicates SHREC in the restriction of promoter access. However, deletion of all the three chromodomain proteins (Swi6, Chp1 and Chp2) is needed to reach the level of derepression achieved in a *clr4Δ* strain. This argues that RNA Pol II restriction is only part of the silencing mechanism (Motamedi *et al*, 2008). The rest may involve RNA decay mechanisms that operate *in cis*. Importantly, the RNA degradation seems to be different from classical post-transcriptional gene silencing, because it depends on the status of the chromatin from which the RNA is transcribed (Buhler *et al*, 2006, 2007). Therefore, this is referred to as co-transcriptional gene silencing (CTGS, Figure 3).

Chromatin-dependent RNA degradation

At heterochromatic loci in which RNAi is essential for silencing, RNA degradation could theoretically be mediated by the RNAi machinery (Noma *et al*, 2004; Buhler *et al*, 2007). Consistent with this idea, recombinant fission yeast Ago1 has siRNA-guided endonucleolytic activity ('slicer' activity), and siRNAs originating from centromeric RNAs as well as centromeric reporter gene insertions have been detected (Irvine *et al*, 2006; Buhler *et al*, 2007; Buker *et al*, 2007). Intriguingly, heterochromatic siRNA levels increase upon deletion of SHREC components, suggesting that RNAi is compensating for the loss of TGS in these mutant strains (Sugiyama *et al*, 2007). Furthermore, silencing of heterochromatin has also been shown to require the TRAMP polyadenylation complex and exosome-mediated RNA degradation (Buhler *et al*, 2007; Murakami *et al*, 2007; Wang *et al*, 2008). Importantly, exosome and TRAMP mutant yeast strains show loss of heterochromatic gene silencing without any obvious defects in heterochromatin formation. Furthermore, highly unstable ncRNAs from silent chromatin regions can be detected in *S. cerevisiae*, which has entirely lost the RNAi pathway (Wyers *et al*, 2005; Houseley *et al*, 2007; Vasiljeva *et al*, 2008). This suggests that CTGS is likely to be a conserved RNA-turnover mechanism that can also function independently of the RNAi pathway to keep heterochromatin silent and further corroborates the concept of CTGS as a heterochromatic gene silencing pathway acting downstream of heterochromatin assembly (Figure 3; Buhler, 2009).

Heterochromatic microenvironments in the interphase nucleus

A striking feature of repetitive DNA and the silent chromatin it engenders is the propensity to stick together to form foci within the nucleus. These are called telomere clusters, or in the case of centromeres, chromocenters. Both can be found around the nucleolus or along the inner face of the NE in yeast and other organisms (reviewed in Akhtar and Gasser, 2007; de Laat and Grosveld, 2007). The result of this spatial arrangement is that the heterochromatin associated with simple repeat DNA creates a subnuclear compartment that sequesters silencing factors and silenced chromatin from the rest of the genome. The relevance of this phenomenon for both TPE and regulation of the rest of the genome were

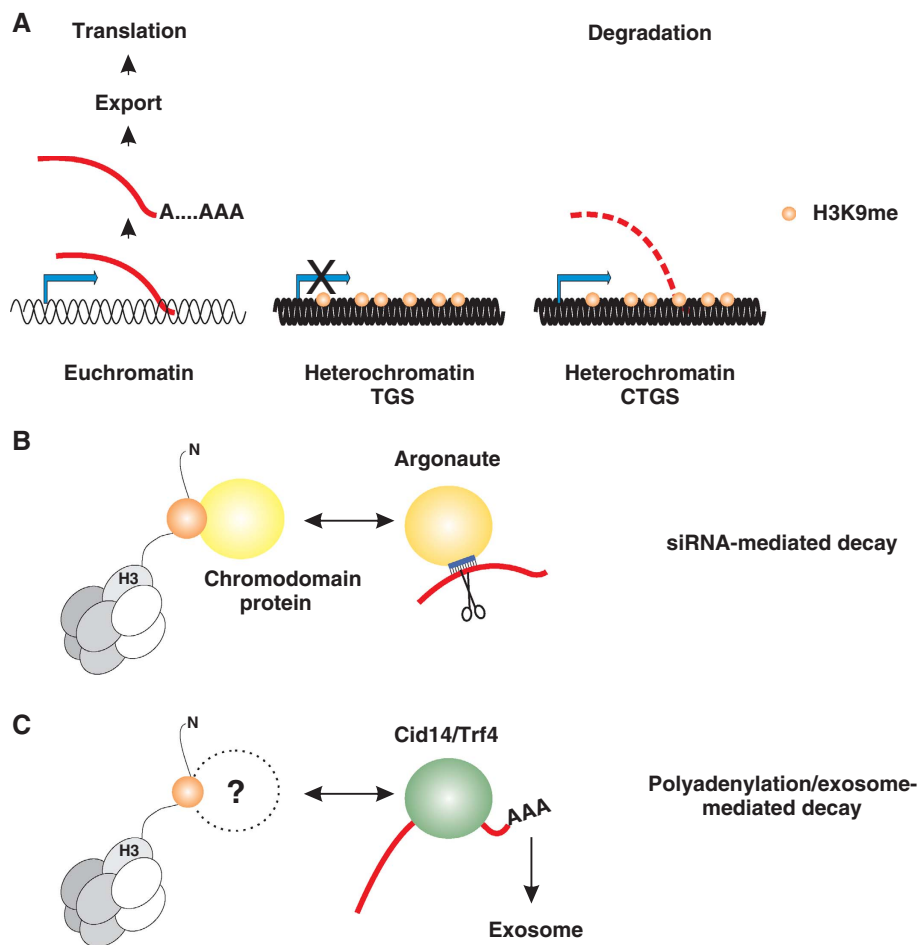


Figure 3 Chromatin-dependent gene silencing mechanisms operate at a transcriptional and/or post-transcriptional level. (A) Silencing of heterochromatin can be achieved by either shutting off transcription (TGS) or by degradation of heterochromatic RNAs (CTGS). In contrast to classic post-transcriptional gene silencing (PTGS), CTGS depends on the status of chromatin from which the gene is transcribed and is therefore referred to as 'co-transcriptional'. (B) RNAi-mediated degradation of heterochromatic RNAs. Argonaute-containing complexes can be physically linked to heterochromatin through chromodomain proteins. One histone-octamer is shown in grey. The chromodomain protein binds to methylated K9 (orange) of the unstructured N-terminal tail of histone H3. The siRNA (blue) guides Argonaute to the heterochromatic RNA through base-pairing interaction and induces 'slicing'. (C) Heterochromatic gene silencing mediated by a non-canonical polyA-polymerase and the exosome. RNAs transcribed from heterochromatic regions are identified by Cid14/Trf4 and marked as aberrant with a short polyA tail. This serves as a signal for the exosome to degrade the RNA.

elegantly shown in budding yeast, in which the components that anchor heterochromatin could be identified and ablated by genetic techniques (Taddei *et al.*, 2004, 2009).

Recent work has elaborated a function for these subcompartments. First, with respect to silencing, subcompartments seem to favour repression by overcoming natural restrictions on TPE that are imposed by the limiting abundance of silencing factors (Taddei *et al.*, 2009). Indeed, overexpression of Sir2, Sir3 and Sir4 group wise, or Sir3 or Sir2 alone, enhances repression of reporter genes at telomeres or the *HM* loci (Maillet *et al.*, 1996). Consistently, native *HMR* and *HML* silencers were shown to out-compete telomeres for limiting pools of SIR factors, because of their strong and redundant silencers (Buck and Shore, 1995; Cockell *et al.*, 1998). In an important study, the tethering of a silencer-flanked reporter construct near telomeric foci was found to improve repression in an SIR-dependent manner (Andrulis *et al.*, 1998). Finally, repression at silencer-proximal genes far from telomeres was facilitated by SIR factor overexpression, as well as by compromising telomere anchorage (Maillet *et al.*, 2001; Gartenberg *et al.*, 2004; Taddei *et al.*, 2009).

Thus, although a perinuclear anchoring is not absolutely necessary for SIR-mediated repression, it clearly contributes to its efficiency and propagation (Figure 4).

How is attachment at the NE achieved? There are two pathways of anchoring in budding yeast, one of which is enhanced by formation of silent chromatin (Sir4-Esc1, Figure 4A), whereas the other is efficient in its absence (yKu-Mps3). For the generation of telomeric subcompartments this latter pathway is very important, as it allows telomere juxtaposition before heterochromatin formation. The pathway that requires yKu tethers telomeres to the NE through telomerase RNA, and the telomerase subunits, Est2 and Est1 in S-phase cells (Schober *et al.*, 2009), thanks to the ability of the telomerase cofactor Est1 to bind the integral NE protein Mps3 (Uetz *et al.*, 2000; Antoniaci *et al.*, 2007). Mps3 is a member of the conserved SUN domain family, which contains inner NE proteins that interact with both chromatin and the cytoskeleton in many species. In budding yeast it docks yKu70/80-Tlc1-Est1 and Sir4 at the NE (Bupp *et al.*, 2007), whereas it binds other proteins of the nuclear lumen in other species (reviewed in Fridkin *et al.*,

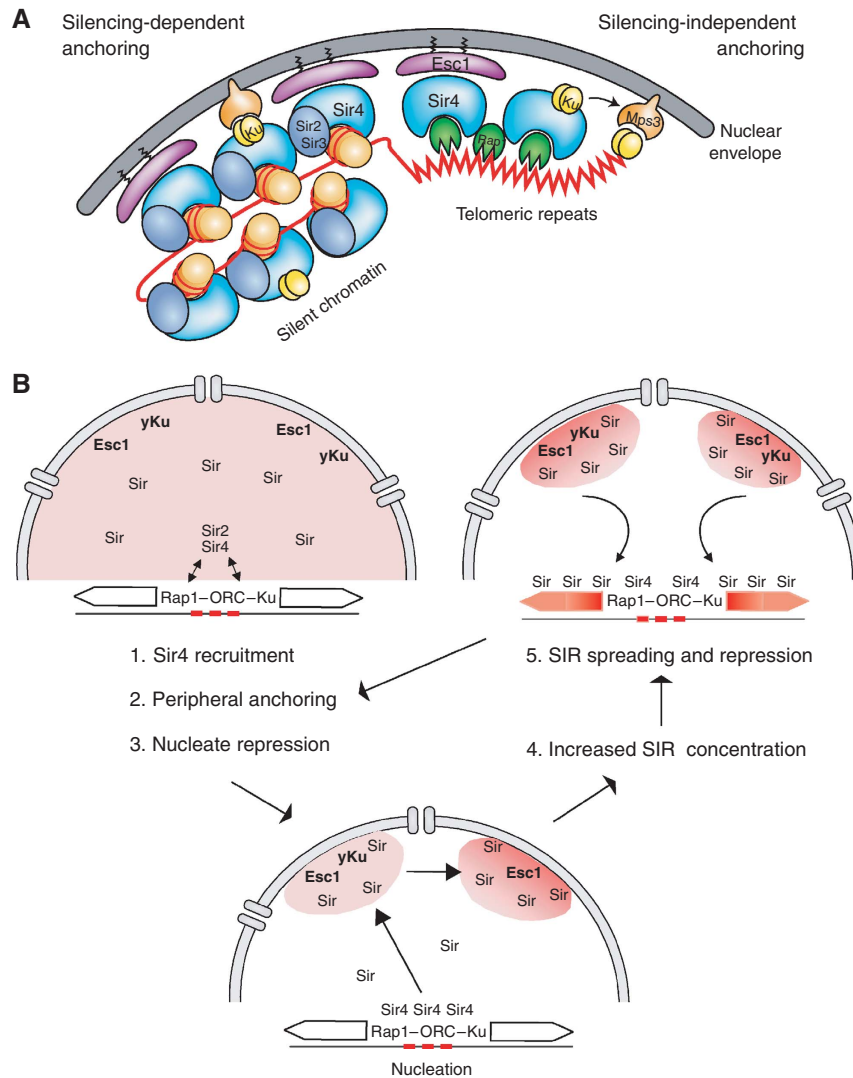


Figure 4 Telomere anchoring and the promotion of TPE in yeast. **(A)** We show schematically both the silencing-dependent and the silencing-independent pathways of telomere anchoring in *S. cerevisiae*. The silencing-dependent pathway primarily exploits the integral SIR complex protein, Sir4, and its high-affinity interaction with Enhancer of Silent chromatin 1, which is peripherally associated with the nuclear envelope (NE). Sir4 can also bind yKu, which in turn mediates interaction with telomerase (Schober *et al.*, 2009). Telomerase then binds Mps3, a SUN domain protein that is an integral component of the NE. At telomeres in S phase the yKu-telomerase-Mps3 pathway is sufficient to anchor telomeres in the absence of silent chromatin or Sir4 (Hediger *et al.*, 2002). **(B)** We show a sequential model for how the binding of telomeres and their sequestration of SIR factors in foci can seed and the establishment of silencing at budding yeast telomeres. We propose that Sir4 is first recruited at the nucleation centre by DNA-binding proteins that can bind Sir4. These include Rap1, ORC, Abf1 and/or yKu. The presence of Sir4 at the locus will then bring it to the nuclear periphery through one of the two Sir4 anchoring pathways (yKu or Esc1) in which the high local concentrations of Sir proteins will help silencing complexes assemble and spread. Once silenced, the repressed telomere can associate with the NE through Esc1, which also increases the local concentration of Sir proteins and reinforces repression with this zone. Importantly, yKu can bind chromosome ends and link them to the nuclear envelope protein, Mps3, in the absence of repression.

2008). Interfering with this pathway perturbs telomere position and yKu-mediated anchoring in S-phase cells, but not in G1, because Est1 is stable only in S phase (Larose *et al.*, 2007).

Intriguingly, the perturbation of telomere anchoring has more effects than simply the loss of TPE. Cells lacking both functional yeast Ku and Esc1 are viable, yet the dispersed SIR complexes have promiscuous effects on the transcriptome, and most notably at promoters implicated in ribosome biogenesis (Taddei *et al.*, 2009; Zhu *et al.*, 2009). At the same time the endogenous subtelomeric genes are derepressed. Thus, the sequestration of silencing factors in perinuclear foci has functional consequences for genome-wide gene regulation.

Much less is known about the mechanisms that tether heterochromatic regions of mitotically dividing fission yeast to the NE, although all three heterochromatic domains show perinuclear localization (Funabiki *et al.*, 1993). The three centromeres and the *MAT* locus localize at the nuclear periphery by attaching to the spindle pole body (SPB). The telomeres are also found at the nuclear periphery but on the opposite side of the SPB in the proximity of the nucleolus in two to four clusters (Funabiki *et al.*, 1993; Alfredsson-Timmins *et al.*, 2007). This organization depends on heterochromatin (Ekwall *et al.*, 1996; Alfredsson-Timmins *et al.*, 2007) and can also be affected by mutations in the key factors of RNAi (ago1, dcr1 and rdp1) (Hall *et al.*, 2003). However, despite the loss of centromeric repression, centromere clus-

tering was unaffected in these RNAi mutants, and telomere clustering was lost without affecting telomeric silencing. Moreover, despite a loss of clustering, telomeres remained associated with the NE in RNAi mutants (Hall *et al.*, 2003). Thus, in *S. pombe*, telomere–telomere interactions, but not centromere–centromere interactions depend on RNAi. Although RNAi is essential for telomere clustering, other pathways—possibly a redundant anchorage pathway such as the Ku pathway in budding yeast—position telomeres at the NE.

Apart from heterochromatin, other genomic elements are able to organize chromatin spatially. Notably, genomic regions designated as chromosome-organizing clamps (COC) are tethered to the nuclear periphery in a heterochromatin-independent manner in *S. pombe*. This is mediated by the TFIIC transcription factor complex that normally recruits RNA polymerase III (Noma *et al.*, 2006), yet these sites are not occupied by RNA polymerase III. The functional consequences of tethering COCs to the nuclear periphery are less clear, but they may have a boundary function that could impact complex chromosomal processes such as gene regulation, DNA replication and recombination (Noma *et al.*, 2006). Interestingly, TFIIC is also known to bind to several sites across the *S. cerevisiae* genome, called ETC loci, which are similarly independent of RNA polymerase III localization (Moqtaderi and Struhl, 2004).

Meiosis entails major re-arrangements of the nuclear organization of fission yeast chromatin. Chromocenters are detached from the SPB and change places with telomeres, in preparation for the horsetail movements when the meiotic recombination takes place (Chikashige *et al.*, 1997). In meiotic prophase, Taz1 is required for stable association between telomeres and SPB, and loss of the association leads to strong negative phenotypes (Cooper *et al.*, 1998). Indeed, meiotic recombination is reduced, and both spore viability and the ability of zygotes to re-enter mitosis are impaired. Finally, mutations in the RNAi machinery provoke a mild but consistent disruption of meiotic telomere clustering and SPB integrity (Hall *et al.*, 2003). To date it is unclear exactly to which extent meiotic and mitotic elements of nuclear orga-

nization are conserved in *S. pombe*. This awaits a careful genetic dissection of the localization machinery.

Flagging up damage and telomeres

Additional elements and pathways contribute to the perinuclear localization of budding yeast telomeres and centromeres, many being incompletely explained. Some pathways of positioning seem to be linked to the cellular response to a double-strand break, which raises the issue of whether the nuclear periphery influences recombinational repair or telomerase elongation, or both. Data are still scarce on this issue, but it seems that Mps3 may help suppress or regulate certain forms of recombination, such as break-induced repair (Gartenberg, 2009). This could be relevant for telomeres and damage, for instance, when there is no donor sequence for repair by homologous recombination. It remains to be seen exactly how and why telomerase wins over recombination pathways when chromosomal breaks bear TG repeats. This is an important question to solve if we are to understand the molecular structure of a chromosomal end.

Conclusions

Much of our understanding of chromatin-mediated repression comes from the study of model organisms. The two yeasts discussed here have widely different mechanisms of silencing, yet both contribute important principles of action, which have and will continue to guide studies in more biomedically relevant organisms. Budding yeast provides important paradigms for nucleation, propagation and questions of dosage dependence for heterochromatin, whereas *S. pombe* has contributed many of the models currently explored on how RNA contributes to transcriptional repression in the nucleus. Even principles of nuclear organization are likely to have parallels in higher eukaryotic cells, although other internal nuclear structures may replace the NE as an organizing principle. The power of genetics and population-wide statistics, which are so easy in yeast, will ensure that these organisms remain at the forefront of epigenetic research.


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