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## **Caudate Nuclei Volume, Diffusion Tensor Metrics, and T<sup>2</sup> Relaxation in Healthy Adults and Relapsing-Remitting Multiple Sclerosis Patients: Implications to Understanding Gray Matter Degeneration**

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## **Abstract**

**Purpose—**To investigate the utility of caudate nuceli macro- and microstructural metrics as markers of gray matter degeneration in healthy adults and relapsing-remitting multiple sclerosis patients.

**Materials and Methods—**The normal age and pathology-related changes in caudate nuclei volume (CNV), the corresponding diffusion tensor metrics and the  $T_2$  relaxation times were measured in a cohort of 32 healthy adults (12 men / 20 women; age range 21–59 years) and 32 age-matched relapsing-remitting multiple sclerosis (RRMS) patients (8 men / 34 women; age range 21–57 years).

**Results—**Smaller values in both the absolute CNV and the caudate volume ratio relative to the total intracranial volume (CNVp), were observed in the RRMS group relative to healthy controls. The fractional anisotropy (FA), based on the diffusion tensor imaging (DTI) of the caudate nuclei *increased* with age in healthy adults ( $r = 0.52$ ;  $p = 0.003$ ), but not in patients ( $r = 0.28$ ;  $p = 0.12$ ). The caudate FA value was approximately 9% larger in RRMS patients relative to controls ( $p =$ 0.001). The mean diffusivity of CN was *greater* in the RRMS group compared to controls ( $p=0.02$ ). The caudate  $T_2$  relaxation times were smaller in the RRMS group relative to the control group (3% reduction,  $p = 0.05$ ). T2 relaxation times did not exhibit age-related changes ( $p > 0.35$ ) in either cohort. Strong and significant correlations between CNVp and whole brain lesion load (r  $= -0.48$ ; p = 0.005) and whole brain CSF fraction (r =  $-0.46$ ; p = 0.01) were also noted.

**Conclusion—**These preliminary findings indicate that caudate DTI-derived metrics can serve as potential quantitative radiological markers of MS pathology.

## **Keywords**

caudate nuclei; relapsing-remitting multiple sclerosis; diffusion tensor imaging; T<sub>2</sub>-relaxation; natural aging

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## **Introduction**

The caudate nuclei (CN), part of the basal ganglia, are involved in fine motor and cognitive functions (1). The caudate volume depends on a number of factors that include the neuropil (neurons and glia), extracellular space, dendrite proliferation and connections (2,3). Caudate nuclei atrophy has been used as a marker of brain gray matter loss in natural aging (4) and in several neurological disorders (4,5).

In multiple sclerosis (MS), the volume (6,7) and perfusion (8) of CN have been reported to be significantly smaller compared to age-matched healthy controls. Caudate atrophy has been associated with disability, as assessed by the extended disability status score (EDSS) in MS (7,9,10). Caudate atrophy appears to be related to lesion load (11), and fatigue (12) in MS. Caudate signal abnormalities on MRI have been related to cognitive deficit (13) and to emotional dysfunction or "Abulia" (14).

The exact mechanisms responsible for caudate volume changes in healthy aging and its complex interplay with pathology in MS have yet to be explored. The availability of noninvasive and quantitative neuroimaging markers might provide useful clues about the neuronal substrates of neurodegeneration in MS.

In this study, the entire caudate nuclei "macrostructural" volumes and the corresponding "microstructural" metrics derived from diffusion tensor imaging (DTI) and  $T_2$  relaxation times were determined in age and gender-matched cohorts of healthy controls and relapsingremitting MS (RRMS) patients to investigate the interplay between caudate volume loss, disease duration and disability in relation to normal aging, global lesion load and brain atrophy.

## **Materials and Methods**

#### **Study Population**

This MRI protocol was approved by our Institutional Review Board (IRB). Healthy adult controls (N = 32; mean age  $\pm$  SD = 38.7  $\pm$  11.5 years; see Table 1) were recruited from the local community and university staff. All healthy subjects were screened for history of trauma, surgery, chronic illness, alcohol and/or drug abuse, neurological illness, and current pregnancy. Controls in this study did not report any neurological conditions and the MR images were judged to be normal. The patient demographics are summarized in Table 2. The EDSS was assessed as described by Kurtzke (15). All but nine of the RRMS patients were on first line immunomodulatory therapies. All but one was clinically stable for more than one year without relapses prior to the MRI session. Written informed consent was obtained from each subject.

#### **MRI Data Acquisition**

All MRI studies were performed on a 3T Philips Intera scanner with a dual quasar gradient system with a maximum gradient amplitude of 80 mT/m and an eight channel SENSEcompatible head coil (Philips Medical Systems, Best, Netherlands).

#### **Conventional MRI**

The MRI protocol included: 1) dual fast spin echo (FSE) with echo ( $T<sub>E</sub>$ ) and repetition times  $(T_R)$  of  $T_{E1}/T_{E2}/T_R = 8.2/90/6800$  msec, 2) fluid-attenuated inversion recovery (FLAIR;  $T_E$ /  $T_I/T_R = 80/2500/80$  msec), and 3) fast dual inversion recovery (DIR;  $T_E/T_{I1}/T_{I2}/T_R =$ 32/325/3400/15000 msec) sequence (16) for suppressing cerebrospinal fluid and white matter. The slice thickness for both conventional and diffusion-weighted images was 3.0

mm with 44 contiguous axial slices covering the entire brain and a square field-of-view of 240 mm  $\times$  240 mm (i.e., voxel dimensions = 0.9375 mm  $\times$  0.9375 mm  $\times$  3.0 mm).

## **Diffusion Tensor Imaging Data Acquisition**

The DTI data were acquired using a single-shot spin-echo diffusion sensitized echo-planar imaging sequence with balanced Icosa21 tensor encoding scheme (i.e. twenty-one uniformly-distributed directions over the unit hemisphere) (17), b-factor = 1000 sec mm<sup>-2</sup>,  $T_R/T_E = 7100/65$  msec. The number of b~0 magnitude image averages was 6. In addition, each diffusion encoding was repeated twice and magnitude-averaged to enhance the signalto-noise ratio (SNR). The total DTI acquisition time was  $<$  7 minutes (4).

## **DTI and Conventional MRI Data Processing**

Diffusion-weighted images were intra-registered to the baseline " $b_0$ " images (without diffusion weighting) to correct for the eddy-current-induced image distortions using the software on the Philips PRIDE workstation (Philips Medical Systems, Best, Netherlands). Next, the double inversion recovery and fast spin echo image volumes were coaligned with the  $b_0$  reference volumes using the mutual information based co-registration in SPM [\(http://www.fil.ion.ucl.ac.uk/spm/software/spm2/](http://www.fil.ion.ucl.ac.uk/spm/software/spm2/)). The  $T_2$  values were estimated from the early  $(T_{E1})$  and late echo  $(T_{E2})$  images according to standard spin-echo procedures assuming a single compartment model (4). The  $T_2$ , fractional anisotropy (FA), and mean diffusivity  $(D_{av})$  values were saved for further analysis.

#### **Caudate Nuclei Volume Delineation**

Using MRIcro ([http://www.sph.sc.edu/comd/rorden/mricro.html\)](http://www.sph.sc.edu/comd/rorden/mricro.html), the caudate nuclei were manually traced by a trained rater on 8–10 consecutive slices on the axial DIR images to create a mask for volumetry and diffusion tensor analysis using a validated procedure described elsewhere (4). The CN delineation followed anatomical landmarks as detailed elsewhere (4). Accuracy of the caudate manual tracing was verified by overlaying the FA and  $D_{av}$  maps on the caudate mask (Figure 1). All data sets were masked to remove nonbrain tissues to estimate the intracranial volume (ICV) for each subject for normalization (4). To reduce the number of comparisons, the volume of the entire caudate (right and left hemispheres) was estimated since published reports on the caudate volume in healthy adult controls show no statistically significant gender dependence or laterality in the caudate volume (4–7,18).

## **Lesion Load Segmentation using Conventional MRI**

Brain images were segmented into white matter, gray matter, cerebrospinal fluid, and lesions using the coregistered multi-spectral dual FSE and the FLAIR image volumes as described elsewhere (19). The lesion volume (LV) and CSF percentages in the whole brain (WB) were normalized to account for the inter-subject variability (i.e.  $WBLVp = LV/ICV * 100\%$ ;  $WBCSFP = CSF/ICV * 100\%)$ , where ICV is the sum of white matter, gray matter, lesion and CSF volumes.

#### **Statistical Analysis**

Correlations between age, caudate volume, lesion load, disease duration,  $T_2$  values and DTIderived metrics were computed using the Pearson correlation coefficient. Correlations between EDSS and all other variables were computed using the Spearman coefficient. Statistical significance was considered at  $p \le 0.05$ . Slopes and rates of change of MRI metrics with age were compared using the r to z-Fisher transform (20). Comparisons between the group means and medians were performed using ANOVA (t-test) and the Mann-Whitney U-test. Caudate volume reproducibility (4) and DTI quality control measures

(21) are described elsewhere. All statistical analyses were performed using MATLAB R12.1 Statistical Toolbox v 3.0 (The Mathworks Inc, Natick, MA).

## **Results**

#### **Demographics and Clinical Information**

There were no significant age differences between men and women in both the control ( $p =$ 0.75; Table 1) and RRMS groups ( $p = 0.72$ ; Table 2). The control and RRMS cohorts were age-matched ( $p = 0.15$ ; Table 3). The ratio of women in the control group ( $20/32 = 62.5\%$ ) was not statistically different from the ratio (24/32 = 75%) in the RRMS group ( $p = 0.55$ ;  $\chi^2$ test). The percentage of women in our RRMS population is consistent with the reported preponderance of RRMS in women (Table 2).

#### **Gender-based Caudate and Whole Brain Volumetry**

There were significant differences in the ICV between men and women in the healthy control ( $p = 0.02$ ) and RRMS groups ( $p = 0.04$ ). The caudate volume to intracranial volume percentage (CNVp = CNV/ICV \* 100%) did not differ between men and women in either group. Nor was the CSF volume as a percentage of intracranial contents different between men and women in either group. In the RRMS group, there were no significant differences in the EDSS, disease duration and whole brain lesion volume to ICV percentage (WBLVp  $=$  $LV/ICV \times 100\%$ ) between men and women (Table 1 & Table 2). As these comparisons indicated no statistical differences in entire caudate volume, normalized caudate and whole brain metrics between males and females in the two groups, the data from men and women in the two groups were pooled.

#### **Caudate and Whole Brain Differences between Healthy Controls and RRMS**

The ICV was similar between controls and RRMS ( $p = 0.17$ ; Table 3). The CNVp (13%) decrease,  $p = 0.0006$ ) were significantly smaller in the RRMS group compared to the agematched controls. The whole brain CSF fraction was significantly larger in the RRMS group (31% increase,  $p = 0.0001$ ; Table 3).

#### **Group Comparisons of Entire Caudate FA, Mean Diffusivity and T<sup>2</sup>**

The caudate mean FA values (9% increase,  $p = 0.001$ ; Table 3) and  $D_{av}$  (2% increase;  $p =$ 0.02) in the caudate nuclei were larger in the RRMS patients compared to healthy subjects. However, the caudate  $T_2$  relaxation times were shorter in the RRMS group ( $\sim$ 3% decrease; p  $= 0.05$ ; see Table 3).

## **Age-Dependence of Caudate Metrics in both RRMS and Healthy Controls**

Figure 2 shows scatter plots and regression of (A) caudate volume-to-ICV percentage (B) fractional anisotropy, (C) mean diffusivity and (D)  $T_2$  relaxation times of caudate as a function of age for both adult controls and RRMS patients (see also Table 3). A significant decrease in CNVp as function of age in controls ( $r = -0.38$ ;  $p = 0.01$ ) and a trend in RRMS patients ( $r = -0.29$ ;  $p = 0.11$ ) was observed. The caudate  $T_2$  relaxation time did not exhibit significant correlations with age in either controls or patients ( $p > 0.37$ ; Table 3 and Figure 2). There were no significant differences in the age-related *rates of change* of caudate metrics between healthy controls and RRMS ( $p > 0.05$ ; see Table 3).

#### **Correlation of Entire Caudate and Brain Atrophy with Clinical Scores in RRMS Patients**

Table 4 summarizes the correlation coefficients and the corresponding p values between demographic (age), clinical (DD, EDSS) and MRI-derived metrics of the CNVp, WBCSFp, and WBLVp. In the RRMS group, a strong correlation between the brain CSF fraction and

caudate volume fraction is noted ( $r = -0.457$ ; p=0.01). The WBLVp correlated strongly with CNVp ( $r = -0.482$ ; p=0.005) and caudate mean FA ( $r = 0.625$ ; p = 0.0001; Table 4).

## **Interplay between entire caudate micro and macro-structural metrics**

The scatter plots of CNVp and the corresponding FA(CN) (Fig. 3a) show an inverse linear relationship between caudate volume fraction --a macrostructural index-- and the corresponding FA, --a microstructural index of tissue organization-- in both healthy controls { $r (CNVp, FA) = -0.38$ ; p=0.04} and RRMS patients { $r (CNVp, FA) = -0.67$ ; p = 0.00002; Table 4}. Potentially useful relations between caudate metrics and WBCSFp on both controls are shown in the scatter plot of these two variables in Figure 3b. Note the strong negative correlation in the RRMS group between CNVp and WBCSFp ( $r = -0.46$ ;  $p = 0.01$ ; Table 4). This direct association between CNVp and WBCSFp observed in the RRMS group was not seen in the age-matched healthy controls (Table 4 and Figure 3).

## **Discussion**

This is the first study on simultaneous measurement of the caudate nuclei volume in combination with the corresponding  $T<sub>2</sub>$  relaxation times and DTI metrics in age and gendermatched adult controls and RRMS patients. We have focused on normal-appearing caudate nuclei to provide a simple objective and quantitative measure of deep gray matter atrophy in both healthy controls (4) and RRMS patients (6,7), using previously described and validated methodologies at high signal-to-noise ratios and optimal diffusion tensor methods at 3.0 T (4). In this work we have adopted intrinsic MRI-derived metrics such as FA,  $D_{av}$ ,  $T_2$ relaxation time and normalized caudate volume after careful delineation and multi-modal image registration.

These quantitative studies indicate a sex-independent caudate volume reduction in the RRMS patient group along with reduced T2 relaxation times and elevated caudate FA along with increased mean diffusivity compared to the age-matched adult controls. Both control and RRMS subjects exhibited a negative correlation of caudate volume with age and a positive correlation between the caudate FA and age.

#### **Sex, Age and Diagnosis Effects on the Caudate Nuclei Volume to Brain Percentage**

Our results on the sex-independent loss of caudate volume and its fraction with age are consistent with several quantitative MRI studies on healthy controls (17,22,23) and some early postmortem studies on the caudate (3). Several previous studies have documented different aspects of caudate involvement in MS using measures such as caudate volume (6,7), normalized signal hypointensity (24) and region-of-interest relaxation (14). Previous reports on the caudate in MS did not report age-related trends (6) and did not relate the dynamics of caudate volume changes to global measures of atrophy and lesion load. In our study we have focused on one particular phenotype (RRMS) and explored the effect of covariates such as age, disease duration, lesion load and global atrophy measures. Our work shows, important sex-independent relations between caudate volume fraction, basic demographics, clinical scores and whole brain atrophy measures (Fig. 2, Fig. 3 &Table 4).

The caudate volume decrease with age reflects a general trend of atrophy of deep and cortical gray matter in healthy controls (22). The loss of deep and cortical gray matter in MS patients has been the focus of several studies (25).

### **Sex, Age and Diagnosis Effects on the Caudate T2 Relaxation Times**

Our  $T_2$  relaxation time measurements in the caudate in controls are consistent with an earlier ROI-based study by Agartz et al. (26). The caudate mean diffusivity and  $T_2$  relaxation

The mean caudate  $T_2$  relaxation times were smaller in the RRMS compared to healthy controls ( $p = 0.05$ ; Table 3). This decrease in  $T<sub>2</sub>$  values is consistent with several previous MRI studies (13,24) that reported decreases in signal intensity which was hypothesized to be associated with iron accumulation (27). The iron accumulation hypothesis for the interpretation of signal attenuation in the basal ganglia has been discussed in several reports (28).

Our data indicate that the caudate  $T_2$ -relaxation values in the RRMS did not increase with age {see Table 3 and Table 4}. A previous study using region-of-interest  $T_1$  relaxation measurements in the caudate of MS reported elevated values (14). The accumulation of iron in the caudate would have reduced both  $T_1$  and  $T_2$  relaxation times (29).

The  $T<sub>2</sub>$  values in a largely unmyelinated and homogeneous region such as the caudate, are affected generally by countering effects of increased cellular water that tends to increase  $T_2$ and the presence of free radicals (14), including paramagnetic iron (30), that would reduce  $T_2$  relaxation time (29,31). These two opposing effects *reduce* the apparent  $T_2$  sensitivity to aging in young and middle-age adults 21–59 years. However, this may be offset at older ages where iron, for example, may accumulate due to altered recycling (31).

#### **Sex, Age and Diagnosis Effects on the Caudate DTI Metrics**

A major finding in these studies is the reduced caudate volume, along with reduced T2 relaxation times and elevated FA and mean diffusivity in the RRMS group. The caudate fractional anisotropy increased with age at comparable rates in both healthy adults and RRMS patients (Figure 1; Table 3).

A comprehensive biophysical interpretation of in vivo DTI measurements in the human brain is not yet available (32). However, a slight increase in FA of the caudate nuclei in healthy young and middle-aged men and women might be the result of neuronal and dendrite elimination with age resulting in *reduced* barriers to diffusion in otherwise incoherent but specialized dendrite arbor (32). Targeted loss of certain dendrite connections would increase the anisotropy in gray matter as has been reported as summarized elsewhere (4). An excessive growth and disorganized arborization of dendrites may increase caudate volume and reduce anisotropy as has been reported in children with fragile X syndrome (33).

The dendrite connection hypothesis of normal aging is also supported by histology (34). Targeted dendrite elimination in the caudate has been previously reported in demented Alzheimer (34), Parkinson (28) and Huntington disease patients (35). Targeted elimination of dendrite arborization in the cortex (36) and thalamic-basal ganglia-cortical connections (37) has also been reported in MS.

Elevated diffusion anisotropy in the basal ganglia of patient populations compared to agematched controls has been recently reported in the basal ganglia of Huntington's disease patients (38), spina bifida (39). A paradoxical increase in normal-appearing basal ganglia (caudate and putamen) diffusion tensor anisotropy along with *a reduction* in the mean diffusivity was reported in the normal-appearing basal ganglia of MS patients by Ciccarelli et al. (40). The authors excluded gliosis which would have resulted in more disorganization (e.g. reduced anisotropy, increased T2) and attributed this finding to axonal degeneration due to fiber transection in remote focal MS lesions (40).

Our preliminary studies may warrant further cross-sectional and longitudinal studies stratified by age, DD and EDSS on larger normal and MS cohorts. The implication and correlation of the caudate volume loss and reduction in  $T<sub>2</sub>$  relaxation times to specific connected white matter fiber pathways and the examination of regional distribution of lesions in MS is beyond the scope of this work, and will be pursued in a future study.

A future extension of the current study is to examine if these measurable changes in the caudate metrics are detectable early and have predictive value for the future course of the disease. Also, these are cross-sectional studies on a small RRMS population suggesting that the changes may well be dynamic and useful as a biomarker of change over time, but the sensitivity of this set of metrics to change over relatively short intervals  $(1 - 3$  years as in clinical trials) and the ability to detect therapeutic effects using these measures in clinical trials will require additional longitudinal studies that are beyond the scope of the current paper.

In conclusion, we report simultaneous measurements of the human caudate nuclei volume along with water molecular diffusion tensor imaging metrics and  $T_2$  relaxation times in a cohort of healthy adults and RRMS patients. This study demonstrates that the caudate nuclei volume and their volume ratio relative to the intracranial volume decrease with age in both men and women in the healthy and RRMS groups. The caudate FA increased with age and was larger in RRMS compared to controls while  $T_2$  relaxation times and mean diffusivity did not change with age. Both mean diffusivity and FA were elevated while T2 was reduced in the RRMS compared to the age-matched adult controls. The age-dependent changes in caudate volume, DTI metrics and the corresponding  $T_2$  relaxation times may provide important noninvasive quantitative radiological markers to study the complex neuronal substrates that intertwine natural aging and neurodegenerative disease.

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#### **Figure 1.**

Illustration of the caudate nuclei volume (CNV) delineation, conventional and DT-MRI data fusion using the data obtained form one RRMS patient (A) double inversion recovery (white matter and CSF suppressed) or gray matter only map (DIR-GM) (B)  $T_2$  relaxation time map (C) fractional anisotropy (FA) map (D) an image fusion along the three Red-Green-Blue channels (RGB) of  ${FA, DIR-GM, T_2}$  maps (E) a three dimensional view of the caudate with the FLAIR volume in the background, and (F) the principal diffusion tensor eigenvector modulated with FA and fused with the mean diffusivity {red indicates right-left connections (commissural pathways), green indicates anterior-posterior connections (association pathways) and blue represents inferior-superior connections (projection pathways)}. Note that in Fig 1D, FA highlights white matter (red), DIR highlights gray matter (green), while  $T_2$  highlights CSF (blue). The axial two-dimensional representative sections in Fig 1.A, B, C, E and F are obtained at the level of the lateral ventricles and show caudate head only; the three-dimensional render view in Fig 1E shows the head and body of the caudate on the FLAIR background.

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### **Figure 2.**

Scatter plots and regression analysis in both healthy controls and RRMS patients of the MRI metrics in the caudate as age advances (A)  $CNVp = CNV/ICV*100%$  (B) Fractional Anisotropy (C) Mean Diffusivity and (D)  $T_2$  relaxation Times. The group and correlations with age comparisons are summarized in Table 3. Note the decrease in CNVp, increase in FA, the elevated mean diffusivity and the weak age dependence in both mean diffusivity and  $T_2$  values.

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#### **Figure 3.**

Representative scatter plots and regression analysis on both RRMS and controls of the interplay between (A) CNVp vs. FA (CN) and (B) whole brain CSF fraction vs. caudate to ICV fraction. Note the strong relationship between CNVp and caudate FA and whole brain CSF fraction (see also Table 1–Table 4).

#### **Table 1**

Basic demographics and MRI information on the healthy adult group brains.



*\**Mean and standard deviation values (mean ± SD) are reported, range [min-to-max] and median

 $CNV =$  Caudate Nuclei Volume in mL  $(1 \text{ mL} = 1 \text{ cm}^3)$ 

*CNVp*= Caudate Nuclei Volume to Intracranial Volume Percentage

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*WBCSFp* = Whole Brain Cerebrospinal Fluid to Intracranial Volume Percentage

## **Table 2**

Demographic, clinical, and imaging data of the RRMS patients



*\**Mean and standard deviation values (mean ± SD) are reported, range [min-to-max] and median

**DD** = Disease Duration; **EDSS** = Expanded Disability Status Score; **RRMS**= Relapsing and Remitting Multiple Sclerosis; **WBLVp** = Whole Brain Lesion Volume Percentage (LV/ICV *\**100%).

#### **Table 3**

Comparison between controls and RRMS age and group means of various MRI-xderived parameters of the entire caudate (fractional anisotropy, mean diffusivity and  $T_2$  relaxation time) along with the age linear regression and correlation statistical analysis.



Summary of correlation coefficient and its significance {r(p)} between demographic, clinical, whole brain, and caudate nuclei metrics on the RRMS Summary of correlation coefficient and its significance {r(p)} between demographic, clinical, whole brain, and caudate nuclei metrics on the RRMS patient group. patient group.



**Bolded** values correspond to statistically significant p-values ( $\mathbf{p} \leq 0.06$ ). Examples to help read this Table: r(DD, DD) = 1 ( $\mathbf{p} = 0$ ); Pearson r(age, DD) = r(DD, age) = 0.365 ( $\mathbf{p} = 0.04$ ); Spearman r(age, **Bolded** values correspond to statistically significant p-values (p = 0.65). Examples to help read this Table: r(DD, DD) = 1 (p = 0;; Pearson r(age, DD) = r(DD, age) = 0.365 (p = 0.494). Spearman r(age, EDSS) = 0.074 (p = 0.69)}. The mean and SD values ( $p \neq p$  values for all variables on the RRMS patients are summarized in Table 2 and Table 3. The slope (β) for each variable (y) regressed against another variable (x) can be related to r: slope  $b = r * \sigma(y)/\sigma(x)$  (linear regression model:  $y = b * x + a + \text{noise}$ ). another variable (x) can be related to r: slope b = r \*  $\sigma(y)/\sigma(x)$  (linear regression model:  $y = b * x + a + n \sigma$ ).