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# **Real-time Catheter Molecular Sensing of Inflammation in Proteolytically Active Atherosclerosis**

**Farouc A Jaffer, MD PhD**1,2,3, **Claudio Vinegoni, PhD**1, **Michael C John, MPH**2, **Elena Aikawa, MD PhD**1, **Herman K. Gold, MD**2,\* , **Aloke V Finn, MD**2, **Vasilis Ntziachristos**1, **Peter Libby, MD**3,4, and **Ralph Weissleder, MD PhD**1,2

<sup>1</sup>Center for Molecular Imaging Research, Massachusetts General Hospital, Boston, MA

<sup>2</sup>Cardiovascular Research Center and Cardiology Division, Massachusetts General Hospital, Boston, MA

<sup>3</sup>Donald W. Reynolds Cardiovascular Clinical Research Center, Harvard Medical School, Boston, MA

<sup>4</sup>Cardiovascular Division, Brigham and Women's Hospital, Boston, MA

# **Abstract**

**Background—**To enable intravascular detection of inflammation in atherosclerosis, we developed a near-infrared fluorescence (NIRF) catheter-based strategy to sense cysteine protease activity during vascular catheterization.

**Methods and Results—**The NIRF catheter was designed based on a clinical coronary artery guidewire. In phantom studies of NIR fluorescent plaques, blood produced only a mild (<30%) attenuation of the fluorescence signal compared to saline, affirming the favorable optical properties of the NIR window. Catheter evaluation in vivo utilized atherosclerotic rabbits (n=11). Rabbits received an injection of a cysteine protease-activatable NIRF imaging agent (Prosense750, excitation/emission 750/770 nm) or saline. Catheter pullbacks through the blood-filled iliac artery detected NIRF signals 24 hours after injection of the probe. In the protease agent group, the in vivo peak plaque target-to-background ratio (TBR) was 558% greater than controls (mean±SEM, 6.8±1.9 vs.  $1.3\pm0.3$ , p<0.05). Ex vivo fluorescence reflectance imaging corroborated these results (TBR 10.3)  $\pm 1.8$  agent vs. 1.8 $\pm 0.3$  saline, p<0.01). In the protease group only, saline flush-modulated NIRF signal profiles further distinguished atheromata from normal segments in vivo (p<0.01). Good correlation between the in vivo and ex vivo plaque TBR was present ( $r=0.82$ ,  $p<0.01$ ). Histopathological analyses demonstrated strong NIRF signal in plaques only from the protease agent group. NIRF signals colocalized with immunoreactive macrophages and the cysteine protease cathepsin B.

**Conclusions—**An intravascular fluorescence catheter can detect cysteine protease activity in vessels the size of human coronary arteries in real-time using an activatable NIRF agent. This strategy could aid in detecting inflammation and high-risk plaques in small-sized arteries.

## **DISCLOSURES**

Correspondence: Farouc Jaffer, MGH-CMIR, 149 13<sup>th</sup> St Room 5406, Boston, MA 02129, Tel: 617.726.5788, Fax: 617.726.5708, email: fjaffer@mgh.harvard.edu. \*deceased

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# **Keywords**

atherosclerosis; fluorescence; inflammation; catheter; imaging

# **INTRODUCTION**

Extensive preclinical and clinical investigations of atherosclerosis link inflammation to plaque progression and complications.<sup>1</sup> While several imaging methods can assess plaque structure, few can visualize specific molecular and cellular aspects of the inflammatory process. To address this need, rapidly developing molecular sensing strategies may permit visualization of key biological processes in atherosclerosis.<sup>2, 3</sup>

In particular, near-infrared fluorescence (NIRF) detection strategies can visualize inflammatory processes in atheromata of small animals, including cysteine and matrix metalloproteinase activity.<sup>4, 5</sup> Given the role of altered protease activity in atheroma expansion and <sup>1</sup><br>destabilization, <sup>6—8</sup> visualization of atheroma protease activity in human subjects might identify inflamed, high-risk lesions associated with myocardial infarction.<sup>9</sup>

To date, in vivo NIRF detection of inflammation in atheromata with readily translatable clinical-type systems has not been demonstrated. To address this need, we have developed a flexible, narrow diameter NIRF catheter to permit in vivo, intravascular NIRF sensing in human coronary artery-sized vessels. Here we investigated (i) the ability of the NIRF catheter to visualize inflammatory protease activity in real time in vivo in rabbit atheromata, (ii) the effects of blood absorption on the in vivo NIRF signal, and (iii) the association between in vivo NIRF signals and histological measures of inflammation, specifically plaque cysteine proteases and macrophages.

# **METHODS**

#### **NIRF catheter**

The custom built catheter-based sensing system is compact and consists of few components. A continuous wave laser diode with an excitation wavelength of 750 nm (B&W TEK, Newark, DE) served as an excitation source. The excitation light was filtered with a narrow band pass interference filter centered at 752 nm and with a 5 nm full-width-at-half-maximum (FWHM) in order to remove any residual laser scatter. Filtered excitation light was next guided using a multimode fiber after passing through a 3-dB beam splitter, and then coupled into a dedicated catheter prototype based on an optical coherence tomography wire (LightLab Imaging Inc., Westford, MA, USA). The catheter contains a 0.36 mm/0.014" floppy radio-opaque tip with outer diameter 0.41mm/0.016" housing a 62.5/125 micrometer multimode fiber of 200 cm length. The lower diameter and greater flexibility represented a significant advance over our prior proof-of-principle NIRF catheter design with application limited to large-caliber ex vivo structures.<sup>10</sup> At the end of the catheter, a prism then directed the light at 90 degrees with respect to the catheter and focused this light to a near diffraction-limited focal spot size of approximately  $40\pm15$  micrometer at a working distance of  $2\pm1$  mm (Figure 1A). Emitted fluorescent light was then collected back into the catheter and guided to the beam splitter, where one-half of the photon flux was coupled into a separate multimode fiber. To limit contamination by backscattered light, fluorescence photons were filtered using a dielectrically-coated dichroic filter with a cut-on wavelength of 780 nm. The residual fluorescence light was detected with a photomultiplier tube (H5783-20, Hamamatsu, Japan) and then digitized with 16-bit resolution at 1 kHz (DAQ card, National Instruments, Austin, TX) operating on a personal computer. Data noise reduction was performed with a 50-point moving average filter (MatLab v7.5,MathWorks, Natick, MA). In the present studies the typical employed laser power was

0.9 mW as measured by a power meter at the catheter tip, considerably lower than the 5–15 mW power employed in clinical optical coherence tomography systems.<sup>11</sup>

#### **Phantom experiments**

An atherosclerotic vessel phantom was designed and consisted of fresh whole rabbit blood, and simulated tissue and plaque (Figure 1B). The plaque (P) was simulated with a cuvette filled with a solution of 1% intralipid (Liposyn, Hospira Inc, Lake Forest), and 50 ppm India ink.  $12$  The plaque was rendered fluorescent with a near-infrared fluorochrome (AF750, Molecular Probes, Inc., OR) at a concentration of 300 nM. The overlying solid tissue phantom was made from a polyester casting resin (Castin'Craft, ETI, Fields Landing, CA) mixed with  $TiO<sub>2</sub>$ (Sigma-Aldrich Chemical Company Inc., Milwaukee, WI) and india ink (Higgins-Faber-Castell Corp, NJ, USA)<sup>12</sup> in order to mimic typical tissue optical properties in the near-infrared. <sup>13</sup> The optical parameters of the tissue were chosen to produce lower absorption and increased scattering compared to blood ( $\mu_a$ =0.4 cm<sup>-1</sup> and  $\mu_s$  =12cm<sup>-1</sup>, respectively). The tissue thickness (T) was varied to be 0 (no tissue phantom present) or 500 micrometers.

The catheter was next immersed in the vessel phantom, at a distance D from the fluorescent plaque. The optical power at the exit of the catheter was kept fixed during all the measurements at 0.9 mW. The fluorescence intensity was recorded using the system described above.

# **Cysteine protease-activatable imaging agent**

A cysteine protease-activatable NIRF agent with utility in atherosclerosis was employed<sup>4, 14</sup> and scaled up for large animal use (Prosense750, excitation/emission 750nm/780nm,VisEn Medical, Woburn, MA). The agent consists of multiple NIR fluorochromes linked to protected graft co-polymer consisting of lysine-lysine bonds. At baseline, the agent is minimally fluorescent due to intramolecular quenching. The agent serves as a reporter for cysteine proteases including cathepsin B.4, 14, 15 After cleavage by cysteine proteases, the backbone liberates smaller fragments with dequenched NIR fluorochromes, generating strong local fluorescence. For further detail regarding this class of activatable imaging agents and the mechanism of NIRF signal generation, the reader is referred to an earlier reference.<sup>16</sup>

## **Atherosclerosis**

New Zealand white rabbits (weight 3–3.5kg, Charles River Laboratories) underwent balloondenudation of iliac arteries and hypercholsterolemic diet in order to develop inflamed atheromata. Rabbits were placed on a high-cholesterol diet (1% cholesterol and 5% peanut oil, C-30293, Research Diets, Inc., New Brunswick, NJ) for 1 week prior to balloon injury. After an overnight fast, anesthesia was induced with IM ketamine (35 mg/kg) and xylazine (5 mg/ kg). Anesthesia was continued using inhaled isoflurane (1–5% v/v, Novaplus, United Kingdom) and supplemental  $O_2$ . Next a 3F Fogarty arterial embolectomy catheter(Edwards Lifesciences, Irvine, CA) was advanced into the common iliac vessels. The balloon was inflated to tension (0.6–1.0 ml). A total of 3 pullbacks were performed in the left and right iliac artery, as well as the infrarenal aorta. Following injury the introducer was removed and the left carotid artery was ligated. Rabbits continued on the high-cholesterol diet for 4–8 weeks. Plasma cholesterol levels were measured using a commercial kit (Hemagen, Columbia, MD). Twentyfour hours before in vivo experiments, rabbits received the protease agent (600 nmol/kg) or saline intravenously. This time point post-injection was chosen based on prior experimental results with protease-activatable agents in atherosclerosis.<sup>4, 16</sup>

#### **Intravascular sensing of near infrared fluorescence signals in atherosclerosis**

Anesthesia was initiated as above, and a 5F introducer was placed into the right carotid artery and then delivered to the descending thoracic aorta under fluoroscopic guidance. IV heparin

(150 units/kg) was next administered. A 5Fr balloon wedge catheter (Arrow Intl. Inc., Reading, PA) was placed through the sheath and advanced to the abdominal aorta. Aortoiliac angiography was then performed. The NIRF catheter was then advanced through the balloon wedge catheter into the distal iliac arteries. To limit sampling variability from the 90-degree sensing catheter, manual pullback of the catheter over 20 seconds was performed in each iliac artery three times. From each digitized pullback, the maximum voltage was recorded. From this set of maximum voltages, the maximal value was identified. The in vivo plaque target-tobackground ratio (TBR) was thus calculated as  $TBR = (maximum voltage detected from all$ pullbacks) / (background voltage).

To confirm that the augmented voltage was plaque specific, the catheter was re-advanced to lesions using the angiogram and the NIRF signal as a roadmap. The static voltage was recorded adjacent to plaques and adjacent to the normal appearing vessel wall on angiography. To assess the effect of blood absorption on the detected NIRF signal, balloon occlusion and saline flushing (3 mL over 4 seconds) was performed through the balloon wedge catheter (Arrow Intl. Inc., Reading, PA). Flushing and associated voltage traces were recorded in both areas of plaque and normal vessels. After completion of the experiment, rabbits were sacrificed, blood was obtained and anticoagulated (9 parts blood, 1 part 3.8% sodium citrate). The rabbit was then perfused with saline and 4% paraformaldehyde at physiologic pressure. The institutional Subcommittee on Research Animal Care approved all animal protocols.

#### **Ex vivo imaging**

Resected atherosclerotic aortoiliac vessels underwent fluorescence reflectance imaging (FRI) with an excitation/emission filter 762nm/800nm and a 5 second exposure time (BonSAI, Siemens, Germany). Light images were also obtained with an exposure time of 100 msec. Region-of-interest (ROI) analysis was performed using visual identification of plaques and normal background on FRI images (Osirix v.2.7.5, A. Rosset). The plaque target-tobackground ratio was defined as: TBR=(plaque ROI signal)/(adjacent vessel background ROI signal).

# **Histopathology**

After imaging, vessels were immersed in 4% paraformaldehyde for 24 hours. Vascular rings were then frozen in optical cutting temperature compound (Sakura Finetek, Torrance, CA) for histopathological analysis. Serial 5 micrometer cryosections of rabbit atheromata were cut. Immunohistochemistry was performed using the avidin-biotin-peroxidase method. Briefly, sections treated with 0.3% of hydrogen peroxide were incubated for 60 minutes with primary or isotype control antibodies, followed by respective biotinylated secondary antibody. The reaction was visualized with aminoethylcarbazole substrate (Dako, Carpinteria, CA) and counterstained with Harris hematoxylin solution. Immunoreactive cathepsin B was detected with a polyclonal goat anti-cathepsin B antibody (Santa Cruz Biotechnology, Santa Cruz, CA). Plaque macrophages were identified with a mouse monoclonal antibody (Ab-5/RAM-11, Lab Vision, Fremont, CA). Tissue sections were viewed using a microscope (Nikon Eclipse 50i, Japan) and images were captured using a CCD-SPOT RT digital camera (Diagnostic Instruments, MI).

## **Fluorescence microscopy**

Five micrometer cryosections were obtained from fresh frozen rabbit arteries. Fluorescence microscopy of plaque and normal vessel sections was performed with an upright epifluorescence microscope (Eclipse 80i, Nikon, Japan) that visualized the distribution of agent-specific NIR fluorescence (excitation 673–748 nm, dichroic mirror DM750, emission 765–835 nm, exposure time 5 sec) as well as autofluorescence (excitation 460–500nm, dichroic mirror DM505, emission 510–560nm, exposure time 0.5 sec).

# **Statistics**

Data are presented as mean±standard error. The Student's t-test was used to compare the mean TBR between the protease agent group and saline group for both in vivo and ex vivo fluorescence studies. Linear regression was performed using Prism v4.0c (GraphPad Software, San Diego, CA). A value of  $p<0.05$  was considered statistically significant.

## **Statement of Responsibility**

The authors had full access to the data and take full responsibility for its integrity. All authors have read and agree to the manuscript as written.

# **RESULTS**

## **Light attenuation in blood and tissue phantoms in vitro**

Light attenuates considerably while traveling through opaque tissue, but photon attenuation can be relatively minimized in the near-infrared (NIR) window employed here. Modeling of the effects of blood and tissue on NIRF signals attenuation utilized an atherosclerotic vessel wall phantom that consisted of fresh blood, and simulated tissue and NIR fluorescent plaque (Figure 1B). In vitro modulation of the distance D, reflecting the blood volume between the catheter and the plaque P, produced mild NIRF signal attenuation in the presence of blood, with a decay distance  $(1/e)$  of 500 micrometers, compared to a decay distance of 700 micrometers in saline (Figure 1C). Modeling in the visible light range indicated significantly greater photon attenuation (data not shown). The tissue thickness T, reflecting a simulated fibrous cap overlying the fluorescent plaque P, showed instead only modest effects on the NIRF signal with a T=500 micrometer thickness (Figure 1D). These blood and tissue attenuation curves demonstrated feasibility of light detection through blood in the NIR window.

#### **Delivery of the intravascular NIRF catheter in atherosclerotic vessels**

Balloon-injury of the iliac arteries followed by hypercholesterolemia (average cholesterol 1077  $\pm$ 672 mg /dL) induced angiographically visible lesions with evident luminal narrowing (angiographic stenosis 30–100% diameter, Figure 2A). Total occlusions (100% stenosis) were noted in 3 out of 22 (14%) of injured iliac arteries. In patent arteries, the NIRF catheter was easily advanced bare-wire through a 5F balloon wedge pressure catheter, and selectively placed in the right or left iliac artery as desired (Figure 2B). Resected aortoiliac vessels after the imaging procedure showed bilateral yellowish-white atheromata in areas of balloon injury (Figure 2C).

## **Real-time intravascular detection of NIRF signals through flowing blood**

All animals tolerated injection of the protease-activatable agent; no adverse events were noted. To measure the plaque-associated NIRF signal in vivo, distal-to-proximal pullback of the iliac vessels and abdominal aorta were performed in each iliac artery (Figure 3 and Video 1). Pullbacks were performed during flowing blood without occlusion or flushing. The maximal voltage was recorded from three distinct catheter pullbacks to maximize the sampled vessel area. Study of animals that received the cysteine protease-activatable agent yielded high levels of NIR fluorescence (voltage) (Figure 3). The augmented NIRF signal localized to plaques as assessed by 1) x-ray angiographic correlation and 2) directly repositioning the NIRF catheter at plaques defined by angiography. The peak in vivo plaque target-to-background ratio (TBR) was  $6.8\pm1.9$  in the protease agent group. In contrast, control animals showed minimal change in the baseline low NIRF signal during pullbacks (Figure 3). The peak in vivo plaque TBR was 1.3 $\pm$ 0.3 (p<0.05), consistent with low plaque autofluorescence in the NIR window.<sup>17</sup> Overall the protease-activatable agent produced a 558% higher plaque TBR than plaques from the saline group.

# **Distinctive NIRF signal profiles further distinguish plaques from normal-appearing vessels**

To assess the specificity of plaque NIRF signal in the protease agent group, the catheter was re-advanced to atheromata or normal appearing segments as defined by the angiogram. Stationary recordings confirmed augmented NIRF signal in the region adjacent to plaques compared to normal areas, and that the NIRF signal was stable during physiologic pulsatile blood blow (Figure 4). Modest quenching (<30% reduction in the fluorescence signal at 5 seconds of static catheter position) was observed at the employed power settings. In addition, to determine the effects of blood absorption on the detected NIRF signal in both areas of plaques and normal segments of the protease agent group, balloon occlusion followed by saline flushing was performed. In the protease agent group only, differences in the flush profiles were noted between plaque areas and normal segments. In areas of plaques, saline flushing augmented the detected NIRF signal (Figure 4), with a peak signal increase of 74±18%. In contrast, in normal vessel segments, flushing modestly decreased the detected NIRF signal (−38 ±6% peak change, p<0.01 vs. plaque signal changes). In control rabbits, minimal signal deviation in plaques or normal segments was detected during flushing in control rabbits (Figure 4).

## **Ex vivo fluorescence reflectance imaging (FRI) of inflammation in atheromata**

In the protease agent group, macroscopic FRI revealed NIRF signal in plaques but not the uninjured, normal appearing vessel segments (Figure 5). Control animals showed negligible NIRF signal from autofluorescence. The peak plaque TBR on FRI was 10.3±1.8 in the protease agent group, 857% higher than the peak plaque TBR in the control group  $(1.8\pm0.3, \text{p} < 0.01)$ . The in vivo and ex vivo peak plaque TBR correlated well ( $r=0.82$ ,  $p<0.01$ , Figure 6).

#### **Colocalization of microscopic NIRF signal with cysteine proteases and macrophages**

On fluorescence microscopy, plaque sections from the protease agent group revealed greater NIRF signal compared to sections from the control group, consistent with a low level of plaque autofluorescence at 800 nm (Figure 7). In addition normal arterial segments from the protease group had relative low NIRF signal, confirming that the protease-activatable agent enhanced atheromata selectively. The distinction between NIRF signal and autofluorescence was further evident on merged two channel fluorescence microscopy, which demonstrated green autofluorescence characteristic of elastin in all sections, but only high NIRF signals in plaques from the protease agent group (Figure 7).

Further histopathological analyses revealed colocalization of the NIRF signal with cell-rich areas comprised primarily of macrophages (Figure 8). Immunohistochemical detection of cathepsin B, a lysosomal cysteine protease that activates the protease agent,4, 14, 15 colocalized with plaque NIRF signal and plaque macrophages (Figure 7 and Figure 8). In contrast, minimal NIRF signal was noted in paucicellular areas of plaques. In addition, while plaques of control rabbits showed abundant macrophages and cathepsin B, minimal NIRF microscopic signal was present (data not shown).

# **DISCUSSION**

The experimental results demonstrate the detectability of inflammation-associated proteinase activity in atherosclerosis in vivo in real-time using a novel intravascular NIRF catheter sensing approach. In vivo NIRF catheter signal detection, ex vivo fluorescence reflectance imaging, and microscopic NIRF signals in atherosclerotic plaques colocalized with inflammation, specifically augmented cysteine proteinase protein expression in macrophages.

While assessment of protease activity in atheroma is feasible in mice via surgical exposure,  $4$ , <sup>5</sup> the present study employed a novel intravascular NIRF catheter that detected plaque protease activity during catheterization of arteries of caliber similar to human coronary arteries.

Moreover, the catheter employs the same geometry and flexibility as a clinically utilized optical coherence tomography guidewire for coronary arterial imaging. In addition, the current in vivo experiments demonstrated acquisition of endovascular NIRF signals through blood and during physiological blood flow, as opposed to confocal microscopy approaches where imaging is performed externally without blood interference.<sup>16</sup> This sensing platform visualized cysteine protease activity in real-time in atherosclerotic plaques (average TBR>6), despite the presence of blood (Figure 3 and Video 1). Normal arterial segments in atherosclerotic or nonatherosclerotic rabbits yielded minimal NIRF catheter-detected signal. Three synergistic factors served to augment the in vivo plaque TBR: 1) an efficient, activatable NIRF molecular imaging agent, 2) operating in the near-infrared window for fluorescence signal detection (efficient photon penetration up to 7–14 cm in transillumination mode, and low tissue autofluorescence)<sup>17</sup> and 3) a local, catheter-based system to detect fluorescent plaque signals. From an imaging agent standpoint, the highly quenched protease-activatable agent emits negligible fluorescence when initially injected. Protease-mediated cleavage of the agent produces the fluorescent signal and results in high TBRs due to the low background signal. In addition, one enzyme molecule can cleave multiple substrate copies, augmenting the efficiency of this approach. Using the catalytic properties of enzymes provides amplification not possible with the one-to-one stoichiometry of imaging probes based on binding of labeled inhibitors.

Studies on a phantom demonstrated that NIRF signal detection remained efficient even in the presence of blood, with a decay distance (1/e) of 500 micrometers compared to 700 micrometers in saline. In vivo studies confirmed the ability to detect efficiently NIRF signals through blood, without the need for flushing or balloon occlusion. As the average iliac artery diameter is approximately 2–2.5mm, in a 50% diameter stenosis, a centered catheter should be ~600 micrometers away from a plaque, a distance that should readily allow NIRF signal detection (Figure 1C). Phantom measurements demonstrated also that saline displacement did not produce dramatic gains in the detected NIRF signal, as observed here in vivo (Figure 4B). Both the in vivo and phantom results suggest the NIR window has utility for in vivo molecular imaging of atherosclerosis in vessels the size of human coronary arteries.

The NIRF signal in plaque sections was associated with both macrophages and the protease cathepsin B, a cysteine protease produced by macrophages and a member of a proteinase family linked to atherosclerosis.<sup>4, 18–20</sup> By promoting plaque inflammatory cell recruitment, elastinolysis and collagenolysis, apoptosis, and neoangiogenesis, cysteine proteases such as cathepsin B play a pivotal role in promoting atheroma formation, expansion, and rupture.<sup>6–8</sup> In the present study, cathepsin B colocalized strongly with macrophages and NIR fluorescence signal in rabbit atheromata, consistent with earlier murine atherosclerosis studies.<sup>4, 14</sup> In addition, cysteinyl proteinases such as cathepsin B readily cleave the protease-activatable agent substrate in vitro and in vivo.<sup>4, 14, 15</sup> The current molecular imaging and histopathological results in large animals strengthen the relationship between cysteine protease activity and atherosclerosis, and further validate cysteine proteases as important imaging biomarkers of plaque inflammation.

This in vivo proof-of-principle study has certain limitations. While the imaging agent is activated predominantly by cathepsin B, other proteases may activate the agent; additional studies may clarify their relative contributions in vivo. Additional specificity for cathepsin B, if necessary, could be obtained with second generation designs of protease-activatable agents, as recently demonstrated for cathepsin  $K<sup>16</sup>$  At present, the developed catheter senses fluorescence in 90 degree arcs in one dimensional fashion, potentially missing focal spots of high NIRF signal. To reduce sampling error, multiple NIRF catheter pullbacks were performed in each artery. In addition, only the maximum voltage was used in the in vivo data analyses to limit error during manual pullback, and showed good correlation between in vivo and ex vivo data (r=0.82). Additional study parameters subject to further optimization include the

employed laser power, imaging agent dose, and ideal time delay for sensing after agent injection. Advances in NIRF catheter design should enable more complete imaging (via optical fiber rotation), uniform vessel wall sampling, and automated pullbacks. The fluorescence signals detected are heavily surface-weighted; further studies will determine NIRF signal variation as a function of plaque thickness. Encouragingly, the current phantom studies suggest that overlying tissue (e.g. fibrous cap) will not strongly attenuate the NIRF signal. Lastly, while in vivo NIRF signal detection may be favorable in the studied arteries (2–2.5mm diameter), further studies will determine NIRF signal detection capability through larger volumes of blood, as found in larger vessels or with very mildly stenotic lesions.

These studies serve to form the basis for future clinical trials of this promising technology. The imaging agent, tested safely in medium-sized animals for the first time here, appears clinically favorable from a safety profile for two reasons: 1) the agent's polymer backbone has already been safely investigated in clinical trials<sup>21</sup> and 2) the agent's NIR fluorochromes are similar to organic fluorochromes such as indocyanine green, a widely utilized retinal angiographic agent possessing a highly favorable safety profile.<sup>22</sup> In conjunction with Prosense, clinical development of NIRF catheter technology could enable coronary arterial applications such as identifying high-risk plaques harboring inflammation, or assessing the biological effects of novel anti-inflammatory agents on atheromata in vivo. In practice, this NIRF catheter approach could interrogate moderately stenotic coronary lesions of patients with anticipated high cardiovascular event rates, such as those with established cardiovascular disease, as well as high-risk patient subsets with augmented coronary plaque inflammation, such as diabetic patients.<sup>23</sup> Furthermore, integration of NIRF with other light-based imaging methods could yield integrated NIRF-OCT, NIRF-optical frequency domain imaging,<sup>24</sup> or NIRF-NIR  $\frac{1}{25}$  catheters that enable simultaneous molecular and structural assessment of atherosclerosis in real-time pullback fashion.

# **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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#### **Figure 1.**

The near-infrared fluorescence (NIRF) catheter protoype. The catheter consists of a 0.36 mm/ 0.014 inch floppy radio-opaque tip with maximum outer diameter 0.48mm/0.019 inches. The focal spot for the 90-degree arc sensing catheter was  $40\pm15$  micrometer at a working distance of  $2\pm1$  mm (arrow). (B) Phantom for intravascular simulation measurements: P=plaque, consisting of intralipid + India Ink  $50 + AF750$  (NIR fluorochrome); T=tissue (fibrous cap), consisting of polyester casting resin + titanium dioxide  $(TiO<sub>2</sub>)$  + india ink; the container (grey shaded area) was filled with fresh rabbit blood or saline. The catheter was immersed in fresh rabbit blood and positioned at a variable distance D from a fluorescent phantom representing the plaque P. In order to mimic the presence of a fibrous cap, a solid tissue phantom of thickness T was interposed between the plaque and the lumen. (C) Plot of the detected NIR fluorescence signal as a function of the distance D, in the presence of blood (1/e signal decay 500 micrometers, empty circles) compared to saline (1/e signal decay 700 micrometers, solid circles). The inset shows the fluorescence signal decay in saline at distances up to 10mm. (D) Plot of the detected NIR fluorescence signal in blood in the presence of a tissue phantom T of thickness 500 micrometers shows modest NIRF signal attenuation (<35%) compared to the case in figure 1C where T=0.

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#### **Figure 2.**

In vivo catheter placement in experimental atherosclerosis of New Zealand white rabbits. (A) Angiography of balloon-injured, cholesterol-fed rabbits revealed visible lesions in the iliac arteries (arrowheads). (B) The NIRF catheter guidewire was easily delivered past stenoses in the iliac arteries (arrowhead). (C) Gross pathology revealed yellow-white atheromata in injured areas in the iliac arteries.



#### **Figure 3.**

Real-time, in vivo fluorescence sensing of inflammation in atherosclerotic vessels. (A) Repeated manual pullback of the catheter was performed in each iliac distal-to-proximal over 20 seconds (dotted arrow, also see Video). (B,C) In rabbits that received the NIRF proteaseactivatable agent 24 hours beforehand (active group), strong NIRF signal (average TBR 6.8) was detected as the catheter pulled back across the plaque defined by the angiogram. Catheters were re-advanced to lesions and stationary recordings confirmed augmented NIRF signal. (D) In control saline-injected rabbits, minimal NIRF signal deviation was detected during catheter pullback. RIA=right iliac artery, LIA=left iliac artery, Ao=aorta.

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### **Figure 4.**

Effect of blood absorption on catheter-detected NIRF signals. In vivo NIRF signal profiles of protease-agent and control rabbits under conditions of blood displacement (via balloon occlusion and saline flushing). The NIRF catheter was positioned adjacent to a plaque or distal reference segment. (A) Angiogram of a rabbit receiving the protease agent ("active") revealing bilateral iliac artery plaques. (B) With the catheter positioned at the right iliac artery plaque, blood displacement via saline flushing augmented the peak NIRF signal (average 74%), as predicted by the phantom studies. (C) In contrast, in normal vessel areas, saline flushing reduced the NIRF signal by  $38\%$  (p<0.01), consistent with the displacement of the low-level background fluorescence produced by the circulating, unactivated imaging agent. (D) Corresponding ex vivo fluorescence reflectance image demonstrating strong NIRF signal in the plaque but not distal reference segment. (E) Angiogram of a control animal showing bilateral iliac artery plaques. (F,G) In control animals, blood displacement did not alter the NIRF signal in areas of plaques or normal segments. (H) Negligible NIRF signal was evident on corresponding ex vivo FRI. Images in (D) and (H) windowed identically. In addition, the NIRF signal remained stable during acquisition through physiological blood flow, in both Prosense-injected and control animals, and in both areas of plaques and distal reference segments (dotted line region prior to balloon inflation, figures B, C, F, and G). For (B,C,F,G): closed arrow, start of balloon occlusion and saline flushing; dotted arrow, deflation of the balloon. P=plaque, D=distal.

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# **Figure 5.**

Ex vivo paired white light and near-infrared fluorescence reflectance images (FRI) of atherosclerotic arteries. (A,B) Augmented NIRF signal was evident in plaques in rabbits injected with the protease-activatable agent (active group). (C) In contrast, control animals showed only minimal autofluorescence signal. NIRF images were windowed equally. RIA=right iliac artery, LIA=left iliac artery, Ao=aorta.

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#### **Figure 6.**

Augmented NIRF signal in atheromata in the protease-agent group. (A) The in vivo peak plaque target-to-background ratio (TBR) was 558% greater in the protease agent group vs. saline (6.8  $\pm$ 1.9 vs. 1.3 $\pm$ 0.27 respectively, p<0.05). (B) The peak plaque TBR on ex vivo fluorescence reflectance imaging was 856% greater in the protease agent group, with TBR 10.3±1.8 agent vs.  $1.8\pm0.3$  saline, p<0.01. (C) Correlation between the in vivo and ex vivo plaque TBR was significant (r= $0.82$ , p< $0.01$ ).

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#### **Figure 7.**

Correlative histopathology and fluorescence microscopy of representative arterial sections. Left, H&E ×100; middle, NIR fluorescence microscopy ×100; right, two-channel merged NIR fluorescence (red) and elastin autofluorescence (green)  $\times$ 100. (A) In plaque sections from the protease agent group, abundant NIRF signal colocalized with cell-rich areas of plaques, (B) but not in the normal vessel wall. (C) In plaque sections from the control group, negligible NIRF signal was detected, consistent with the in vivo and ex vivo NIRF imaging results. NIRF images windowed identically.



#### **Figure 8.**

Augmented plaque NIRF signals colocalize with plaque macrophages and the cysteine protease cathepsin B. (A) Two-channel fluorescence microscopy (×200) of plaque section in figure 7A demonstrating abundant NIRF signal (red) overlying autofluorescence (green). (B) Immunoreactive macrophages (Mac, ×200) and (C,D) immunoreactive cathepsin B (CatB,  $\times$ 200 and  $\times$ 400) colocalize with the NIRF signal.