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# Triadic model of the neurobiology of motivated behavior in adolescence

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### **Abstract**

**Background**—Risk-taking behavior is a major cause of morbidity and mortality in adolescence. In the context of decision theory and motivated (goal-directed) behavior, risk-taking reflects a pattern of decision-making that favors the selection of courses of action with uncertain and possibly harmful consequences. We present a triadic, neuroscience systems-based model of adolescent decision-making.

**Method**—We review the functional role and neurodevelopmental findings of three key structures in the control of motivated behavior, i.e. amygdala, nucleus accumbens, and medial/ventral prefrontal cortex. We adopt a cognitive neuroscience approach to motivated behavior that uses a temporal fragmentation of a generic motivated action. Predictions about the relative contributions of the triadic nodes to the three stages of a motivated action during adolescence are proposed.

**Results**—The propensity during adolescence for reward/novelty seeking in the face of uncertainty or potential harm might be explained by a strong reward system (nucleus accumbens), a weak harm-avoidant system (amygdala), and/or an inefficient supervisory system (medial/ventral prefrontal cortex). Perturbations in these systems may contribute to the expression of psychopathology, illustrated here with depression and anxiety.

**Conclusions**—A triadic model, integrated in a temporally organized map of motivated behavior, can provide a helpful framework that suggests specific hypotheses of neural bases of typical and atypical adolescent behavior.

### INTRODUCTION

Adolescence is the transition period from childhood to adulthood, a 'rite of passage', through which adolescents acquire the physical and psychological tools to assume the roles and responsibilities of adults (Dahl, 2004). Independence, the foremost goal of this developmental period, is achieved through separation, and individuation. A wealth of work, most notably by Erik Erikson, summarizes psychological transitions that typify this period (Erikson, 1950, 1968). The advent of cognitive neuroscience and functional neuroimaging has brought unprecedented new opportunities to study the neurobiology of these processes. Here, we focus on motivated action (i.e. goal-directed action), which embodies drastic changes that take place throughout adolescence.

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DECLARATION OF INTEREST None.

This review is divided into four sections. First, we define adolescence from a behavioral perspective. Second, we propose a triadic model underlying the neural substrates of adolescent motivated behavior. Third, we describe a cognitive neuroscience approach to the study of motivated behavior, and we integrate the triadic model with this approach. Fourth, we demonstrate the relevance of this work to psychopathology. We conclude by offering future directions.

### **DEFINITION OF ADOLESCENCE**

Adolescence is defined as the developmental period during which *physical* (e.g. growth spurt, change in body mass, sexual maturation), *psychological* (e.g. affective intensity and lability, romantic and idealistic aspirations, sense of invulnerability, abstract thinking), and *social* (e.g. distancing from adults and children, primacy of peer relationships, romantic involvement) milestones are being reached. The two most conspicuous changes are physical growth and sexual maturation, which define 'puberty'.

Whereas puberty is part of adolescence, it does not encompass all the changes marking this period. Pubertal changes depend on developmental alterations in the function of the hypothalamo-pituitary-gonadal (HPG) axis (Romeo, 2003; Sisk & Foster, 2004). These alterations, as well as other biological processes (e.g. prefrontal synaptic pruning, increased cortical dopaminergic projections) evidenced in the primate brain occur in parallel or serially. An 'internal clock', a predetermined genetic program that leads to a cascade of neurochemical changes, triggers the onset of these processes (Sisk & Foster, 2004). The scope of this paper does not allow for coverage of these biochemical events. Readers are referred to a recent issue of the *Annals of the New York Academy of Science*, which is devoted to behavioral and biological characteristics of adolescence (Cameron, 2004; Dahl, 2004).

It is important to note that the functional relationships among these neurochemical events remain poorly understood. For example, we do not know to what extent the maturation of brain structures, such as the prefrontal cortex, depends on the increased release of sexual or growth hormones. Indirect evidence suggests that specific cognitive functions (e.g. abstract thinking, self-regulation) mature independently of sexual maturation. This conclusion is based on clinical observations of individuals with delayed or premature sexual maturation. Furthermore, the chronology of these events varies among individuals. A better understanding of the behavioral significance of the different trajectories of biological maturation can aid in the development of neurobiological models that may ultimately predict healthy and pathological outcomes.

The purpose of the present work is to present such a model. As with all models, the proposed conceptualization is schematic and addresses restricted aspects of adolescent development. Yet, this approach can lead to the formulation of more sophisticated and comprehensive models that can be tested in future studies.

### ADOLESCENT TRIADIC MODEL OF MOTIVATED BEHAVIOR

# **Definition of the triadic model**

The passage through adolescence is characterized by typical patterns of motivated behavior, namely risk-taking, sensation/novelty/reward-seeking, and impulsivity. Although there is wide inter-individual variability in the degree of risk-taking, generic changes in decision-making during adolescence have been acknowledged throughout human history (Hall, 1904) and across species (Spear, 2000), and are recognized as primary sources of morbidity and mortality in adolescents (Dahl, 2004).

The triadic model is based on the assumption that motivated behavior results from the balanced engagement of three behavioral/neural systems: (1) approach (reward-driven); (2) avoidance (harm-avoidant); and (3) regulatory. The concept of two separate neurobehavioral systems underlying responses to reward (approach) and responses to punishment (avoidance) has been formalized by Jeffery A. Gray (1972), and is used extensively in research on temperament and personality (Pickering & Gray, 2001). Generically, rewards are stimuli which individuals strive to approach, and punishments are stimuli which individuals strive to avoid. The approach behavioral system underlies goal-seeking behavior in response to cues of reward, and is typically associated with positively valenced emotions. The avoidant behavioral system underlies withdrawal from aversive cues and is typically associated with negatively valenced emotion.

Neural correlates of these two basic systems have been proposed, suggesting a role of the dorsolateral prefrontal cortex, ventral striatum (particularly the nucleus accumbens), and dopamine in the approach system, and a role of the amygdala, temporal pole, and serotonin in the avoidant system (Davidson, 1998). The novelty of the present model lies in the integration of these two behavioral systems into a neurodevelopmental framework, including the addition of a third regulatory system, and the dynamic functional interactions of the underlying neural circuits across development, in a manner that explains the distinct behaviors of adolescents.

The triadic model (depicted in Fig. 1) involves three functionally distinct sets of distributed neural circuits. The respective functions that these neural circuits play in the triadic model are specific to the context of a goal direct action, and should not be viewed as exclusive of other roles supported by these structures (see below 'Boundaries of the triadic model'). The ventral striatum circuits, particularly the nucleus accumbens, support reward processes and approach behavior (Wise *et al.* 1992; Di Chiara, 2002). The amygdala circuits have been described as the 'behavioral brake' to protect organisms from potential harm (Amaral, 2002; Zald, 2003), and are a key mediator of avoidant behavior (LeDoux, 2000). Finally, circuits of the prefrontal cortex, owing to their widely accepted role in cognitive control (Miller, 1999, 2000), help to orchestrate the relative contribution of the approach and avoidant behavioral systems, thus providing a supervisory or modulatory control of behavior. As discussed later, only specific aspects of the more complex functions of these circuits are highlighted in the triadic model.

These specialized circuits are first discussed in isolation, although they are functionally interconnected through substantial direct and indirect projections (e.g. McDonald, 1991; Carmichael & Price, 1995; Fuster, 2001). As such, the triadic model raises the question of the exact contribution to adolescent behavior of the maturation of each node separately, and in relationship with each other. Alteration in any of these circuits or their connectivity could account for characteristics of adolescent behavior.

The triadic model is mainly concerned with the translation of the representations of stimuli (e.g. cues, events, situations) into behavior. Developmental changes in the formation and maintenance of these representations (i.e. specific attributes including physical, autonomic, emotional, spatial, and computational aspects), particularly within somatosensory, insula, orbital frontal, and parietal cortices (Dehaene *et al.* 1999; Ernst *et al.* 2003; Paulus *et al.* 2003, 2005; Bechara, 2004; McCoy & Platt, 2005; Nieder, 2005), can also be critical to the distinct features of adolescent behavior. Evidence suggests that these regions have roles that extend beyond the coding and maintenance of representations of specific attributes of stimuli (e.g. Romo & Salinas, 2001; Romo *et al.* 2002; Paulus *et al.* 2003). In the first iteration of the triadic model, this area of research will not be considered. Similarly, neurochemical changes during neurodevelopment will not be addressed despite a number of

studies indicating significant age-related alterations of neurotransmitter activity (e.g. Andersen *et al.* 1997, 2001). These neurochemical changes are an essential part of the functional maturation of the neural circuits described here. They will need to be integrated into this model in the future.

#### Boundaries of the triadic model

The triadic model is based on a parsimonious account of the dominant role of critical structures in the coding of behavior. Mainly, the attribution of avoidant behavior (in response to *aversive* stimuli) selectively to amygdala circuits and of approach behavior (in response to *appetitive* stimuli) selectively to ventral striatal circuits is an oversimplification of the functions of these structures. Although a voluminous literature attributes a specialized role for harm avoidance to the amygdala circuits (see review, LeDoux, 2000) and for reward processing to the nucleus accumbens (see reviews, Wise *et al.* 1992; Di Chiara, 2002), these structures support a number of additional functions, such as associative learning (Baxter & Murray, 2002; Cardinal *et al.* 2002b; Salamone & Correa, 2002; Gabriel *et al.* 2003) and attention filtering (Pessoa & Ungerleider, 2004), which cut across both appetitive and aversive processing. The literature supporting these specialized functions is based on research both in animals, including rodents and non-human primates, and humans.

The amygdala has been shown to mediate not only aversive, but also appetitive, associative learning in rodents, non-human primates, and humans (Baxter & Murray, 2002; Cardinal et al. 2002a; Gottfried et al. 2002, 2003; Gabriel et al. 2003). Current formulations of the role of the amygdala based on the animal literature consider two separate associative learning models: a reward model and an aversion model (see review, Gabriel et al. 2003). These models invoke anatomically distinct circuits, including different amygdala nuclei. The reward model implicates the central nucleus of the amygdala, which mediates the ability of an appetitive conditioned stimulus to drive operant behavior by the modulation of the nucleus accumbens (Holland & Gallagher, 1999; Baxter & Murray, 2002; Everitt et al. 2003). The aversion model relies on the lateral and basolateral amygdala nuclei (LeDoux, 2000). These nuclei process simple sensory and contextual conditioned information respectively. This integrated information is sent to the central nucleus of the amygdala where it is dispatched to effector centers, such as the hypothalamus and brainstem structures, to produce autonomic and motor responses (Amaral et al. 1992). The reward model involves the nucleus accumbens, whereas the aversion model does not, at least not directly. Human lesion (e.g. Aggleton, 2000; Bechara et al. 2003) and functional neuroimaging studies (e.g. Dolan, 2000) support a role of the amygdala for both appetitive and aversive coding, although its role in aversive processing seems to predominate. The triadic model focuses on the role of the amygdala and associated circuits in avoidant behavior.

Similarly to the mixed role of the amygdala, the ventral striatum (particularly the nucleus accumbens) has been shown in rat studies to be involved not only in appetitive, but also aversive, associative learning (Salamone, 1994; Salamone & Correa, 2002; Schoenbaum & Setlow, 2003). The nucleus accumbens dopaminergic system is thought to code for the intensity (salience) of stimuli and to adjust the strength of the link between stimuli and outcome in both appetitive and aversive contexts (see review, Horvitz, 2000). The triadic model postulates that, in addition to this general behavioral facilitation, the nucleus accumbens may play a specialized role in mediating responses to appetitive stimuli. This seems to be true in primates, as evidenced by the difficulty in evoking mesolimbic dopamine activity in response to aversive stimuli in monkeys (Amaral *et al.* 1992; Joseph *et al.* 2003), and the weaker response of ventral striatum to aversive stimuli relative to appetitive stimuli in human functional neuroimaging studies (Breiter *et al.* 2001; Knutson *et al.* 2001*a*, b; Reuter *et al.* 2005). Here, the triadic model concentrates on the reward-related function of the ventral striatum.

The prefrontal cortex supports executive functions, which are required for the planning and execution of complex behavioral sequences (Krawczyk, 2002). Executive functions cover a variety of processes, including attention selection, planning, monitoring, behavioral inhibition, action switching, and working memory. Efforts have been made to map these processes onto distinct prefrontal neural networks (see review Goldman-Rakic, 1996). Based on this functional diversity, the regional specificity of behavioral modulation may differ as a function of cognitive and emotional contexts and demands. For example, behavioral responses to stimuli may rely on abstract rule representation (Bunge et al. 2003), or change in rule as in task shifting or response reversal (Blair, 2001; Deco & Rolls, 2005). Various levels of attention, working memory, or computation can be engaged in behavioral responses. Hence, the nature of the prefrontal circuits that help to balance approach versus avoidant systems is complex, and whether a discrete core site is dedicated to this function is unknown. However, likely candidates are the medial prefrontal cortices, including the anterior cingulate, and the ventral prefrontal cortex, including the orbital frontal cortex. These regions play important roles in the control of motivated behavior, such asconflict/ error monitoring for the anterior cingulate (Carter et al. 1998; Bush et al. 2000, 2002; Krawczyk, 2002), behavioral adaptation to changes in stimuli value as in response reversal for the orbital frontal cortex (see review, Fuster, 1993; Blair, 2004), and self-monitoring for the medial prefrontal cortex (see review, Northoff & Bermpohl, 2004).

A more elaborate rendition of the triadic model will be possible as a better understanding of how the main functions of the amygdala, ventral striatum, and medial and ventral prefrontal cortices mature and contribute both in isolation and collaboratively to behavior throughout development. Fostering new developmental adolescent research based on a simple framework is the main goal for the proposed triadic model.

# Behavioral support for the triadic model

We propose that adolescence is the period during which the activity of the reward system prevails over that of the avoidant system while the still immature regulatory system fails to adaptively balance these two behavioral controllers. Indirect evidence in animal models and humans supports this theory.

Before considering this evidence, it is important to note that the extrapolation of animal data to human subjects has limitations (Spear, 2004). Drawbacks of this translation include the relatively poorly defined temporal boundaries of this transition period in animals (e.g. most commonly agreed upon: rats, post-natal days 28-42; non-human primates, 2-4 years of age), the species differences in the developmental trajectories of neural structures and functions, and the difficulty in mapping the complexity of human behavior onto other species.

Adolescence, across species, seems to be characterized by a uniquely high sensitivity to reward (see review, Chambers *et al.* 2003; Laviola *et al.* 2003). In humans, the increased susceptibility to drugs of abuse (Chambers *et al.* 2003) and the greater vulnerability to developing substance dependence (e.g. Kandel *et al.* 1992) in adolescents compared to adults suggest a hypersensitive reward system. Consistent with these observations in humans, findings in animal models of adolescence concur with the notion that adolescence represents a unique period in the development of reward systems. This conclusion is supported by distinct responses to substances of abuse (Spear, 2000; Andersen *et al.* 2002; Laviola *et al.* 2003). For example, adolescent rodents show greater locomotor sensitivity to cocaine (Schramm-Sapyta *et al.* 2004) and reduced signs of nicotine withdrawal (O'Dell *et al.* 2004) relative to adult animals.

With respect to avoidance behavior, adolescents, as described above, are less sensitive to risks in the context of goal-directed actions (Arnett, 1992; Wills et al. 1994; Maggs et al.

1995; Steinberg, 2004), suggesting that the coding of potential harm and response to warning signals is altered in adolescence. Furthermore, this implies that the amygdala and related structures that process warning signals are less sensitive to potentially harmful stimuli in adolescents than in adults.

### Neural maturation and connectivity in support of the triadic model

Empirical reports support delayed maturation of the behavioral inhibitory systems (Casey *et al.* 2000; Luna & Sweeney, 2004). The medial and ventral prefrontal cortices, involved in behavioral inhibition and error monitoring, have been found to exhibit diffierent pattern of activation in youth than in adults. A common finding is a more diffuse pattern of prefrontal activation during performance inhibition in youth compared to adults (Casey *et al.* 2000; Luna & Sweeney, 2001, 2004). In addition, in support of these neuroimaging findings, performance on tasks probing motor inhibition, such as Stroop, Go-No go, or antisaccade eye-movement tasks, has consistently been found to be worse in youth than in adults (Costantini & Hoving, 1973; Casey *et al.* 2000; Leon-Carrion *et al.* 2004). Morphometric age-related changes also support continued maturation of this region throughout adolescence (e.g. Giedd, 2004). The triadic model postulates an immature supervisory role for the medial/ventral prefrontal cortex in modulating the respective contributions of ventral striatum (approach behavior) and amygdala (avoidant behavior) responses to stimuli. It is not clear whether the loci of maturational lag lay within these specialized circuits themselves, or in the functional connectivity among these structures, or in both.

Relatively recent work in animals suggests that structural and functional connectivity among these neural systems evolve during adolescence (Cunningham *et al.* 2002; see reviews, Lewis, 1997; Lewis *et al.* 2004). For example, amygdalo-cortical fibers become denser throughout adolescence in the rodent, perhaps reflecting the development of better regulatory controls with respect to harm-avoidant behavior (Cunningham *et al.* 2002). At the same time, preliminary findings in the non-human primate indicate reduction in dendritic branching in the medial amygdala in adolescence (J. L. Zehr, unpublished observations). Findings in adolescent monkeys show marked changes of pre- and post-synaptic markers of GABA neurotransmission in the prefrontal cortex during adolescence, suggesting continued maturation of inhibitory controls (Lewis *et al.* 2004).

Connectivity among amygdala and nucleus accumbens has been explored in adult animals. Early evidence suggested an inhibitory control of amygdala over nucleus accumbens activity in the rodent (Simon *et al.* 1988; Yim & Mogenson, 1989). Recent electrophysiological work in adult rats, however, describes opposite effects of amygdala activation on dopamine efflux in the nucleus accumbens as a function of the site of stimulation, i.e. the basolateral amygdala nucleus having a direct excitatory effects, and the central amygdaloid nucleus having an indirect inhibitory effect on the nucleus accumbens (Phillips *et al.* 2003). Reciprocal direct and indirect connections link the prefrontal cortex to the nucleus accumbens and to the amygdala (Jackson & Moghaddam, 2001; see review, Morgane *et al.* 2005). More needs to be learned about the functional relationships of these three neural circuits across development.

In its present form, the triadic model does not specify the nature of the developmental processes that affect these functional connections. Nor does it identify the exact neural and molecular developmental mechanisms that result in an imbalanced function of the amygdala, ventral striatum, and medial/ventral prefrontal circuits. These questions warrant additional research. However, in this initial version, the model can be applied to the examination of a motivated action using a neurocognitive framework.

In the next section, we describe the strategy used to study motivated behavior from a cognitive neuroscience perspective. This strategy allows for the testing of predictions based on the triadic model.

# COGNITIVE/AFFECTIVE NEUROSCIENTIFIC APPROACH: SPIRAL OF MOTIVATED ACTION

### Spiral of motivated action (Fig. 2)

Motivated, or goal-directed, behavior has historically been approached from a number of perspectives including economics, sociology, psychology, neurology, physiology and neuroscience, each employing its own terminology and theories (see review, Ernst & Paulus, in press). For example, terms like 'directed action', 'intentional behavior', 'conscious behavior', and 'decision-making' have often been used interchangeably, resulting in possible confusions. In addition, a host of models have been proposed to describe components of motivated action based on their suitability for study within a particular field of research. Advances in understanding the multifaceted processes of motivated action have been most successful through efforts to integrate theories from various frameworks.

Most relevant to the present work are the Somatic Marker Theory (Damasio, 1996; Bechara, 2004) and the dopamine error signal model (Schultz, 2002). The Somatic Marker Theory was elaborated by Damasio and colleagues on the basis of work with patients suffering from brain lesions (Damasio, 1996; Bechara et al. 1999; Bechara, 2004). This theory pertains to the emotional appraisal of stimuli, which contributes to decision-making and motivated behavior. Briefly, the Somatic Marker Theory proposes that decision-making is influenced by somatic markers, which are originally triggered by the amygdala for innately valenced stimuli, and the ventromedial prefrontal cortex for learned valence stimuli. These somatic markers are relayed to the brainstem (covert signaling), parietal cortices (insular/SI, SII), and cingulate cortex, where they are translated into feeling states (Damasio, 1998; Bechara, 2004). The prediction error model was proposed by Schultz and colleagues (Schultz et al. 1997; Schultz, 2002) on the basis of single cell recordings of dopaminergic neurons in nonhuman primates performing reward-related tasks. This model is a neurochemical rendition of processes that contribute to learning about the rewarding values of stimuli (Waelti et al. 2001; O'Doherty et al. 2004). The error model is based on the observation that firing of dopamine neurons increases in response to an unexpected or greater than expected reward, vanishes in response to an expected reward, and is reduced in response to a punishment (see review, Schultz, 2004).

In the current work, we adhere to a cognitive neuroscientific framework (see review, Ernst & Paulus, in press). The cognitive neuroscience approach is based on the parcellation of complex behaviors into smaller parts, each more easily accessible to scientific inquiry (Posner & DiGirolamo, 2000). Using this strategy, the elemental components of a motivated action are identified as: evaluation of options (situations, events, stimuli), formation of preference, execution of action, anticipation of outcome, and response to feedback. These processes define the consecutive stages that constitute a completed motivated action. They are functionally inter-dependent, present some degree of overlap, and always occur in this order. Therefore, these stages form a dynamic loop, which is better described as a spiral because each onset of the loop (stimuli evaluation) starts at a different point than the previous one (Fig. 2). Indeed, the experience of the outcome of a motivated action (the last stage of the loop) informs the value of the initially selected option, and contributes to the motivation to act (or to not act) on the selected option the next time it is presented (the first stage of the next loop). Thus, the forces that drive this spiral rest on two critical processes, learning and motivation. Basic cognitive functions, including attention and memory, are

necessarily involved. Similarly, affective coding operates throughout the spiral, with different levels of influence at each stage.

This formulation of a motivated action constitutes a road map, along which various neural networks operate to successfully orchestrate a motivated action. We briefly describe the processes within the spiral of goal-directed action that engage the neural components of the triadic model, and the functional predictions based on the triadic model. Of note, anticipation of outcomes is not included in the following section. Anticipation is present in various degrees and forms throughout the stages of motivated action, and developmental changes in the pathways coding for this cognitive construct may be critically involved in driving adolescent behavior.

### Integration of the triadic model with the spiral of motivated action

The *pre-execution of action* stage involves the evaluation of stimuli-options, the formation of preference, and the selection of a course of action. The amygdala and the ventral striatum are critical to the coding of affective and motivational information that guide the formation of preference (Salamone & Correa, 2002; Arana *et al.* 2003; Zald, 2003). In the context of the triadic model and with respect to the formation of preference, adolescents would show relatively higher impact of stimuli signaling reward on striatal activation and lesser impact of stimuli signaling punishment on amygdala activation compared to adults. This pattern would support predominant approach and risk-seeking behavior.

The *execution of action* stage involves preparatory and executory components. Both aspects are directed and energized by the motivation to act on the preferred option. During this stage, ventral striatum contributes to the motivation to act (Mogenson *et al.* 1980; Salamone *et al.* 2005), and medial prefrontal cortex, particularly anterior cingulate, to conflict and error monitoring (Carter *et al.* 1998; Bush *et al.* 2000). For similar levels of motivation-to-act in adults and adolescents, adolescents would show less activation of the ventral striatum than adults due to a lower threshold to act (approach behavior). In other words, adolescents would require less activation of the reward system relative to adults to generate similar approach behavior. In addition, adolescents would show a relatively weaker engagement of the avoidant system in aversive conditions in the context of a goal-directed action. Finally, adolescents would present greater activation of the anterior cingulate compared to adults due to the relative inefficiency of the neural systems to monitor errors.

The response to feedback evokes an affective response and, as a corollary, an error-signal (also referred to as a teaching signal) that reflects the difference between the expected value of the outcome and its actual value (Schultz et al. 1997). These affective and learning processes serve to inform the value of the stimulus-option associated with a particular feedback, which, in turn, contributes to the formation of preference the next time the stimulus options appear (i.e. reinforcement). These processes involve dopamine function, amygdala, ventral and dorsal striatum, orbitofrontal cortex, and medial prefrontal cortex: The error signal has been attributed to dopamine function (Waelti et al. 2001). The amygdala and ventral striatum are known to play an essential role in classical and instrumental learning (Salamone, 1994; Baxter & Murray, 2002; Cardinal et al. 2002a; Salamone & Correa, 2002; Gabriel et al. 2003; Schoenbaum & Setlow, 2003). The dorsal striatum (i.e. caudate and putamen) has often been shown to be engaged in response to feedback (Delgado et al. 2000; Martin-Soelch et al. 2003; O'Doherty et al. 2004). Since learning is predicated on the reliable affective representations of outcomes, the integrity of the orbitofrontal cortex, which harbors these representations (O'Doherty et al. 2003; Rolls, 2004), is critical to this stage. Finally, appraisal of outcome may also engage higher level representations of values, including self-referential processes carried out by medial prefrontal cortical regions (e.g. BA 32, 10) (Knutson et al. 2001b, 2003). Based on the

triadic model, adolescents would show greater impact of a positive outcome on the ventral striatum, and lower impact of a negative outcome on the amygdala compared to adults in the context of goal-directed actions. The dopamine learning signal would be heightened and the medial prefrontal cortex would be more activated in adolescents relative to adults.

Age-related differences in associative learning function between adolescents and adults are difficult to predict. Learning processes are among the earliest to be in place from an ontological and evolutionary perspective. Associative learning is affected by the way in which feedback is processed, i.e. the representation of the value of the outcome that becomes linked through learning to the stimuli options. Although we postulate that feedback processes continue to mature through adolescence, the learning itself may already be fully developed by adolescence, and possibly much earlier.

Of note, investigators have also proposed the theory of a weaker reward system in adolescents as opposed to our position supporting a stronger reward system in this population. A weaker reward system would manifest itself as enhanced reward-seeking behavior to maintain a state of homeostasis (see in Bjork *et al.* 2004).

### Initial studies probing the neural substrates of reward systems in adolescents

Some of the predictions outlined above are supported by three recent human studies using functional magnetic resonance imaging. Two of these studies used a direct comparison of adolescents and adults, and one study replicated with adolescents a previous work conducted with adults. In brief, Bjork *et al.* (2004) reported in adolescents less activation of the ventral striatum for a similar level of reward-related performance as adults during motivation to act. Although the authors interpreted this finding as a weaker reward system in adolescents, we ascribe it to a more sensitive reward system (see above). The latter interpretation is supported in a recent study (Ernst *et al.* in press), which showed greater impact of feedback on ventral striatum and less impact on amygdala in adolescents than in adults. Finally, compared to the adult study by Delgado *et al.* (2000), the adolescent study by May *et al.* (2004) suggested a weaker amygdala involvement in processes of motivated action in adolescents than in adults.

These studies represent the first attempts to unravel the precise nature of the neural substrates that underlie typical motivated behaviors in adolescence. More studies are needed, not only to understand the relative contribution of the functionally distinct neural circuits, particularly within the triadic model, but also their neurochemical modulation (e.g. catecholamines, serotonin), and the interaction of genetic and environmental influences on the functional development of these circuits and their connectivity. This knowledge is necessary to guide research in psychopathology, particularly since adolescence represents the most vulnerable period within the lifespan for the onset of psychiatric disorders.

# IMPLICATION FOR PSYCHOPATHOLOGY

We will focus the application of the triadic model and the spiral of motivated behavior onto two highly prevalent psychopathologies in youth: depression and anxiety.

# Depression

Considerable evidence indicates that adolescence is a period of peak vulnerability for the onset of depression (Costello *et al.* 2002).

Cognitive models of depression identify a number of processes that contribute to the etiology and maintenance of the disorder. In particular, biases to interpret information negatively (Gotlib *et al.* 2004), and to ruminate on these negative interpretations (Gur *et al.* 

1992; Bouhuys *et al.* 1999) likely represent major cognitive vulnerabilities (Beck, 1967; Abramson *et al.* 1989; Nolen-Hoeksema, 2000). These deficits, translated at the level of the spiral of motivated behavior, are particularly relevant to the evaluative stages of pre-execution of action, and error monitoring during feedback. Motivation and learning are also affected, either secondarily to biases in evaluation or primarily as separate deficits.

Decision-making characteristics in depressed individuals may depend on symptom severity. One theory proposes that negative mood leads individuals to indulge immediate impulses as an attempt to improve affect, thus prioritizing short-term affect regulation over other self-regulatory goals (Tice *et al.* 2001). Alternatively, risk avoidance has been hypothesized to reflect greater severity of negative mood and lower levels of subjective experience of self-control, consistent with a gradient in risk avoidance as a function of severity of depression (Lerner & Keltner, 2000). Avoidance of risky choices can also result from failure to experience positive emotions (anhedonia), and loss of energy and motivation (Nelson & Charney, 1981; Ernst *et al.* 2004; Hasler *et al.* 2004).

In contrast to the relatively large literature in emotion processing, few clinical studies have examined decision-making processes in mood disorders, and most of this work pertains to adults. Application of the triadic model can help in mapping developmental trajectories of depression symptoms onto relevant neural systems. In addition, maturational changes at a neural systems level can contribute to age-related vulnerability (or resilience) to depression.

Abnormalities in amygdala, medial prefrontal and orbitofrontal cortices, and striatum have been reported in adult depression (see review, Drevets, 2003). Dopaminergic dysfunction may underlie anhedonia and amotivation (Drevets, 2003). Additionally, abnormally high levels of activation, both at baseline and in response to negative emotional stimuli, have consistently been found in the amygdala and medial prefrontal cortex of depressed adults (Davidson *et al.* 2002; Whalen *et al.* 2002; Drevets, 2003).

Recent magnetic resonance imaging studies indicate reduced amygdala volume (Rosso *et al.* 2005) and amygdala response to fearful faces in depressed adolescents relative to healthy adolescents (Thomas *et al.* 2001*a*). This is consistent with suggestions of amygdala dysfunction in depressed adolescents during evaluative and encoding processes of fearful faces (Pine *et al.* 2004), and in contributing to the increased rate of depression in adolescence.

Medial prefrontal cortex and striatal function in response to emotional or motivationally salient stimuli have yet to be examined in depressed adolescents. However, in view of their roles in adult depression (Drevets, 2003) and their developmental changes observed during adolescence (Giedd, 2004), these areas are also likely to contribute to the observed increase incidence rates of depression during adolescence.

Anterior cingulate dysfunction, inferred from error-monitoring deficits, has been observed in depressed adults during event-related potential studies examining the error-related negativity (ERN) (Tucker *et al.* 2003; Ruchsow *et al.* 2004). Evidence of development-related differences in ERN (Davies *et al.* 2004; Ladouceur *et al.* 2004) suggests that maturation of the anterior cingulate and related circuits can also affect vulnerability to depression in youth.

This brief review highlights the paucity of data in the neural development contributing to adolescent depression. We propose that the role of neural development in the expression of depression can be better understood through the use of developmentally based models of motivated behavior, and by systematic assessment of discrete behavioral components of motivated action.

### **Anxiety**

Traditional (Gray, 1970) and contemporary (Davidson, 2002; Corr, 2004; McNaughton & Corr, 2004) conceptualizations of normal and pathological anxiety emphasize processes and neural systems involved in motivated behavior. Most of this work focuses on behavioral responses to potential threat or aversive stimuli, and the neural systems involved in withdrawal or harm avoidance.

Clinical anxiety is characterized by hyper-vigilance or exaggerated attention toward threat (Mogg & Bradley, 1998; Derryberry & Reed, 2002). At the level of the spiral of motivated action, these behavioral characteristics are expected to influence the pre-execution of action and the anticipation preceding feedback. Presented with emotional stimuli, adults with high levels of anxiety demonstrate an orienting bias toward threat, while adults with low or extremely high levels of anxiety tend to orient away from threat (Mogg & Bradley, 1998; Mogg *et al.* 2000). The processing of facial emotions, particularly negative emotions (e.g. anger, fear), are modulated by neural systems implicated in withdrawal motivation (Davidson, 2002) and threat processing (LeDoux, 2000). Specifically, the amygdala responds to the presentation of fearful faces (Morris *et al.* 1998; Whalen *et al.* 1998) and has been consistently implicated in the pathophysiology of anxiety disorders (Rauch *et al.* 2003).

Findings regarding threat biases in children and adolescents with anxiety are less consistent (e.g. Ehrenreich & Gross, 2002; Monk & Pine, 2004). For example, behavioral biases toward threat words have been reported for children and adolescents with generalized anxiety disorder (GAD), and post traumatic-stress disorder (PTSD) (Vasey *et al.* 1995; Taghavi *et al.* 1999; Dalgleish *et al.* 2003), while biases away from threat faces have also been reported in children and adolescents with PTSD (Pine *et al.* 2005). These discrepancies may result from differences in severity of anxiety among study samples (Ehrenreich & Gross, 2002) or in the neurodevelopment of the neural substrates underlying these biases.

Neuroimaging results also show inconsistencies. Amygdala activity in response to emotional faces has been found both increased (Monk *et al.* 2004) and decreased (Thomas *et al.* 2001*b*) in healthy adolescents compared to adults. Anxious children and adolescents (GAD, panic disorder) demonstrate abnormally high amygdala activity in response to fear faces (Thomas *et al.* 2001*a*).

Finally, decision-making and reward-related processes have scarcely been examined in conjunction with anxiety disorders. Risk avoidance, emotion interference, impulsive responses related to hyperarousal, and delay aversion are expected features of motivated behavior in anxious individuals.

### SUMMARY

In conclusion, we propose a neuroscience systems-based developmental model of adolescent behavior that permits the framing of specific hypotheses regarding the regulation of the various components of a motivated action in health and disease. This model posits that the propensity for risk-/reward-seeking behavior of adolescents partly originates from predetermined ontogenic changes in three neural systems that support (1) reward-related (approach) behavior, (2) harm avoidance, and (3) regulation of both approach and avoidance systems. Key neural substrates include the ventral striatum (nucleus accumbens), the amygdala, and the medial/ventral prefrontal cortices. Refinement of this model depends on a finer delineation of these neural networks and their functional development, in isolation and collaboratively. The triadic model can facilitate the identification of specific behavioral and intermediate neural phenotypes to be used in molecular genetic studies, in health and disease.

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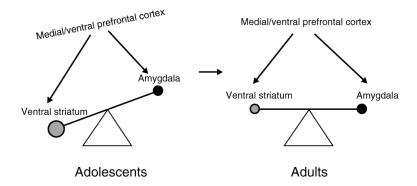


Fig. 1.

Triadic model of motivated behavior. The balance between reward-driven and harmavoidant behavior is tilted toward reward driven in adolescents compared to adults. This pattern may be the results of a stronger reward-related system, weaker harm-avoidant system, and/or poor regulatory controls. Distinct distributed neural circuits are associated with these systems, ventral striatum, amygdala and medial/ventral prefrontal cortex.

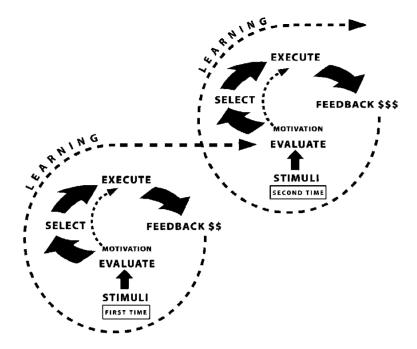


Fig. 2.

Spiral of motivated action. This graph depicts the progression of processes that take place in a simple and completed motivated action. Individuals are first exposed to stimuli, which represent options from which one needs to be selected. Upon exposure, individuals evaluate the stimuli options, and form a preference (stage 1). Based on preference, they select a course of action (stage 2), and execute the action (stage 3). If the result of their action occurs with a delay, subjects anticipate the outcome to their action, and finally experience the feedback (stage 4). The experience of feedback will inform the value of the option that they selected in the first stage of this motivated action, which occurs through learning. Motivation, a psychological state that modulates behavior, is most influential on the first three stages of motivated behavior, formation of preference, selection and execution. (Graphic designed by Cynthia Friedman.)