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Ribonuclease H: Properties, Substrate Specificity, and Roles in Retroviral Reverse Transcription

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Summary

Retroviral reverse transcriptases possess both a DNA polymerase and an RNase H activity. The linkage with the DNA polymerase activity endows the retroviral RNases H with unique properties not found in the cellular counterparts. In addition to the typical endonuclease activity on a DNA/RNA hybrid, cleavage by the retroviral enzymes is also directed by both DNA 3' recessed and RNA 5' recessed ends, and by certain nucleotide sequence preferences in the vicinity of the cleavage site. This spectrum of specificities enables the retroviral RNases H to carry out a series of cleavage reactions during reverse transcription to degrade the viral RNA genome after minus strand synthesis, precisely generate the primer for the initiation of plus strands, facilitate the initiation of plus strand synthesis, and remove both plus- and minus-strand primers after they have been extended.

Keywords

RNase H; reverse transcriptase; reverse transcription; human immunodeficiency virus; type 1 (HIV-1); Moloney murine leukemia virus (M-MLV); DNA/RNA hybrids; polypurine tract (PPT); catalytic mechanism

Introduction

At the time of its discovery in 1970, the presence of an RNA-dependent DNA polymerase activity in retrovirus particles provided strong and exciting support for the hypothesis that the single-stranded RNA genome of a retrovirus is replicated through a DNA intermediate [1,2]. Not only did this discovery of reverse transcriptase (as it was dubbed) challenge the existing dogma concerning the flow of genetic information in biology, it raised the critical question as to how the DNA/RNA hybrid created when the viral genome RNA is used as a template by reverse transcriptase is further processed. In retrospect, it is not surprising that an RNase H activity that degrades the RNA strand of a DNA/RNA hybrid is required to free the newly-made DNA strand (called the minus strand since it is complementary to the plus genome RNA) for use as a template in the synthesis of the second or plus strand of DNA. However, it was a surprise when the retroviral-specific RNase H activity turned out to be present in the same protein molecule as the polymerase activity [3]. This intimate association of the DNA polymerase and RNase H activities in reverse transcriptase has profound effects on the activities and capabilities of both enzymes.

This review article will provide a summary of the salient features of retroviral RNases H with a focus on how the shared substrate binding sites for the two activities of reverse transcriptase endow the retroviral RNases H with features not found in the cellular counterparts, and how these unusual properties are crucial for the multiple roles played by RNase H in reverse transcription. Although occasional reference will be made to other retroviral enzymes, the primary focus will be on the well-studied RNase H activities associated with human immunodeficiency virus, type 1 (HIV-1) and Moloney murine leukemia virus (M-MLV) reverse transcriptases. The reader is directed to other excellent reviews that describe the older literature and cover other recent aspects of retroviral RNases H [4–8].

Structure-function considerations

Although the reverse transcriptases from murine, human, and avian retroviruses display different subunit structures, the relative orientations and sizes of the DNA polymerase, connection, and RNase H domains within a given polypeptide chain are similar for the different proteins (Fig. 1). M-MLV reverse transcriptase is a 80 kDa monomer in which the DNA polymerase activity occupies the N-terminal ~55% and the RNase H domain occupies the C-terminal ~25% of the protein, with the connection domain accounting for the remainder. HIV-1 reverse transcriptase is a heterodimer made up of a p66 subunit containing the active forms of both the polymerase and the RNase H arranged similarly to that of the M-MLV monomer, and a p51 subunit that is derived by proteolysis of p66 and is missing the C-terminal RNase H domain (see Fig. 2). The p51 subunit is enzymatically inactive and simply plays a structural role in the protein. The avian sarcoma-leukosis virus (ASLV) reverse transcriptase is also a heterodimer, but the larger β subunit, in addition to possessing both the polymerase and RNase H domains found in the α subunit, also contains a C-terminal region corresponding to the viral integrase.

The isolated RNase H domain of M-MLV reverse transcriptase is enzymatically active, but the activity is low and exhibits a greatly relaxed substrate specificity [9–11]. The isolated HIV-1 RNase H domain is inactive, but the addition of various N-terminal extensions restores some RNase H activity [12–18]. The reduced specificity of the isolated RNase H domains underscores the importance of the polymerase and connection domains for substrate binding and selectivity. Structural models support this conclusion by showing that a DNA/RNA hybrid substrate gains access to the RNase H active site by associating with the same binding cleft utilized by the polymerase for binding a primer-template [19] (Fig. 2). Some of the structural features within and outside the RNase H domain that are important for substrate selectivity are highlighted in the remainder of this section.

The polymerase domain has been directly implicated in RNase H specificity through the mutagenesis of individual amino acids. Notable examples include changes at Trp266 and Phe61 in HIV-1 reverse transcriptase, both of which render the RNase H incapable of generating the polypurine tract (PPT) primer or removing the PPT primer once it has been extended [20–22].

The RNase H domains of M-MLV and HIV-1 reverse transcriptases are structurally very similar to the *Escherichia coli* and *Bacillus halodurans* RNases H, and to human RNase H1, and these similarities provide key insights concerning substrate recognition and catalysis by the retroviral enzymes. One conspicuous difference among these enzymes is a positively charged helix called the C-helix that is present in the M-MLV, human, and *E. coli* RNases H, but absent in the RNases H from HIV-1 and *B. halodurans* [23–27,19,28–30]. Structure-function studies with the *E. coli* and M-MLV RNases H implicate the C-helix in substrate recognition and catalytic activity, and a mutant form of the M-MLV reverse transcriptase in

which the C-helix has been deleted is replication defective [31–33]. Despite the apparent absence of a C-helix in the RNase H domain of HIV-1 reverse transcriptase, modeling studies comparing the C-helix of M-MLV RNase H with the p66 subunit of the HIV-1 enzyme suggest that a series of positively charged residues in the p66 connection domain may functionally substitute for the C-helix in the HIV-1 reverse transcriptase [34]. Mutagenesis studies with HIV-1 reverse transcriptase identify additional residues within the connection domain that contribute to the activity of the RNase H [35] and linker scanning mutagenesis of the M-MLV connection domain indicate that this region is essential for viability of the virus [36].

The RNase H primer grip is a region near the RNase H active site that contacts the nucleotides in the DNA strand of the hybrid substrate that are base paired with RNA nucleotides at positions –4 to –9 relative to the site of cleavage, which is defined as occurring between the –1 and +1 RNA nucleotides [19,34]. For HIV-1 reverse transcriptase, this region includes residues found in the polymerase, RNase H, and connection domains of p66 and also two residues present in the p51 subunit. The RNase H primer grip is important for binding the DNA/RNA hybrid substrate since point mutations in this region not only reduce RNase H activity, but also affect the specificity of the enzyme [37–39,35,40]. Primer grip residue Tyr501 in HIV-1 reverse transcriptase (Tyr586 in M-MLV) appears to be a particularly important substrate contact residue as changes at this site profoundly affect both the RNase H activity and proper substrate recognition [37,39,41,42,40]. Gln475 in HIV-1 reverse transcriptase is also a critical primer grip residue that not only interacts with the DNA strand but also contacts the RNA strand at positions –2 and +1. Mutagenesis studies indicate that Gln475 is particularly important for the cleavage specificity of the enzyme [39].

Based on co-crystal structures of HIV-1 reverse transcriptase with DNA duplexes or DNA/RNA hybrids [25,27,19], the physical distance between the 3' end of a primer located in the polymerase active site and the region of the substrate in close contact with the RNase H active site corresponds to 17–18 base pairs (Fig. 2). This relationship helps explain some of the observations concerning the effects of recessed DNA 3' and RNA 5' ends on RNase H specificity as described in the sections to follow.

Enzyme activity and catalysis

Retroviral RNases H are partially processive endonucleases that cleave the RNA strand of a DNA/RNA hybrid in a Mg^{++} -dependent reaction to produce 5' phosphate and 3' hydroxyl termini [43,44]. It has been shown that the RNases H associated with both HIV-1 and M-MLV reverse transcriptases are capable of cleaving RNA/RNA duplexes, an activity that has been termed RNase H* [45–47]. However, since the RNase H* activity is only manifest in the presence of the less biologically-relevant divalent cation, Mn^{++} , it is doubtful that this activity plays a role during reverse transcription *in vivo*. Given a substrate in which one strand is entirely DNA and the other strand is RNA at the 5' end followed by a stretch of DNA, the HIV-1 and M-MLV retroviral RNases H strongly prefer to cleave the RNA strand one nucleotide away from the RNA-DNA junction rather than precisely at the junction itself [48]. As discussed below, the most dramatic example of this preference is the finding that a single ribo A is left on the 5' end of the DNA during tRNA primer removal by HIV-1 RNase H [49,50,14]. However, this preference to cleave one nucleotide away from the RNA-DNA junction is not absolute since in the presence of other specificity determinants, the retroviral RNases H will cleave precisely at an RNA-DNA junction [51,52,49].

Two recent co-crystal structures of the *B. halodurans* and human RNases H with bound substrate [29,53,54,30] provide key insights regarding the role of divalent cations in the

catalytic mechanism of the structurally similar RNase H domains of HIV-1 and M-MLV reverse transcriptases. Thus, the current model for hydrolytic cleavage by the retroviral RNases H invokes a two-Mg⁺⁺-ion catalytic mechanism [8]. In HIV-1 RNase H, four highly conserved acidic amino acids (Asp443, Glu478, Asp498, and Asp549) coordinate the binding of two Mg⁺⁺ ions. The corresponding active site amino acids in the M-MLV enzyme are Asp524, Glu562, Asp583, and Asp653. Catalysis involves activation of the nucleophilic water by one of the Mg⁺⁺ ions with transition-state stabilization apparently being achieved by both Mg⁺⁺ ions.

Substrate specificity

Three distinct cleavage modes have been described for retroviral RNases H that are referred to as internal, DNA 3' end-directed, and RNA 5' end-directed cleavages. The two end-directed modes are unique to the retroviral RNases H and derive from the presence of the associated polymerase domain. In the internal cleavage mode, the RNases H behave as typical endonucleases and cleave the RNA along the length of a DNA/RNA hybrid substrate in the absence of any "end" effects. In the two end-directed modes of cleavage, the interaction of the enzyme with the substrate involves recognition of a recessed RNA 5' or a recessed DNA 3' end.

Internal Cleavage

Although cleavage at internal sites on an extended DNA/RNA hybrid has been inferred from a variety of studies over the years, only recently has it been recognized that nucleotide sequence preferences play an important role in this mode of cleavage. HIV-1 and M-MLV RNase H cleavage sites that were too far from an end to be either DNA 3' or RNA 5' end-directed were mapped on a long DNA/RNA hybrid and the nucleotide sequences surrounding the scissile phosphate (designated as between the -1 and +1 positions) were aligned. A statistical analysis of the frequency of nucleotides on both sides of the cleavage site revealed that HIV-1 RNase H prefers certain nucleotides at positions +1, -2, -4, -7, -12, and -14. For M-MLV, the preferred positions are located at +1, -2, -6, and -11 (summarized in Fig. 3) [55,8]. Notably, the preferred nucleotides at the +1 (A or U) and -2 (C or G) positions are the same for the two enzymes. The preferred positions all fall within a region of the substrate contacted by the enzymes as defined by the co-crystal structure containing a DNA/RNA hybrid [19] and by DNase I footprinting studies [56-58]. The structural basis for these sequence preferences remains for the most part obscure, but the contact between Gln475 in the HIV-1 enzyme and the -2 guanine base in the RNA strand likely contributes to the preference at this position [19].

DNA 3' end-directed cleavage

A recessed DNA 3' end in a DNA/RNA hybrid is recognized by the polymerase activity of reverse transcriptase as a primer terminus and is utilized for the synthesis of a DNA strand complementary to the RNA. In the absence of dNTPs or at a pause site during polymerization, the active site of the RNase H activity would be predicted, based on structural models, to be positioned 17-18 nucleotides away from the DNA primer terminus (Fig. 4) [25,27,19]. Results from a number of laboratories indicate that RNase H cleavage of a hybrid with a recessed DNA 3' end, or at pause sites during polymerization, actually occurs within a window ~15-20 nucleotides away from the primer terminus (Fig. 4) [59-64]. Notably, the cleavage window centers on the distance predicted from the crystal structures, but extends in both directions by 2-3 base pairs, presumably owing to some degree of structural variation in the substrate and flexibility within the protein.

RNA 5' end-directed cleavage

Unexpectedly, reverse transcriptase will bind to a hybrid duplex containing a recessed RNA 5' end and cleave the RNA ~13–19 nucleotides from the RNA end (Fig. 4) [65,66,60,67–72]. RNase H cleavage only occurs at sites within the window that conform to the nucleotide sequence preferences for internal cleavage that are proximal to the active site of the enzyme [72]. It is not known why the window for RNA 5' end-directed cleavage is two nucleotides closer to the recessed end than the window for DNA 3' end-directed cleavage. However, based on this difference, a phosphate residue in the single-stranded DNA that extends beyond the recessed RNA 5' end (Fig. 4, open-headed arrow) would be predicted to occupy the position in the polymerase active site normally occupied by the primer terminus during DNA synthesis. Presumably some feature of the polymerase active site region interacts with the recessed 5' RNA end to facilitate this unique binding configuration to the primer-template binding cleft of reverse transcriptase.

In some studies, cleavage in the RNA 5' end-directed mode has been observed as close as 7 base pairs and as many as 21 base pairs from the recessed end [73,74,67,75–78], possibly resulting from sliding of the enzyme after the initial binding event. Importantly, an RNA 5' end at a nick is not recognized for this mode of cleavage by the HIV-1 and M-MLV RNases H. However, cleavage will occur by this mode if a gap of 2–3 nucleotides is present upstream of the RNA 5' end.

Roles of RNase H in reverse transcription

Starting with the retroviral plus-strand genome, the process of reverse transcription produces a double-stranded DNA product that is integrated into the host cell genome and ultimately serves as a template for the production of more genome RNAs [79,80]. The RNase H activity of reverse transcriptase is required for several stages of the reverse transcription process (reviewed in [4,6,7]), making it an essential enzyme activity for viral replication [32,81]. While all retroviruses have diploid genomes and template switching between genomes has been observed during reverse transcription, only a single genome strand will be considered in the following discussion. The key steps in M-MLV and HIV-1 reverse transcription are summarized below with an emphasis on the multiple roles played by RNase H in the process (Fig. 5).

STEP 1

Early after infection a subviral particle enters the cytoplasm containing, in addition to the viral RNA associated with the nucleocapsid protein (NC), a host-derived tRNA bound to the genome at the 18 nucleotide-long primer binding site (PBS), 50–100 molecules of reverse transcriptase and the integrase. As shown in Fig. 5, the polymerase activity of reverse transcriptase initiates reverse transcription by extending the tRNA primer to copy the 5' repeat sequence (R) at the end of the genome and produce what is called the minus strong-stop DNA. Concomitant with polymerization and presumably at pause sites [63,64], the RNase H activity utilizes the DNA 3' end-directed cleavage mode to cleave the RNA strand of the resulting hybrid. However, for HIV-1 and M-MLV reverse transcriptases, such cleavages occur on average only once for every 100–120 nucleotides polymerized, a frequency that is insufficient to degrade the RNA to small enough fragments to render the newly synthesized DNA free of RNA [62,82]. Therefore, complete degradation of the template RNA likely requires multiple internal cleavages to generate gaps that subsequently enable degradation by the RNA 5' end-directed mode of cleavage.

STEP 2

When the polymerase reaches the end of the RNA template, the RNase H cleavages nearest to the 5' end of the RNA would be expected to be determined by the cleavage window for whichever end-directed cleavage mode applies to a blunt-ended substrate. In either case, a short RNA oligonucleotide would likely remain base paired with the 3' end of the nascent DNA chain. In fact, for HIV-1, it has been observed that in the presence of the NC protein, a 14 nucleotide-long RNA remains associated with the DNA (not shown in Fig. 5) and, importantly this association prevents self-priming caused by the DNA hairpin (the complement of the RNA TAR structure) that otherwise could form at the 3' end of the nascent DNA [83,84]. Since this residual RNA fragment is short relative to the R sequence (R is 98 nucleotides for HIV-1 and 68 nucleotides for M-MLV), it does not interfere with the first template switch mediated by base pairing between the R' sequence found at the 3' end of the minus strong-stop DNA and the R sequence found at the 3' end of the genome RNA. Once these complementary sequences pair, branch migration displaces the short RNA oligonucleotide, positioning the 3' end of the nascent DNA to act as a primer for the completion of minus strand synthesis.

STEP 3

The first template switch enables continued synthesis of the minus-strand DNA (Fig. 5). RNase H degradation of the genome RNA follows the same pattern as described above, beginning with the occasional DNA 3' end-directed cleavage during polymerization, followed by sequential internal and RNA 5' end-directed cleavages. It is likely that some longer RNA fragments remain base-paired to the minus DNA and must be removed by displacement synthesis during polymerization of the plus-strand DNA [85,82].

Once the PPT region of the genome has been copied, a specific RNase H cleavage near the 3' end of the polypurine sequence generates the primer for plus-strand initiation (for review, see [8]). Underscoring the importance of this specific cleavage event is the fact that the initiation site of the plus-strand DNA determines the left end of the linear product of reverse transcription (Fig. 5) which is a substrate for the viral integrase. Although cleavage at the PPT site is very efficient in the internal cleavage mode for M-MLV, HIV-1 reverse transcriptase is less efficient in this mode and cleavage may instead occur through the DNA 3' end-directed mode at a pause site during HIV-1 minus-strand synthesis [86,52,87,66,88–92,21,93,48]. A possible explanation for the reduced efficiency of cleavage by the HIV-1 enzyme is that while the M-MLV PPT sequence conforms to the preferred nucleotide pattern for internal cleavage described above (Fig. 3), there is an A instead of the preferred G or C at the -7 position of the HIV-1 PPT sequence. A variety of studies have identified the nucleotide positions within the PPT that are critical for proper cleavage and although some of these overlap with the more general preferences for internal cleavage, other positions do not. Thus, for proper PPT primer generation by M-MLV RNase H, positions -1, -2, -4, -5, -6, -7, -10, and -11 are important [94,51,95], while positions +1, -2, -4, -5, -7 have been found to be critical for HIV-1 PPT primer formation [89,38,96,40,97,98].

Step 4

The PPT primer is utilized to initiate plus-strand synthesis which then continues until it reaches the 18th nucleotide in the tRNA where further synthesis is blocked by a methylated base (Fig. 5). This product has been referred to as plus strong-stop DNA. At least for M-MLV, a nick within the PPT that generates the correct primer terminus for plus-strand initiation is poorly utilized in the displacement synthesis mode by the polymerase activity of reverse transcriptase [99]. Efficient utilization of the PPT primer requires at least a small gap and indeed there exists a series of internal RNase H cleavage sites just downstream of the PPT that would appear to fulfill this role.

Step 5

Continued synthesis of the minus and plus strands requires removal of the extended tRNA primer from the end of the minus DNA (Fig. 5). With further extension temporarily blocked by a methylated base at position 19 in the tRNA, the tRNA-DNA junction is within the 15–20 nucleotide window required for DNA 3' end-directed cleavage. As mentioned previously, the RNase H activity of reverse transcriptases strongly prefers to cleave one nucleotide away from an RNA-DNA junction and indeed for HIV-1, tRNA primer removal is observed to cleave the RNA between the 17th and 18th nucleotides from the nascent DNA 3' end to leave a single ribo A on the 5' end of the minus-DNA strand [49,50,14]. Furthermore, cleavage precisely at the RNA-DNA junction by the HIV-1 enzyme, although still within the cleavage window, would appear to be disfavored by the presence of a dC residue at the +1 position rather than the preferred A or U. For M-MLV, cleavage to leave a single ribo A as well as junctional cleavage are both observed, presumably owing to the presence of favored nucleotides at the critical positions flanking both cleavage sites [100,11].

Removal of the PPT primer appears to occur by an internal cleavage event precisely at the RNA-DNA junction [51,52,101,90,91,48]. Apparently the same sequence features responsible for PPT primer generation determine the site of primer removal and override the natural tendency of the RNase H to cleave one ribonucleotide away from an RNA-DNA junction.

Steps 6 and 7

Once the tRNA primer has been removed, the second template switch is effected by the pairing of the complementary PBS and PBS' sequences. A combination of nondisplacement and displacement synthesis [102] converts the circular intermediate into the final linear product of reverse transcription (see Fig. 5).

Perspectives

The specificity determinants for the RNase H activities associated with retroviral reverse transcriptases derive not just from the RNase H domain itself, but also from the polymerase and connection domains. These determinants endow the enzymes with the ability to cleave DNA/RNA hybrids in the three cleavage modes described above. During reverse transcription, these specificities enable the RNase H to carry out a remarkable series of diverse cleavage reactions that lead to the degradation of the genome RNA after minus-strand synthesis, the precise generation of the PPT primer, the facilitation of plus-strand initiation, and the removal of both primers after they have been extended.

Abbreviations used

HIV-1	human immunodeficiency virus, type 1
M-MLV	Moloney murine leukemia virus
PPT	polypurine tract
ASLV	avian sarcoma-leukosis virus
NC	nucleocapsid protein
PBS	primer binding site.

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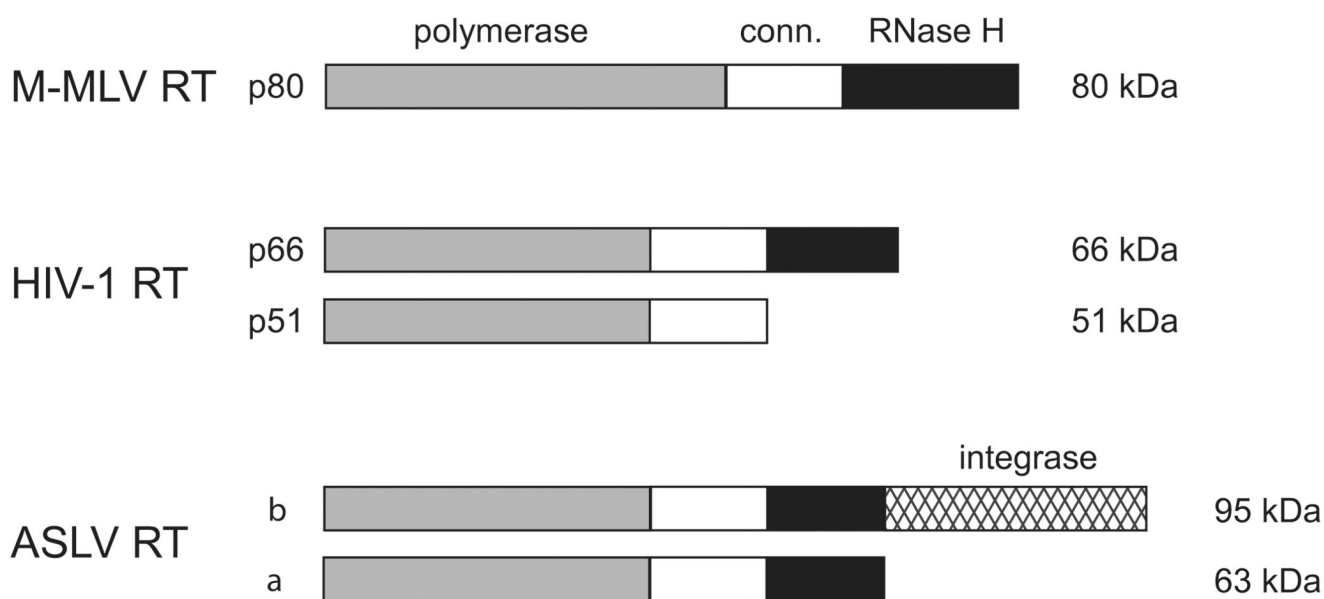


Fig. 1. Subunit and domain structure of retroviral reverse transcriptases. Reverse transcriptase from M-MLV is a monomer, whereas the HIV-1 and ASLV reverse transcriptases are both heterodimeric. The subunit designations and their sizes (kDa) are indicated along the left and right sides of the figure, respectively. The approximate sizes of the polymerase, connection (conn.) and RNase H domains are shown in gray, white, and black, respectively. The larger β subunit of the ASLV reverse transcriptase also contains the integrase domain depicted by crosshatching.

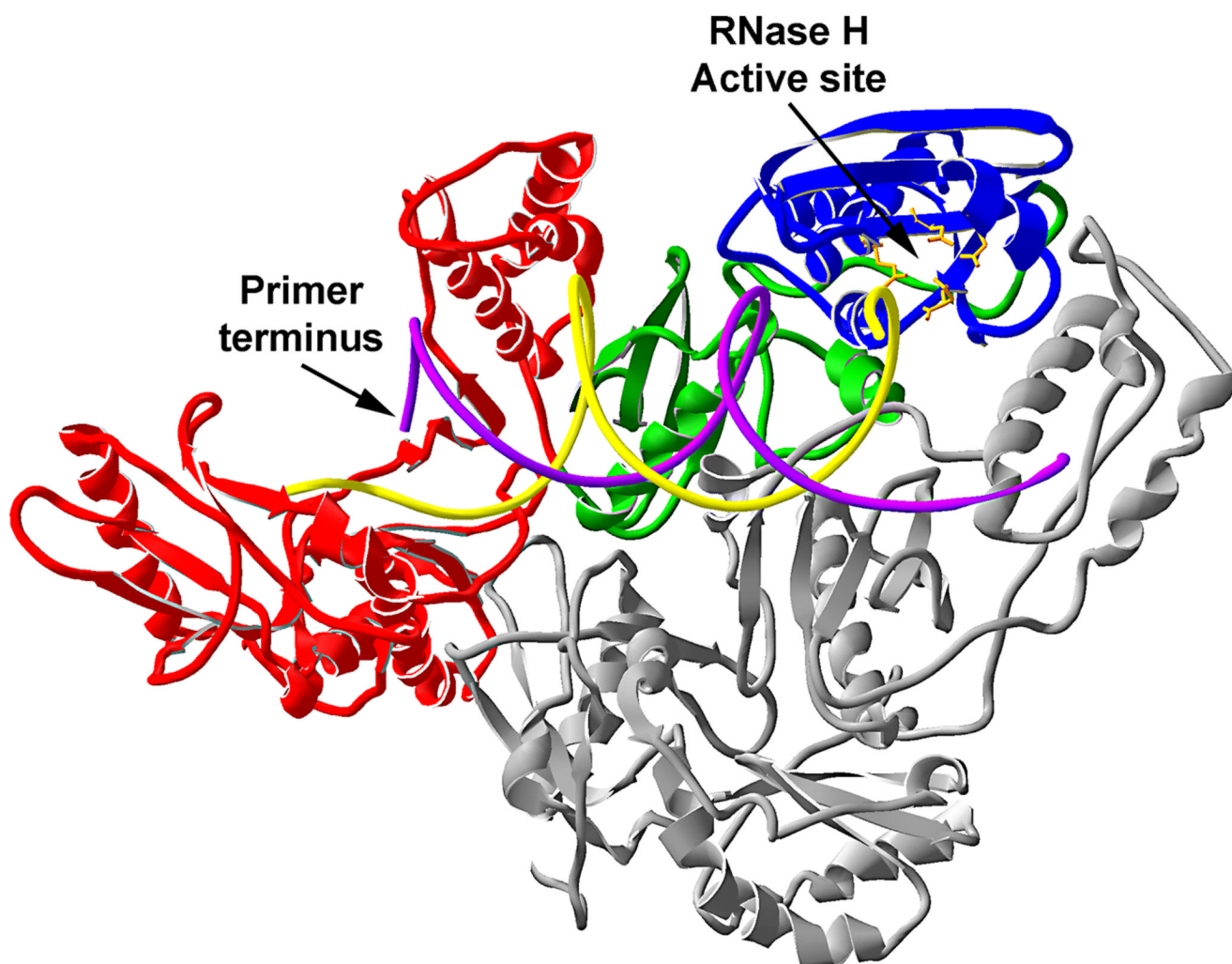


Fig. 2. Ribbon diagram of the co-crystal structure of HIV-1 reverse transcriptase with a bound RNA template and DNA primer (pdb entry 1HYS) [19]. The polymerase (p66 residues 1–318), connection (p66 residues 319–437) and RNase H (p66 residues 438–553) domains are drawn in red, green, and blue, respectively with the p51 subunit shown in gray. The RNase H active site is indicated with the four key acidic residues drawn in yellow ball and stick. The primer terminus of the DNA primer strand (purple) is indicated with the RNA template strand shown in yellow. The drawing was created using Swiss-Pdb Viewer software (v3.7) (GlaxoSmithKline).

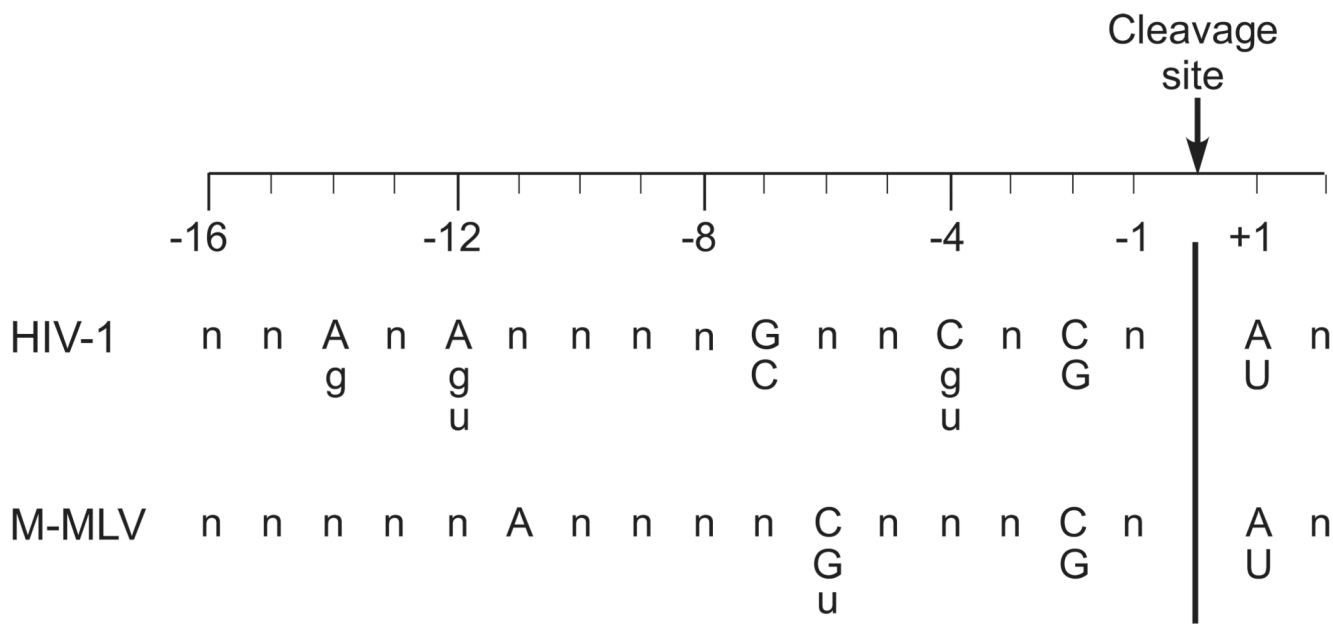


Fig. 3. Sequence preferences for internal cleavage by retroviral RNases H. For the purposes of site alignment, RNase H cleavage is designated as occurring between nucleotides -1 and +1. The preferred nucleotides at positions -14, -12, -7, -4, -2 and +1 are shown for HIV-1 RNase H and at positions -11, -6, -2 and +1 for M-MLV RNase H. The strongest preferences are indicated in upper case letters with the weaker preferences in lower case letters.

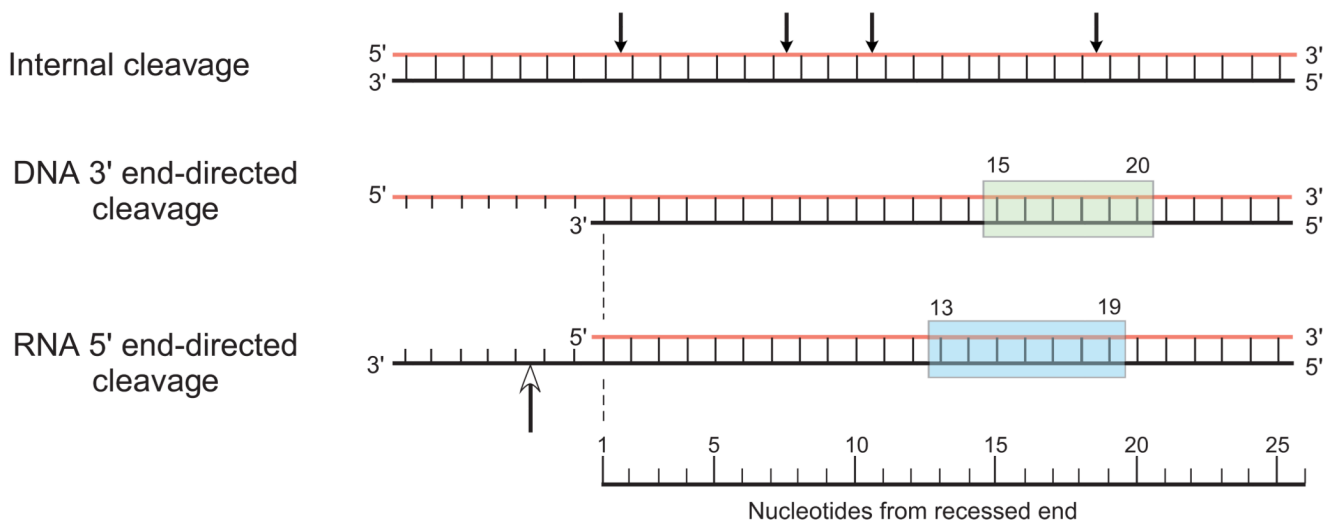


Fig. 4. Three cleavage modes for retroviral RNases H. DNA/RNA hybrids are drawn with RNA strands in red and DNA strands in black. In the internal cleavage mode, the arrows mark the sites of cleavage along the length of the hybrid where nucleotide sequence alone determines the cleavage site. The cleavage window for the DNA 3' end-directed cleavage mode (15–20 nucleotides from the recessed DNA end) is highlighted in green. The corresponding cleavage window for RNA 5' end-directed cleavage (13–19 nucleotides from end) is highlighted in blue. The open-headed arrow in the RNA 5' end-directed cleavage mode indicates the position of the DNA phosphate that appears to be bound near the active site pocket in the polymerase domain normally occupied by the 3' DNA primer terminus during DNA polymerization.

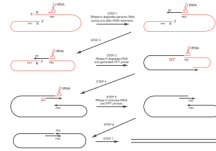


Fig. 5. Roles of RNase H in reverse transcription. The retroviral genome and the associated cell-derived tRNA bound to the primer binding site (PBS) are shown in red with the DNA strands produced during reverse transcription shown in black. A repeated sequence denoted R is located at both ends of the retroviral genome. The sequences complementary to PBS and R are denoted PBS' and R', respectively. The polypurine tract (PPT) serves as the primer for plus-strand synthesis. The steps at which RNase H plays a role are highlighted. See the text for a detailed explanation.