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## **CD40 and Autoimmunity: The Dark Side of a Great Activator**

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### **Abstract**

CD40 is a Tumor Necrosis Factor Receptor superfamily member expressed by immune and nonimmune cells. CD40:CD154 interactions mediate T-dependent B cell responses and efficient T cell priming. Thus, CD40 is a likely candidate to play roles in autoimmune diseases in which activated T and B cells cause pathology. Diseases in which CD40 plays a pathogenic role include autoimmune thyroiditis, type 1 diabetes, inflammatory bowel disease, psoriasis, multiple sclerosis, rheumatoid arthritis, and systemic lupus erythematosus. This review discusses the role of CD40:CD154 interaction in human and mouse autoimmunity, human polymorphisms associated with disease incidence, and disrupting CD40:CD154 interactions as an autoimmune therapy.

### **Keywords**

CD40; CD154; autoimmune disease; polymorphism; T lymphocyte; B lymphocyte

### **CD40:CD154 expression and function**

CD40 is a member of the Tumor Necrosis Factor Receptor (TNFR) superfamily which is constitutively or inducibly expressed on the surface of a variety of immune and non-immune cell types including B cells, macrophages, dendritic cells (DC), microglia, endothelial cells, epithelial cells, and keratinocytes[1,2]. Its ligand, CD154, is transiently expressed on the surface of activated  $CD4+T$  cells, but can also be upregulated on other cell types in the context of autoimmune disease[3,4]. CD40 has a short cytoplasmic tail of only 64 amino acids, with no intrinsic enzymatic activity[1]. The CD40-CD154 interaction results in movement of CD40 into cholesterol-rich membrane microdomains and the binding of TNFR Associated Factors (TRAFs) to its cytoplasmic tail [1,5]. CD40 directly binds TRAF2, TRAF3, TRAF5, and TRAF6, and indirectly associates with TRAF1 [5]. These interactions result in activation of mitogen and stress-activated protein kinase (MAPK/SAPK) cascades, transcription factor activation, cytokine secretion, proliferation, differentiation of B cells into Ig-secreting plasma cells, and the formation of humoral memory. Specific TRAF molecules are associated with

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overlapping and distinct CD40-mediated functions [5]. For example, in B cells TRAF6 is required for CD40-mediated JNK activation and IL-6 production, while TRAF2 is required for activation of NF-kB, and TRAF3 serves as a negative regulator of CD40 signaling [1].

Because of the centrality of CD40 in generating effective immune responses, it also plays an important role in the pathogenesis of autoimmune disease. CD40 potentially contributes to Tcell dependent autoimmune diseases in several ways (Figure 1). First, CD40 signaling could function at the level of T cell selection in the thymus. Medullary thymic epithelial cells (mTECs) mediate negative selection of potentially autoreactive T cells by expressing peripheral tissue-restricted antigens. While the TNFR family member RANK is critically important in embryonic mTEC development, CD40 cooperates with RANK in promoting mTEC development after birth and thus self-tolerance[6]. Disruption of CD40-CD154 interactions in mTECs could potentially contribute to failure of central tolerance. Secondly, CD40 signaling results in the production of pro-inflammatory cytokines, such as IL-6, which can influence T cell differentiation to Th17 cells [7]. CD40 is also upregulated upon antigen presenting cell (APC) activation. Increased levels of CD40, either constitutive or induced, could contribute to increased strength of CD40-CD154 interactions [7]. Another mechanism could be aberrant expression of CD40 in tissues where it is normally undetectable. It has been hypothesized that aberrant expression of MHC class II molecules on endocrine tissues could contribute to the initiation of autoimmune disease [8]. Aberrant CD40 expression on such tissues has also been proposed as a contributing factor to the initiation of autoimmunity in Grave's disease [9], and in the production of inflammatory cytokines contributing to the failure of pancreatic islet cell transplants [10]. Finally, CD40 bearing CD4+ T cells play a role in type 1 diabetes in humans and mice [11,12]. CD40+ T cells are reviewed in a separate chapter in this volume.

Consequently, CD40 is an attractive candidate receptor for contributing to a variety of autoimmune processes in which B and T cell activation play a role in pathogenesis. This review will discuss the role of CD40 in the pathogenesis of a variety of human autoimmune diseases and their respective mouse models, human polymorphisms in CD40 associated with autoimmune diseases, and the validity of CD40:CD154 antagonists as therapeutic targets for autoimmune disease.

### **Autoimmune Thyroiditis**

Autoimmune thyroid disease is common, affecting up to 5% of humans [13]. Grave's disease (GD) is characterized by thyrotoxicosis, lymphocytic infiltration of the thyroid gland, goiter, and presence of stimulatory autoantibodies against the thyroid stimulating hormone receptor. Hashimoto's thyroiditis (HT) is characterized by more severe lymphocytic infiltration of the thyroid gland, goiter, and loss of thyroid function. HT is often associated with autoantibodies to thyroglobulin and thyroid peroxidase. Both HT and GD have a strong genetic component [13].

CD40, CD80 and MHC class II molecules are aberrantly expressed on thyroid epithelial cells in GD [14] and other thyroid diseases [15], possibly contributing to the presentation of thyroid auto-antigens to T cells, as well as providing co-stimulatory signals.

The C allele of a C/T single nucleotide polymorphism in the Kozak sequence of the CD40 gene has been shown to be associated with increased risk for Grave's disease [13]. Healthy people with the CC version of the CD40 Kozak sequence have elevated resting B cell CD40 expression compared to CT or TT sequences. This increase in CD40 protein levels reflects enhanced translation [16]. Interestingly, the CC allele is not associated with myasthenia gravis, another autoimmune disease characterized by pathogenic autoantibodies [9]. It is postulated that the

CC genotype in GD could contribute to increased CD40 expression on B cells and thyrocytes in the context of inflammation, and could play a role in the development of GD.

In contrast to GD, no CD40 gene polymorphisms have been associated with HT. Blocking anti-CD154 Abs prevent experimental thyroiditis induced by injection with thyroglobulin (experimental autoimmune thyroiditis, or EAT), implicating CD40-CD154 interactions in HT [17]. Further, both thyroglobulin-specific B cells and T cells primed in a mouse with intact CD40 and CD154 are required for the induction of EAT [18].

### **Type I Diabetes (T1D)**

Insulin-dependent diabetes, or T1D, results from the destruction of the beta cells in the islets of Langerhans in the pancreas [19].  $CD4^+$  and  $CD8^+$  T cells specific for beta cell antigens are essential for beta cell destruction.

One of the most studied models of autoimmune diabetes is the NOD mouse, which spontaneously develops diabetes secondary to insulitis and islet infiltration and destruction. Disrupting CD40-CD154 interactions using antagonistic anti-CD154 Abs delays diabetes in NOD mice, consistent with a role for CD40-CD154 interactions in disease development [20]. This treatment is even more effective if combined with anti-ICOS Abs [21].

B cells play an important role in NOD disease pathogenesis, as B cell-deficient NOD mice do not develop diabetes [22]. When B cell development is blocked, NOD mice do not develop diabetes [23]. Accumulation of activated B cells expressing high levels of MHC class II in the draining lymph nodes of NOD mice occurs prior to beta cell destruction [24]. These B cells may have a role as APC in promoting diabetogenic T cell proliferation in NOD mice [19], and CD40-CD154 interactions may be important at this stage of the diabetes development.

Autoantibodies directed at beta cell antigens, including insulin and glutamic acid decarboxylase (GAD) are found in the NOD mouse and human diabetes patients[19,25], but their role in pathogenesis is unclear. Because B cell CD40 plays an essential role in antibody class switching and affinity maturation, CD40-CD154 blockade could affect the production of pathogenic autoantibodies.

Blockade of the CD40-CD154 pathway in Bio-Breeding (BB) diabetes-prone rats delays diabetes onset, and CD28 costimulation also appears to be involved [26]. BB diabetes-resistant rats develop diabetes after treatment with poly I:C coupled with regulatory T cell depletion. In this model, treatment with anti-CD154 blocking mAb also delays diabetes onset, but there is no apparent contribution by other costimulatory pathways [26]. However, CD134-CD134L blockade prevents autoimmune destruction of islet cell transplants in BB diabetes resistant rats [26].

Human pancreatic beta cells express CD40 after isolation, and CD40 is constitutively expressed in mouse islets. Inflammatory cytokines (IL1β, IFN-γ and TNFα) can upregulate CD40 on human beta cells [27], and signaling through CD40 expressed on human or non-human primate islet cells can lead to the production of inflammatory cytokines [10].

The role of CD40+ T cells in diabetes has been investigated recently. Stimulation of NOD T cells through the T cell receptor and CD40 results in upregulation of T cell CD40 and prevents CTLA4 upregulation [28]. Pretreatment of diabetogenic T cell clones with anti-CD40, but not with anti-CD28 blocking Abs, abrogates the ability to transfer diabetes to NOD:SCID recipients [28]. CD40+ T cells are present at increased frequency in the peripheral blood of people with T1D, relative to  $CD40<sup>+</sup>$  T cells in controls, or people with type 2 diabetes [12]. CD40+ T cells are discussed in further detail in another chapter in this volume.

### **CD40 and Neuroinflammatory Diseases**

Multiple sclerosis (MS) is an inflammatory demyelinating disease of the brain and spinal cord in which  $CD4^+$  and  $CD8^+$  T cells, B cells, macrophages, and activated microglia infiltrate the CNS and destroy myelin. This results in motor and sensory dysfunction [29]. Much of what is known regarding MS pathogenesis has been learned from either examining human postmortem brain lesions or through studies of a mouse model, experimental autoimmune encephalomyelitis (EAE), in which immune cells infiltrate the CNS following immunization of mice with myelin components[29].

Most CD154<sup>+</sup> cells found in the brains of MS patients are CD4<sup>+</sup> T cells, and most of the  $CD40<sup>+</sup>$  cells are either CD11b<sup>+</sup> macrophages/microglia or B cells [30]. T cells from the peripheral blood of MS patients induce more IL-12 secretion from either normal or MS-derived APCs in a CD40-dependent manner[31]. MS patients have a higher frequency of CD154+ T cells than healthy controls, which decrease following treatment with interferon-β [32].

In EAE, CD40 is expressed in the spinal cord during acute disease and relapse, while CD154 is expressed highly only during relapse. Expression of these molecules correlates with Th1 cytokine production within the CNS [33]. CD154<sup> $-/-$ </sup> mice do not develop EAE due to a defect in APC activation [34]. Administration of an antagonistic anti-CD154 mAb at the time of EAE induction prevents disease[30], perhaps by skewing immune responses toward non-pathogenic Th2 responses [35] or preventing the retention or expansion of Th1 cells within the CNS [36–38]. If treatment is given at the peak of acute disease, mice have fewer relapses of shorter duration, correlating with decreased Th1 cell differentiation and fewer inflammatory cells within the CNS [37,39]. In other studies, however, anti-CD154 treatment is ineffective at alleviating EAE if given >7 days post-immunization [40]. Thus, CD40 signals appear to be more critical during the priming stages of EAE than during established disease. Microglia express CD40, and upregulate CD40 further following IFN-γ treatment [41]. CD40 signaling in microglia results in the production of TNF and IL-12, microglial activation, and neuronal cell death[41–43]. Indeed, CD40 expression on microglia is critical for EAE progression, as illustrated by experiments using bone-marrow chimeras [43,44]. Mice lacking expression of CD40 only in the radioresistant CNS compartment have less severe EAE disease, characterized by fewer CNS-infiltrating encephalitogenic T cells and less demyelination [43,44]. Therefore, CD40 signals are required not only for initial priming of T cell responses in the periphery, but also for optimal T cell expansion and/or retention in the target tissue.

Although MS has a strong genetic component, the *CD40* gene does not lie within any of the identified genomic risk regions [29,45]. A small case-control study in a heterogeneous population of MS vs. Huntington's disease patients found no association of the Kozak sequence SNP ( $CT_{-1}$ ) with MS susceptibility or disease course [46], although this SNP has been associated with Graves' disease (see above) [47,48].

### **CD40 and Psoriasis**

Psoriasis is an autoinflammatory skin disease affecting 2–3% of Caucasians [49]. Thick, scaly plaques affect extensor surfaces of the skin, containing hyperproliferative keratinocytes and a complex immune infiltrate of T cells, macrophages, neutrophils and DC [50]. Arthritis and arthralgias are also present in some patients, a condition called psoriatic arthritis [49,50].

Activated T cells and TNF- and iNOS producing DC (Tip-DC)[51] are essential for plaque formation, although the antigen driving T cell activation is unknown[49]. Indeed, Tip-DC found in human psoriatic skin biopsies express CD40 and as the near-exclusive producers of iNOS and TNF within the psoriatic plaque, play an important role in plaque maintenance [51]. Human keratinocytes and endothelial cells express CD40 within psoriatic plaques,

adjacent to T cells and ICAM-1-expressing cells [52,53], with more pronounced staining in early vs. chronic lesions. CD40 is further upregulated by IFN-γ [52]. Keratinocytes treated with soluble  $CD154 + IFN-\gamma$  upregulate ICAM-1, the anti-apoptotic protein Bcl-x, and chemokines IL-8 [52], CCL20 [54], RANTES, and MCP-1 [55], which recruit T cells and monocytes into the inflammatory lesion.

CD154 is overexpressed on peripheral blood T cells isolated from patients with psoriatic arthritis [56]. The importance of T cell CD80/86 costimulation in psoriasis pathogenesis is further illustrated by the ability of CTLA-4-Ig treatment to decrease lesion activity and the expression of CD40 and ICAM-1 within psoriatic plaques [57]. These data suggest that CD40 signaling recruits and activates T cells, monocytes, and DC which contribute to ongoing inflammation and keratinocyte activation. No data exist on the role of CD40 in mouse models of psoriasis, in part because no small animal model fully recapitulates the human disease [58]. However, mice expressing a CD154 transgene in basal keratinocytes develop skin lesions containing  $CD4^+$  and  $CD8^+$  T cells and macrophages within 6 weeks of age, indicating that aberrant CD40 signaling within the skin is sufficient to initiate autoimmunity [59].

Although polymorphisms in a growing number of human genes are associated with psoriasis and psoriatic arthritis, the *CD40* gene does not lie within any identified susceptibility regions [49]. This suggests that differences in CD40 expression or function do not play a role in initiating psoriasis. However, CD40 signaling may contribute to psoriasis severity once the autoimmune response is initiated. The success of TNF antagonists in psoriasis treatment suggests that inflammatory cytokines downstream of CD40 signaling are required for plaque maintenance[50].

### **CD40 and Inflammatory Bowel Disease (IBD)**

Crohn's Disease (CD) and ulcerative colitis are the two main subtypes of IBD, characterized by relapsing inflammation of the small and large intestine [60]. T cells, B cells, and macrophages infiltrate the intestinal epithelium and disrupt barrier function, resulting in diarrhea, abdominal pain, rectal bleeding, and malnutrition [60].

The first clues that CD40 may play a role in IBD came from studies in mice. IBD can be modeled in mice by administration of the hapten 2,4,6,-trinitrobenzene sulfonic acid (TNBS) or by adoptive transfer of activated CD45RBhighCD4<sup>+</sup> T cells into Rag<sup>-/−</sup> recipients. In both models, administration of an antagonistic anti-CD154 mAb at the time of colitis induction prevents disease and lymphocytic infiltration and decreases IFN-γ production by gutinfiltrating T cells, effects which can be reversed by co-administration of IL-12 [61,62]. Blocking CD154 four weeks after T cell transfer ameliorates established disease, but has no effect in the TNBS-induced colitis model. These studies suggest that CD40-CD154 interactions are crucial for IBD initiation but not required for ongoing inflammatory responses [61].

Transgenic mice expressing CD154 driven by the Lck promoter develop lymphocytic infiltrates in multiple organs and lethal IBD by 3–6 weeks of age, illustrating the importance of tightly controlled CD154 expression for preventing autoimmunity [63]. CD40 is expressed by normal human colonic fibroblasts and can be further upregulated by IFN-γ. CD40 signaling in these cells results in the activation of NF-κB and secretion of IL-6, MCP-1 and IL-8 [64]. CD40 is also overexpressed on microvascular endothelial cells in the inflamed mucosa of CD patients [65]. An increased percentage of  $CD40<sup>+</sup> DCs$  are found within the intestinal mucosa of CD patients[66], although most of the  $CD40<sup>+</sup>$  cells are B cells or macrophages [67,68]. CD154 is overexpressed on peripheral blood [65] and lamina propria CD4+ T cells[67,68] and can be reversed by treatment with TNF antagonists [65].

Similar to MS and psoriasis, multiple genetic associations and disease-causing alleles have been identified for IBD [45,60]. Although none of the genomic loci associated with IBD incidence contain the *CD40* gene [45], polymorphisms in genes related to the Th17 pathway including *IL12B, STAT3*, and *IL23R* confer increased risk of developing the disease [45,60]. CD40 signaling in multiple cell types leads to the production of IL-6, IL-12, and IL-23 and may therefore contribute to disease initiation and/or progression in susceptible individuals[7]. Indeed, a small study treating CD patients with a chimeric antagonistic anti-CD40 mAb showed some benefit, with 77% of patients responding to the study drug and 22% entering remission [69] (see further discussion of CD40-directed therapeutics below).

### **CD40 and Rheumatoid Arthritis (RA)**

RA is a chronic inflammatory arthritis affecting  $\sim$ 1% of the world's population and leading to joint destruction if untreated [70]. Cells of the innate and adaptive immune system infiltrate the joint space, driving local production of pro-inflammatory Th1 and Th17-type cytokines, chemokines, and matrix metalloproteinases by infiltrating monocytes and synovial cells [71]. Synovial cells also proliferate, leading to thickening of the synovium and degradation of the underlying cartilage and bone [70].

CD40 is functionally expressed on smooth muscle fibroblasts from normal and RA patients [72] and RA synovial cells [73], and can be upregulated by pro-inflammatory cytokines including IFN-γ and TNFα. CD40 signals in these cell types results in fibroblast proliferation, adhesion molecule upregulation [72], and secretion of pro-inflammatory cytokines and chemokines. These include IL-6, GM-CSF, and MIP-1α [72,73]. When cultured with activated T cells from RA patients, fibroblast-like synovial cells secrete increased amounts of IL-15, TNF, and IL-17, as well as IL-8 and MCP-1 [74] in a CD40-dependent manner [74,75]. Similar results are observed when CD40-activated monocytes are cultured with fibroblast-like synovial cells [76]. These data suggest that CD40 signaling on either monocytes or synovial fibroblasts sets up a complex network of pro-inflammatory cytokine and chemokine secretion which contributes to joint destruction [70,71]. In support of this scenario, CD40 signaling in fibroblast-like synovial cells induces expression of RANKL, which stimulates osteoclastmediated bone resorption [77]. A nurse-cell like population in the bone marrow and synovium of RA patients which is thought to support B cell survival upregulates CD40 in response to IFN-γ treatment; however, the functional consequences of CD40 signaling in these cells is unknown [78]. The adherent fraction of synovial tissue cells, which likely contains macrophages and DC, secretes TNF in response to CD40 ligation [79,80]. DC-derived TNF contributes directly to collagen destruction in *ex vivo* cultures [81]. A subset of RA patients most prone to severe disease have detectable antibodies against citrulline-containing peptides (anti-CCP Abs)[82]. CD40 signals are required to induce IgM anti-CCP Ab secretion by B cells from either healthy controls or RA patients, but only B cells from anti-CCP seropositive patients secrete anti-CCP Abs spontaneously *ex vivo*, suggesting that these cells already received CD40 signals within the synovial compartment[82].

CD154 is upregulated faster and to a higher degree on peripheral blood and synovial T cells from RA patients compared to healthy controls, induces more Ig production by B cells, and is required for IL-12 production by synovial DC and macrophages [79,80,83,84]. Overexpression of CD154 on T cells correlates with higher disease activity and fewer remissions [85].

Treating mice with an antagonistic anti-CD154 mAb prior to induction of collagen-induced arthritis (CIA, a mouse RA model), or at the time pathogenic autoantibodies are transferred in the K/B×N mouse model of inflammatory arthritis prevents [86] or ameliorates [87] disease. However, treatment of mice in either model with anti-CD154 mAb after arthritis has developed does not reverse or stabilize established disease [86,87]. Furthermore, transferring serum from

arthritic K/BxN mice into CD154−/− mice still results in severe arthritis [87]. Administering agonistic anti-CD40 Abs to mice at the time of CIA induction exacerbates disease, correlating with increased IFN-γ production by collagen-specific T cells [88]. These data suggest that CD40 signals are important at the initial stages of arthritis induction, but are not required once disease is established and pathogenic antibodies are already present.

Multiple genome association studies have been performed in large cohorts of RA patients, identifying multiple genomic loci associated with RA incidence [45]. The *CD40* locus is associated with juvenile RA by genome linkage analysis[89]. A SNP within the *CD40* locus has recently been associated with disease incidence in RA patients of European [90], but not Korean ancestry [91]. This study also identified several other SNPs in components of the CD40 signaling pathway, including *TNFAIP3* (also known as *A20*, an E3-ubiquitin ligase) and *TRAF1-C5*. TRAF1 is an adaptor protein that cooperates with TRAF2 to enhance CD40 signals [1]. Whether these SNPs result in changes in expression levels of CD40, A20, or TRAF1 or in altered protein functions is an exciting and important area for future study. A SNP in the 3′ untranslated region of CD154 (*24CAs*) is underrepresented in female RA patients from Spain compared to healthy controls; this association was not found in male RA patients [92]. The *24CAs* allele has a longer mRNA half-life, but a lower percentage of CD4+ T cells bearing the *24CAs* allele express CD154 following stimulation than non-*24CAs* individuals[92]. How this particular allele protects against RA development or progression remains to be elucidated [92].

### **CD40 and Systemic Lupus Erythematosus (SLE)**

SLE is a systemic autoimmune disease in which autoantibodies to dsDNA and other nuclear components form immune complex deposits in small blood vessels throughout the body, affecting the skin, joints, lungs, heart, brain, and kidneys[93]. The disease has multiple manifestations, and a patient need manifest only 4/11 criteria to meet the diagnosis of SLE [93].

CD154 is overexpressed on  $CD4^+$  and  $CD8^+$  T cells from SLE patients with active disease, and is ectopically expressed on monocytes and B cells[3,4,94,95]. B cell CD154 is functional, because CD154+ B cells from SLE patients spontaneously produce antibodies *in vitro* in a CD154-dependent manner [3]. Transgenic mice expressing B cell CD154 develop a lupus-like disease with age, suggesting that ectopic expression of CD154 on B cells may be sufficient to cause autoimmunity[96]. Treatment with Rituximab, an anti-CD20 mAb which depletes B cells, decreases the frequency of remaining B cells expressing CD40 and T cells expressing CD154, suggesting that some of the benefit of this drug in treating lupus patients may be secondary to decreased activation of the CD40 signaling pathway[97,98]. SLE patients also have elevated levels of sCD154 in serum which correlates with disease activity[99]. Human kidney mesangial cells express CD40 and upregulate this receptor in response to IFN-γ treatment or activated CD154+ platelets from SLE patients[100]. CD40 signaling in mesangial cells results in proliferation and production of the pro-fibrotic cytokine TGF-β[100], which may contribute to kidney disease. SLE patients have a decreased frequency of CD34<sup>+</sup> hematopoietic progenitor cells in the bone marrow, and an increased degree of apoptosis in these cells compared to controls. CD40 signaling in CD34<sup>+</sup> cells leads to the upregulation of Fas and Fas-induced apoptosis which may contribute to the pan-cytopenias often found in lupus patients[101].

The (NZB  $\times$  NZW)F<sub>1</sub> and (SWR  $\times$  NZB)F<sub>1</sub> mouse strains develop SLE-like symptoms spontaneously. Treatment of either strain with anti-CD154 Abs prior to onset of symptoms delays or prevents proteinuria, prolongs survival, ameliorates or prevents kidney disease and decreases anti-DNA Ab titers which usually rebound once therapy is stopped [102–104].

Treatment after moderate to severe proteinuria manifests prolongs survival and ameliorates kidney disease and immune complex deposition [104–107]. Even a short course of anti-CD154 mAb treatment can have long-lasting beneficial effects on survival, anti-dsDNA Ab production, and kidney disease, especially when combined with anti-CTLA4Ig [108]. However, trials of anti-CD154 mAb treatment for SLE patients have had mixed results (see below).

Multiple genome linkage analyses have identified regions in humans and in mice which are associated with SLE [45,93]. The *CD40* gene lies on human chromosome 20q11.2-13.1, a region identified as suggestive for linkage to SLE incidence [93,109]. A particular missense SNP, rs11086998 G, results in a proline-to-alanine substitution at amino acid 227 of the CD40 protein (CD40-P227A), within the cytoplasmic tail of CD40 and three residues upstream of the TRAF6 binding site [110]. Interestingly, rs11086998 G is overrepresented in individuals of Mexican and South American descent [110]. Although this allele is not associated with SLE incidence in Hispanic populations, patients of Hispanic ethnicity have a tendency toward increased severity of SLE symptoms, particularly lupus nephritis [111]. Interestingly, CD40- P227A exhibits gain-of-function signaling capacity when compared to CD40-Wt, namely, increased antibody and pro-inflammatory cytokine secretion due to hyperactivation of the JNK pathway[110]. Therefore, CD40-P227A may contribute to SLE severity or exacerbation in those who have already developed the disease. Whether CD40-P227A is associated with increased incidence or severity of the other autoimmune diseases discussed here remains to be tested.

### **CD40:CD154 Interactions as a Therapeutic Target**

The preceding sections establish that CD40 signals make important contributions to initiation and progression of many autoimmune diseases (Table 1). Strategies for alleviating these diseases by inhibiting CD40 signaling have been ongoing for over a decade, recently reviewed in [112]. Space does not permit discussion of all studies involved, but we will briefly discuss major approaches, outcomes and challenges.

The majority of approaches to intervening in CD40-CD154 interactions used CD154-specific blocking mAbs. This strategy is highly efficacious in mouse models as discussed above and in [112]. While overall benefit of blocking CD40-CD154 association is decreased when therapy is given after disease establishment, anti-CD154 therapy also prevents relapses of ongoing disease, and/or halts pathologic progression in models of RA, SLE, MS, IBD, diabetes and inflammatory heart disease (above and [112]. In a model of pemphigus vulgaris, anti-CD154 Ab pre-treatment effectively induces tolerance to the major autoantigen, desmogelin-3. However, the treatment is ineffective in established disease [113]. Similarly, anti-CD154 Ab treatment from birth of mice prone to develop a disorder similar to human systemic sclerosis prevents disease development [114].

Although the major mechanism of anti-CD154 Ab therapy is assumed as physical blocking of CD40-CD154 interaction and preventing T cell priming, additional activities have been implicated. In the MS model EAE, anti-CD154 prevents disease development, but also blocks relapse of established disease, possibly by inhibiting Th1 differentiation and/or effector T cell CNS migration and activity [37]. Similarly, delayed anti-CD154 treatment in mouse IBD shows significant clinical efficacy correlative with reduced cytokines, suggesting the effector phase of disease is affected [62,115]. Anti-CD154 treatment may affect a small subset of CD40+ T cells implicated in pathogenesis of autoimmunity (see M.E. Munroe, this volume). Such T cells could be depleted via complement fixation and binding to FcγR on effector cells.

Despite the considerable promise shown for CD154 blocking Abs in mouse models, clinical experience has been mixed. A particular challenge has been thromboembolism [112,116]. The

reasons for this complication in humans have not been defined, but one significant difference is the presence of the FcγRIIA on human, but not mouse platelets [117]. Thus, as CD154 is expressed on activated platelets [118], anti-CD154 mAb could engage FcγRIIA on the same or adjacent platelets, causing aggregation. CD154 may also stabilize arterial thrombi by binding platelet integrins [119].

The first anti-CD154 mAb used in clinical trials was humanized 5c8 Ab, ruplizumab. Ruplizumab treatment induced partial therapeutic responses in some SLE patients, but trials stopped early due to thromboses in some recipients [120,121]. Both ruplizumab and a second anti-CD154 mAb, toralizumab, induced partial responses in some patients with refractory ITP, and no thrombotic complications were seen, possibly because ITP patients have low platelet numbers[122]. However, no significant improvement was seen in a toralizumab trial of SLE patients [123], and Crohn's disease trials were halted after a thrombosis developed in a recipient [112]. A third anti-CD154 mAb, ABI793, binds to a different CD154 epitope, and showed promise in primates, but thromboembolism occurred during organ transplant trials [112]. Interestingly, this indicates that this complication occurs independently of CD154 epitope.

Given recurring concerns about thromboembolism with therapies using intact anti-CD154 mAbs, alternatives are needed to exploit the benefits of blocking CD40 signals to alleviate autoimmunity. One possibility is engineering Abs that won't bind FcRs or activate complement (C′). As this will not allow C′-mediated T cell elimination, such Abs may not prevent transplant rejection, but may still benefit autoimmune diseases. An aglycosyl ruplizumab with decreased FcR and C′ binding cannot prevent transplant rejection in monkeys, but prolongs survival and reduces autoantibody production in mouse SLE [124]. Aglycosyl mAbs remain effective in alleviating symptoms in mouse EAE [125]. Another approach used blocking peptide mimics that have the functional CD40 binding site but block association of CD154 [126,127]. Some of these peptides have efficacy in relieving symptoms of mouse EAE [126], but only at high concentrations [126,127], a challenge for practical applications.

A promising alternative uses mAbs against CD40, rather than CD154. While many anti-CD40 mAbs exist, and vary considerably in specific epitope recognition and binding affinities, no consistent correlations have emerged between affinity, ability to block CD154 binding, and agonistic activity (reviewed in [112]. However, several mAbs antagonistic to CD40 activation signals show promising initial results as autoimmune disease treatments. The human anti-CD40 mAb HCD122 blocks and competes with CD154 for binding to CD40, but does not itself induce CD40 signaling, and mediates antibody-dependent cellular cytotoxicity against  $CD40<sup>+</sup>$ myeloma cells [128]. However, it isn't clear if this mAb would be useful in autoimmune disease therapy. Another humanized anti-human CD40 antagonist mAb, ch5D12, showed promise in a phase I/IIa Crohn's disease study [69]. Thus, future clinical development of antagonistic anti-CD40 mAbs is desirable.

The preceding overview emphasizes the multiple ways in which CD40:CD154 interactions, critical for normal adaptive immunity, can also play important roles in the establishment and pathogenesis of a wide variety of autoimmune disease. New ways of intervention that spare normal immune function while blocking damaging effects of CD40 signaling are a key future goal.

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### **Figure 1. Potential mechanisms by which CD40:CD154 interactions contribute to autoimmune disease**

CD40 signaling may potentially contribute to autoimmune disease in several ways. 1) At the level of T cell selection in the thymus, potentially allowing autoreactive T cell clones to escape deletion. 2) In the secondary lymphoid organs, where T cells are primed by B cells or other APC. 3) Within the target tissue, where CD40 signaling leads to production of proinflammatory cytokines and chemokines which contribute to tissue destruction and inflammatory cell influx.

# **Table 1**<br>Disease in which CD40:CD154 interactions play a role in pathogenesis Disease in which CD40:CD154 interactions play a role in pathogenesis

