

A Gene Expression Classifier of Node-Positive Colorectal Cancer^{1,2}

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Abstract

We used digital long serial analysis of gene expression to discover gene expression differences between node-negative and node-positive colorectal tumors and developed a multigene classifier able to discriminate between these two tumor types. We prepared and sequenced long serial analysis of gene expression libraries from one node-negative and one node-positive colorectal tumor, sequenced to a depth of 26,060 unique tags, and identified 262 tags significantly differentially expressed between these two tumors ($P < 2 \times 10^{-6}$). We confirmed the tag-to-gene assignments and differential expression of 31 genes by quantitative real-time polymerase chain reaction, 12 of which were elevated in the node-positive tumor. We analyzed the expression levels of these 12 upregulated genes in a validation panel of 23 additional tumors and developed an optimized seven-gene logistic regression classifier. The classifier discriminated between node-negative and node-positive tumors with 86% sensitivity and 80% specificity. Receiver operating characteristic analysis of the classifier revealed an area under the curve of 0.86. Experimental manipulation of the function of one classification gene, Fibronectin, caused profound effects on invasion and migration of colorectal cancer cells *in vitro*. These results suggest that the development of node-positive colorectal cancer occurs in part through elevated epithelial FN1 expression and suggest novel strategies for the diagnosis and treatment of advanced disease.

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Introduction

Colorectal cancer is the third leading cause of cancer deaths in the United States. It is estimated that 149,000 individuals will have their conditions diagnosed with colorectal cancer during 2008 and that 50,000 deaths will result from this disease. Diagnosis before spread to the regional lymph nodes (node-negative disease) is associated with a 90% 5-year survival rate, whereas diagnosis after lymphatic spread (node-positive disease) decreases survival to a 67% 5-year rate [1]. The diagnosis of node-positive disease is accomplished by histologic examination of regional lymph nodes available within the surgically excised tissue. The probability of disease-free survival of patients diagnosed with node-negative disease increases with the number of negative nodes observed [2]. The mechanism by which node sampling is related to outcome is not clear, but one possibility is that a portion of node-

negative individuals is understaged because of insufficient sampling depth. Current guidelines recommend that colorectal cancer patients have a minimum of 12 lymph nodes examined; however, only 37%

Abbreviations: SAGE, serial analysis of gene expression

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of patients receive the proper level of lymph node evaluation [3]. Despite careful attention to node status at the time of surgery, a significant number of node-negative patients experience a recurrence of their disease within 5 years and may have been understaged. A molecular test that identifies node-positive colorectal cancer would therefore be of substantial clinical value.

To identify gene expression markers of lymph node involvement, expression profiling of colorectal cancers has been performed and genes associated with node status were reported [4–6]. In addition, gene expression profiling has been used to identify markers associated with node status in other epithelial neoplasms such as pancreatic cancer [7], oral squamous cell carcinoma [8], and invasive breast cancer [9]; however, the mechanisms by which these marker genes influence pathology remain to be elucidated.

We applied high-throughput pyrosequencing to long serial analysis of gene expression (SAGE) to obtain deep expression profiles of lymph node-negative and lymph node-positive human colorectal cancers. From this, we observed 262 tags that were significantly differentially expressed and confirmed the tag-to-gene assignments of 30 genes with altered expression between these two tumor types. In particular, we found that node-negative tumor epithelial cells express low levels of FN1 messenger mRNA (mRNA) and protein, whereas node-positive tumor epithelial cells express high levels of FN1 mRNA and protein. We subsequently demonstrated that forced overexpression of FN1 dramatically increased the migratory and invasive properties of SW480 colorectal cancer cells. These results indicate that elevated epithelial FN1 expression in primary colorectal cancers may be a useful molecular marker of positive lymph node status and suggest that fibronectin-integrin antagonists may be useful additions to standard chemotherapy treatments for node-positive colorectal cancer patients.

Materials and Methods

Tumor Specimens and Laser Capture Microdissection

Twenty-five primary colorectal cancers were collected at the time of surgery by the South Carolina Biorepository System and immediately frozen at -80°C . Tissue samples were embedded in frozen-section medium (RA Scientific, Kalamazoo, MI), cut into $25 \times 20\text{-}\mu\text{m}$ sections, and fixed onto silane-prep glass slides (Sigma, St Louis, MO) by sequential dehydration in baths of 75%, 95%, and 100% ethanol followed by xylene. Slides were air-dried and desiccated before laser capture microdissection. Guide slides were cut and stained with hematoxylin and eosin to aid in the identification of epithelial cells during laser capture dissection of unstained sections. Tumor epithelial cells were captured onto CapSure Macro LCM Caps (Molecular Devices, Sunnyvale, CA) using an ArcturusPixCellIIIe laser capture microdissector. Caps containing excised cells were immediately placed onto 0.5-ml Eppendorf tubes containing 200 μl of lysis/binding buffer (Invitrogen, Carlsbad, CA) up to five aliquots of lysis buffer were sequentially used to lyse five caps each to create a total lysate of approximately 1 ml.

Genomic DNA Purification, Quantification, and Mismatch Repair Proficiency Testing

Genomic DNA was purified from microdissected epithelial cell lysates using QIAamp DNA Micro Kit (Qiagen, Valencia, CA). DNA was quantitated using real-time polymerase chain reaction (PCR) primers directed against long interspersed nuclear element

sequences (LINEF-AAAGCCGCTCAACTACATGG, LINER-CTCTATTTTCCTTCAGTTCTGCTC; Integrated DNA Technologies, Coralville, IA). PCR was performed using 6.25 μl of iTaQSupermix (Bio-Rad, Hercules, CA), 1.25 μl of 2 μM LINEF, 1.25 μl of 2 μM LINER, 2.5 μl of PCR water (Invitrogen), and 1.25 μl of DNA template. Thermal cycling was performed using a MyIQ Thermal Cycler (Bio-Rad) and the following protocol: 1 cycle at 95°C for 1 minute, 60 cycles at 94°C for 10 seconds and at 59°C for 30 seconds, and 1 cycle at 70°C for 5 minutes.

Mismatch repair-proficient and -deficient tumors were identified by assessing the stability of the BAT26 microsatellite locus in each tumor. BAT26 microsatellite PCR products were prepared using 6.25 μl of iTaQSupermix (Bio-Rad), 1.25 μl of 2 μM BAT26F (TGACTACTTTTGACTTCAGCC), 1.25 μl of 2 μM BAT26R (AACCATTCAACATTTTAAACC), 2.5 μl of PCR water (Invitrogen), and 1.25 μl of DNA template. Thermal cycling was performed MyIQ Thermal Cycler (Bio-Rad) using the following protocol: 1 cycle at 95°C for 1 minute, 60 cycles at 94°C for 10 seconds and at 59°C for 45 seconds, and 1 cycle at 68°C for 5 minutes. PCR products were analyzed by electrophoresis on a 3% agarose gel and photographed using an Alpha Imager and Quantity One software (Alpha Innotech, San Leandro, CA). Only microsatellite stable tumors were used for gene expression studies.

Messenger RNA Purification and Complementary DNA Synthesis

Messenger RNA was isolated from microdissected epithelial cells using mRNA direct beads from the I-SAGE Long Kit (Invitrogen) according to the manufacturer's instructions. Complementary DNA (cDNA) was prepared by reverse transcription in a 90- μl reaction volume containing 18 μl of 5 \times first-strand buffer, 1 μl of RNase OUT, 54.5 μl of diethylpyrocarbonate water, 9 μl of 0.1 M DTT, 4.5 μl of 10 mM deoxyribonucleotide triphosphate mix, and 3 μl of Superscript II Reverse Transcriptase (Invitrogen) incubated at a temperature of 42°C for 1 hour. Quantity and quality of the resulting cDNA were determined for each sample by quantitative real-time PCR against the 5' and 3' portions of the *EEF1A1* transcript. Quantitative real-time PCR was performed using 12.5 μl of iTaQSupermix (Bio-Rad), 2.5 μl of 2 μM forward primer, 2.5 μl of 2 μM reverse primer, and 6.5 μl of nuclease-free water per reaction. Thermal cycling was performed using a MyIQ Thermal Cycler (Bio-Rad) and the following protocol: 1 cycle at 95°C for 1 minute and 50 cycles at 94°C for 10 seconds and at 60°C for 30 seconds. A standard curve was created from 5 μg of normal colon cDNA (Clontech, Palo Alto, CA) diluted serially at 1:4 for a total of five standards. Quantity was determined by the concentration of *EEF1A1* as reported from the standard curve using MyIQ software version 1.0 (Bio-Rad). The reactions were performed in triplicate, and the concentrations were averaged. mRNA quality was determined by comparing the ratios of the quantities of 5' and 3' segments of the control *EEF1A1* transcript, and samples of sufficient quality ($5'EEF1A1/3'EEF1A1$) greater than 0.5 were used for second-strand cDNA synthesis, RNA amplification, and SAGE library construction.

Second-strand cDNA synthesis was performed by adding 465 μl of diethylpyrocarbonate water, 150 μl of 5 \times second-strand buffer, 15 μl of deoxyribonucleotide triphosphate mix, 5 μl of *Escherichia coli* DNA ligase, 20 μl of *E. coli* DNA polymerase, and 5 μl of *E. coli* RNase H (Invitrogen) to the first-strand cDNA synthesis reactions. The reaction mixture was incubated at 16°C for 2 hours. The solid-phase

double-stranded cDNA was washed and digested in a reaction mixture containing 172 μ l of 3 mM Tris-HCl, 0.3 mM EDTA, pH 8.0; 2 μ l of 100 \times BSA; 20 μ l of 10 \times buffer 4; and 6 μ l of *Nla*III enzyme (Invitrogen).

T7 RNA Transcription/RNA Amplification

To amplify the 3' ends of mRNA for SAGE, we prepared a linker containing the T7 RNA polymerase promoter sequence and ligated this linker to *Nla*III-digested double-stranded cDNA. Forty nanograms of T7 LongSAGE adapter (T7 FWD: 5'-CAGAGAATGCATAATACGTACTACTATAGGGATCCACAAGAAGTACTACATG-3'; and T7 REV: 5'-PO4TAGTAGTTCTTGTGGATCCCTATAGTGAGTCGTATTATGCATTCTCTG-3') was ligated to bead-bound *Nla*III-digested double-stranded cDNA in a reaction containing 14.5 μ l of 3 Mm Tris-HCl, 0.3 mM EDTA, pH 8.0; 1 μ l of T7 adapter; 2 μ l of 10 \times ligase buffer; and 2.5 μ l of T4 DNA ligase (Invitrogen). Excess adapter was removed by washing the bead-bound double-stranded cDNA three times with wash buffer D (Invitrogen). RNA was amplified using the T7 MEGAscript kit (Ambion, Austin, TX) in a reaction containing 2 μ l of adenosine 5'-triphosphate solution, 2 μ l of cytidine 5'-triphosphate solution, 2 μ l of guanosine 5'-triphosphate solution, 2 μ l of uridine 5'-triphosphate solution, 2 μ l of 10 \times reaction buffer, 2 μ l of enzyme mix, and 8 μ l of nuclease-free water. The reaction mixture was incubated at 37°C overnight. Amplified RNA generated by the *in vitro* transcription reaction was eluted from the beads using 40 μ l of nuclease-free water at 70°C for 5 minutes.

LongSAGE

One-tenth of the total transcribed RNA containing supernatant was reverse-transcribed and quantified by real-time PCR. An aliquot equivalent of 20 μ g of total RNA was used from the remaining supernatant as an input for the I-SAGE Long Kit. LongSAGE libraries were created using the manufacturer's instructions with the following alterations:

Primers used for DiTAG amplification were modified to contain a sequencing site for recognition by 454 Life Sciences (Branford, CT). The primer sequences used were 454LongSAGEA2 5'-GCC TCC CTC GCG CCA TCA GTT GGA TTT GCT GGT GCA GTA-3' and 454LongSAGEB1 5'-GCC TTG CCA GCC CGC TCA GCG AAT TCA AGC TTC TAA CGA TG-3'. The resulting DiTAGs were directly sequenced on a GS20 without concatamerization or cloning into bacteria.

Real-time PCR

Quantitative real-time PCR was used to quantify *FN1*, *PITX2*, *FLJ22104*, *RPL39*, *EIF1AX*, *AP3S1*, *NDUFA8*, and *EEF1A1* expression using the following primers:

FN1 FWD 5'-TGG CCAGTCCTACAACCAAGT-3';
 FN1 REV 5'-CGGGAATCTTCTCTGTCAGC-3';
 PITX2 FWD 5'-ATGGAGACCAACTGCCGCA-3';
 PITX2 REV 5'-TCACACGGGCGGTCCACTGC-3';
 FLJ22104 FWD 5'-GCAGCTGTCATGGAAGTTCA-3';
 FLJ22104 REV 5'-CATCAAGGACTTTTCGGTTCA-3';
 RPL39 FWD 5'-CTCGCCATGTCTTCTCACAA-3';
 RPL39 REV 5'-CCAGCTTGGTTCTTCTCCAA-3';
 EIF1AX FWD 5'-GCAGTGACTGGAGAGGGGA-3';
 EIF1AX REV 5'-TGAAGCTGAGACAAGCAGGA-3';
 AP3S1 FWD 5'-TGATGCACAAAATAAGCTGGA-3';
 AP3S1 REV 5'-TTGGGATCTCAGGAAGATTCA-3';
 NDUFA8 FWD 5'-GTGTGTGCTGGACAAACTGG-3';

NDUFA8 REV 5'-GGGATTCTCCGGTAAAGGTC-3';
 EEF1A1 FWD 5'-CAATGCTTCCACCAACTCGT-3';
 EEF1A1 REV 5'-TCTTGACATTGAAGCCCA-3'.

PCR was performed using 12.5 μ l of iTaqSupermix (Bio-Rad), 2.5 μ l of 2 μ M forward primer, 2.5 μ l of 2 μ M reverse primer, and 6.5 μ l of nuclease-free water per reaction. Thermal cycling was performed using a MyIQ Thermal Cycler (Bio-Rad) and the following protocol: 1 cycle at 95°C for 1 minute and 50 cycles at 94°C for 10 seconds and at 60°C for 30 seconds. Gene expression levels were standardized to cDNA concentration by computing the difference between the cycle threshold (C_T) value of each classifier gene and the cycle threshold (C_T) value for a control gene (*EEF1A1*).

Logistic Regression Classifier

Denote the standardized expression levels of *FN1*, *FLJ22104*, *RPL39*, *PITX2*, *EIF1AX*, *AP3S1*, and *NDUFA8* by X_1 to X_7 as shown.

Predictor	Description
X_1	FN1-EEF1A1
X_2	FLJ22104-EEF1A1
X_3	RPL39-EEF1A1
X_4	PITX2-EEF1A1
X_5	EIF1AX-EEF1A1
X_6	AP3S1-EEF1A1
X_7	NDUFA8-EEF1A1

Denote the gene expression level vector by $X = (X_1, \dots, X_7)$. Let Y be the node status variable: $Y = 1$ means node-positive and $Y = 0$ node-negative. The logistic regression (LR) model specifies the probability that a colorectal tumor is node-positive as a function of gene expression levels given by the following:

$$P(Y = 1|X = x) = \frac{\exp\{\beta_0 + \beta_1x_1 + \dots + \beta_7x_7\}}{1 + \exp\{\beta_0 + \beta_1x_1 + \dots + \beta_7x_7\}}$$

Given the gene expression level vector and the node status of $n = 23$ tumors, denoted by (y_i, x_i) , $i = 1, 2, \dots, 23$, the maximum likelihood estimates of the LR coefficients $\beta_0, \beta_1, \dots, \beta_7$, denoted by b_0, b_1, \dots, b_7 , can be obtained through iterative procedures that are implemented in the R statistical package [10], the package that we used [11].

Given a new tumor with unobserved node status Y^* and an observed gene expression level vector $X^* = x^*$, we estimate the probability that the tumor is node-positive through

$$\hat{P}(Y^* = 1|X^* = x^*) = \frac{\exp\{b_0 + b_1x^*_1 + \dots + b_7x^*_7\}}{1 + \exp\{b_0 + b_1x^*_1 + \dots + b_7x^*_7\}}$$

The LR classifier is of the form

$$\delta_c(x^*) = \begin{cases} 1 & \text{if } \hat{P}(Y^* = 1|X^* = x^*) \geq c \\ 0 & \text{if } \hat{P}(Y^* = 1|X^* = x^*) < c \end{cases} \quad (1)$$

where c is between 0 and 1, a properly chosen cutoff or threshold. This means that if the estimated probability of node-positive status is at least c , we classify the tumor as node-positive.

If we denote a gene expression vector

$$V = b_1 x^*_1 + \dots + b_7 x^*_7$$

then the estimated probability that the tumor is node-positive is given by

$$\hat{P}(Y^* = 1 | X^* = x^*) = \frac{\exp\{b_0 + V\}}{1 + \exp\{b_0 + V\}}$$

The LR classifier becomes

$$\delta_c(x^*) = \begin{cases} 1 & \text{if } V \geq d \\ 0 & \text{if } V < d \end{cases} \quad (2)$$

The cutoff or threshold d is related to the original cutoff c through

$$d = \log\left(\frac{c}{1-c}\right) - b_0$$

Usually, the cutoff c would be chosen to be 0.5, but the determination of the appropriate cutoff for the LR classifier is tied in to the desired performance of the classifier. We assessed the performance of the classifier with regards to its false-positive rate (FPR) and its false-negative rate (FNR) by constructing and evaluating a receiver operating characteristic (ROC) curve.

For a given tumor with node status Y_0 and gene expression level vector X_0 , the conditional FPR associated with the LR classifier with cutoff c is defined as the probability that it is classified to be node-positive given that it is actually node-negative, whereas the conditional FNR is defined as the probability that it is classified to be node-negative given that it is actually node-positive. Taking averages of these quantities with respect to the distribution of (Y_0, X_0) , we obtain the FPR and FNR, respectively, each of which is a function of the cutoff value c . The ROC curve is defined as the graph of $\text{FPR}(c)$ versus $1 - \text{FNR}(c)$, where c ranges from 0 to 1. Or equivalently, it is the graph of the false-positive fraction versus the true-positive fraction at different cutoff values of c . The area under this curve is called the AUROC and serves as a measure of the quality of the class of classifiers.

Observe that from the definitions of the FPR and the FNR, the appropriate way to estimate FPR and FNR is to evaluate independent test data that are different from the training data used to generate the classifier. However, our sample data set is small and we did not have an independent test data set from which to measure the FPR and FNR functions. We therefore used the training data (Y_i, X_i) , $i = 1, 2, \dots, 23$, to estimate $\text{FPR}(c)$ by $\hat{\text{FPR}}(c)$, which is the observed proportion of false-positives, whereas estimate $\text{FNR}(c)$ by $\hat{\text{FNR}}(c)$, which is the observed proportion of false-negatives. The empirical ROC curve is then given by the graph of $\hat{\text{FPR}}(c)$ versus $1 - \hat{\text{FNR}}(c)$ (or the observed false-positive fraction versus the observed true-positive fraction), and the empirical AUROC is given by the area under the empirical ROC curve.

We determined the appropriate value of the cutoff c (denoted as c^*) from the empirical ROC by imposing an upper limit on the value of the FPR and to subsequently minimize the FNR. The approach adopted here is from clinical considerations that lead us to expect up to 20% false-positives (because of misdiagnosed node-negative disease), hence the need to control the FPR at the outset through the specification of the FPR threshold.

On determination of c^* through the previously mentioned approach, the final LR classifier becomes $\delta_{c^*}(x^*)$ as given in (1) with c replaced with c^* . Or, in the alternative form in (2), we use in this case the cutoff value of $d^* = \log(c^* / (1 - c^*)) - b_0$ for d .

Fibronectin Immunofluorescence Microscopy

Frozen tumor specimens were cut into 10- μm sections and fixed onto silane-prep slides (Sigma). Sections were fixed in 95% ethanol, washed with PBS, and blocked with normal goat serum (Biogenex, San Ramon, CA) for 1 hour at room temperature. Samples were probed with either a 1:200 dilution of rabbit antifibronectin primary or a 1:500 dilution of mouse anti-BerEP4 primary antibody for 1 hour at room temperature. Samples were washed with PBS and then incubated with a 1:500 dilution of the appropriate AlexaFluor 488-conjugated secondary (Invitrogen). Samples were washed in PBS and coverslipped using 4',6-diamidino-2-phenylindole, dihydrochloride-containing mounting medium.

Fibronectin Western Blot Analysis

Cell lysates from SW480 colorectal cancer cells and *FN1*-transfected subclones were lysed directly in Laemmli sample buffer. Cell numbers were quantitated by purifying genomic DNA and performing LINE PCR as described. Equivalent cell numbers were electrophoresed on 8% SDS-polyacrylamide gel and transferred electrophoretically to a polyvinylidene fluoride membrane at 80 mA overnight. Blots were probed with polyclonal rabbit anti-FN1 primary antibody overnight at 4°C (1:1000 dilution in 5% milk; part no. F3468; Sigma) or monoclonal mouse antitubulin antibody (1:20,000 dilution in 5% milk; part no. T9026; Sigma) for 1 hour at room temperature. Blots were washed and then probed with HRP-conjugated goat antirabbit immunoglobulin G secondary antibody (1:10,000 diluted in 5% milk; part no. PI-1000; Vector Laboratories, Burlingame, CA) for 2.5 hours at room temperature or HRP-conjugated goat antimouse secondary antibody (1:20,000 diluted in 5% milk) for 1 hour at room temperature. Bands were imaged by incubating the blots in ECL Western Blotting Detecting Kit (RPN21061; GE Healthcare Bio-Sciences, Piscataway, NJ) and exposing to x-ray film (Kodak Biomax XAR Film part no. 05-728-41; Thermo Fisher Scientific, Waltham, MA) for 5 minutes.

Cell Culture Methods

SW480 cells were a kind gift from Dr. Marj Peña. The cells were maintained in McCoy's 5A medium (Invitrogen) supplemented with 1 \times penicillin/streptomycin (Gibco, Carlsbad, CA) and 10% fetal bovine serum (Invitrogen) in a humidified incubator with 5% CO₂.

Generation of FN-Expressing Clones

A full-length cDNA encoding adult Fibronectin (FN1) was cloned into the pIRES-Neo3 vector (Clontech, Mountain View, CA) and transfected into SW480 cells using Lipofectamine (Invitrogen). Single clones were selected by limiting dilution and growth using 1000 $\mu\text{g}/\text{ml}$ G418. Clones were tested for FN1 mRNA expression by real-time PCR.

Migration and Invasion Assays

Invasion assays were performed using BD BioCoat Matrigel Invasion Chambers (BD Biosciences, San Jose, CA) according to the manufacturer's instructions. Either 50,000 cells (migration) or 100,000 cells (invasion) were seeded into the top of empty transwell migration chambers (migration) or chambers containing Matrigel inserts (invasion) and placed into culture wells containing complete medium with 10% fetal

Table 1. Summary of LongSAGE Data.

(A)		
Library	Total Reads	Total Tags
18964 node-	162,576	170,372
29271 node+	189,367	195,452
Combined	351,943	365,824
(B)		
Fold Differential Expression	No. of Tags Elevated in Node-Positive	No. of Tags Elevated in Node-Negative
50	12	22
25	76	74
10	644	505
5	3876	2659

Tumor no. 18964 (node-negative) and tumor no. 29271 (node-positive) were sequenced to a depth of 365,824 total tags. To minimize sequencing errors, only tags that were observed two or more times were counted.

bovine serum as a chemoattractant. Assays were performed in the absence or presence of 4.5 μM of an inhibitory cyclic RGDfV peptide [12,13]. Cells were allowed to migrate or invade for 3 days, after which the filters were removed, stained with crystal violet, and visualized by microscopy. Cell counts were performed in triplicate and averaged.

Results

To identify genes that are differentially expressed during the progression of colorectal cancer, we modified the LongSAGE tags for amplicon sequencing on a 454 GS20 genome sequencer (454 Life Sciences). We laser capture microdissected tumor epithelial cells, purified and amplified mRNA, and prepared LongSAGE libraries from one node-negative and one node-positive colorectal tumor. Specific tumors were chosen based on the quantity and quality of mRNA recovered. LongSAGE tags were modified for 454 sequencing as described. One sequencing run on the 454 GS20 platform produced 351,943 sequencing reads from which 327,294 total tags were extracted, 26,060 of which were unique and expressed two or more times (Table 1A). One hundred fifty

tags were differentially expressed by at least 25-fold (Table 1B). To control against contaminant inflation of type I error from multiple testing, we used a Bonferroni correction to arrive at a cutoff value of $0.05/26,060 = 1.9 \times 10^{-6}$ for the P values for each pairwise comparison [14]. Under this conservative approach, 262 tags were defined to be statistically significantly differentially expressed between node-negative and node-positive colorectal tumors (Figure 1).

To validate the tag-to-gene assignments and eliminate potential bias introduced during RNA amplification, we analyzed the best gene matches for the top 75 most differentially expressed tags (binomial $P < 2 \times 10^{-6}$, ratio >20) in unamplified cDNA from the profiled tumors using quantitative real-time PCR. From this analysis, we confirmed the tag-to-gene assignments and differential expression of 30 genes. The expression ratios observed by real-time PCR were in good agreement with the expression ratios observed by SAGE (Figure 2 and Table 2).

We then analyzed the expression of the 12 genes that were increased in node-positive colorectal cancer in a panel of 23 additional colorectal tumors (Table W1). Using an LR approach, we developed a seven-gene classifier, as described in the Materials and Methods section, capable of discriminating between node-negative and node-positive tumors (Figures 3, A–D, W1, A–E). In this set of tumors, the probability that an individual colorectal cancer was node-positive could be estimated using a function of the seven-gene expression values relative to the control gene, *EEF1A1*. For each tumor, we compute a composite gene expression value, V , according to the normalized cycle threshold (C_T) values observed for the seven best genes through the formula

$$\begin{aligned}
 V = & -0.39 \times (FNI_{C_i} - EEF1A1_{C_i}) \\
 & -0.14 \times (FLJ22104_{C_i} - EEF1A1_{C_i}) \\
 & -0.84 \times (RPL39_{C_i} - EEF1A1_{C_i}) \\
 & +0.12 \times (PITX2_{C_i} - EEF1A1_{C_i}) \\
 & +0.26 \times (EIF1AX_{C_i} - EEF1A1_{C_i}) \\
 & +0.25 \times (AP3SI_{C_i} - EEF1A1_{C_i}) \\
 & +0.26 \times (NDUFA8_{C_i} - EEF1A1_{C_i})
 \end{aligned}$$

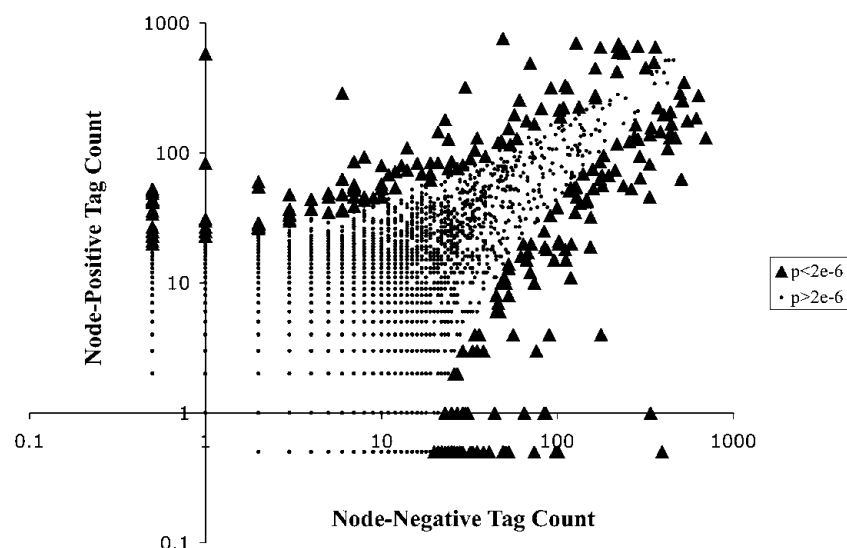


Figure 1. Distribution of SAGE tags. Tag counts of zero were converted to 0.5 to plot on log scale and to compute expression ratios. Significantly differentially expressed tags (binomial $P < 2 \times 10^{-6}$) are shown as triangles.

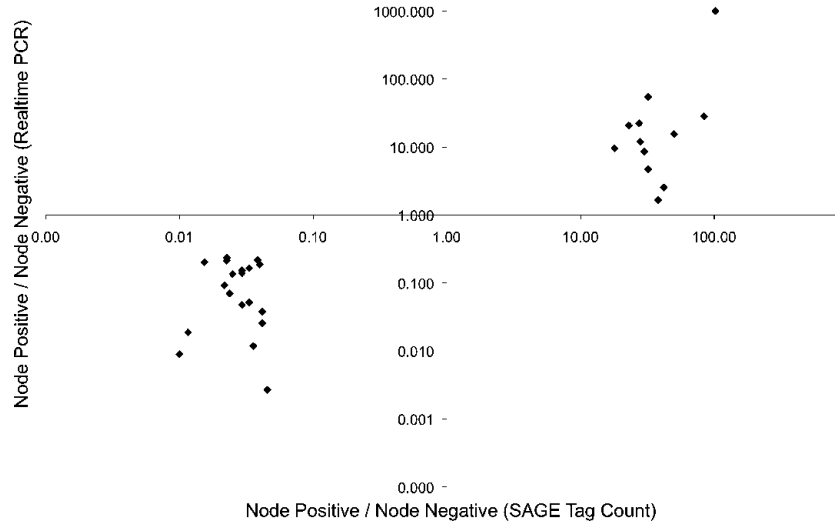


Figure 2. Confirmed differentially expressed genes. Expression levels were determined by quantitative real-time PCR, and the ratio of node-positive to node-negative gene expression levels is plotted *versus* the ratio of node-positive to node-negative tag counts.

The estimated probability of metastatic disease is then given by

$$P = \frac{\exp\{-0.99 + V\}}{1 + \exp\{-0.99 + V\}}$$

As described in the Materials and Methods section, based on this value of *P*, a classifier could be developed, which is of the form where a tumor is classified as node-positive whenever the estimated probability *P* is greater than or equal to the cutoff value *c*. We evaluated the sensitivity and specificity of this class of LR classifiers at different cutoff values *c* and formed the empirical ROC curve. Because the recurrence rate from node-negative colorectal cancer can approach 20% [15], we set this value as an upper limit for the number of truly node-positive tumors that were inappropriately given a node-negative diagnosis. We therefore imposed the constraint that the estimated FPR (node-negative colon cancers receiving a positive gene expression score) should be no more than 20%, which corresponds to 80% specificity. This led to an optimal cutoff value of *c* = 0.43, and the resulting LR classifier has a sensitivity of 86%. ROC analysis of the class of LR classifiers revealed an area under the curve of 0.86 (Figure 3D). The selected LR classifier with cutoff of *c* = 0.43 correctly identified 21 of 25 tumors. The classifier performs significantly better than a random classifier (binomial *P* = .00046). Interestingly, one node-negative tumor (15095) scored very high on the LR classifier scale (with an estimated probability of being node-positive of 0.85), suggesting that this individual was misdiagnosed, harbors occult node-positive disease, and is at high risk for recurrence.

Because of its role in normal cell adhesion and its association with melanoma metastasis [16], we focused our attention on the Fibronectin gene (*FNI*), which was upregulated more than 20-fold in the node-positive LongSAGE library. To determine if the fibronectin protein is also upregulated in node-positive tumors, we performed immunofluorescence microscopy on node-positive and node-negative colorectal tumors. Samples were triple labeled with

anti-FN1, anti-BerEP4 epithelial marker, and a DAPI nuclear stain. As previously reported [17], we observed dramatic expression of fibronectin protein in the stromal fibroblasts of normal colon and both node-negative and node-positive colon cancers. In addition,

Table 2. Differentially Expressed LongSAGE Tags and Corresponding Tag-to-Gene Matches.

SAGE Tag	Gene Symbol	SAGE N(+)/N(-)	Real-time PCR N(+)/N(-)
TGTACCTCAGCTTTTTC	<i>ORM2</i>	102.00	1002.93
ATTTTACTAATGTATT	<i>UBD</i>	84.00	28.25
AAAACATTATGACTTTT	<i>AP3S1</i>	50.00	15.51
AATTAACCTCCGTAAAA	<i>ALDH1B1</i>	42.00	2.53
CGGTTTGATCGACTGA	<i>NDUFA8</i>	38.00	1.66
CCACTGCATCCAGCAG	<i>FLJ22104</i>	32.00	54.57
TGTCAGAAATTCATFCC	<i>CTPS2</i>	32.00	4.69
GCGAGCAGCGGAGTCAA	<i>RPL39</i>	30.00	8.51
ACAGCTAATAGTACTA	<i>EIF1AX</i>	28.00	11.88
AGAATCACTTGAACCCA	<i>HRH1</i>	27.50	22.32
ATCTTGTACTGTGATA	<i>FNI</i>	23.00	20.66
GGAGTAAAATATACTGC	<i>PITX2</i>	18.00	9.51
CGTGCGAGACAGTGTG	<i>Clorf30</i>	0.05	0.00
GTAGCGCCTCCTAACAG	<i>CS17</i>	0.04	0.03
TTGATGGCGCACTTCAA	<i>DNASE1</i>	0.04	0.04
GGTACCCATTTGATAAG	<i>DUSP6</i>	0.04	0.19
ACAAGATATTTCTACCT	<i>CASP4</i>	0.04	0.22
GACCAGTGGCTGGTCTC	<i>GPA33</i>	0.04	0.01
GGTATTAACCCACAGATT	<i>DEFA6</i>	0.03	0.05
AACAGCAAGGAGTGTTF	<i>APCDD1</i>	0.03	0.16
GCCAAGGAGTTCAGGA	<i>GPR35</i>	0.03	0.05
AACAAAGATATATTTTC	<i>KIAA1199</i>	0.03	0.14
CTGCTATGGTCACTGAG	<i>NRN1</i>	0.03	0.15
TTCCTGGAAACCTACGG	<i>GOLT1A</i>	0.03	0.14
AACCACTGCTACTCCCG	<i>ID3</i>	0.02	0.07
GCCTGTTTGGGAGTGGC	<i>UGT1A6</i>	0.02	0.23
AGCTCTTGGAGCACCA	<i>NDRG2</i>	0.02	0.21
TAGAAGATCTATGGAAA	<i>NKD1</i>	0.02	0.09
TGAGAGGAGATGGACCC	<i>NDUFB5</i>	0.02	0.20
CGTTCCTGCGGACGATC	<i>ID1</i>	0.01	0.02
TACAAAATCGATTGGCT	<i>IGF2</i>	0.01	0.01

Expression levels were determined by quantitative real-time PCR, and the ratio of node-positive to node-negative tumors was calculated. Tag expression levels of zero were converted to 0.5 to compute expression ratios.

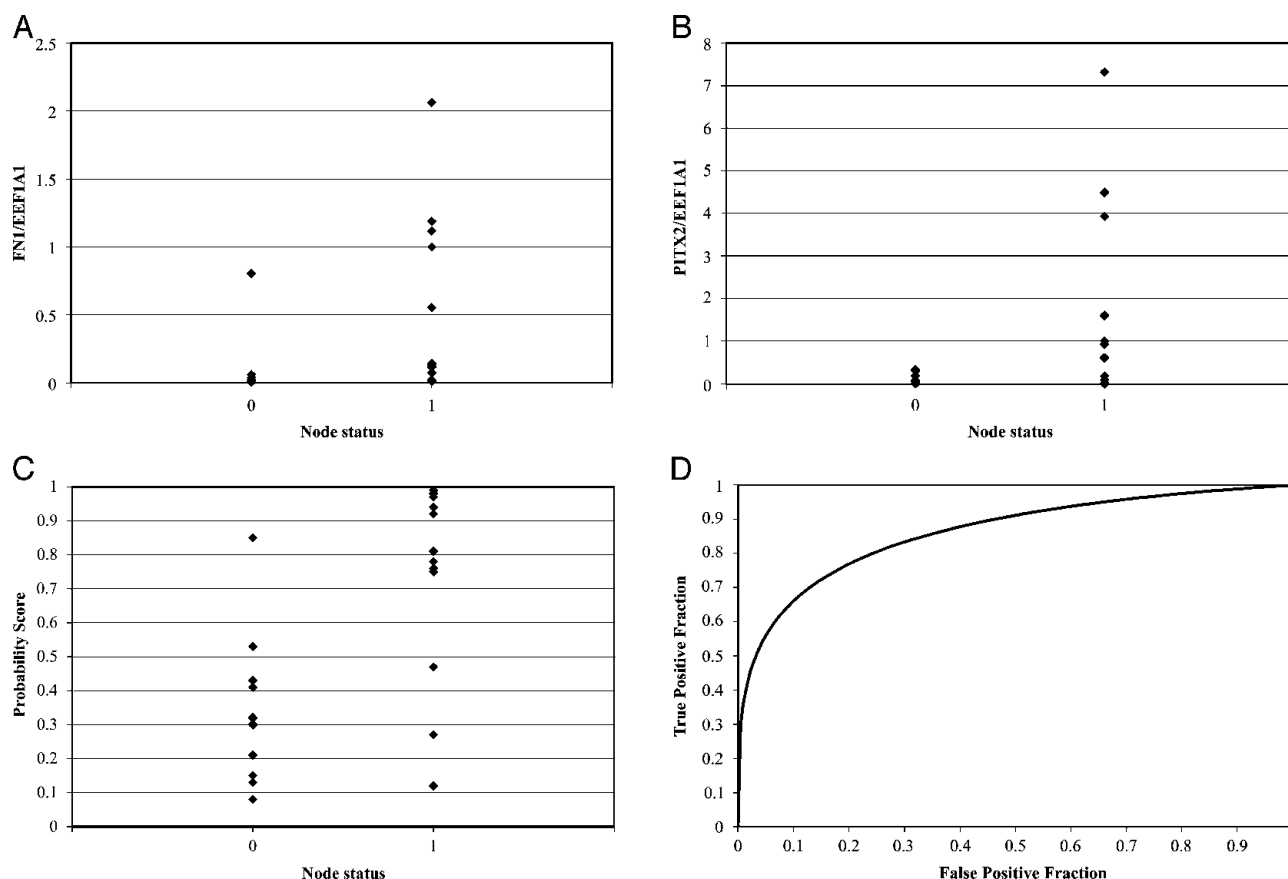


Figure 3. FN1 (A) and PITX2 (B) mRNA expressions in the epithelial cells of 23 colon cancer specimens. Probability of node-positive diagnosis based on LR classifier (C) and ROC analysis (D). Cutoff values associated with 20% FPRs for the multigene classifier produced optimal separation between node-negative and node-positive tumors.

we observed a dramatic increase in epithelial fibronectin in node-positive lesions (Figure 4).

We sought to determine whether inappropriate fibronectin expression by tumor epithelial cells would cause *in vitro* effects consistent with lymph node metastasis such as enhancing cell migration or improving cell survival. To test this hypothesis, we cloned a full-length cDNA encoding the adult isoform of the *FN1* transcript into pIRES-Neo3, generated stable subclones of SW480 colorectal cancer cells that overexpress the *FN1* mRNA and protein relative to wild-type SW480 cells (Figure 5, A and B). We performed Boyden chamber migration and Matrigel invasion assays in the absence and presence of a cyclic RGD-containing peptide. The *FN1*-overexpressing clones demonstrated a marked increased ability to migrate through empty Boyden chambers and invade through Matrigel barriers *in vitro* (Figure 6, A and B). This property was completely abolished by the inclusion of 4.5 μ M cyclic RGDfV peptides into the culture medium. These results demonstrate that increased fibronectin expression by tumor epithelial cells can cause enhanced migration and invasion and suggest that targeting a specific subpopulation of colorectal cancer patients with RGD peptide-based therapeutics could be more successful than treating unselected patients.

Discussion

It is estimated that 149,000 cases of colorectal cancer will be diagnosed in the United States in 2008 and that 60,000 of these tu-

mors will be detected before regional lymph node involvement [1]. The 5-year survival rate for node-negative colorectal cancer is at best 90%. This means that approximately 6000 people will experience relapse from early-stage disease. It is possible that at least some of these individuals were understaged because of occult node-positive cancer and were therefore undertreated. Adjuvant chemotherapy can decrease the chances of recurrence for node-negative patients [18]; however, effective methods of identifying the node-negative patients who are at highest risk are needed. Applying our seven-gene classifier to node-negative patients may represent a successful strategy for identifying these patients. Because gene expression alterations are caused by gene mutations, it will be important to evaluate the performance of this classifier in the context of somatic mutations to *RAS*, *RAF*, and *CSMD1* [19].

The *FN1* gene is not expressed by normal colonic epithelial cells but is overexpressed by the myofibroblasts and epithelial cells of some colorectal adenocarcinomas [20]. *FN1* expression is downregulated as colon epithelial cells differentiate, suggesting that reexpression in adenocarcinoma is indicative of epithelial-to-mesenchymal transition [21]. *FN1* expression is associated with poor outcome in other cancers including melanoma [16], ovarian and breast cancer [22,23], and lymphoma [24]. It has also been shown that tumor cell fibronectin production is necessary for tumor cell migration *in vitro* [25]. It is possible that the progression of colorectal cancer from node-negative to node-positive disease may be facilitated in part by *FN1* deregulation and subsequent enhanced tumor cell migration.

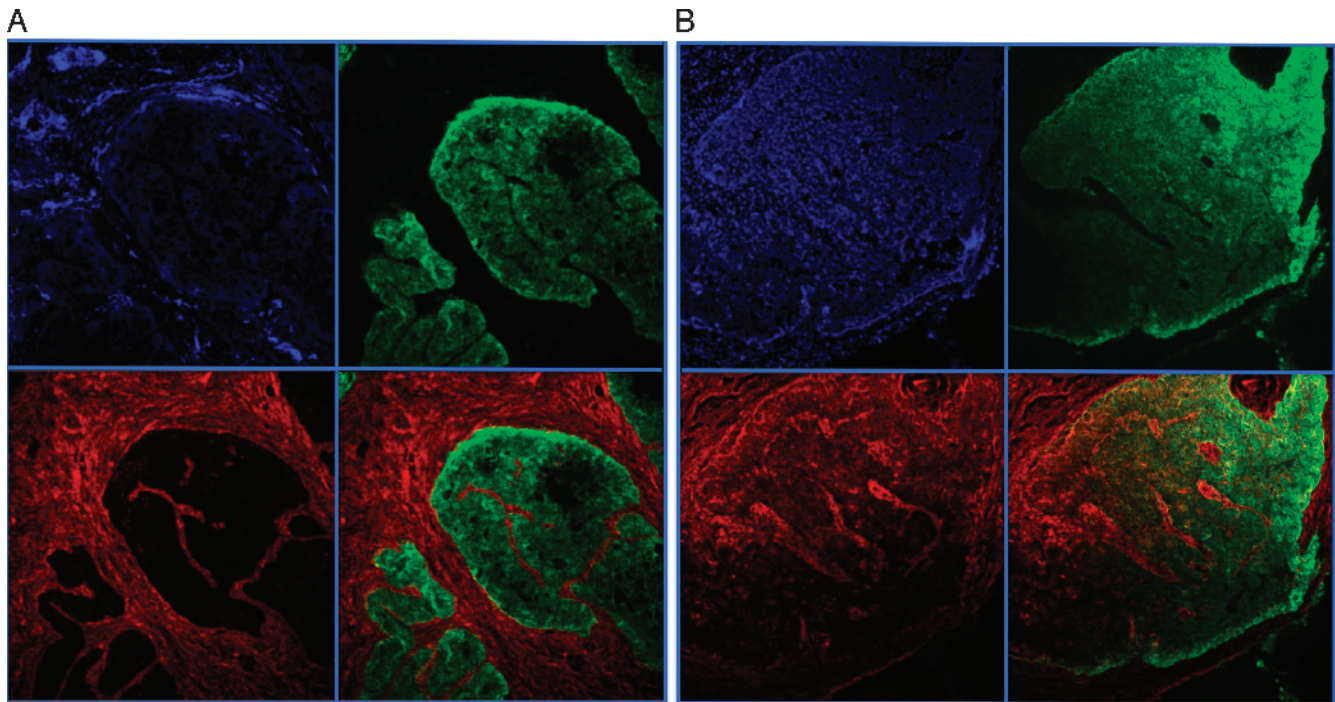


Figure 4. (A) Colorectal tumors 30449 (node-negative) and (B) 29203 (node-positive). Nuclei are shown in blue, epithelial cells are shown in green, and fibronectin is shown in red. The lower right quadrant is an overlay of Fibronectin and BerEP4 staining.

The mechanism by which *FNI* is increased during colorectal cancer progression may involve stepwise broadening of WNT signaling. The WNT pathway is activated by mutations in *adenomatous polyposis coli* or β -catenin and is an initiating event in colorectal tumor formation leading to enhanced β -catenin/*transcription factor 4* transcription [26]. However, these events alone are not sufficient to activate epithelial *FNI* expression. Rather, *FNI* expression develops later in a subset of intestinal tumors, which suggests that secondary genetic events are necessary to cause an epithelial-to-mesenchymal transition [27]. WNT signaling is able to induce *FNI* expression in fibroblasts through the action of β -catenin/lymphoid enhancer-binding factor 1 (*LEF1*) complexes. Normal epithelial cells and early colorectal tumors lack *LEF1* and, therefore, do not express *FNI* [28]. SW480 colon cancer cells contain activating mutations in β -catenin, express *transcription factor 4*, and, therefore, show high levels of activity of reporter constructs containing TCF binding sites [29]. SW480 cells are unable to transactivate *FNI* unless exogenous *LEF1* is added [28]. Interestingly, the *LEF1* gene is itself transactivated by β -catenin/*LEF1*/*PITX2* complexes [30,31]. We found *PITX2* to be increased in expression only in node-positive colorectal tumors, which is consistent with the idea that *FNI* and other *LEF1*-dependent WNT targets could be increased during disease progression by elevated *PITX2*.

Gene expression profiling has resulted in the discovery of markers of early and late colorectal cancer progression [32–35] as well as good and poor clinical outcome [36]. Genes that contribute to the underlying mechanism represent attractive targets for diagnostic and therapeutic purposes. We believe our results indicate that *FNI* up-regulation is an important step in the transition from lymph node-negative to lymph node-positive colorectal cancer. Tumor epithelial cells that have acquired elevated levels of *FNI* migrate more efficiently and may escape anoikis by propagating survival signals through integrin receptors [37]. This is but one possible mechanism

by which tumor cells metastasize to distant organs. Other possible mechanisms, such as inactivating mutations in anoikis pathway genes, may explain lymph node metastases in tumors that do not up-regulate *FNI* [38]. Because node-negative colon cancers that display

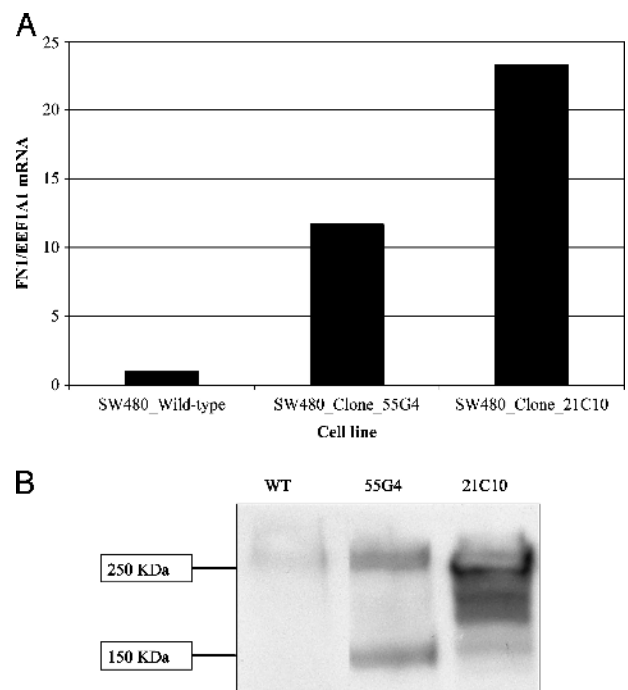


Figure 5. *FN1* mRNA (A) and protein (B) expressions in SW480 colorectal cancer cells transfected with pRES-Neo3-*FN1* or an empty vector control.

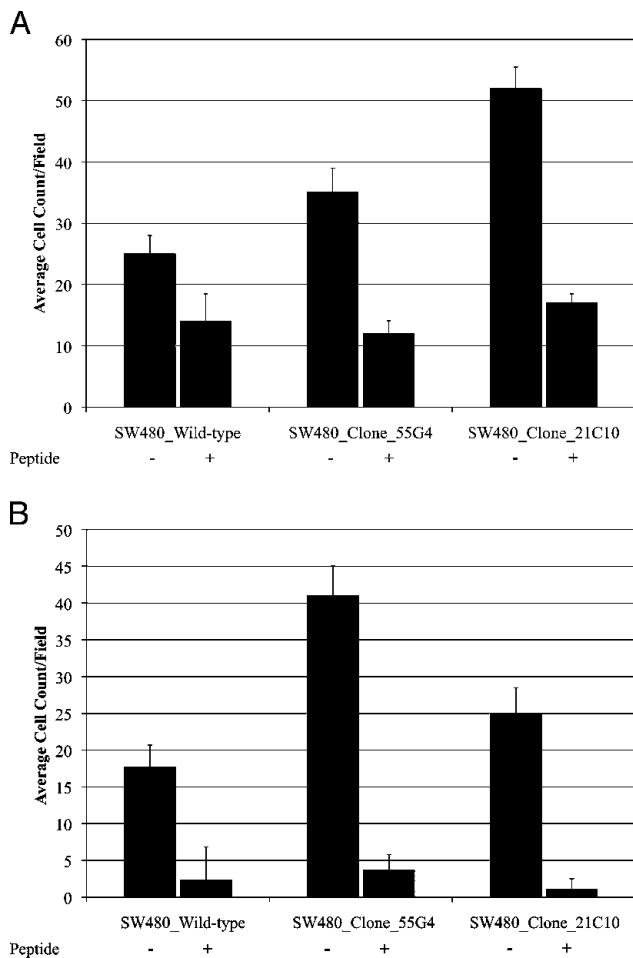


Figure 6. Migration (A) and invasion (B) of wild-type and fibronectin-expressing clones of SW480 colorectal cancer cells in the absence (–) and presence (+) of inhibitory peptide RGDfV.

elevated *FNI* and *PITX2* may be at high risk for recurrence, future studies must focus on determining the rates of relapse among large numbers of these types of patients. Finally, these results suggest that antagonists of fibronectin-integrin interaction, particularly those that do not activate downstream survival signals, may be effective agents for the treatment of colorectal cancers with increased expression of fibronectin. Cyclic RGD peptides that target fibronectin-integrin interaction have been tested in preclinical models of nonmetastatic, invasive colon cancer and have shown some positive effects [39]. It is possible that preselecting colon cancer patients for elevated *FNI* expression will result in significant performance gains for cyclic RGD peptides and related compounds.

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Table W1. Colorectal Tumors Used.

Sample No.	Sex	Race	Age at Diagnosis (years)	Nodes Examined	Nodes Positive	T	N	M	Sample Type
18964	Male	AA	83	16	0	3	0	0	SAGE
11330	Female	AA	72	10	0	2	0	0	Validation
15095	Male	UNK	67	17	0	3	0	0	Validation
16377	Male	CA	69	37	0	3	0	0	Validation
18091	Male	CA	83	28	0	3	0	0	Validation
23662	Male	CA	63	5	0	2	0	0	Validation
29112	Female	CA	84	15	0	3	0	0	Validation
30232	Male	CA	74	26	0	2	0	0	Validation
30449	Male	CA	68	15	0	3	0	0	Validation
40102	Female	CA	81	15	0	3	0	0	Validation
40415	Male	CA	19	17	0	2	0	0	Validation
29271	Female	CA	56	26	2	3	1	1	SAGE
10028	Male	CA	53	29	7	3	2	1	Validation
10863	Female	AA	55	11	5	3	2	0	Validation
11945	Female	CA	51	14	9	X	2	X	Validation
12188	Female	AA	46	20	4	3	2	0	Validation
14276	Male	AA	55	37	2	3	1	0	Validation
30936	Female	CA	77	30	1	2	1	0	Validation
29137	Female	CA	72	2	1	4	2	1	Validation
29152	Male	CA	56	29	12	3	2	0	Validation
29203	Female	AA	65	58	3	3	1	0	Validation
29259	Female	CA	67	32	3	2	1	0	Validation
29292	Male	CA	54	15	1	3	1	0	Validation
3357	Male	CA	57	13	8	3	2	1	Validation
40131	Female	CA	63	20	1	3	1	0	Validation

Tumor nos. 18964 and 29271 were used for SAGE; the remaining tumors were used for validation studies.
AA indicates African American; *CA*, Caucasian American; *UNK*, unknown.

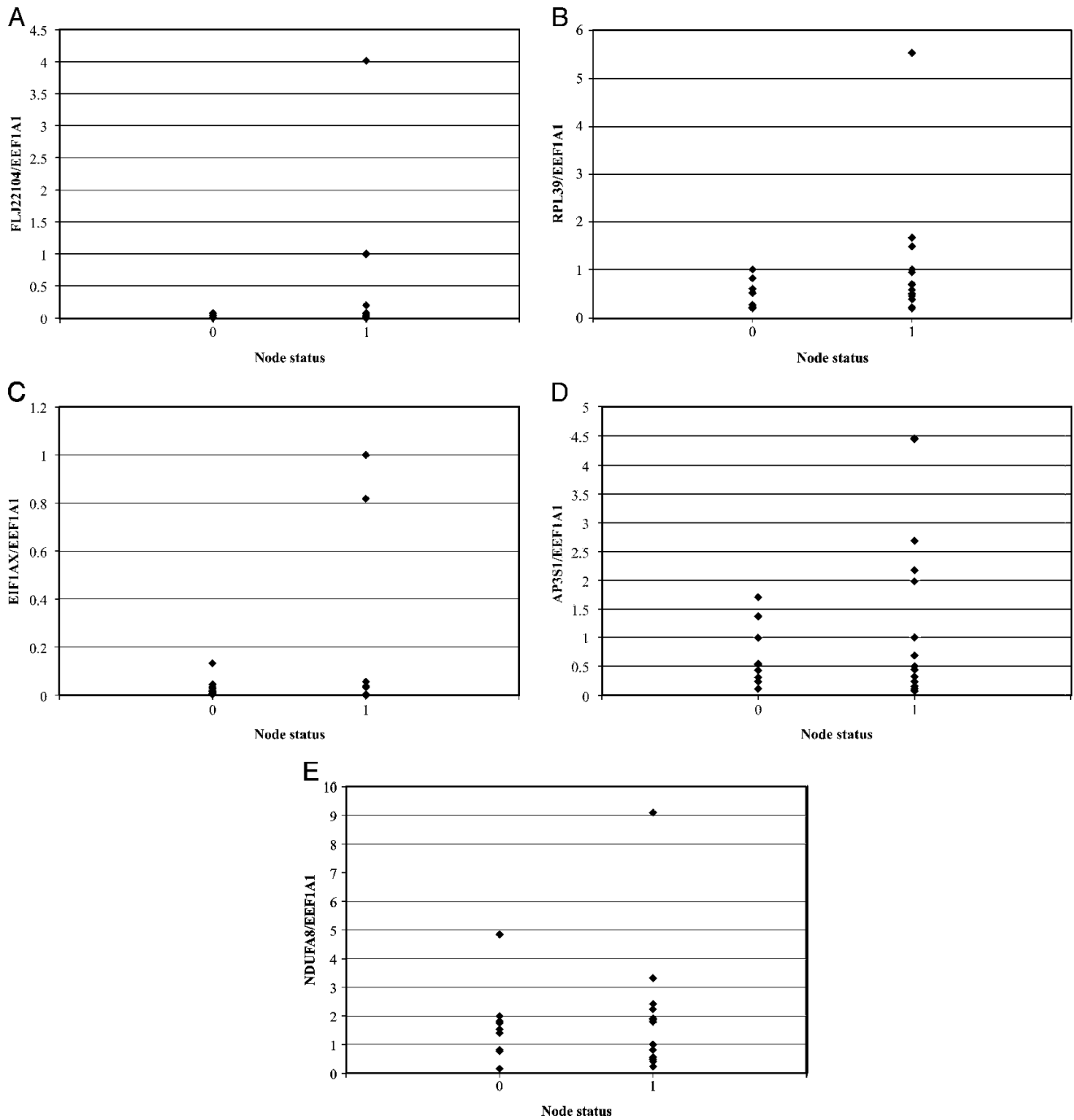


Figure W1. *FLJ22104* (A), *RPL39* (B), *EIF1AX* (C), *AP3S1* (D), and *NDUFA8* (E) mRNA expressions in the epithelial cells of 23 colon cancer specimens.