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# Synthesis of Azepines by a Gold-Catalyzed Intermolecular [4 + 3]Annulation

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Gold catalysis has recently generated a variety of valuable methods for the synthesis of complex structures from simple starting materials. While the majority of efforts have focused on intramolecular rearrangement and addition reactions, a number transformations taking advantage of intermolecular reaction of the a gold-stabilized cationic intermediate generated from the 1,2-rearrangement of propargyl esters have been described. In these reactions, the cationic intermediate shows reactivity analogous to that reported for electrophilic metal-stabilized vinylcarbenoids. For example, we have shown that sulfoxides react with intermediate A to form carbonyl compounds (eq 1). On the basis of this reactivity, we postulated that allylgold intermediate B, generated by reaction of A with a nucleophile, could be induced to react with electrophiles. Herein, we report the realization of this goal leading to a convenient method for the construction of azepines.

In analogy to related reactions of rhodium-stabilized vinylcarbenoids,  $^6$  we reasoned that generation of allylgold intermediate **B** and a proximate electrophile could be accomplished by reaction of **A** with a nucleophilic diene, such as an  $\alpha$ ,  $\beta$ -unsaturated imine. On the basis of this hypothesis, we were pleased to find that subjecting propargyl ester **1** and N-phenyl imine **2** to our typical conditions for cationic triphenylphosphinegold(I)-catalyzed reactions afforded a trace amount of azepine **3** (Table 1, entry 1). While changing the ligand from triphenylphosphine to an N-heterocyclic carbene only slightly improved the yield (entry 2), the use of 5 mol % of AuCl allowed for the formation of azepine **3** in 44% yield (Table 1, entry 3). On the basis of reports that suggest AuCl may form Au(III) species in situ,  $^7$  we subsequently examined Au(III) sources and were pleased to find that picolinic acid derived catalyst **4** catalyzed formation of the desired product with increased efficiency (65% yield, entry 5).

With conditions in hand, we examined the scope of the gold-catalyzed [4 + 3]-cycloaddition (Table 2). In general, the highest yields were obtained with substrates containing electron-rich N-aryl groups on the imine nitrogen (entries 1-5). On the other hand, the reaction proved highly tolerant of variation at the other positions of the unsaturated imine component. For example, having the olefin conjugated with electron-rich and electron-deficient aryl groups had little impact on the yield of the cycloaddition (entries 6 and 7). The olefin substituents can also be aliphatic. For example, imine 9 underwent chemoselective [4 + 3]-cycloaddition to afford 10 in 60% yield without cyclopropanation of the isolated alkene (entry 9). Additionally, gold-catalyzed cycloaddition of vinyl bromide 11 produced a 63% yield of bromoazepine 12, a potential cross-coupling partner (entry 10).

We next turned to examine the scope of the propargyl ester component of the cycloaddition (Table 3). With secondary benzylic propargyl esters **13** and **15**, the reactions provided azepine products **14** and **16** in good yields and as single diastereomers (entries 1 and 2). Tertiary propargyl esters also participated in the cycloaddition addition, smoothly affording all-carbon quaternary centers in azepines **18** and **20**, albeit with diminished diastereocontrol (entries 3 and 4). Similarly, *tert*-butylcyclohexanone derived ester **21** underwent the gold-catalyzed cycloaddition to generate **22** with 2.5:1 dr with respect to the axial stereocenter (entry 5).

A proposed mechanism that accounts for this diastereoselectivity is detailed in Scheme 1. Gold-promoted isomerization of the propargyl ester leads to gold-carbenoid intermediate  $\mathbf{A}$ . Subsequent nucleophilic addition of the imine nitrogen generates allylgold intermediate  $\mathbf{23}$  that undergoes intramolecular nucleophilic addition onto the pendant iminium electrophile via transition state  $\mathbf{24}$ .

Additional studies revealed that electron-donating substituents on the N-aryl and  $\beta$ -aryl groups enhance the rate of the gold-catalyzed cycloaddition, supporting a stepwise mechanism in which formation of iminium 23 is rate-determining. On the basis of this observation, we envisioned that heteroaryl imines might also serve as heterodienes in the gold-catalyzed [4 + 3]-cycloaddition. We were pleased to find that indole azepine 26 was formed from the gold-catalyzed cycloaddition of 1 with imine 25, albeit at slightly elevated temperatures and increased catalyst loading (eq 2). On the other hand, quinoline imine 27 underwent gold-catalyzed coupling with propargyl ester 1 to furnish tricyclic azepine 28 in 93% yield at room temperature (eq 3).

(2)

(4)

(3)

In conclusion, we have developed a Au(III)-catalyzed synthesis of azepines via the annulation of simple, readily available starting materials. This is exemplified by the fact that both components employed in the cycloaddition reaction to form azepine  $\bf 30$  can be generated from gold-catalyzed rearrangements of propargyl ester  $\bf 15$  (eq 4). In addition to representing a rare example of a Au-catalyzed intermolecular annulation reaction,  $\bf 13$  the  $\bf [4+3]$ -cycloaddition highlights the generation and subsequent electrophilic trapping of an allyl-gold intermediate from gold-stablized vinylcarbenoid  $\bf A$ . The development of reactions that take advantage of this mechanistic paradigm is ongoing in our laboratories and will be reported in due course.

## **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

### **Acknowledgment**

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- (9). The *trans*-diaryl stereochemistry, which is opposite to that produced in related rhodium-catalyzed cycloadditions,6a was established by an X-ray crystal structure (see Supporting Information) of 13.
- (10). As in the intermolecular cyclopropanation of these intermediates, 3b chirality was not transferred in the cycloaddition of enantioenriched propargyl ester 15 with imine 2b (see Supporting Information).
- (11). The observation that the E/Z-selectivities obtained by trapping with sulfoxides are not identical to the diastereoselectivity observed in the cycloaddition suggests that  $\bf A$  is formed reversibly2b and reacts with nucleophile dependent selectively (see Supporting Information).
- (12). See Supporting Information for details.
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**Scheme 1.** Mechanistic Hypothesis

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		PicAuCl,		0 K N 4	CI-Àu-Ó	-5
Bzo N Ph						
10% catalyst CD <sub>2</sub> Cl <sub>2</sub> , rt	yield $(\%)^a$	9	17	44	33	65
BzO + Ph - Ph - 1 (1.3 equiv) 2a	time (h)	24	24	4	4	2
	catalyst	${ m Ph}_3{ m PAuCl} + { m AgsbF}_6$	$IMesAuCI + AgSbF_6$	AuCl	AuCl <sub>3</sub>	PicAuCl <sub>2</sub> (5%)
	entry	1	2	3	4	S

 $^{a}$ By <sup>1</sup>H NMR versus an internal standard.

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R'\_\_\_\_N\_Ar 5% PicAuCl<sub>2</sub> (**4**)
R CH<sub>2</sub>Cl<sub>2</sub>, π

imine

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azepine

b Ar = 4-HO-2.6-Mo<sub>2</sub>-C<sub>6</sub>H<sub>2</sub> 87% c Ar = 2.6-Mo<sub>2</sub>-C<sub>6</sub>H<sub>3</sub> 80% d Ar = 2.3-Mo<sub>2</sub>-C<sub>6</sub>H<sub>3</sub> 88% e Ar = 4-MeO-C<sub>6</sub>H<sub>4</sub> 65%

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Yield

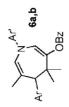
 $\mathbf{b} \text{ Ar} = 4 \text{MeOC}_6 \text{H}_4$  80%

azepine

CH<sub>2</sub>Cl<sub>2</sub>, rt

R' Ar 5% PicAuCl<sub>2</sub> (4)

imine



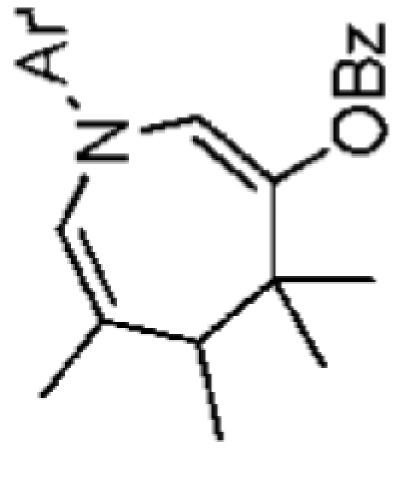
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Yield

azepine

imine



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Yield

62%

63%

azepine

R'\_\_\_\_\_N'Ar 5% PicAuCl<sub>2</sub> (4)

CH<sub>2</sub>Cl<sub>2</sub>, rt

imine

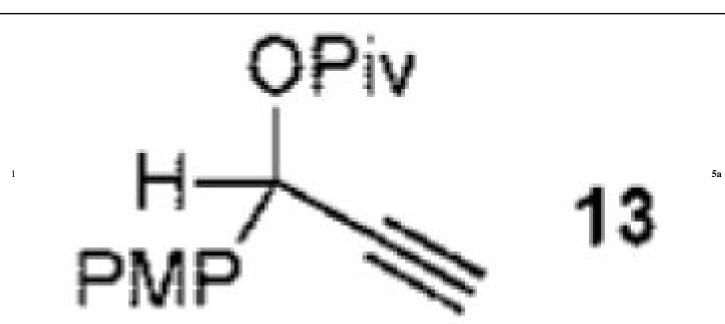
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 Table 3

 Diastereoselective Transformations of Propargyl Esters

$$R^1$$
 $R^2$ 
 $R^3$ 
 $R^4$ 
 $R^2$ 
 $R^3$ 
 $R^4$ 

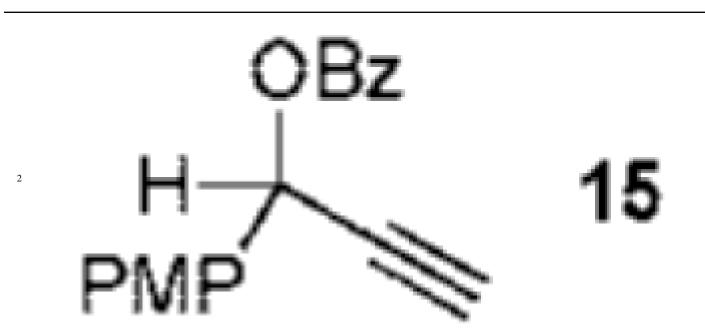
entry propargyl ester imine



$$R^{1}$$
 $R^{2}$ 
 $R^{2}$ 
 $R^{3}$ 
 $R^{3}$ 
 $R^{3}$ 
 $R^{4}$ 

11

entry propargyl ester imine



$$R^1$$
 $R^2$ 
 $R^2$ 
 $R^3$ 
 $R^3$ 
 $R^4$ 
 $R^2$ 
 $R^3$ 
 $R^4$ 

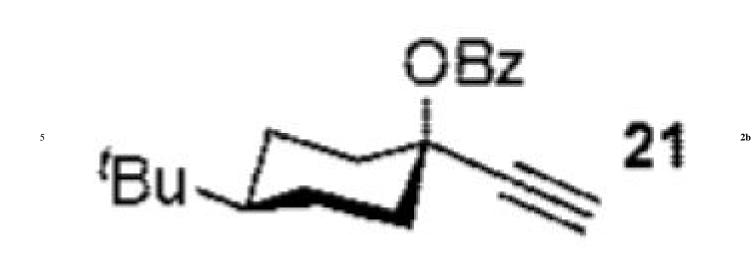
2b

entry	propargyl ester	imino
3	OBz 17	2ь

4 OBz 19

$$R^{1}$$
 $R^{2}$ 
 $R^{2}$ 
 $R^{3}$ 
 $R^{3}$ 
 $R^{4}$ 

entry propargyl ester imin



 $<sup>^</sup>a\mathrm{Conditions:}\ 1.3\ \mathrm{equiv}\ \mathrm{of}\ \mathrm{propargyl}\ \mathrm{ester},\ 5\%\ \mathbf{4},\ \mathrm{CH}_2\mathrm{Cl}_2,\ \mathrm{rt}.$ 

 $<sup>{}^{</sup>b}\text{Conditions: 2 equiv of propargyl ester, }10\%~4, \text{ dichloromethane, }60~^{\circ}\text{C. Ar'} = 4\text{-HO-2,6-Me2-C6H2.}$