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## Oxygen-sensitive $^3\text{He}$ -MRI in bronchiolitis obliterans after lung transplantation

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**Abstract** Oxygen-sensitive  $^3\text{He}$ -MRI was studied for the detection of differences in intrapulmonary oxygen partial pressure ( $p\text{O}_2$ ) between patients with normal lung transplants and those with bronchiolitis obliterans syndrome (BOS). Using software developed in-house, oxygen-sensitive  $^3\text{He}$ -MRI datasets from patients with

normal lung grafts ( $n=8$ ) and with BOS ( $n=6$ ) were evaluated quantitatively. Datasets were acquired on a 1.5-T system using a spoiled gradient echo pulse sequence. Underlying diseases were pulmonary emphysema ( $n=10$  datasets) and fibrosis ( $n=4$ ). BOS status was verified by pulmonary function tests. Additionally,  $^3\text{He}$ -MRI was assessed blindly for ventilation defects. Median intrapulmonary  $p\text{O}_2$  in patients with normal lung grafts was 146 mbar compared with 108 mbar in patients with BOS. Homogeneity of  $p\text{O}_2$  distribution was greater in normal grafts (standard deviation  $p\text{O}_2$  34 versus 43 mbar). Median oxygen decrease rate during breath hold was higher in unaffected patients ( $-1.75$  mbar/s versus  $-0.38$  mbar/s). Normal grafts showed fewer ventilation defects (5% versus 28%, medians). Oxygen-sensitive  $^3\text{He}$ -MRI appears capable of demonstrating differences of intrapulmonary  $p\text{O}_2$  between normal lung grafts and grafts affected by BOS. Oxygen-sensitive  $^3\text{He}$ -MRI may add helpful regional information to other diagnostic techniques for the assessment and follow-up of lung transplant recipients.

**Keywords** Lung · Transplantation · Helium · MRI · Oxygen

## Introduction

$^3\text{He}$ -MRI is a novel technique for functional imaging of the lung. A variety of different pulse sequence types have been described, including spin density, diffusion-weighted, dynamic ventilation and oxygen-sensitive imaging [1–4]. Spin density and dynamic imaging have been applied to lung transplant recipients with the aim to improve non-invasive image-based diagnostics of obliterative bronchiolitis (OB) [5, 6]. In a follow-up study, spin density imaging detected OB earlier than pulmonary function tests in two out of five cases [7].

Pulmonary function testing (PFT) is widely used as the standard diagnostic tool for the follow-up of lung transplant recipients. Transbronchial lung biopsies can confirm clinical suspicion of bronchiolitis obliterans syndrome (BOS). Both approaches have inherent disadvantages. PFT remains a global screen in which functional impairment of lung regions affected by OB may be compensated for by healthy regions [8]. Bronchoscopy with transbronchial biopsy is invasive. Due to the inhomogeneous distribution of OB, foci of disease can be missed [9]. Computed tomography (CT), the standard imaging method in lung transplant recipients, is only moderately sensitive in the detection of OB [10].

$^3\text{He}$ -MRI is a sensitive method to detect ventilatory disturbances in a variety of lung diseases [11–14]. Patients with a clinical diagnosis of BOS show an increased incidence of ventilation defects when compared with patients with a normal lung graft [7]. Since in OB ventilation becomes impaired by obliteration of small airways, impaired pulmonary gas exchange may reduce local alveolar oxygen partial pressure ( $p\text{O}_2$ ) even before a lung region shows signal loss due to attenuated  $^3\text{He}$  intake. Oxygen-sensitive  $^3\text{He}$ -MRI has been validated as a non-invasive method to measure the regional distribution of intrapulmonary  $p\text{O}_2$  [15, 16].

In oxygen-sensitive  $^3\text{He}$ -MRI the T1-shortening effect of molecular oxygen is used to calculate the  $p\text{O}_2$  in a respective volume of interest by signal intensity changes in repeated imaging during a single breath-hold. Intrapulmonary  $p\text{O}_2$  is the result of a local steady-state balance between alveolar ventilation and perfusion of the pulmonary capillary bed, i.e. a regional  $\dot{V}_A/Q$  ratio. Since BO is an airway disease, ventilation becomes impaired in affected regions of the lung. Perfusion will adapt to these changes depending on the responsiveness of hypoxic pulmonary vasoconstriction. In a state of local alveolar hypoventilation, the resultant decrease of intrapulmonary  $p\text{O}_2$  is expected to be compensated for, at least partially, by adaptive changes in perfusion. Nevertheless, the distribution of  $\dot{V}_A/Q$  ratios and hence, intrapulmonary  $p\text{O}_2$  values, would be expected to widen. Even more so, since BO itself is distributed rather inhomogeneously.

The present study investigates whether  $^3\text{He}$ -MRI is capable of differentiating BOS from normal lung grafts, based on the measurements of the intrapulmonary  $p\text{O}_2$  measured by oxygen-sensitive  $^3\text{He}$ -MRI. This might help to better delineate the role of functional  $^3\text{He}$ -MRI in the early detection of OB.

## Materials and methods

### Patients

Approval of the University's Ethics Committee and written informed patient consent were obtained for follow-up after lung transplantation including functional  $^3\text{He}$ -MRI.

The cohort of this retrospective evaluation consisted of 12 patients (three female, nine male) aged between 39 and 61 years (median, 51). There were four double-lung recipients and eight single-lung recipients. The underlying disease necessitating lung transplantation was terminal emphysema in eight cases (including two cases with  $\alpha$ -1-antitrypsin deficiency) and idiopathic pulmonary fibrosis in the remaining four (including two with acute interstitial pneumonia). Two of these patients contributed two datasets during follow-up, so a total of 14 datasets from these 12 patients were used for postprocessing. Of these datasets, eight were acquired in normal grafts, and six in presence of a clinical diagnosis of BOS. The cohort was selected from a group of 23 consecutively scanned lung transplant recipients during a period of 26 months.

Conventional follow-up and diagnosis of BOS were performed according to current recommendations of the International Society for Heart and Lung Transplantation, including serial pulmonary function testing (PFT) [17]. BOS was diagnosed on grounds of PFT deterioration if the ratio between current forced expiratory volume in 1 s (FEV1) and the best post-operative FEV1 became smaller than 0.81.

Inclusion criterion for this observational series was an oxygen-sensitive MRI dataset of diagnostic quality. Image series with low signal-to-noise ratio ( $\text{SNR} \leq 3$ ) due to low gas intake, very advanced states of BOS and prematurely aborted breath-holding were excluded from the study.

### $^3\text{He}$ -Gas

Polarization, storage, transport and application of  $^3\text{He}$  have been described in detail elsewhere [18, 19]. We used  $^3\text{He}$  polarized to 40–45% by metastability exchange optical pumping. The  $^3\text{He}$  gas was administered to the patients using a computer-controlled application device. The device was connected to an intensive care-type ventilator (Servo

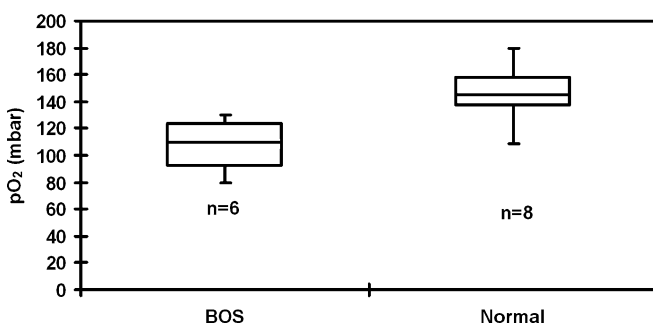
**Table 1** Tabulated results of all evaluated datasets, including ventilation defect percentage ( $SDpO_2$  standard deviation of oxygen partial pressure)

Dataset	BOS	$pO_2$ (mbar)	$SDpO_2$ (mbar)	Ventilation defect (%)
1	+	106	57	10
2	+	131	40	30
3	+	129	54	35
4	+	110	17	87.5
5	+	79	45	25
6	+	86	42	10
7	-	143	31	7.5
8	-	121	17	5
9	-	155	23	4
10	-	141	37	10
11	-	167	60	5
12	-	149	26	15
13	-	108	39	4
14	-	179	38	5

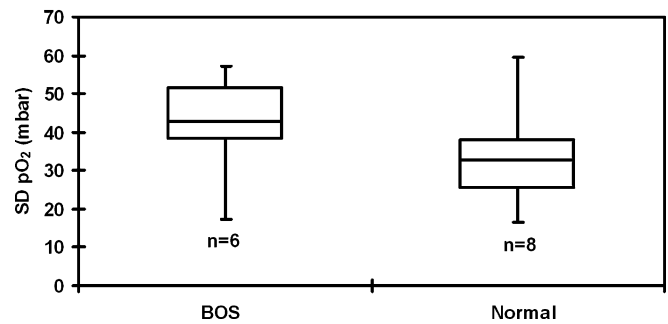
900 C, Siemens Medical Solutions, Erlangen, Germany) and operating in the pressure-support mode, in order to allow a comfortable spontaneous breathing pattern. For imaging approximately 300 ml of hyperpolarized  $^3He$  were administered within a single breath to the patient.

### Imaging

All measurements were performed on a 1.5-T clinical scanner (Magnetom Vision, Siemens Medical Solutions, Erlangen, Germany) tuned to the Larmor frequency of  $^3He$  (48.5 MHz). The scanner was equipped with a broadband amplifier and a dedicated certified double resonant ( $^1H$  and  $^3He$ ) bird cage coil (Fraunhofer Institut, St. Ingbert, Germany) for transmission and reception of radio frequency pulses. We used a spoiled



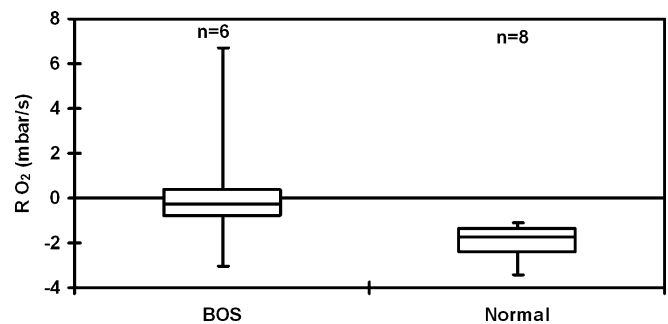
**Fig. 1** Intrapulmonary  $pO_2$  levels in BOS affected and normal lung grafts [plot depicting median, 25th and 75th percentiles (*box*), and minimum and maximum values (*whiskers*)]. There is a clear tendency for lower  $pO_2$  values in the BOS group



**Fig. 2** Distribution of standard deviations of intrapulmonary  $pO_2$  (box-whisker plot). Standard deviation is larger in patients suffering from BOS, reflecting a greater inhomogeneity of intra-pulmonary  $pO_2$  distribution in this disease [plot depicting median, 25th and 75th percentiles (*box*), and minimum and maximum values (*whiskers*) of intra-individual  $pO_2$  standard deviations]

gradient echo pulse sequence oriented coronally with the following parameters: repetition time 11 ms, echo time 4.2 ms, flip angle  $<10^\circ$ . Omission of a slice selection gradient lead to “single slice” projection images. In plane resolution was  $3.95 \times 2.5$  mm at an acquisition matrix of  $81 \times 128$  and a field of view of 320 mm. A double-acquisition technique allowed for mathematical separation of the effects of radio frequency pulses and T1 relaxation of  $^3He$  due to the presence of molecular oxygen. This included two imaging series, consisting of eight images, with each series acquired during inspiratory breath hold. In both series, all parameters had to be kept constant (including inspired gas and  $^3He$  volumes) except the inter-scan interval. This parameter was set to 1.005 s in the first, and 3, 4, or 5 s in the second imaging series, the latter depending on the patients’ capability to hold their breath [15].

During the same imaging session,  $^3He$  spin density images were acquired using the same scanner and coil. This was done during inspiratory breath-hold using a two-dimensional spoiled gradient echo pulse sequence. Images were oriented coronally and provided a slice thickness of 10–15 mm with



**Fig. 3** Decrease rate ( $RO_2$ ) of intrapulmonary  $pO_2$  during breath-hold (box-whisker plot). A smaller  $RO_2$  is an indirect indicator that oxygen uptake is lower in BOS when compared with normal lung grafts [plot depicting median, 25th and 75th percentiles (*box*), and minimum and maximum values (*whiskers*)]

**Table 2** Tabulated results for the assumption of a non-Gaussian (median, inter-quartile range, range) and a Gaussian (mean, standard deviation, confidence interval) distribution (*IQR* interquartile range, *SD* standard deviation, *CI* confidence interval at  $\alpha=0.050$ )

		BOS	Normal
pO <sub>2</sub> (mbar)	Median	108	146
	IQR	33	21
	Range	52	71
	Mean	107	146
	SD	34	13
	CI	27	9
SDpO <sub>2</sub> (mbar)	Median	43	34
	IQR	13	13
	Range	40	43
	Mean	42	34
	SD	13	13
	CI	10	9
RO <sub>2</sub> (mbar)	Median	-0.38	-1.75
	IQR	1.24	1.08
	Range	9.69	2.34
	Mean	0.24	-1.99
	SD	3.05	0.83
	CI	2.4	0.55
Ventilation defect (%)	Median	28	5
	IQR	20	3
	Range	78	11
	Mean	33	7
	SD	29	4
	CI	23	3

an interslice gap of 2–5 mm (repetition time 11 ms, echo time 4.2 ms, flip angle 10°, centric reordering, in-plane resolution 4.2×2.7 mm, matrix 81×128, field of view 340 mm, sinc interpolation to a 256 matrix).

### Image evaluation

Images were transferred to a commercially available IBM-compatible PC. An in-house developed software based on PV-Wave (Visual Numerics, Boulder, Colo.) was used for post-processing [20, 21]. The software is able to read image files in the DICOM standard and automatically excludes regions with a SNR of 3.0 or less. Filtering in the image domain reduced remaining noise. The software calculates the intrapulmonary pO<sub>2</sub> following the physical relationship between noise-corrected signal intensity (*A*) decay in two series of *n* images each, taken at different interscan delays  $\tau_1$  and  $\tau_2$ , and pO<sub>2</sub>:

$$\ln(A_n, \tau_1/A_0) - \ln(A_n, \tau_2/A_0) = f(pO_2).$$

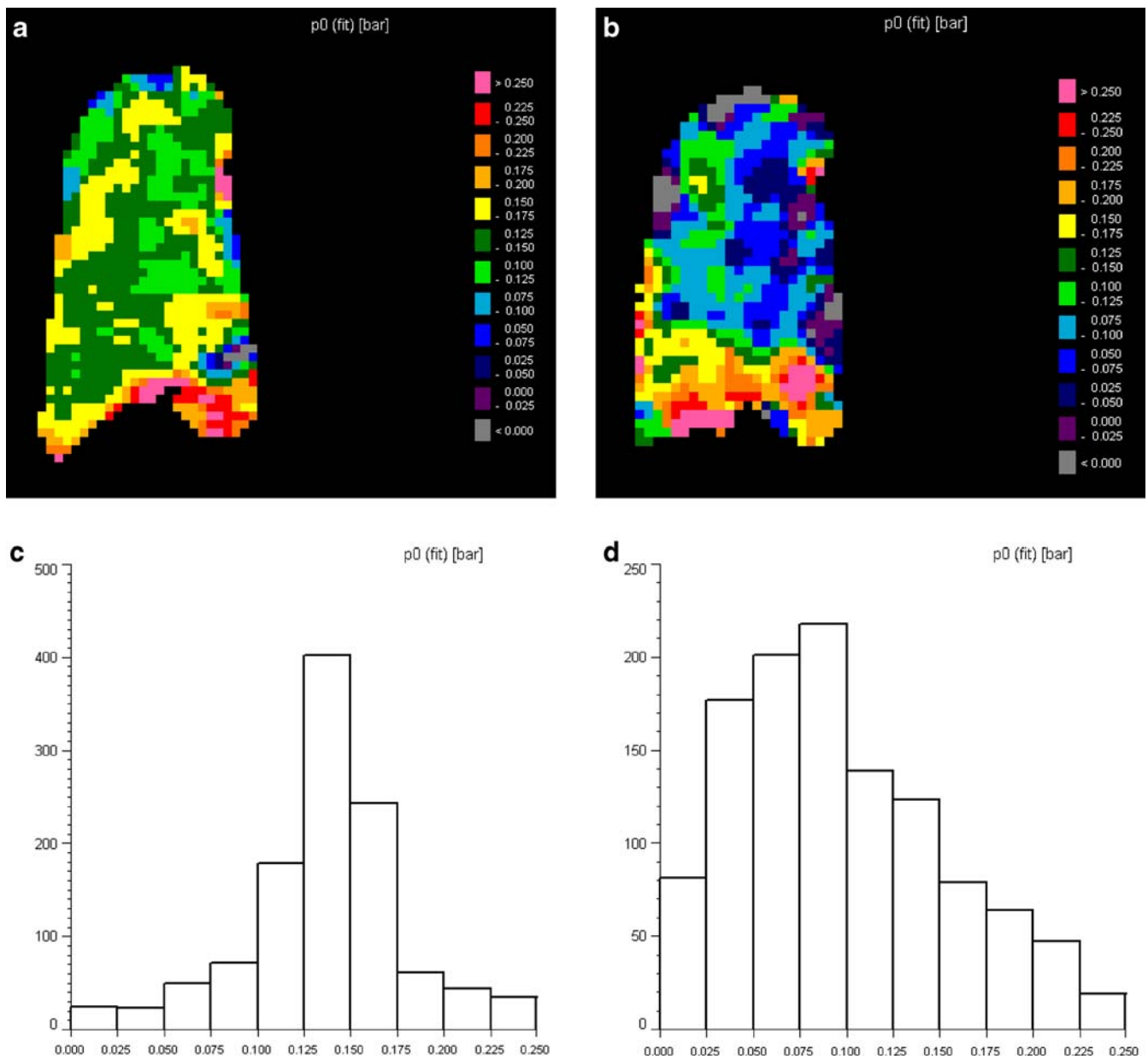
Results are displayed in colour-coded maps. In order to account for remaining image noise, a 2×2 matrix of image

pixels was averaged giving the final 64×64 matrix, to be analysed for pO<sub>2</sub>. The resultant in-plane resolution was 5×5 mm. The software calculates mean pO<sub>2</sub> and its standard deviation as well as the pO<sub>2</sub> decrease rate during the period of apnea while the imaging process takes place (RO<sub>2</sub>). In case of unilateral lung transplants only the grafts underwent evaluation.

Spin density images were assessed for ventilation defects by two observers with several years practice in interpreting <sup>3</sup>He-images. They were blinded to patient history except for transplant status (single, right, left, double). The total volume of ventilation defects was estimated as percentage of total lung volume and expressed as means of both observers' results.

### Statistical analysis

Data analysis was limited to descriptive statistics and graphic displays using box-whisker plots; significance testing, as well as the calculation of an interobserver agreement in the assessment of ventilation defects, was omitted due to the small study sample. Descriptive comparisons were made of mean intrapulmonary pO<sub>2</sub>, intraindividual pO<sub>2</sub> standard deviation in order to describe



**Fig. 4** **a** Intrapulmonary pO<sub>2</sub>-map of a patient with a normal lung graft of a 42-year-old female after right-sided lung transplantation for terminal emphysema. **b** A pO<sub>2</sub> map of the same patient after development of BOS, with a decline of FEV<sub>1</sub> of 30% of her best post-operative FEV<sub>1</sub>. **c** pO<sub>2</sub> histogram of the same patient at normal

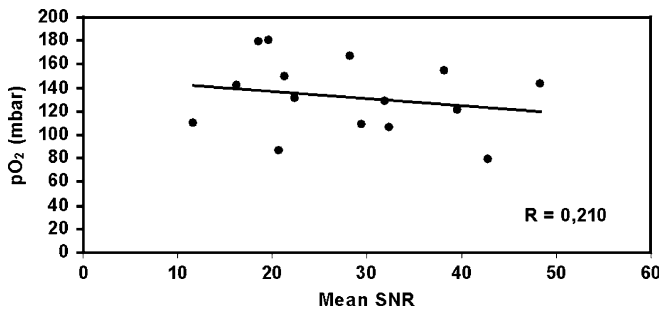
graft function, and **d** after development of BOS. The diminished and more inhomogeneous intrapulmonary pO<sub>2</sub> after BOS development can be well appreciated in the colour-coded maps as well as in the respective pO<sub>2</sub> histograms

homogeneity of its distribution and for mean decrease rate of pO<sub>2</sub> during apnea.

A systematic error towards lower pO<sub>2</sub> in image sets with poor SNR, which could be due to the influence of noise on the post-processing results, was studied by regression analysis of SNR and pO<sub>2</sub> data.

## Results

Median intrapulmonary pO<sub>2</sub> in grafts of patients with diagnosed BOS was 108 mbar (range, min 79 mbar, max 131 mbar, see also Table 1), compared with an intrapulmonary pO<sub>2</sub> of 146 mbar (median; range, min 108 mbar,



**Fig. 5** Correlation between intrapulmonary  $pO_2$  and SNR for all datasets. The low correlation coefficient reflects that lower  $pO_2$  measurements in BOS grafts compared with normal lung grafts are very probably not due to a systematical error in  $pO_2$  calculation routines related to poor SNR

max 179 mbar) in normal grafts. There was an overall trend towards lower intrapulmonary  $pO_2$  levels in BOS patients shown by Fig. 1. In BOS patients, intrapulmonary  $pO_2$  had a wider distribution, indicating a greater degree of ventilation-perfusion inhomogeneity (Fig. 2). Median standard deviation was 43 mbar in BOS patients versus 34 mbar in those with normal lung grafts.  $RO_2$ , i.e.  $pO_2$  decrease rate during breath-holding, was less steep in BOS patients (median,  $-0.38$  mbar/s breath-hold) than in unaffected patients (median,  $-1.75$  mbar/s breathhold) (Fig. 3). As the number of study participants is low, Table 2 lists results for assumptions of Gaussian and non-Gaussian distribution.

Colour-coded maps showed a normal mean intrapulmonary  $pO_2$  level with a quite homogeneous distribution in normal lung grafts, but lower and inhomogeneously distributed  $pO_2$  levels in patients suffering from BOS (Fig. 4). Accordingly, ventilation defects were smaller in normal grafts. Patients with normal grafts presented with a median ventilation deficit of 5% (min 4%, max 15%) versus BOS patients with 28% (min 10%, max 88%).

Regression analysis did not detect any systematical linear relationship between SNR data and  $pO_2$  measurements ( $r=0.21$ , Fig. 5). A systematic error towards lower  $pO_2$  in lung images displaying poor SNR, like those affected by BOS, was therefore not substantiated.

## Discussion

In 12 recipients of unilateral or bilateral lung transplants, oxygen-sensitive  $^3He$ -MRI was added to post-transplant follow-up studies. In a total of 14 datasets, those from patients with normal lung grafts were compared with those from patients diagnosed with BOS. Analysis of intrapulmonary  $pO_2$  data of the grafts demonstrated overall lower and more inhomogeneously distributed intrapulmonary  $pO_2$  in patients diagnosed with BOS when compared with patients with an unaffected graft.

As a first step toward the goal of earlier non-invasive diagnosis of BOS, the technique and findings of this study confirm the predicted reduction of mean intrapulmonary  $pO_2$  and greater inhomogeneity of its distribution in clinically manifest BOS. The study showed that the  $pO_2$  decrease rate during apnea ( $RO_2$ ) was smaller in BOS grafts than in clinically unaffected transplants. Patients not affected by BOS showed results similar to those determined in healthy volunteer subjects (mean $\pm$ SD,  $153\pm 11$  mbar) reflecting a normal ventilation and oxygen exchange [22].

A major limitation of the technique is demonstrated in the  $pO_2$  maps of Fig. 4. One of the intrinsic disadvantages is that oxygen sensitive  $^3He$ -MRI is critically dependent on alveolar entry of sufficient amounts of hyperpolarized  $^3He$  gas. When a lung area contains no signal due to hypoventilation, no  $pO_2$  can be determined. However, such areas are easily delineated in spin density MR images of the lung as ventilation defects. It has been demonstrated in previous studies that patients affected by BOS show more and larger ventilation defects than non-affected patients [7, 23].

There is a variety of other conditions that may cause reduction and inhomogeneity of intrapulmonary  $pO_2$  distribution. Such abnormalities are known to occur in acute pulmonary embolism [24–27], in chronic thromboembolic pulmonary hypertension, as well as in pulmonary fibrosis or emphysema [1, 28, 29].

A  $pO_2$  analysis based on images with low SNR may theoretically introduce a systematical measurement error because of the magnitude reconstruction of the MR images [30]. To study the relevance of this bias, image SNR and resultant  $pO_2$  were correlated. We found a nonsignificant correlation with a coefficient  $r=0.21$ , which does not definitively exclude SNR dependency of the  $pO_2$  measurements, but indicates at least minor importance.

There are several limitations to the present study. First, the small and relatively inhomogeneous study sample, with incomplete independence of compared groups, allows only a rather conservative interpretation of the results, and calls for further confirmation in larger cohorts. Technically, the pulse sequence applied for  $pO_2$  measurement is a two-dimensional sequence without slice selectivity. Such projection images are robust against diffusion of  $^3He$  polarization out of, or into, an imaged slice during the measurement interval, but they are inherently of restricted spatial resolution. First steps have been taken to develop and validate three-dimensional imaging sequences, which provide marked spatial resolution and are, at the same time, robust against molecular diffusion effects [31, 32]. Finally, SNR remains a pivotal issue in oxygen-sensitive  $^3He$  MRI. The gas used in the present study was polarized to a grade of 40–45%. Meanwhile, new gas polarizers have been developed which achieve polarization grades of up to 60%. Higher polarization is expected to improve accuracy of  $^3He$ -MRI based  $pO_2$  measurements as the error produced by image noise is decreased.

Currently, a non-invasive, sensitive and specific method to detect BO in lung transplant recipients is lacking [8–10]. Nevertheless, early detection of the disease is crucial for timely adaptation of the immunosuppressant regimen, in order to preserve as much graft function as possible [33–35]. Although  $^3\text{He}$ -MRI may not prove to offer sufficient sensitivity and specificity to serve as a single diagnostic tool for BO detection, it may become of diagnostic use when combined with other imaging methods [36], e.g. to exclude infectious causes for deterioration of respiratory function [37]. Also, the regional information derived from  $^3\text{He}$ -MRI may provide a road map for transbronchial biopsies, in order to target the most severely affected areas at the least possible discomfort for the patient.

## Conclusion

Oxygen sensitive  $^3\text{He}$ -MRI appears capable to differentiate  $\text{pO}_2$  level grades, distribution and uptake between normal lung grafts and those affected by BOS in lung transplant recipients. Intrapulmonary  $\text{pO}_2$  determined by oxygen sensitive  $^3\text{He}$ -MRI, and its spatial and temporal distribution analysis might become a valuable supplement to current methods for the assessment and follow-up of lung transplant recipients.

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