

Coadministration of the fungal immunomodulatory protein FIP-Fve and a tumour-associated antigen enhanced antitumour immunity

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Summary

Fve is a fungal protein isolated from the golden needle mushroom *Flammulina velutipes* and has previously been reported to trigger immunological responses in both mouse and human lymphocytes. In this study, we evaluated the potential application of Fve as an adjuvant for tumour immunotherapy and examined the underlying mechanism(s). When the human papillomavirus (HPV)-16 E7 oncoprotein was used as a model antigen, mice coimmunized with HPV-16 E7 and Fve showed enhanced production of HPV-16 E7-specific antibodies as well as expansion of HPV-16 E7-specific interferon (IFN)- γ -producing CD4⁺ and CD8⁺ T cells as compared with mice immunized with HPV-16 E7 alone. Tumour protection assays showed that 60% of mice coimmunized with HPV-16 E7 plus Fve, as compared with 20% of those immunized only with HPV-16 E7, remained tumour-free for up to 167 days after challenge with the tumour cells. Tumour therapeutic assays showed that HPV-16 E7 plus Fve treatment significantly prolonged the survival of tumour-bearing mice as compared with those treated only with HPV-16 E7. *In vivo* cell depletion and adoptive T-cell transfer assays showed that CD4⁺ and CD8⁺ T cells and IFN- γ played critical roles in conferring the antitumour effects. Interestingly, Fve could stimulate the maturation of splenic dendritic cells *in vivo* and induce antigen-specific CD8⁺ T-cell immune responses. In summary, Fve has potent adjuvant properties that enhance T helper type 1 antigen-specific humoral and cellular immune responses which confer strong antitumour effects. The use of Fve as an adjuvant could be an attractive alternative to the current vaccination strategy for cancer immunotherapy.

Keywords: adjuvant; dendritic cells; fungal immunomodulatory protein Fve; human papillomavirus-16 E7; immunotherapy

Introduction

Cancer immunotherapy is an attractive alternative for the treatment of patients with cancer. When immunotherapy is used in the absence of radiotherapy or chemotherapy, there are fewer side effects than found with classical anti-tumour chemotherapeutics. The identification and cloning of genes encoding tumour-associated antigens recognized by T cells have renewed hopes that it may be possible to develop cures for some cancers.¹ Although several treatments using tumour-associated antigens have been approved for some types of cancer, their efficacies have been variable and generally insufficient. One

important factor contributing to insufficient and variable responses to immunotherapy is the poor immunogenicity of most tumour antigens, which results in insufficient activation of tumour antigen-specific CD8⁺ T cells.

Cervical cancer is the second highest cause of cancer deaths in women and kills approximately 274 000 women worldwide each year.^{2,3} Epidemiological and laboratory studies strongly support a crucial role for persistent human papillomavirus (HPV) infection and transcription in cervical carcinogenesis.^{4,5} Among over 100 HPV genotypes, HPV type 16 is the most common and is responsible for more than 50% of all cervical cancers.⁶ HPV-16 E7, one of its oncoproteins, is essential for the induction and

maintenance of cellular transformation.⁵ Thus, HPV-16 E7 has been a major target of many prophylactic HPV vaccines for the prevention of HPV infections as well as many therapeutic HPV vaccines for the control of existing HPV infections and HPV-associated lesions, such as squamous intraepithelial lesions and cervical cancer. However, the antigen-specific immune responses and antitumour effects generated by HPV-16 E7 alone are weak and insufficient to control tumour growth. Several strategies have been developed to increase the potency of the HPV-16 E7 vaccine; for example, various immune modulators, such as cytokines,^{7,8} heat shock proteins,^{9,10} non-toxic bacterial toxins,¹¹ and CpG¹² have been incorporated as adjuvants to enhance HPV-16 E7-specific immunity. These have proved to be effective in animal models, but their potency in humans has yet to be assessed and active research to develop novel adjuvant molecules is still ongoing.

Fve, or fungal immunomodulatory protein (FIP)-fve, is a major fruiting body protein isolated from the edible golden needle mushroom, *Flammulina velutipes*.^{13–15} It belongs to an FIP family, sharing sequence similarity with LinZhi-8 from *Ganoderma lucidum*,¹⁶ Fip-gts from *Ganoderma tsugae*¹⁷ and Fip-vvo from *Volvariella volvacea*.¹⁸ The Fve protein is an acetylated protein consisting of 114 amino acid residues with an estimated molecular weight of 12.7 kDa.¹³ Fve has been shown to have the ability to trigger the proliferation of mouse splenocytes and human peripheral mononuclear cells and to enhance the production of interleukin (IL)-2, IFN- γ and tumour necrosis factor (TNF)- α .^{13,19} Moreover, it has been suggested that coadministration of Fve with antigen may drive strong T helper type 1 (Th1)-skewed immune responses.²⁰

In this study we explored the potential role of Fve as an adjuvant for cancer immunotherapy. Using HPV type 16 E7 as a model tumour antigen and the TC-1 cell-induced tumour model, we carried out a series of proof-of-concept studies to show the effectiveness of Fve as an adjuvant to enhance both humoral and cellular responses to antitumour therapy *in vivo*.

Materials and methods

Mice and the tumour cell line

Six- to eight-week-old female C57BL/6 mice were purchased from the Laboratory Animal Center (Sembawang, Singapore). Breeding pairs of OT-I and OT-II mice with transgenic V α 2V β 5 T-cell receptors (TCRs) specific for the ovalbumin (OVA)_{257–264} epitope in the context of H-2K^b and the OVA_{323–339} epitope in the context of I-A^b were originally acquired from The Jackson Laboratory (Bar Harbor, ME) and were maintained and bred in the Animal Holding Unit of the National University of Singapore. All animal procedures were performed according to approved protocols and in accordance with the

Institutional Animal Care and Use Committee of The National University of Singapore. The maintenance of TC-1 cells (kindly provided by Dr T. C. Wu, Johns Hopkins University, Baltimore, MD) has been described previously.²¹ On the day of tumour challenge, TC-1 cells were harvested by trypsinization and washed three times with phosphate-buffered saline (PBS), and the designated numbers of cells for tumour inoculation were resuspended in 200 μ l of PBS.

Reagents

All antibodies were purchased from BD PharMingen (San Diego, CA) unless otherwise stated. For the detection of antigen-specific immunoglobulin G1 (IgG1) in mouse sera, the rat anti-mouse Ig κ light chain (clone 187.1) and biotin-conjugated rat anti-mouse IgG1 monoclonal antibody (mAb) (clone LO-MG1-2; Serotec Ltd., Oxford, UK) were used. The purified mouse IgG1 (clone 107.3) was used as the standard. For the cytokine enzyme-linked immunosorbent assay (ELISA), mAbs of rat anti-mouse IFN- γ (clone R4-6A2), biotin-conjugated rat anti-mouse IFN- γ (clone XMG1.2), rat anti-mouse IL-2 (clone JES6-1A20), biotin-conjugated rat anti-mouse IL-2 (JES6-5H4), rat anti-mouse IL-4 (clone, BVD6-24G2) and biotin-conjugated rat anti-mouse IL-4 (clone BVD4-1D11) were used. Rat anti-mouse TNF- α (clone AF-410-NA) and biotinylated rat anti-mouse TNF- α (clone BAF410) were purchased from R&D Systems (Minneapolis, MN). Rat anti-mouse CD3 ϵ (clone 145-2C11) and CD28 (clone 37.51) mAbs and recombinant mouse IL-2 were used for stimulation of T cells *in vitro*. Fluorescein isothiocyanate (FITC)-conjugated rat anti-mouse CD8 β (clone 53-5.8), biotin-conjugated rat anti-mouse CD4 (clone GK1.5), streptavidin-PerCP and allophycocyanin (APC)-conjugated rat-anti-mouse IFN- γ (clone XMG-2.1) were used for intracellular cytokine staining. Rat anti-mouse CD16/CD32 (clone D34-485), biotin-conjugated rat anti-mouse CD8 α (clone 53-6.7), APC-conjugated rat anti-mouse CD4 (clone, RM4-5), PE-conjugated rat anti-mouse CD11c (clone HL3), FITC-conjugated anti-I-A^b (clone AF6-120.1), FITC-conjugated anti-CD86 (clone GL1) and streptavidin-conjugated PerCP were used for surface marker staining for splenic dendritic cells (DCs).

Monoclonal anti-CD4 (clone, GK1.5), anti-CD8 (clone, 2.43) and anti-IFN- γ (clone, R4-6A2) antibodies for the *in vivo* depletion experiment were purified from the supernatants of hybridoma cells (American Type Culture Collection, Bethesda, MD) by passage through protein G columns (Amersham Biosciences AB, Uppsala, Sweden).

Production of Fve and recombinant HPV-16 E7 proteins

The purification of Fve protein from crude extracts of *F. velutipes* (golden needle mushroom) has been described

previously.^{13,15} The purified Fve was treated with the polymyxin B agarose and the endotoxin level of the Fve protein was determined using the LAL assay kit according to the manufacturer's instructions (BioWhittaker, Walkersville, MD). There was no detectable level of endotoxin in the purified Fve protein.

The cDNA of HPV-16 E7 (a gift kindly provided by Dr S. W. Chan, Institute of Molecular and Cellular Biology, ASTAR, Singapore) was subcloned into the pGEX-4T1 expression vector (Amersham Biosciences AB). The open reading frame of HPV-16 E7 was amplified by polymerase chain reaction using a set of primers: E7-F 5'-TTGTTGGATCCCATGGAGATACACCTACATTG-3' and E7-R 5'-TACTGAATTCTTATGGTTTCTGAGAACAGATG-3'. The amplified DNA was digested with *Bam*HI and *Eco*RI, and the resulting fragment was then cloned into the *Bam*HI and *Eco*RI sites of the pGEX-4T1 vector. The pGEX-HPV-16 E7 recombinant plasmid was transformed into *Escherichia coli* TG-1 for protein expression. The HPV-16 E7 protein was purified from GST-HPV-16 E7 fusion proteins after thrombin treatment.

Preparation of DCs

Bone marrow-derived dendritic cells (BM-DCs) were generated with granulocyte-macrophage colony-stimulating factor (GM-CSF) according to a method previously described.²² In brief, bone marrow cells were harvested from femurs and tibias of normal C57BL/6 mice and washed with PBS. The cells (4×10^6 to 6×10^6) were resuspended in complete RPMI-1640 medium containing recombinant mouse GM-CSF (20 ng/ml; BD PharMingen) and cultured in 100-mm-diameter Petri dishes. On day 3 of culture, half of the medium was replaced with fresh medium supplemented with GM-CSF (10 ng/ml). On day 5 of culture, immature DCs were harvested for purification.

The splenic DCs were purified as previously described²³ with some modifications. Spleens (from eight mice) were minced with scissors and digested in 10 ml of Hanks' balanced salt solution (HBSS) with Ca^{2+} and Mg^{2+} (Sigma-Aldrich, St Louis, MO) containing collagenase D (400 U/ml; Roche Molecular Biochemicals, Mannheim, Germany) for 30 min at 37°. Next, 1 ml of 0.1 M ethylenediaminetetraacetic acid (EDTA) was added at room temperature for 5 min to disrupt cell adhesion. The digested tissue samples were filtered through a 40- μm nylon mesh to remove undigested fibrous material. All subsequent steps were performed at room temperature using HBSS without Ca^{2+} and Mg^{2+} (Sigma-Aldrich). Cells in the filtrates were recovered by centrifugation, resuspended in 1.068 g/cm³ OptiPrep[®] density gradient medium (Sigma-Aldrich) and centrifuged at 600 *g* for 15 min. The low-density fraction was collected (2–4% of the total) and resuspended in mag-

netic antibody cell sorting (MACS) running buffer [PBS with 0.5% bovine serum albumin (BSA) and 2 mM EDTA] for subsequent purification.

Purification of DCs and T cells

CD11c (N418), CD90.2 (Thy1.2), CD4 (L3T4) and CD8 (Ly-2) microbeads were used for the isolation of the splenic DCs, BM-DCs from cell cultures, Thy1.2⁺ T cells, and CD4⁺ and CD8⁺ T cells from spleens, respectively, according to the manufacturer's instructions (Miltenyi Biotec GmbH, Bergisch Gladbach, Germany). Briefly, cells were labelled with 10 μl of microbeads per 1×10^7 cells at 4° for 20 min and washed twice. The labelled cells were subsequently separated using an autoMACS[™] separator (Miltenyi Biotec GmbH). The purities of the various cell populations were determined by flow cytometry analysis. The purity of DCs and Thy1.2⁺ T cells was above 97% and 98%, respectively. The purity of OT-II CD4⁺ T cells and OT-I CD8⁺ T cells was above 95% and 85%, respectively (data not shown).

In vitro cell proliferation and cytokine production assays

Thy1.2⁺ T cells were purified from spleen cells of C57BL/6 mice by magnetic cell sorting. The purity of Thy1.2⁺ T cells was above 95%. The purified Thy1.2⁺ T cells were seeded in triplicate (1×10^5 per well) into a 96-well U-bottom plate in the presence or absence of 2×10^4 BM-DCs treated with mitomycin C (Roche Diagnostics GmbH, Mannheim, Germany). BM-DCs alone were used as a control. All cells were treated with or without Fve (20 $\mu\text{g}/\text{ml}$) and pulsed with [³H]-labelled thymidine for the last 18 hr of cultures. The cells were harvested and [³H]thymidine incorporation was measured by liquid scintillation counting at 72 hr. Supernatants were collected and cytokine production was measured by ELISA.

ELISA for anti-HPV-16 E7 antibodies

Mice were subcutaneously immunized with PBS, 20 μg of HPV-16 E7 or 20 μg of HPV-16 E7 plus 20 μg of Fve at days 0, 14 and 28. Sera were collected weekly for antibody analysis by ELISA. For IgG1 analysis, a 96-well plate was coated with HPV-16 E7 protein (5 μg per well) and incubated at 4° overnight. The wells were then blocked with blocking buffer (PBS containing 0.05% Tween-20 and 1% BSA). Diluted sera were added and incubated at 4° overnight. The plate was then incubated with biotin-conjugated anti-mouse IgG1 for 1 hr, followed by the addition of ExtrAvidin[®]-alkaline phosphatase (Sigma-Aldrich) for another hour. The signal was developed by adding *p*-nitrophenylphosphate substrate (Sigma-Aldrich) and read

with a microplate reader at 405 nm (Tecan Group Ltd, Männedorf, Switzerland). It has been well established that C57BL/6 mice express the *Igh1-b* gene, which encodes the IgG2c isotype rather than IgG2a.²⁴ Thus, the levels of antigen-specific IgG2c in the mouse sera were detected using an ELISA kit (Bethyl Laboratories, Montgomery, TX) according to the manufacturer's protocol.

Intracellular IFN- γ production in T cells of Fve-immunized mice

To investigate the HPV-16 E7-specific cellular immune response, mice were subcutaneously immunized with PBS, 20 μ g of HPV-16 E7, or 20 μ g of HPV-16 E7 plus 20 μ g of Fve at days 0 and 14 and splenocytes were collected at day 28. To determine the cytokine production profiles of splenocytes in primary cultures, 5×10^5 splenocytes per well were stimulated with HPV-16 E7 protein for 72 hr and culture supernatants were collected for cytokine assays by ELISA. To determine the cytokine production profiles of T subsets by intracellular staining, splenocytes were first cultured with 10 μ g/ml HPV-16 E7 for 9 days, followed by secondary stimulation with anti-CD3 and anti-CD28 antibodies prior to intracellular staining with cytokine-specific antibodies. Recombinant mouse IL-2 was added to the primary splenocyte cultures at days 3 and 6 to a final concentration of 10 U/ml to maintain the cells up to 9 days; these cultured cells from mice within the same group were then pooled to set up secondary cultures. Briefly, 2×10^5 cells were restimulated with 5 μ g/ml anti-mouse CD3 and 2 μ g/ml anti-mouse CD28 mAbs for 12 hr. Monensin (Sigma-Aldrich) was added 6 hr before harvesting. Cells were surface-stained with anti-CD8 β and anti-CD4 mAbs, fixed with paraformaldehyde, permeabilized with saponin (Sigma-Aldrich) and then stained intracellularly with anti-mouse IFN- γ mAb. Flow cytometric analysis was performed using a FACSCalibur with CELL QUEST software (BD Biosciences, San Jose, CA).

In vivo tumour protection and depletion assays

Mice were subcutaneously immunized with PBS, 20 μ g of HPV-16 E7, 20 μ g of Fve, or 20 μ g of HPV-16 E7 plus 20 μ g of Fve at days 0, 14 and 28. TC-1 cells (5×10^4) were inoculated into the right flank of a mice at day 30. To deplete CD4 $^+$ T cells, CD8 $^+$ T cells or IFN- γ , mice were intraperitoneally injected with 800 μ g of anti-CD4, 500 μ g of anti-CD8 or 500 μ g of anti-IFN- γ mAbs respectively at days -4, -1, 6, 13, 20, 27, 34, 41 and 48. Tumour size was measured every 2 days in two perpendicular dimensions and expressed as length \times width (mm²) and the survival was monitored. The depletion of CD4 $^+$ and CD8 $^+$ T cells was assessed at day 6 after the first immunization, and the depletion in the spleen was

> 95% as determined by flow cytometric analysis. Scientists performing the analyses of tumour size and survival rates were blinded to the treatments.

In vivo tumour therapeutic assay

Mice were subcutaneously inoculated with 5×10^4 TC-1 cells in the right flank at day 0. Mice were then subcutaneously immunized with PBS, 20 μ g of HPV-16 E7, 20 μ g of Fve, or 20 μ g of HPV-16 E7 plus 20 μ g of Fve at days 3, 10 and 17. For tumour metastasis therapeutic assay, mice were intravenously injected with 2×10^4 TC-1 cells in the tail vein at day 0. Mice were then subcutaneously immunized with the same regimen at days 3, 10 and 17. The survival rates were monitored daily.

T-cell adoptive transfer

Splenocytes from immunized mice were collected and Thy 1.2 $^+$ T cells were isolated using Thy1.2 microbeads (Miltenyi Biotec, Bergisch Gladbach, Germany) for cell transfer experiments. The purity of T cells was above 98% as determined by flow cytometric analysis. Freshly purified Thy1.2 $^+$ T cells (8×10^6) were adoptively transferred into recipient mice at days -1, 3, 6 and 9. Recipient mice were inoculated subcutaneously in the right flank with 5×10^4 TC-1 cells at day 0. The tumour size was measured on alternate days from day 10 onwards.

Analysis of in vivo activation of DCs

Mice were intravenously injected with PBS or 20 μ g of Fve. Twelve hours later, enriched splenic DCs (1×10^6 cells in 100 μ l) were blocked with anti-CD16/CD32 mAb at 4 $^\circ$ for 20 min prior to incubation with mAbs against CD4, CD8, CD11c and I-A b and with mAbs against CD4, CD8, CD11c and CD86 at 4 $^\circ$ for 25 min. Flow cytometric analysis was performed using a FACSCalibur with CELL QUEST software (BD Biosciences).

Analysis of DC-directed CD4 $^+$ and CD8 $^+$ T-cell activation

Naive C57 BL/6 mice were intravenously injected with PBS, 100 μ g of ovalbumin (OVA), 20 μ g of Fve, or OVA (100 μ g) plus Fve (20 μ g) 24 hr prior to isolation of the CD11c $^+$ DCs. Purified CD11c $^+$ DCs were pulsed with 1 μ M of OVA₃₂₃₋₃₃₉ peptide or 1 μ M of OVA₂₅₇₋₂₆₄ peptide, respectively (AnaSpec, Inc., San Jose, CA), for 2 hr at 37 $^\circ$ and then washed extensively. Naive CD4 $^+$ and CD8 $^+$ cells were purified from OT-II and OT-I transgenic mice, respectively. DCs (5×10^3 cells) were incubated with 5×10^4 CD4 $^+$ T cells or 5×10^4 CD8 $^+$ T cells, respectively, in U-bottom 96-well plates in 200 μ l of

complete RPMI-1640 medium in triplicate for 72 hr. Supernatants were collected and cytokine production was determined by ELISA.

Statistical analysis

Results for tumour sizes are presented as mean \pm standard error of the mean (SEM) and were analysed by one-way analysis of variance (ANOVA). Differences in antibody and cytokine production between groups were analysed by Student's *t*-test. In tumour protection, therapeutic and adoptive transfer experiments, the tumour-free and survival analyses were carried out using the Kaplan-Meier analysis and the log-rank test. $P < 0.05$ was considered as statistically significant.

Results

Fve stimulated mouse T-cell proliferation and IFN- γ production *in vitro*

To examine the immunostimulatory effect of Fve *in vitro*, mouse splenocytes were cultured in the presence of increasing concentrations of the Fve protein. As shown in Fig. S1(a and b), Fve induced the proliferation of splenocytes in a dose-dependent manner and significant production of IFN- γ and TNF- α . Fve predominately stimulated the proliferation of T cells in the splenocytes as cellular proliferation was drastically reduced in T-cell-depleted splenocytes (data not shown). Fve-induced T-cell proliferation and IFN- γ production were accessory-cell-dependent (Fig. 1 and Fig. S1c).

Coadministration of HPV-16 E7 plus Fve increased HPV-16 E7-specific B-cell and T-cell activities

We next investigated the effects of Fve on the induction of HPV-16 E7-specific immunity. Firstly, we measured HPV-16 E7-specific antibodies in the sera of the various groups of differentially immunized mice. As shown in Fig. 2a,b, mice immunized with HPV-16 E7 plus Fve produced significantly higher levels of IgG1 and IgG2c as compared with those immunized with HPV-16 E7 alone. HPV-16 E7-specific IgG1 and IgG2c in mice immunized with HPV-16 E7 plus Fve were 7-fold and 33-fold higher, respectively, than those of mice immunized with HPV-16 E7 alone at day 28 (Fig. 2a,b). These results indicate that Fve could enhance strong HPV-16 E7-specific humoral immune responses.

Consistently, IFN- γ production was significantly enhanced in splenocytes from mice immunized with HPV-16 E7 plus Fve as compared with those from mice immunized with HPV-16 E7 or PBS (Fig. 2c and Fig. S3). In order to elucidate the IFN- γ -producing T subsets, the HPV-16 E7 cultured primary cells were restimulated with anti-CD3

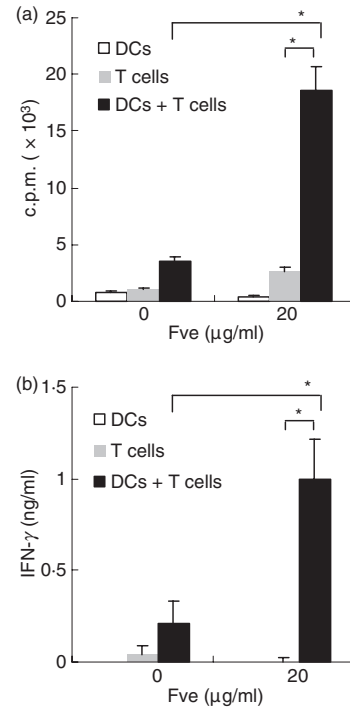


Figure 1. Fve stimulates mouse splenic T-cell activation in an accessory-cell-dependent manner. Purified CD90⁺ T cells (1×10^5 cells/well) were co-cultured with mitomycin C-treated bone marrow-derived dendritic cells (BM-DCs) (2×10^4 cells/well) in the presence or absence of Fve (20 μ g/ml) in triplicate using the 96-well plate. The DCs and CD90⁺ T cells alone were included for comparison. The cultures were pulsed with [³H]thymidine for the last 18 hr of the co-culture. The cells were harvested and thymidine incorporation was measured by liquid scintillation counting at 72 hr (a). The culture supernatants were collected at 72 hr and interferon (IFN)- γ production was measured by enzyme-linked immunosorbent assay (ELISA) (b). * $P < 0.05$. c.p.m., counts per minute.

and anti-CD28 antibodies for 12 hr and cytokine production was examined by intracellular staining. Flow cytometry analysis revealed that 18.7% of IFN- γ -producing cells within the CD4⁺ T subset were induced in the HPV-16 E7 plus Fve co-immunized mice, whereas only 4.9% and 5.7% of IFN- γ ⁺ cells were induced in HPV-16 E7 and PBS mice, respectively (Fig. 2d, upper panel). Similarly, 37.8% of the CD8⁺ T cells in the HPV-16 E7 plus Fve co-immunized mice were IFN- γ ⁺ cells, whereas only 11.4% and 12.5% of IFN- γ ⁺ CD8⁺ T cells were detected in HPV-16 E7 and PBS mice, respectively (Fig. 2d, lower panel). These results indicate that Fve could significantly increase HPV-16 E7-specific IFN- γ -secreting CD4⁺ and CD8⁺ T cells.

Coadministration of HPV-16 E7 and Fve enhanced protection of mice against tumour growth

The finding that immunization with HPV-16 E7 plus Fve led to enhanced HPV-16 E7-specific immunity prompted us to explore the antitumour potential of such an immu-

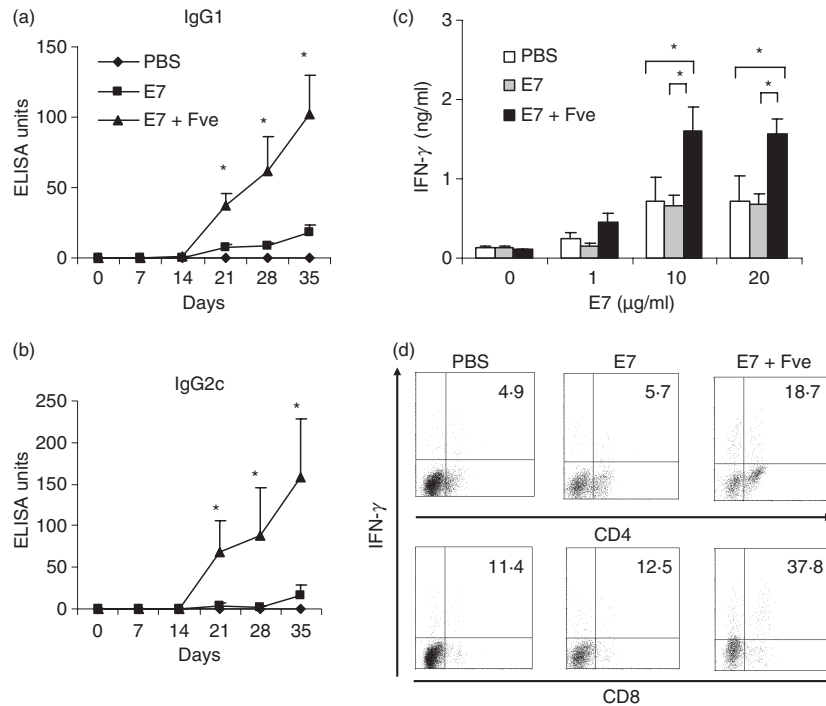


Figure 2. Fve enhanced human papillomavirus (HPV)-16 E7-specific antibody production and up-regulated interferon (IFN)- γ production of *in vitro* HPV-16 E7-stimulated T cells. For the HPV-16 E7-specific humoral immune response, mice ($n = 5$ per group) were immunized with phosphate-buffered saline (PBS) (◆), HPV-16 E7 (■) or HPV-16 E7 plus Fve (▲) at days 0, 14 and 28. Sera were collected weekly for the measurement of HPV-16 E7-specific (a) immunoglobulin G1 (IgG1) and (b) IgG2c by enzyme-linked immunosorbent assay (ELISA). For the T-cell immune response, mice ($n = 5$ per group) were immunized with PBS (◆), HPV-16 E7 (■), and HPV-16 E7 plus Fve (▲) at days 0 and 14. Splenocytes were collected at day 28 and cultured with 1, 10 or 20 $\mu\text{g/ml}$ HPV-16 E7. Supernatants were collected at 72 hr and IFN- γ levels were measured by ELISA (c). For intracellular staining, the HPV-16 E7 cultured primary T cells were restimulated with anti-CD3 and anti-CD28 antibodies for 12 hr. The numbers in the upper right quadrant indicate the percentage of IFN- γ^+ cells among the gated CD4⁺ cells and CD8⁺ cells, respectively (d). Data are representative of three independent experiments. Error bars represent the standard error of the mean. * $P < 0.05$.

nization regimen *in vivo*. Mice immunized with PBS, HPV-16 E7, Fve, or HPV-16 E7 plus Fve were challenged with TC-1 cells subcutaneously and tumour growth was monitored. Results showed that 60% of mice co-immunized with HPV-16 E7 plus Fve remained tumour-free for up to 167 days after the tumour challenge, whereas only 20% of mice remained tumour-free ($P < 0.05$) in the group immunized with HPV-16 E7 (Fig. 3a). Mice immunized with PBS or Fve alone developed tumours rapidly within 10 and 15 days after tumour challenge, respectively (Fig. 3a). Interestingly, mice immunized with Fve alone generally showed a reduction in tumour size as compared with the PBS control mice (Fig. 3b). This suggests that Fve protein alone could confer partial suppression of tumour growth. However, such a suppressive effect was insufficient to protect mice against tumour formation in the absence of HPV-16 E7-specific immune responses as only mice in the group immunized with HPV-16 E7 plus Fve exhibited a low tumour burden or remained tumour-free over the total duration of this study.

To determine whether long-term HPV-16 E7-specific immunity could be established in the immunized mice, splenocytes from tumour-free mice (HPV-16 E7 alone or

HPV-16 E7 plus Fve groups) were collected and stimulated with HPV-16 E7 protein *in vitro* 167 days after tumour challenge. Splenocytes from naïve mice were used as the negative control. Results showed that, upon HPV-16 E7 antigen stimulation, splenocytes from HPV-16 E7 plus Fve co-immunized mice still produced higher levels of IFN- γ as compared with those from HPV-16 E7-immunized mice (Fig. 3c). Hence, Fve-enhanced HPV-16 E7-specific immunity persisted in the co-immunized mice and may account for the long-term protection against tumour formation. Taken together, these data indicate that immunization with Fve plus HPV-16 E7 is not only more effective than immunization with HPV-16 E7 alone; it is also able to confer long-term protection against tumour formation in the co-immunized mice.

Therapeutic immunization of HPV-16 E7 and Fve suppressed tumour growth and prolonged the survival of tumour-bearing mice

We also determined whether coadministration of HPV-16 E7 and Fve was equally effective at suppressing the growth of the established tumour. In this set of

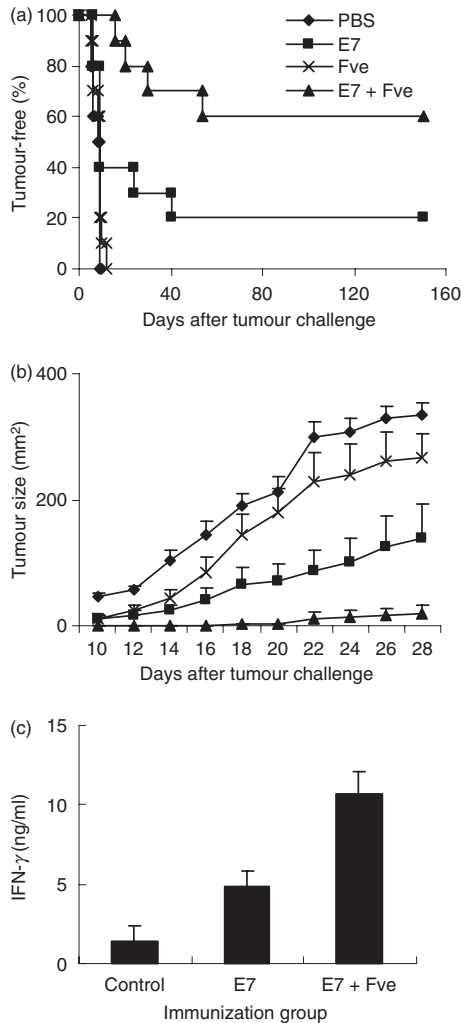


Figure 3. Co-immunization with human papillomavirus (HPV)-16 E7 and Fve enhanced protection against the growth of TC-1 tumours. Mice ($n = 10$ per group) were immunized with phosphate-buffered saline (PBS) (◆), 20 μ g of HPV-16 E7 (■), 20 μ g of Fve (×) or 20 μ g of HPV-16 E7 plus 20 μ g of Fve (▲) at days 0, 14 and 28 and then inoculated subcutaneously with TC-1 cells at day 30. The mice were monitored daily for tumour growth by palpation (a) and the tumour size was measured every 2 days (b). One hundred and sixty-seven days after tumour challenge, splenocytes were collected from the tumour-free mice and cultured with HPV-16 E7 protein *in vitro*. Supernatants were collected at 72 hr and interferon (IFN)- γ levels were analysed (c).

experiments, TC-1 cells were inoculated into the left flanks of mice 3 days prior to regular treatments with PBS, HPV-16 E7, Fve, and HPV-16 E7 plus Fve, respectively. Results showed that mice treated with HPV-16 E7 plus Fve had the highest tumour survival rate (Fig. 4a).

Further investigations were then carried out in a tumour metastasis model established by injecting TC-1 cells intravenously into the tail vein of each mouse at day 0. Mice were then treated with same regimens. As

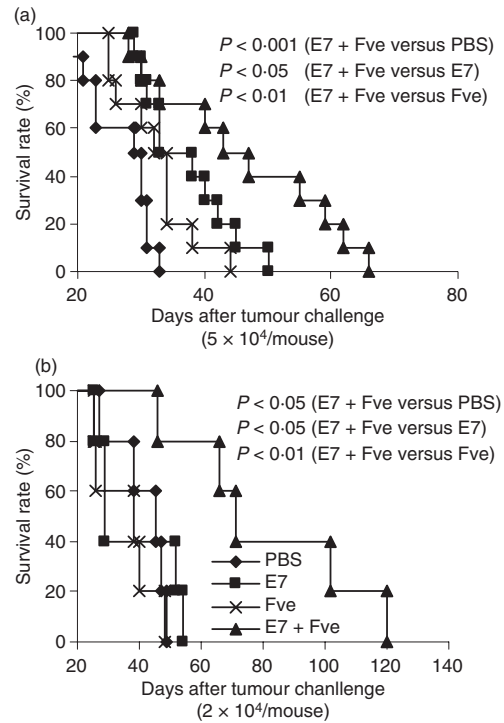


Figure 4. Human papillomavirus (HPV)-16 E7 plus Fve co-immunization therapeutically extended the survival of tumour-bearing mice. For the therapeutic assay (a), mice ($n = 10$ per group) were inoculated subcutaneously with TC-1 cells on day 0 and then treated with phosphate-buffered saline (PBS) (◆), HPV-16 E7 (■), Fve (×) or HPV-16 E7 plus Fve (▲) at days 3, 10 and 17. For the therapeutic metastasis assay (b), mice ($n = 5$ per group) were intravenously injected with TC-1 cells. Their survival was monitored and analysed by log-rank test.

shown in Fig. 4b, none of the mice in the groups immunized with PBS, Fve or HPV-16 E7 alone survived beyond 55 days, while mice treated with HPV-16 E7 plus Fve showed significantly prolonged survival for up to 120 days. These results indicate that Fve could significantly enhance HPV-16 E7-specific antitumour activity therapeutically.

In summary, the results of these series of proof-of-principle experiments strongly support the notion that Fve could enhance an antigen-specific immune response that not only confers long-term protection against tumour growth but also retards tumour growth at the early and advanced stages of tumour development.

Both CD4⁺ and CD8⁺ T-cell subsets and IFN- γ were essential for protection against tumours

As Fve significantly increased IFN- γ -secreting T cells and enhanced HPV-16 E7-specific antitumour immunity, we next performed *in vivo* antibody depletion assays to determine the roles of the T-cell subsets and IFN- γ in the anti-tumour effects induced by combined vaccination. As

expected, 60% of the HPV-16 E7 plus Fve co-immunized mice without any depletions remained tumour-free throughout the duration of this part of the study (Fig. 5a), while the tumours that developed in the remaining 40% of these mice were dramatically reduced in size (Fig. 5b). In contrast, all the mice depleted of CD4⁺ T cells, CD8⁺ T cells or IFN- γ developed tumours within 27 days. Interestingly, mice depleted of CD8⁺ T cells had similar tumour sizes to the PBS control, whereas tumour growth was retarded in the mice depleted of CD4⁺ T cells and of IFN- γ (Fig. 5b). These data suggested that, while CD8⁺ T cells, CD4⁺ T cells and IFN- γ are essential for the antitumour protection generated in mice co-immunized with HPV-16 E7 plus Fve, the CD8⁺ T subset plays a more dominant role in these antitumour effects.

To further investigate the roles of T cells in the antitumour effects seen in the HPV-16 E7 plus Fve co-

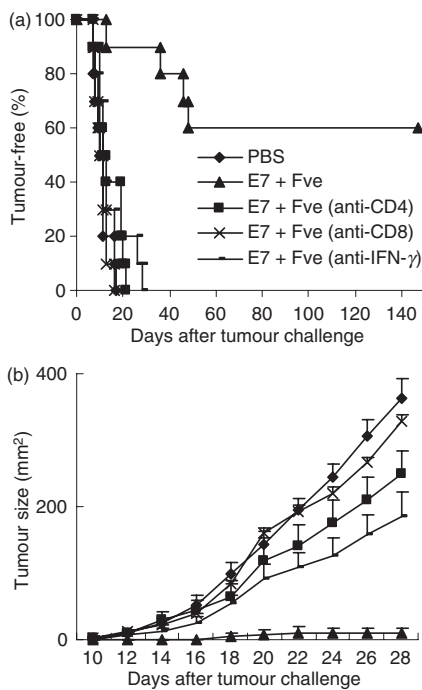


Figure 5. CD4⁺ and CD8⁺ T cells and interferon (IFN)- γ were essential for protection against tumours in mice immunized with human papillomavirus (HPV)-16 E7 plus Fve. Mice ($n = 10$ per group) were immunized with phosphate-buffered saline (PBS) or 20 μ g of HPV-16 E7 plus 20 μ g of Fve at days 0, 14 and 28. TC-1 cells (5×10^4) were inoculated subcutaneously into the right flanks of mice at day 30. To deplete CD4⁺ T cells (■), CD8⁺ T cells (×) or IFN- γ (-), mice immunized with HPV-16 E7 plus Fve were injected intraperitoneally with anti-CD4, anti-CD8, or anti-IFN- γ monoclonal antibodies (mAbs), respectively, at days -4, -1, 6, 13, 20, 27, 34, 41 and 48. Mice immunized with PBS (◆) and HPV-16 E7 plus Fve (▲) without depletion were included as the control groups. The mice were monitored daily for tumour growth by palpation (a) and the tumour size was measured every 2 days (b). Error bars represent the standard error of the mean.

immunized mice, a T-cell adoptive transfer experiment was set up. The immunization and tumour challenge regimen was as detailed in Fig. 6a. Mice that received T cells from co-immunized mice showed a significant reduction in tumour growth (Fig. 6b) compared with those receiving T cells adoptively transferred from donor mice immunized with HPV-16 E7, Fve and PBS, respectively. These data support the notion that T cells play a pivotal role in therapeutic antitumour effects and such effects are probably directly correlated to the efficacy and magnitude of the HPV-16 E7-specific T-cell responses, as demonstrated in Fig. 2c,d.

Fve stimulated phenotypic maturation of splenic DCs *in vivo* and enhanced CD8⁺ T-cell activation

To elucidate the mechanism of the adjuvant action of Fve, we further analysed the effect of Fve on DCs which

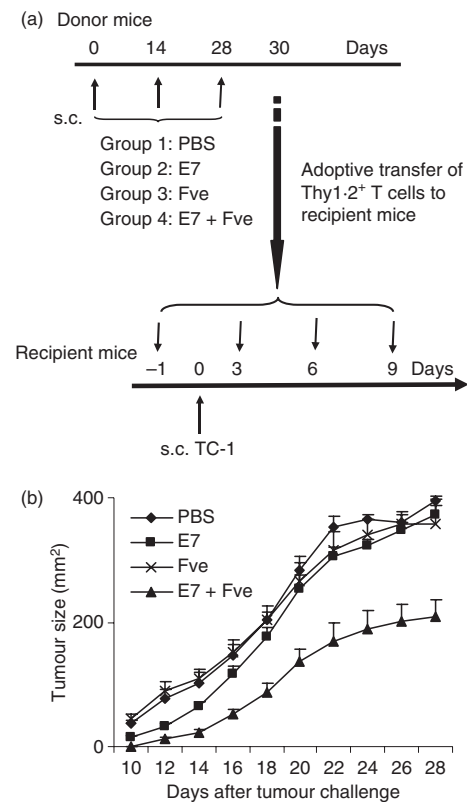


Figure 6. Adoptive transfer of T cells from mice immunized with human papillomavirus (HPV)-16 E7 plus Fve retarded tumour growth and prolonged survival. Eight million T cells purified from mice immunized with phosphate-buffered saline (PBS) (◆), HPV-16 E7 (■), Fve (×) or HPV-16 E7 plus Fve (▲) were adoptively transferred to recipient mice at days -1, 3, 6 and 9. Recipient mice ($n = 10$ per group) were inoculated subcutaneously with 5×10^4 TC-1 cells at day 0 (a). Ten days after the tumour challenge, the size of the tumour formed was measured on alternate days. Data are presented as mean \pm standard error of the mean (b).

are responsible for the priming of the specific immune response.^{25,26} To this end, splenic CD11c⁺ DCs were enriched from spleens of mice which were intravenously injected with Fve prior to harvest and analysed for surface expression of major histocompatibility complex (MHC) class II and CD86. As shown in Fig. 7c, DCs isolated from Fve-treated mice up-regulated MHC-II and CD86 molecules in both CD11c^{hi} and CD11c^{int} subsets as compared with those from control mice. CD11c^{hi} DCs can be further subdivided into CD4⁺, CD8 α ⁺ and CD4⁻ CD8 α ⁻ subtypes (Fig. 7b). Up-regulation of MHC-II and CD86 was seen in all three subtypes of CD11c^{hi} DCs (Fig. 7d), especially in the CD8 α ⁺ DCs. This indicates that Fve stimulates phenotypic maturation of splenic DCs *in vivo*. Subsequently, the *in vitro* functional assays for these Fve-stimulated DCs were performed using OVA-specific CD8⁺ and CD4⁺ T cells from OT-I and OT-II mice, respectively. Analysis of culture supernatants of OVA-specific CD8⁺ T cells co-cultured with OVA-laden DCs isolated from OVA plus Fve co-injected mice showed that production of IFN- γ and IL-2 was greatly increased compared with those from all the other experimental control groups (Fig. 8a). Such a marked enhancement of IFN- γ and IL-2 production by OVA-specific CD4⁺ T cells was not observed in similar parallel functional assays performed using CD4⁺ T cells from OT-II mice (Fig. 8b). There was no increased induction of IL-4, the signature cytokine for Th2 responses, by either CD4⁺ or CD8⁺ OVA-specific T cells. These data suggest that Fve can efficiently enhance

the OVA-specific CD8⁺ T-cell immune response, probably by modifying the ability of DCs to present antigen.

Discussion

Antigen-specific immunotherapy is a promising strategy to eradicate systemic tumours at multiple sites while conferring the advantage of specific discrimination between neoplastic and non-neoplastic cells. However, a major hurdle for the development of such vaccines for treatment and prevention of cancer is the poor immunogenicity of tumour-associated antigens. An attractive strategy to overcome this problem is the use of an immune modulator as an adjuvant to boost antigen-specific immunity and enhance the efficacy of tumour vaccines.²⁷

Our *in vivo* tumour protection results showed that 60% of the mice co-immunized with HPV-16 E7 plus Fve remained tumour-free after tumour challenge as compared with only 20% of mice immunized with HPV-16 E7 alone (Fig. 3). More importantly, the enhanced antitumour effects induced by HPV-16 E7 plus Fve co-immunization were also observed in the therapeutic tumour model (Fig. 4). These data indicate that HPV-16 E7 plus Fve co-immunization is more efficacious for the protection of mice against tumour challenge and the eradication of established tumours, and that Fve enhances antitumour effects. It is conceivable that the enhanced HPV-16 E7-specific T-cell immunity and increased IFN- γ production by these T cells induced by Fve may contribute, at least

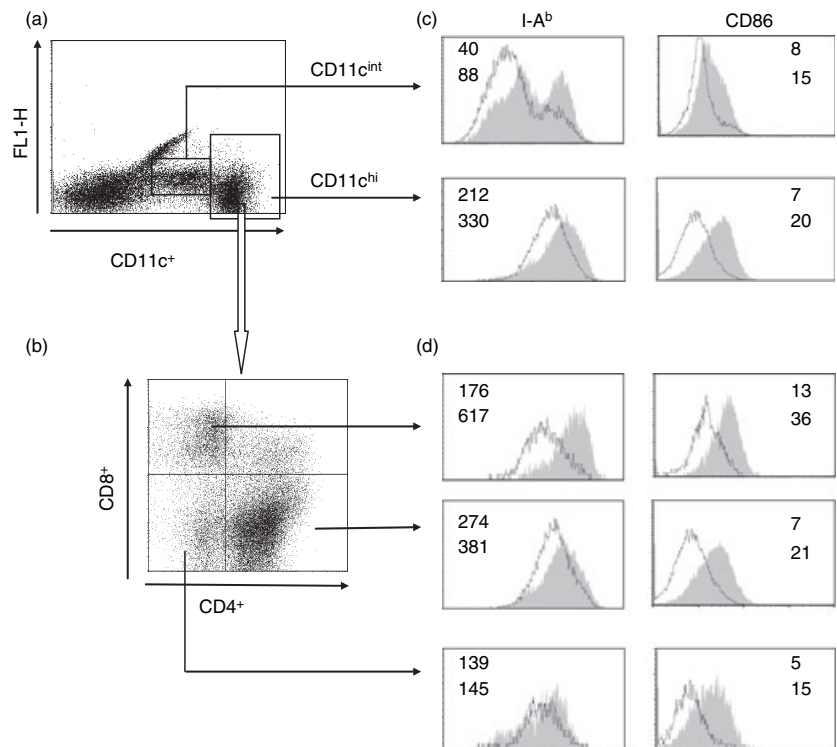


Figure 7. Fve induced splenic dendritic cell (DC) phenotypic maturation *in vivo*. Mice ($n = 8$ mice/group) were intravenously injected with phosphate-buffered saline (PBS) or Fve 12 hr prior to cell harvest. DCs were enriched from spleens for staining of major histocompatibility complex (MHC) class II and CD86. Splenic DCs were separated into CD11c^{int} and CD11c^{hi} populations (a) and CD11c^{hi} DCs were further divided into CD4⁺, CD8 α ⁺ and CD4⁻ CD8 α ⁻ subpopulations (b). MHC class II and CD86 up-regulation could be seen in both CD11c^{int} and CD11c^{hi} populations (c) and the three CD11c^{hi} subpopulations of DCs (d) after stimulation with Fve *in vivo*. Open histograms delineated with a dark line represent PBS-stimulated DCs, and filled grey histograms represent Fve-stimulated DCs. The numbers in the histograms indicate the mean fluorescent intensities (MFIs) of DCs stimulated with PBS (upper number) and Fve (lower number), respectively.

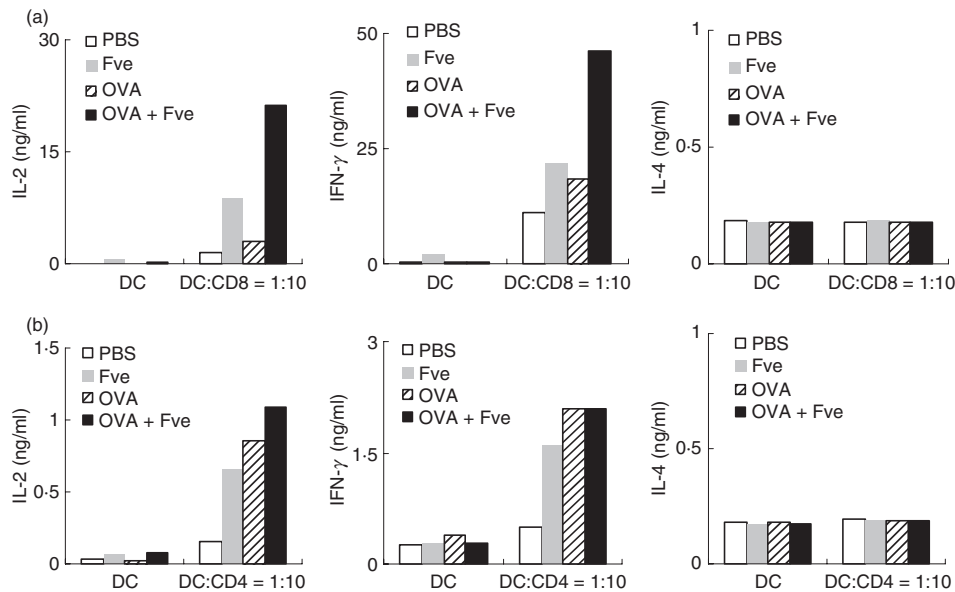


Figure 8. Fve greatly enhanced antigen-specific responses of CD8⁺ T cells. Mice ($n = 8$ mice/group) were intravenously injected with phosphate-buffered saline (PBS), ovalbumin (OVA), Fve or OVA plus Fve and the dendritic cells (DCs) were isolated from the pool of eight spleens harvested from each group of mice 24 hr later. Purified DCs were pulsed with 1 μ M OVA_{257–264} peptide or 1 μ M OVA_{323–367} peptide for 2 hr at 37° and washed three times before co-culturing with CD8⁺ T cells from OT-I mice or CD4⁺ T cells from OT-II mice, respectively, for 72 hr. Supernatants were collected for cytokine assays by enzyme-linked immunosorbent assay (ELISA). The experiments were performed three times and representative data from one of these experiments are presented. DC, dendritic cells alone; DC:CD8 = 1 : 10, the ratio of DCs to CD8⁺ T cells from OT-I mice is 1 : 10; DC:CD4 = 1 : 10, the ratio of DCs to CD4⁺ T cells from OT-II mice is 1 : 10.

in part, to the enhanced antitumour effects observed in this study.

It is well known that antigen-specific T-cell immunity plays a critical role in tumour immunotherapy.²⁸ Previous studies using other adjuvants such as heat shock protein 65,⁹ bacteria exotoxin,¹¹ IL-12,⁷ CpG¹² and 3-*O*-deacylated monophosphoryl lipid A (MPL) mixed with a purified *Quillaja saponaria* saponin immunologic adjuvant (QS21)²⁹ found that CD4⁺ and/or CD8⁺ cells play major roles in protecting animals from challenge with HPV-16 E7-expressing TC-1 cells. In this study, we performed *in vivo* T-cell subset depletion assays to elucidate the contribution of CD4⁺ and CD8⁺ T cells to antitumour activity. We found that both T-cell subsets are essential for the inhibition of tumour growth and CD8⁺ T cells appear to play a more dominant role in protection against tumours. These results concur well with the conventional dogma that CD8⁺ T cells are pivotal and highly specialized for cytolytic function and thus have been the main focus of cancer immunotherapy, whereas CD4⁺ T cells confer helper functions in the antitumour effect by providing activation signals to CD8⁺ T cells^{30,31} and contributing to the survival maintenance of CD8⁺ T memory cells.^{32–36} Recent studies, however, found that tumour-specific CD4⁺ T cell were able to eliminate a wide variety of tumours that were resistant to CD8-mediated rejection,^{37,38} providing new supporting evidence for the hypothesis that CD4⁺ T cells may play a broader role in antitumour responses.

Moreover, we found that tumour-free mice protected by immunization with HPV-16 E7 plus Fve had higher levels of IFN- γ production at 167 days after tumour inoculation (Fig. 3c), indicating that Fve enhances HPV-16 E7-specific memory immunity to protect mice against tumour growth. These findings are important because the capacity to elicit an effective long-term memory immune response is essential for the success of a vaccination strategy.^{39–41}

We also adoptively transferred total T cells from HPV-16 E7 plus Fve immunized mice into tumour-bearing recipient mice to address the importance of T cells in mediating the antitumour effects seen in our study. Results indicated that T cells from mice immunized with HPV-16 E7 plus Fve were more efficacious in suppressing tumour growth than those from mice immunized with HPV-16 E7 alone (Fig. 6b). This enhanced efficacy was probably correlated to the increased number of HPV-16 E7-specific effector T cells induced by co-immunization. However, other possibilities, such as increased HPV-16 E7-specific T-cell avidity, cannot be excluded as antigen-specific CD8⁺ T cells with high avidity are known to produce stronger antitumour effects in vaccinated mice than low-avidity CD8⁺ T cells.^{42,43} In recent years, adoptive transfer of antigen-specific T cells into patients has emerged as a promising new approach to cancer treatment.^{44–46} Our T-cell transfer data suggest that the Fve protein may be a good immunotherapeutic vaccine adjuvant to enhance the antitumour immunity mediated by

tumour antigen-specific T cells, thereby providing a promising new strategy to improve the efficacy of the adoptive cell therapy approach.

In addition, we found that HPV-16 E7 plus Fve co-immunization significantly up-regulated HPV-16 E7-specific IgG1 and IgG2c. The enhanced production of IgG2c in C57BL/6 (Fig. 2b) and IgG2a in BALB/cJ mice (Fig. S2b) was consistent with the previous finding that Fve can increase the Th1-skewed humoral OVA-specific immune response.²⁰ Previous studies using the NY-ESO-1 tumour antigen as a model antigen have shown that antigen-specific IgG2a antibodies contribute to DC maturation and cross-priming of CD8⁺ T cells, probably through a mechanism mediated by antigen-antibody immune complexes.^{47,48} Although there is no direct evidence that antibody-mediated responses play an important role in controlling HPV-associated malignancies, a possible role of increased production of HPV-16 E7-specific antibody, which may improve antitumour activity, cannot be ruled out and warrants further study.

IFN- γ has been shown to inhibit tumour growth *in vivo* by up-regulation of MHC class I molecules, inducing inflammation at tumour sites as well as eliciting an angiostatic effect.^{49–54} Our study also demonstrated that IFN- γ was critical for generating potent antitumour effects against TC-1 tumour challenge. We found that the tumour protection effect of the HPV-16 E7 plus Fve vaccine was significantly attenuated in IFN- γ -depleted mice (Fig. 5). These results are consistent with previous studies demonstrating the important role of IFN- γ in the antitumour effect.^{51,53,55,56} Interestingly, we found that the mean tumour size of HPV-16 E7 plus Fve-immunized mice with IFN- γ depletion was smaller than that of PBS-immunized mice (Fig. 5b), suggesting that additional IFN- γ -independent mechanisms may also contribute to the suppression of tumour growth found.

It is worth noting that the Fve protein alone conferred some antitumour effects compared with the effects of PBS (Fig. 3b). This interesting observation may be explained by the fact that the Fve protein mitogenically expands the T-cell pool and induces high levels of IFN- γ production (Fig. 1), creating a microenvironment to confer partial antitumour effects. This may also represent an additional beneficial effect of using Fve as an adjuvant for antitumour immunotherapeutic vaccines.

It is well known that activation of innate immunity is a prerequisite for an adjuvant function.⁵⁷ The roles of DCs in the priming and differentiation of naïve T cells are well recognized.²⁶ The majority of adjuvants are microbial products that activate innate responses through pattern recognition receptors, such as Toll-like receptors (TLRs) on DCs. For example, monophosphoryl lipid A, imiquimod and CpG motifs, which are agonists for TLR4, TLR7 and TLR9, respectively, have been developed as vaccine adjuvants for the treatment of cancer.^{58,59} In this study, we

found that Fve induced maturation of DCs *in vivo* and, notably, it appears that Fve preferentially, although not exclusively, drives maturation of the CD8⁺ DC subset. Moreover, *in vitro* functional assays using OVA-specific CD8⁺ T cells from OT-I mice clearly showed that DCs from mice co-immunized with Fve and OVA greatly enhanced the activation of antigen-specific CD8⁺ T cells (Fig. 8a). It is well known that there are at least three distinct subsets (CD4⁺ CD8⁻, CD4⁻ CD8⁺ and CD4⁻ CD8⁻) of DCs found in the mouse spleen. Previous studies have shown that these subsets exhibit intrinsic differential capacities to present soluble antigen and activate antigen-specific CD4⁺ and CD8⁺ T subsets. The CD8⁻ subsets show the greatest ability to stimulate antigen-specific MHC class II-restricted CD4⁺ T cells, whereas the CD8⁺ DCs are much more efficient at cross-presenting antigen and stimulating MHC class I-restricted CD8⁺ T cells.^{60,61} Taking these findings together, it is reasonable to propose that Fve modifies the ability of DCs (for example, by up-regulating the CD8⁺ DC subset to enhance CD8⁺ T cells via the cross-presentation pathway) to generate robust and long-lasting HPV-16 E7-specific CD8⁺ T-cell immune responses for antitumour effects. This may be a unique feature of the adjuvant effects of Fve and therefore further work is warranted to validate this notion. Thus, our future research will focus on elucidation of the effects of Fve on the various DC subsets and the subsequent priming and polarization of antigen-specific T-cell subsets.

In summary, our study has demonstrated for the first time that an immunomodulatory protein, Fve, exhibited effective adjuvant effects to enhance robust and long-lasting adaptive antigen-specific immune responses that conferred strong prophylactic and therapeutic antitumour effects. Notably, it appears that, by targeting CD8⁺ DCs, Fve can effectively enhance antigen-specific CD8⁺ T-cell immune responses. For HPV-associated malignancies, prophylactic vaccines which aim to induce neutralizing antibodies have been successfully used in clinical applications.^{62,63} However, the challenge for the future is to combine the prophylactic approach with therapeutic immunization, for example, combining E7 with the HPV capsid protein L1 or L2 to develop chimeric vaccines.^{64–66} We envisage that Fve could potentially be an effective adjuvant not only for such HPV chimeric vaccines; it could also be generally exploited to develop other efficacious anticancer or antiviral vaccines.

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Supporting Information

Additional Supporting Information may be found in the online version of this article:

Supplementary materials and methods

Figure S1. Fve stimulates mouse splenic T-cell proliferation in an accessory-cell-dependent manner.

Figure S2. Fve enhanced human papillomavirus (HPV)-16 E7-specific antibody production in BALB/cJ mice.

Figure S3. Fve enhanced interferon (IFN)- γ production in C57BL/6 mice co-immunized with human papillomavirus (HPV)-16 E7 plus Fve.

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