

# Fine-scale mergers of chloroplast and mitochondrial genes create functional, transcompartmentally chimeric mitochondrial genes

Weilong Hao and Jeffrey D. Palmer<sup>1</sup>

Department of Biology, Indiana University, Bloomington, Indiana 47405

Contributed by Jeffrey D. Palmer, August 3, 2009 (sent for review June 30, 2009)

The mitochondrial genomes of flowering plants possess a promiscuous proclivity for taking up sequences from the chloroplast genome. All characterized chloroplast integrants exist apart from native mitochondrial genes, and only a few, involving chloroplast tRNA genes that have functionally supplanted their mitochondrial counterparts, appear to be of functional consequence. We developed a novel computational approach to search for homologous recombination (gene conversion) in a large number of sequences and applied it to 22 mitochondrial and chloroplast gene pairs, which last shared common ancestry some 2 billion years ago. We found evidence of recurrent conversion of short patches of mitochondrial genes by chloroplast homologs during angiosperm evolution, but no evidence of gene conversion in the opposite direction. All 9 putative conversion events involve the *atp1/atpA* gene encoding the alpha subunit of ATP synthase, which is unusually well conserved between the 2 organelles and the only shared gene that is widely sequenced across plant mitochondria. Moreover, all conversions were limited to the 2 regions of greatest nucleotide and amino acid conservation of *atp1/atpA*. These observations probably reflect constraints operating on both the occurrence and fixation of recombination between ancient homologs. These findings indicate that recombination between anciently related sequences is more frequent than previously appreciated and creates functional mitochondrial genes of chimeric origin. These results also have implications for the widespread use of mitochondrial *atp1* in phylogeny reconstruction.

gene conversion | gene transfer | recombination

Back in the dark ages of organelle “genomics,” well before the term was even coined and genome sequencing became routine, it came as a shock to discover through Southern blot analysis that mitochondrial genomes of flowering plants are rich in sequences captured from the chloroplast genome (1, 2). Indeed, this discovery led Ellis (3) to coin the very term “promiscuous DNA.” Each of the few dozen diverse angiosperm mitochondrial genomes that have been thoroughly examined, by either Southern blots or genome sequencing, contains numerous chloroplast-derived sequences (e.g., ref. 4). For reasons that are only partially clear, transfer of foreign DNA (including mitochondrial sequences) in the opposite direction, i.e., *into* chloroplast genomes, occurs far more rarely (4, 5).

The functional impact of chloroplast-to-mitochondrial promiscuity is thought to be limited virtually entirely to the occasional functional replacement of a native mitochondrial tRNA gene (or a nuclear gene encoding a mitochondrially imported tRNA) by a captured chloroplast tRNA gene (6). Aside from tRNA gene replacements, the only suspected functional impact of plant interorganellar promiscuity is the apparent recruitment of a chloroplast-derived promoter sequence by a native mitochondrial gene in rice (7). All of the many chloroplast protein genes known to be captured by mitochondrial genomes are thought to have had no impact on mitochondrial gene function, with their only fate being to decay as pseudogenes.

This study seeks to address the following question: Are chimeric mitochondrial genes of bicompartmental origin ever created via recombination/gene conversion between homologous chloroplast and mitochondrial genes? Two well-established features and 2 recent sets of discoveries have prompted us to search carefully for this potential impact of DNA promiscuity on mitochondrial gene evolution. First, fully half of the 40 mitochondrial protein genes present in the common ancestor of angiosperms have homologs present in chloroplast genomes. Second, the generally low rates of point mutation (8, 9) and the relatively high degree of sequence conservation of chloroplast and plant mitochondrial genes increase the probability that these genes might at least occasionally recombine with one another. Third, although the frequency of homologous recombination correlates positively and tightly with DNA sequence similarity and decreases sharply with the level of relatedness between donor and recipient (10, 11), several cases have nonetheless been reported in recent years of recombination between sequences that are distantly related in time and/or sequence (5, 12–15). Finally, 2 cases are now known of plant mitochondrial housekeeping genes that have a clear history of chimerism, through recombination between native mitochondrial genes and foreign copies acquired via horizontal gene transfer from other, distantly related angiosperms (16, 17).

To search comprehensively for evidence of recombination between chloroplast and mitochondrial genes, we developed a novel computational approach to examine a large number of sequences. We found evidence of repeated recombination events during angiosperm evolution between the 2 members of the best-conserved and most widely sequenced pair of mitochondrial/chloroplast protein gene homologs.

## Results

We searched for evidence of interorganellar recombination for all 20 protein genes with homologs present in both plant chloroplast and mitochondrial genomes (Table 1), plus the small and large subunit rRNA genes. The high-throughput test for recombination developed for this study (see *Methods*) detected, at the  $P < 0.001$  significance level, 9 putative conversion events in which short segments of a chloroplast gene replaced cognate regions of the endogenous mitochondrial homolog (Table 2; see [supporting information \(SI\) Table S1](#) for a list of all potential events detected with  $P < 0.05$ ). No putative conversions were detected in the opposite direction, i.e., from mitochondrial to chloroplast genes (the best hits in this direction were over an order-of-magnitude higher than the  $P < 0.001$  significance threshold used in this study). All 9 cases involve the same gene

Author contributions: W.H. and J.D.P. designed research; W.H. performed research; W.H. and J.D.P. analyzed data; and W.H. and J.D.P. wrote the paper.

The authors declare no conflict of interest.

<sup>1</sup>To whom correspondence should be addressed. E-mail: jpalmer@indiana.edu.

This article contains supporting information online at [www.pnas.org/cgi/content/full/0908766106/DCSupplemental](http://www.pnas.org/cgi/content/full/0908766106/DCSupplemental).

**Table 1. Homologous protein and rRNA genes present in some or all angiosperm chloroplast (cp) and mitochondrial (mt) genomes**

mt genes <sup>a</sup>		cp homologs <sup>b</sup>		Alignment length	Identity		Gaps % <sup>c</sup>	No. mt segments <sup>d</sup>
Name	Length	Name	Length		No.	% <sup>c</sup>		
Protein								
<i>atp1</i>	507	<i>atpA</i>	507	519	286	56.2	2.7	529
<i>atp6</i>	385	<i>atpI</i>	249	397	64	16.2	40.1	38
<i>atp9</i>	85	<i>atpH</i>	81	89	21	23.6	1.1	58
<i>nad1</i>	325	<i>ndhA</i>	360	367	134	36.4	6.0	35
<i>nad2</i>	499	<i>ndhB</i>	512	526	159	30.6	6.5	26
<i>nad3</i>	118	<i>ndhC</i>	120	121	41	33.9	0.8	47
<i>nad4</i>	495	<i>ndhD</i>	500	511	140	27.7	4.3	31
<i>nad5</i>	669	<i>ndhF</i>	746	779	227	29.4	17.3	33
<i>nad6</i>	205	<i>ndhG</i>	176	218	50	22.9	6.0	28
<i>nad7</i>	394	<i>ndhH</i>	393	403	148	37.0	4.0	21
<i>nad9</i>	190	<i>ndhJ</i>	158	196	36	20.6	11.7	31
<i>rpl2</i>	349	<i>rpl2</i>	274	377	68	18.8	30.8	16
<i>rpl16</i>	179	<i>rpl16</i>	135	179	61	34.1	24.6	27
<i>rps2</i>	531	<i>rps2</i>	236	544	57	10.5	53.7	88
<i>rps3</i>	556	<i>rps3</i>	218	557	65	11.9	58.9	29
<i>rps4</i>	362	<i>rps4</i>	201	370	57	17.3	37.0	24
<i>rps7</i>	148	<i>rps7</i>	155	163	46	29.1	11.0	25
<i>rps12</i>	125	<i>rps12</i>	123	125	72	57.5	0.0	46
<i>rps14</i>	118	<i>rps14</i>	100	119	39	38.2	2.5	31
<i>rps19</i>	94	<i>rps19</i>	92	97	31	33.7	3.1	78
DNA								
<i>atp1</i>	1521	<i>atpA</i>	1521	1557	882	57.8	2.7	529
<i>rrn18S</i>	1935	<i>rrn16S</i>	1491	1995	1061	53.3	28.3	66
<i>rrn26S</i>	2568	<i>rrn23S</i>	2810	3186	1504	47.5	30.6	36

<sup>a</sup>Most of the genes used for these analyses are from the *Arabidopsis* mitochondrial genome (NC.001284), while the *rps14* and *rps19* sequences are from the *Vitis* genome (NC.012119) and the *rps2* and *nad3* sequences are from the *Zea* NB genome (NC.007982).

<sup>b</sup>From the *Arabidopsis* chloroplast genome (NC.000932).

<sup>c</sup>End gaps were excluded when calculating the percentages of identical and gapped sites. Consequently, these percentages differ from those calculated by dividing the number of identical sites by the alignment length. Because this table does, however, include all internal gaps, it presents a very different view of sequence conservation than do the sequence plots in Fig. 1, Fig. S3, and Fig. S4, for which all gaps are excluded.

<sup>d</sup>The number of homologs was determined by a TBLASTN search with an *E*-value < 10<sup>-20</sup> and are limited to angiosperm mitochondrial sequences.

pair, *atp1* and *atpA*, encoding the alpha subunit of ATP synthase (the “coupling factor”) of chloroplasts and mitochondria, respectively. The large number (529) of mitochondrial *atp1* sequences analyzed requires corrections for multiple tests. Applying the conventional, Bonferroni correction, *P*-values for *atp1/atpA* gene conversion remain significant in 6 of the 9 putatively converted groups (Table 2). However, the Bonferroni procedure is known to be overly conservative when the number of tests is large, because it ignores dependencies among the data (18). Simulations were therefore conducted using the consensus of angiosperm *atp1* genes to obtain a more reasonably adjusted *P*-value. The 5% critical value of the simulated *P*-values was considered as the adjusted *P*-value and equals  $2.20 \times 10^{-04}$  (Fig. S1). This *P*-value is larger than the *P*-value of  $9.45 \times 10^{-05}$  from the Bonferroni correction, and, consequently, 2 additional recombinant segments were considered significant (Table 2).

Six of the 9 putative gene conversion events involve largely overlapping subsets of a 40-nucleotide (NT) region that is centered in the region of greatest *atp1/atpA* conservation (Table 2 and Figs. 1 and 2A). As shown in Fig. 2A, all members of the asterid order Lamiales except the 2 basal lineages (represented here by *Syringa*, *Jasminum*, and *Jovellana*) possess a 32-NT segment in mitochondrial *atp1* that is identical (in most Lamiales) to the same region of the angiosperm chloroplast *atpA* consensus sequence, but different (again, in most Lamiales) at fully 8 positions from the angiosperm (Fig. 2A) and asterid (Fig. S2) mitochondrial *atp1* consensus. We infer that early in Lamiales’ evolution, a region of chloroplast *atpA* of between 32 and 44 NT in length replaced the homologous region of mitochondrial

*atp1*. Chloroplast/mitochondrial conversion events involving a subset of (or in 1 case, a potential overlap with) the Lamiales’ conversion region appear to have occurred separately in 5 other groups of angiosperms (Fig. 2A, Table 2).

About 150 NT upstream of this conversion region (Fig. 2A) is a region that appears to have experienced 3 overlapping chloroplast-to-mitochondrial conversion events (Table 2, Fig. 2B). Two of the mitochondrial *atp1* conversion tracts are identical to the angiosperm *atpA* chloroplast consensus: *Ranunculus* and *Myrtus atp1* each contain all 7 and 6 chloroplast-specific NTs within conversion tracts of minimum length 14 and 22 NT, respectively (Fig. 2B). *Apodanthes atp1* contains the longest region of putative chloroplast origin found in this study. This 79-NT tract possesses 16 of 20 NTs specific to the angiosperm chloroplast consensus sequence (Fig. 2B; see *SI Text Analysis of Chloroplast Donor Sequences*) and encompasses or overlaps both the *Ranunculus* and *Myrtus* conversion tracts.

## Discussion

**Advantages and Limitations of the Methodology.** Most existing programs for detecting gene conversion/recombination perform exhaustive searches on all possible sequence combinations, comparing 3 sequences at a time. When the number of sequences is large, it becomes extremely computationally difficult to conduct calculations for all possible combinations of gene triplets. For instance, for the 616 angiosperm *atp1/atpA* sequences analyzed (529 *atp1* genes and 87 *atpA* genes), the number of possible triplets is  $\binom{616}{3} \approx 3.9 \times 10^7$ . In this study, to reduce the

**Table 2. Segments in mitochondrial *atp1* genes derived by conversion with chloroplast *atpA* sequences at  $P < 0.001$**

Species <sup>a</sup>	Chloroplast-derived segments		<i>P</i> -value <sup>b,c</sup>
	Start	End	
Lamiales <sup>d</sup>	1110	1141	
<i>Streptocarpus holstii</i>			<b><math>5.35 \times 10^{-07}</math></b>
<i>Catalpa bignonioides</i>			<b><math>6.87 \times 10^{-09}</math></b>
<i>Empetrum nigrum</i>	1128	1149	<b><math>9.49 \times 10^{-05}</math></b>
<i>Rhododendron impeditum</i>	1104	1141	$2.50 \times 10^{-04}$
( <i>Vaccinium arboreum</i> )	1128	1141	$6.60 \times 10^{-03}$ <sup>e</sup>
( <i>Vaccinium uliginosumi</i> )	1128	1141	$8.86 \times 10^{-03}$ <sup>e</sup>
<i>Chimaphila umbellata</i>	1128	1141	$9.81 \times 10^{-04}$
<i>Clethra arborea</i>	1119	1141	<b><math>3.30 \times 10^{-07}</math></b>
<i>Clethra barbinervis</i>	1119	1141	<b><math>3.15 \times 10^{-07}</math></b>
<i>Passiflora suberosa</i>	1128	1141	<b><math>1.01 \times 10^{-04}</math></b>
<i>Cynomorium coccineum</i>	1119	1149	<b><math>1.66 \times 10^{-05}</math></b>
<i>Citrus</i> sp.	1110	1141	$3.98 \times 10^{-04}$
<i>Apodanthes caseariae</i>	942	1020	<b><math>1.27 \times 10^{-10}</math></b>
<i>Ranunculus</i> sp.	957	970	<b><math>3.58 \times 10^{-06}</math></b>
<i>Myrtus communis</i>	1008	1029	<b><math>1.24 \times 10^{-05}</math></b>

<sup>a</sup>Species order is shown as in Fig. 2.

<sup>b</sup>Numbers shaded in gray are significant at  $P < 0.05$  after Bonferroni correction.

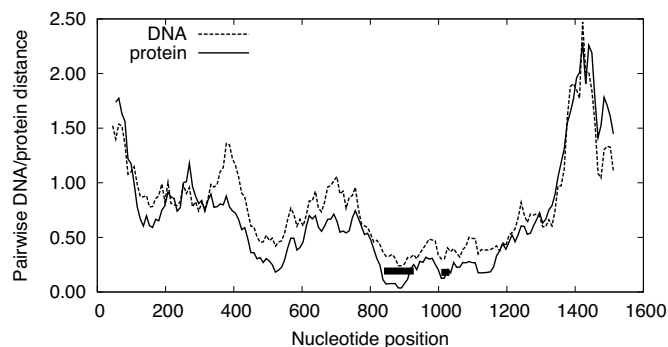
<sup>c</sup>Numbers in bold are significant at  $P < 0.05$  as per simulations (Fig. S1).

<sup>d</sup>Of the 27 species of Lamiales examined, only those with the largest and smallest *P*-values are shown.

<sup>e</sup>These are included because they belong to a clade that otherwise meets the  $P < 0.001$  criterion (Fig. 2A).

number of comparisons and thus the computational burden, we fixed 2 of the 3 sequences in each comparison by using the angiosperm chloroplast and mitochondrial consensus sequences. The rationale for this approach is that because most plant mitochondrial lineages have extremely low substitution rates (8, 9), because chloroplast lineages also generally have low substitution rates (ref. 8; but not as low as those of plant mitochondria), and because mitochondria and chloroplasts diverged so anciently (*ca.* 2 billion years ago), chloroplast regions embedded within angiosperm mitochondrial genes should be notably different in sequence from cognate regions of other angiosperm mitochondrial genes but highly similar to those of angiosperm chloroplast genes.

The method used in this study (Table 2) is more sensitive than the GENECONV program (Table S2) for detecting recombination. However, the use of consensus sequences, while computationally advantageous, carries a risk (see *SI Text Analysis of Chloroplast Donor Sequences*) that recombination events involving chloroplast regions that differ significantly from the chloroplast consensus sequence will be missed (whereas such events would be detected in analyses using the actual donor sequence or sequences closely related to it). By the same token, the consensus approach also poses a (probably smaller) risk of yielding false positives (this can be effectively ruled out for the 4 conversion cases for which chloroplast *atpA* sequences are available for closely related species (see *SI Text Analysis of Chloroplast Donor Sequences*). A general problem for all recombination detection programs is a lack of sensitivity for detecting events involving highly conserved regions with few informative sites. For these reasons, and also considering the relatively stringent significance threshold ( $P < 0.001$ ) used for our recombination search, the number of interorganellar recombinations detected in this study should probably be considered a lower bound on the actual number of such events. In particular, some of the 15 lowest-scoring but nonetheless not-unreasonable can-



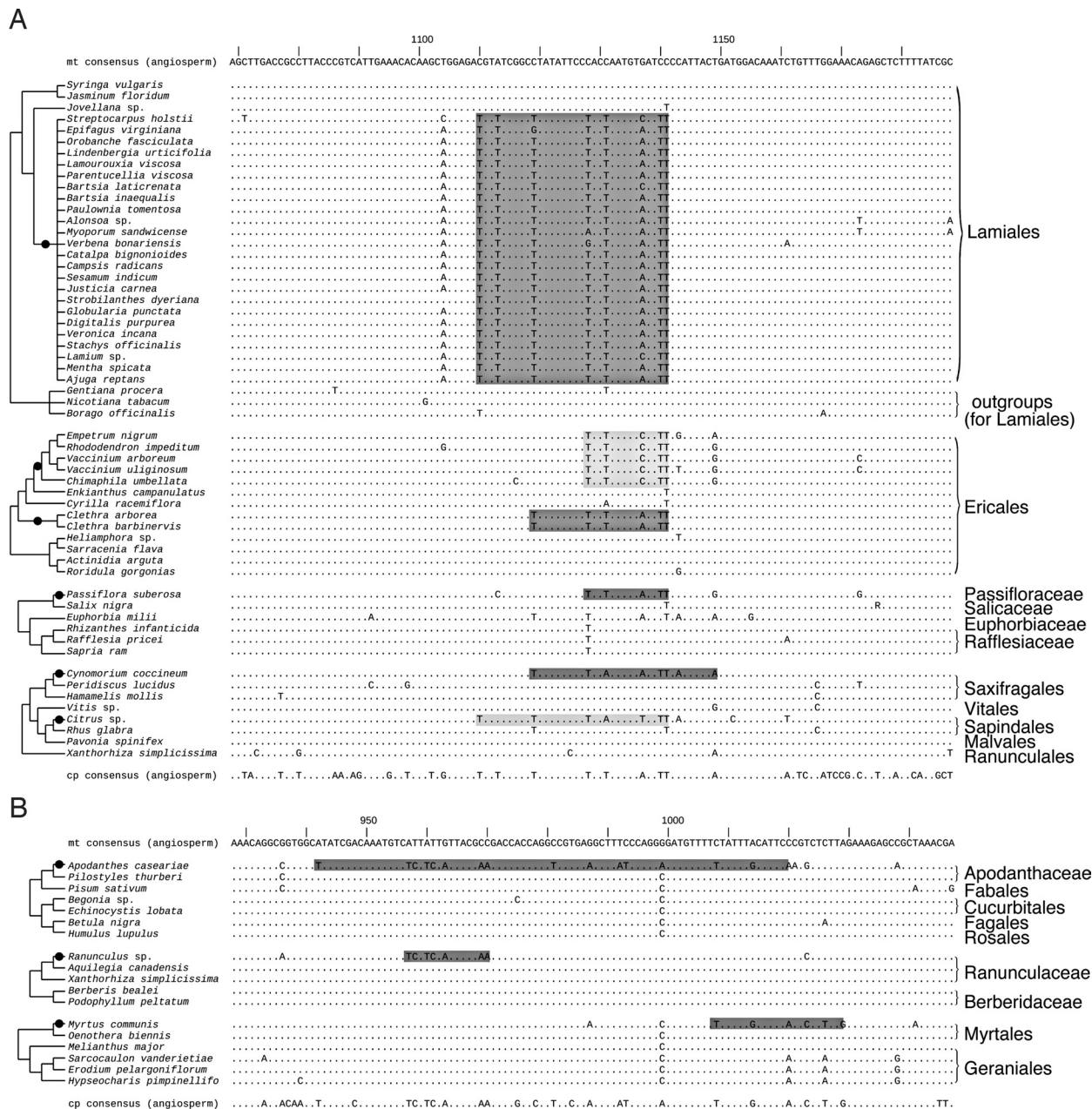
**Fig. 1.** Amino acid and nucleotide conservation between mitochondrial *atp1* and chloroplast *atpA* of *Arabidopsis thaliana*. The plots used sliding windows of 30 aa or 90 NT, slid 3 aa or 9 NT at a time. The y axis corresponds to the estimated number of substitutions/changes per site, with DNA distance measured using the F84 matrix and protein distance using the JTT matrix. The regions involved in gene conversion are marked by bars, with the first corresponding to nucleotide positions 942-1029 (Fig. 2B) and the second to positions 1110-1149 (Fig. 2A). Note that these plots are based solely on the alignment of the 2 *Arabidopsis* sequences; some coordinates will differ as compared to the multiple sequence alignments.

didate cases for chloroplast/mitochondrial recombination listed in Table S1 (those with  $P < 0.05$  but  $> 0.001$ ) may well be bona fide cases. Such cases would be considerably strengthened if much denser taxon sampling were to reveal an abrupt phylogenetic divide in the sequence (chloroplast vs. mitochondrial) of the region in question.

#### Mechanisms and Historical Patterns of Conversion and Gene Transfer.

Various alternative explanations that could in theory account for these findings—i.e., mitochondrial retroprocessing, experimental artefacts, and intense, directional purifying selection—can be ruled out as discussed in *SI Text Alternative Explanations for the Findings of This Study*. We are therefore confident that all 9 “chloroplast-like” segments that are embedded in plant mitochondrial *atp1* genes did indeed ultimately arise from gene conversion events between a “visiting” chloroplast *atpA* gene and a resident mitochondrial *atp1* gene. Given the extensive and sometimes long-term incorporation of chloroplast sequences within angiosperm mitochondrial genomes (1, 2, 4), it seems likely that most if not all of these conversions occurred by intramitochondrial recombination, probably occurring well after the integration of chloroplast *atpA* genes in various mitochondrial genomes [the only sequenced mitochondrial genome from the 9 converted lineages (*Digitalis* from the Lamiales; J. P. Mower and J. D. Palmer, unpublished results) lacks chloroplast *atpA*]. However, it is difficult if not impossible to rule out more direct events, whereby a transiently introduced, nonintegrated chloroplast *atpA* sequence converted directly with its resident mitochondrial homolog.

The extensive overlap if not positional identity (see *Passiflora* and the *Empetrum-Vaccinium* clade) among many of the chloroplast conversion tracts raises the possibility of a nonindependent history of some of these conversion tracts. Because *Passiflora* and *Empetrum* et al. are distantly related angiosperms, with many phylogenetically intervening lineages that lack this tract (far more than shown in Fig. 2A), they must have obtained it by separate events. However, whether these 2 separate events were fully independent of one another is entirely another matter, especially in light of the relatively frequent horizontal transfer of genes from one mitochondrial genome to another in angiosperm evolution (16, 17, 19). Either 2 independent chloroplast/mitochondrial conversion events occurred in *Passiflora* and *Empetrum* et al., or a single such conversion event was followed



EVOLUTION

**Fig. 2.** Chloroplast-like sequences embedded in plant mitochondrial *atp1* genes. The NT coordinates shown correspond to those in the whole-gene alignments of Figs. S2 and S7. Filled circles on the trees indicate the timing of putative gene conversion events. Regions that are or are not significant as per simulations are shaded in dark or light gray, respectively (Table 2 and Fig. S1). See *SI Text Sources of the Topologies Shown in Fig. 2* for sources of the tree topologies shown.

by the spread of the resulting recombinant mitochondrial *atp1* gene into a second mitochondrial lineage by mitochondrial-to-mitochondrial horizontal transfer. As discussed in *SI Text Possible Spread of the Chloroplast Conversion Tract in Parasitic Angiosperms via Mitochondrial Horizontal Gene Transfer*, mitochondrial horizontal transfer should also be considered for the nonphotosynthetic parasites *Apodanthes* and *Cynomorium*, which could have acquired their conversion tracts from photosynthetic donors, such as their host plants. In any event, regardless of the relative balance between these 2 types of transfer, both processes involve recombination/conversion between native and foreign sequences, of greater (chloroplast/mitochondrial) or lesser (mitochondrial/mitochondrial) divergence.

**Why Are All Chloroplast/Mitochondrial Conversions in *atp1*?** At least 3 factors could contribute to this very biased pattern. First, and

most importantly, *atp1* is (with but 1 exception) by far the most conserved of the 20 protein genes examined, and sequence conservation is crucial to both the occurrence and fixation of gene conversion events. Table 1 shows that only *atp1* (56.2%) and *rps12* (57.5%) have greater than 38% amino acid conservation relative to their chloroplast homologs among the 20 protein genes examined. *atp1* is 4 times longer than *rps12*. Importantly, *atp1* also contains several short stretches that are substantially better conserved than any regions in *rps12* (or any of the 18 shared protein genes; Fig. S3), and all 9 conversion tracts are restricted to the 2 regions of highest amino acid and nucleotide conservation in *atp1/atpA* (Fig. 1). These findings fit well with observations in other systems that the frequency of homologous recombination correlates positively and strongly with the degree of sequence conservation and is particularly

associated with the presence of shared blocks of high similarity (10, 11). A high level of sequence conservation is important in 2 respects: First, it both promotes the physical occurrence of gene recombination/conversion and also introduces foreign sequences with relatively few differences such that the resulting chimeric gene is more likely to be fixed. Second, *atp1* is by far the most widely sequenced of the 22 mitochondrial genes examined in this study, with 529 angiosperm sequences being available for *atp1* compared to only 16–88 (mean = 37, median = 31) for the other 21 genes (Table 1). The third factor was described in the preceding section, i.e., a relatively small number of actual chloroplast/mitochondrial conversions (“founder events”) could have ramified into a larger number of apparent conversions via subsequent mitochondrial-specific horizontal transfer.

The small and large subunit rRNA genes are better conserved at the nucleotide level than is *atp1* as viewed in plots in which all gaps are excluded (Fig. S4). Why, then, were no conversion events detected between chloroplast and mitochondrial rRNA genes? First, as already noted, these genes have been much less widely sequenced (66 and 36 times) in angiosperm mitochondria than has *atp1* (519 times). Second, what Fig. S4 does not show is that there are many gaps in the rRNA alignments, whereas the *atp1/atpA* alignment is virtually free of gaps, and gaps will reduce the odds of successful recombination between otherwise well-conserved sequences. Third, the best conserved regions in rRNA genes are for the most part involved in secondary base pairing of the rRNAs. Given both the distribution of conservation across rRNA genes, such that most physically successful conversions are likely to be short, and also the often disparate location of paired elements in the primary sequence of the gene, most conversions will affect only one-half of a stem region and therefore be so destabilizing of secondary structure as to be grossly deleterious. Finally, the redundancy of the genetic code means that many nucleotide substitutions in *atp1/atpA* are silent, and indeed this is particularly important in the 2 regions of recurrent recombination (Figs. 1 and 2; also see below), whereas rRNA lacks such genetic buffering. Nonetheless, at least 1 case of a successful, fixed recombination between anciently divergent rRNA genes has been reported (in cyanobacteria; ref. 13), and we would not be surprised if greatly expanded sequencing of mitochondrial rRNA genes does not reveal the occasional chloroplast conversion event.

**Functionality of Chloroplast/Mitochondrial Chimeric Genes.** For the following combination of reasons, we think that all 9 sets of chimeric, mitochondrially located (see *SI Text Mitochondrial Provenance and Copy Number of Chimeric atp1 Genes*) *atp1* genes that contain short conversion tracts of chloroplast origin are probably functional. First, all of these chimeric genes have intact ORFs insofar as sequenced and show no evidence of being pseudogenes. Second, there is no reason to suspect that any of these are cryptic, mitochondrial pseudogenes (i.e., pseudogenes that have not yet sustained mutations that readily identify them as such), with the functional copy of *atp1* having been transferred to the nucleus. This is because, in sharp distinction to the frequent functional transfer of many other mitochondrial genes in plants, there is no evidence that *atp1* has ever been functionally transferred in plants, despite survey of hundreds of diverse angiosperms (9, 20). Third, and related to the preceding point, most if not all of these chimeric genes are probably the only copy of *atp1* present in the mitochondrial genome (see *SI Text Mitochondrial Provenance and Copy Number of Chimeric atp1 Genes*). Fourth, approximately all 13–16 or so (see *SI Text Analysis of Chloroplast Donor Sequences* on ambiguities for *Apodanthes*) putatively postrecombination substitutions within the recombinant regions are synonymous, consistent with the regions (and genes) still being under functional constraint. Fifth, the chloroplast-derived segments within the *atp1* genes are

remarkably similar to the corresponding native mitochondrial sequences at the amino acid level, introducing but a single amino acid replacement among all 9 cases (Fig. S5). Finally, chimeric *atp1* transcripts are well represented in EST libraries available for several relevant taxa (see *SI Text Functionality of Chimeric atp1 Genes—Evidence of Transcription* and Fig. S6). Thus, there is little reason to think that the introduction of these chloroplast regions has created significantly maladapted mitochondrial *atp1* genes. This study therefore presents unique evidence for the occurrence of gene conversion, creating functional chimeric genes, across the ca. 2-billion-year divide between chloroplast and mitochondrial genes. It thereby establishes a unique role for chloroplast-to-mitochondrial transfer in modifying the mitochondrial proteome of plants.

**Gene Conversion and Phylogenetic Inference.** *atp1* is 1 of 3 mitochondrial genes that has been widely sequenced and used to help reconstruct angiosperm phylogeny (the other 2, *cox1* and *matR*, lack chloroplast homologs). It is therefore important to consider the potential phylogenetic repercussions of recurrent chloroplast conversion of *atp1*. On the one hand, gene conversion events, when properly recognized as such, represent so-called “rare genomic changes,” which have the potential to serve as individual phylogenetic characters of notable significance (21). On the other hand, when unrecognized (as has been the case heretofore), these “single characters” will be improperly overweighted in sequence-based phylogenetic analyses; the more NT differences they introduce, the greater the overweighting. In conjunction with the recurrent, homoplasious nature of the *atp1/atpA* conversions and the very low substitution rates in most plant mitochondrial genomes, overweighting because of unrecognized conversion could cause serious phylogenetic error (although it does not seem to with respect to the currently available data; analyses not shown).

**General Implications for Recombination.** This study adds significantly to the number of known cases (5, 12–15) of gene conversion between distantly related sequences. The frequency of gene conversion is so well established to be strongly inversely proportional to sequence divergence (10, 11) that it is hardly surprising that studies of gene conversion in nuclear genomes have focused on recently duplicated genes (11). Nonetheless, our findings recommend that increased attention be given to the possibility of rarer, but potentially evolutionarily significant conversion among the hundreds to thousands of anciently arisen gene families that inhabit many nuclear genomes.

Finally, our findings further emphasize the importance of recombination, and the diversity of ways in which it is manifest, in the evolution and function of angiosperm mitochondrial genomes. The importance of recombination first became apparent 25 years ago at the whole-genome level, in the context of generating multipartite genomes (22, 23). Since then, recombination has been shown to create chimeric functional genes in 3 very different contexts: intramitochondrial recombination to generate functionally novel, chimeric genes involved in cytoplasmic male sterility (24); recombination between native and foreign mitochondrial genes to create hybrid forms of canonical mitochondrial genes (16, 17); and, now, recombination between anciently divergent chloroplast and mitochondrial genes. One wonders what recombinational tricks plant mitochondrial genomes might still have up their sleeves.

## Methods

Sequence similarity searches were performed with TBLASTN against the non-redundant GenBank database using the *Arabidopsis atp1* protein sequence as the query sequence. All significant hits were required to have an expect-value  $< 10^{-20}$  and to match the query sequence by over 70% of its length. A total of 529 mitochondrial *atp1* sequences were retained for analysis. Chloroplast

*atpA* sequences of angiosperms were extracted only when their complete chloroplast genomes were available (87 in total).

DNA sequences were extracted from GenBank files and translated into protein sequences. These were aligned using MUSCLE (25), and nucleotide alignments were created by replacing each amino acid with its corresponding codon using in-house PERL scripts. Phylogenies were constructed using PhyML (26) with a GTR + I + I model. Parameters estimated from the 529 *atp1* and 87 *atpA* sequences were used in further simulation studies.

The core calculation for detecting potential recombination/conversion tracts was conducted using a method modified from the Recombination Detection Program (27). In brief, this program (the source code for which is available upon request of the first author, with a user-friendly version in preparation as a separate publication) compares 3 sequences at a time by examining only informative sites. The probability of observing 1 recombination follows a binomial distribution:

$$P = \frac{L}{N} \times \sum_{m=M}^N \left( \frac{N!}{m!(N-m)!} \right) p^m \times (1-p)^{N-m}$$

where  $L$  is the length of informative sites,  $N$  is the length of the putative recombinant segment, and  $M$  and  $p$  are the number and proportion, respectively, of nucleotides shared between the putative recombinant sequences.

1. Stern DB, Lonsdale DM (1982) Mitochondrial and chloroplast genomes of maize have a 12-kilobase DNA sequence in common. *Nature* 299:698–702.
2. Stern DB, Palmer JD (1984) Extensive and widespread homologies between mitochondrial DNA and chloroplast DNA in plants. *Proc Natl Acad Sci USA* 81:1946–1950.
3. Ellis J (1982) Promiscuous DNA: Chloroplast genes inside plant mitochondria. *Nature* 299:678–679.
4. Goremykin VV, Salamini F, Velasco R, Viola R (2008) mtDNA of *Vitis vinifera* and the issue of rampant horizontal gene transfer. *Mol Biol Evol* 26:99–110.
5. Rice DW, Palmer JD (2006) An exceptional horizontal gene transfer in plastids: Gene replacement by a distant bacterial paralog and evidence that haptophyte and cryptophyte plastids are sisters. *BMC Biol* 4:31.
6. Miyata S, Nakazono M, Hirai A (1998) Transcription of plastid-derived tRNA genes in rice mitochondria. *Curr Genet* 34:216–220.
7. Nakazono M, Nishiwaki S, Tsutsumi N, Hirai A (1996) A chloroplast-derived sequence is utilized as a source of promoter sequences for the gene for subunit 9 of NADH dehydrogenase (*nad9*) in rice mitochondria. *Mol Gen Genet* 252:371–378.
8. Wolfe KH, Li WH, Sharp PM (1987) Rates of nucleotide substitution vary greatly among plant mitochondrial, chloroplast, and nuclear DNAs. *Proc Natl Acad Sci USA* 84:9054–9058.
9. Mower JP, Touzet P, Gummow JS, Delph LF, Palmer JD (2007) Extensive variation in synonymous substitution rates in mitochondrial genes of seed plants. *BMC Evol Biol* 7:135.
10. Majewski J, Cohan FM (1999) DNA sequence similarity requirements for interspecific recombination in *Bacillus*. *Genetics* 153:1525–1533.
11. Chen JM, Cooper DN, Chuzhanova N, Ferec C, Patrinos GP (2007) Gene conversion: Mechanisms, evolution and human disease. *Nat Rev Genet* 6:762–777.
12. Archibald JM, Roger AJ (2002) Gene conversion and the evolution of euryarchaeal chaperonins: A maximum likelihood-based method for detecting conflicting phylogenetic signals. *J Mol Evol* 55:232–245.
13. Miller SR, et al. (2005) Discovery of a free-living chlorophyll *d*-producing cyanobacterium with a hybrid proteobacterial/cyanobacterial small-subunit rRNA gene. *Proc Natl Acad Sci USA* 102:850–855.

We calculated the probability of recombination by comparing each mitochondrial gene sequence analyzed with the 2 fixed sequences for that gene (see *Discussion*). Segments with a significantly higher similarity to the chloroplast than the mitochondrial consensus were deemed to be recombinant.

We first adjusted for multiple comparisons using the Bonferroni correction ( $P < 0.05/k$ ,  $k$  is the number of tests = the number of *atp1* genes = 529), and  $P$ -values would have to be smaller than  $8.38 \times 10^{-5}$  to be considered significant at the 5% level. Second, as a less conservative adjustment, we performed simulations to estimate the distribution of the calculated  $P$ -values. In brief, sequences were simulated on the basis of the phylogeny of the 529 *atp1* and 87 *atpA* genes with parameters estimated by PhyML using the Seq-Gen program (28). Simulated sequences were then analyzed for recombination using the above procedure. Five hundred iterations were conducted, and the smallest  $P$ -value for recombination in each iteration was recorded. The 5% quantile of the  $P$ -value distribution was used to determine significance.

**ACKNOWLEDGMENTS.** We thank Andy Alverson and Brian Golding for comments on the manuscript; Todd Barkman and Claude dePamphilis for supplying the previously undeposited *Citrus atp1* sequence; Todd Barkman for providing other unpublished data and for very helpful discussion; and the 2 reviewers for exceptionally helpful and insightful comments. This work was supported by National Institutes of Health research grant RO1-GM-70612 (to J.D.P.) and by the METACyt Initiative of Indiana University, funded in part through a major grant from the Lilly Endowment, Inc.

14. Inagaki Y, Susko E, Roger AJ (2006) Recombination between elongation factor 1 $\alpha$  genes from distantly related archaeal lineages. *Proc Natl Acad Sci USA* 103:4528–4533.
15. Keeling PJ, Palmer JD (2001) Lateral transfer at the gene and subgenomic levels in the evolution of eukaryotic enolase. *Proc Natl Acad Sci USA* 98:10745–10750.
16. Bergthorsson U, Adams KL, Thomason B, Palmer JD (2003) Widespread horizontal transfer of mitochondrial genes in flowering plants. *Nature* 424:197–201.
17. Barkman TJ, et al. (2007) Mitochondrial DNA suggests at least 11 origins of parasitism in angiosperms and reveals genomic chimerism in parasitic plants. *BMC Evol Biol* 7:248.
18. Nakagawa S (2004) A farewell to Bonferroni: The problems of low statistical power and publication bias. *Behav Ecol* 15:1044–1045.
19. Richardson AO, Palmer JD (2007) Horizontal gene transfer in plants. *J Exp Bot* 58:1–9.
20. Adams KL, Qiu YL, Stoutemyer M, Palmer JD (2002) Punctuated evolution of mitochondrial gene content: High and variable rates of mitochondrial gene loss and transfer to the nucleus during angiosperm evolution. *Proc Natl Acad Sci USA* 99:9905–9912.
21. Rokas A, Holland PW (2000) Rare genomic changes as a tool for phylogenetics. *Trends Ecol Evol* 15:454–459.
22. Palmer JD, Shields CR (1984) Tripartite structure of the *Brassica campestris* mitochondrial genome. *Nature* 307:437–440.
23. Lonsdale DM, Hodge TP, Fauron CMR (1984) The physical map and organization of the mitochondrial genome from the fertile cytoplasm of maize. *Nucleic Acids Res* 12:9249–9261.
24. Newton KJ, Gabay-Laughnan S, De Paepe R (2004) Mitochondrial mutations in plants. *Plant Mitochondria: From Genome to Function*, eds Day DA, Millar AH, Whelan J (Kluwer, Dordrecht, The Netherlands), pp 121–142.
25. Edgar RC (2004) MUSCLE: Multiple sequence alignment with high accuracy and high throughput. *Nucleic Acids Res* 32:1792–1797.
26. Guindon S, Gascuel O (2003) A simple, fast, and accurate algorithm to estimate large phylogenies by maximum likelihood. *Syst Biol* 52:696–704.
27. Martin D, Rybicki E (2000) RDP: Detection of recombination amongst aligned sequences. *Bioinformatics* 16:562–563.
28. Rambaut A, Grassly NC (1997) Seq-Gen: an application for the Monte Carlo simulation of DNA sequence evolution along phylogenetic trees. *Comput Appl Biosci* 13:235–238.