

# Rapid DNA loss as a counterbalance to genome expansion through retrotransposon proliferation in plants

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Edited by Jeffrey L. Bennetzen, University of Georgia, Athens, GA, and approved August 18, 2009 (received for review April 21, 2009)

Transposable elements, particularly LTR-retrotransposons, comprise the primary vehicle for genome size expansion in plants, while DNA removal through illegitimate recombination and intras-trand homologous recombination serve as the most important counteracting forces to plant genomic obesity. Despite extensive research, the relative impact of these opposing forces and hence the directionality of genome size change remains unknown. In *Gossypium* (cotton), the 3-fold genome size variation among diploids is due largely to copy number variation of the *gypsy*-like retrotransposon *Gorge3*. Here we combine comparative sequence analysis with a modeling approach to study the directionality of genome size change in *Gossypium*. We demonstrate that the rate of DNA removal in the smaller genomes is sufficient to reverse genome expansion through *Gorge3* proliferation. These data indicate that rates of DNA loss can be highly variable even within a single plant genus, and that the known mechanisms of DNA loss can indeed reverse the march toward genomic obesity.

C-value | cotton | genome evolution | *Gossypium* | transposable elements

Plant genomes vary enormously in size, from approximately 63 Megabases (Mb) in *Genlisea margaretae* (1) to greater than 120 Gigabases (Gb) in members of the Liliaceae (2, 3). The genesis of this extraordinary variation has been of interest for over half a century (4, 5), and numerous studies have shown that most genome size variation in plants can be ascribed to differential accumulation of the repetitive fraction of the genome, particularly long terminal repeat (LTR) retrotransposons (6–15). Additionally, transposable element (TE) proliferation is a dynamic process, occurring repeatedly over short evolutionary timescales. For example, studies in maize suggest a doubling of its genome over as little as 3 million years due to TE accumulation alone (10, 11). The same pattern has been shown in *Oryza australiensis*, where three types of LTR-retrotransposons have proliferated recently and rapidly in episodic bursts that have doubled the genome within the last 2 million years (16). Similarly, *cop* and LINE-like elements in some diploid member of *Gossypium* have amplified via episodic bursts within the last 5 million years, although at different times in each species' evolutionary history (17).

Several mechanisms of DNA loss have been shown to attenuate genome expansion through TE proliferation. One is intras-trand homologous recombination, thought to occur predominantly between the directly repeated LTRs of retrotransposons, typically evidenced by a remaining solo LTR (12, 18). A second mechanism is illegitimate recombination, which generally takes place via nonhomologous end-joining (NHEJ) or slip-strand mispairing, resulting in small deletions (19, 20). Comparisons of internally deleted LTR-retrotransposons from rice and *Arabidopsis* suggest that illegitimate recombination may be the driving force behind DNA removal in these taxa with smaller genomes (19, 20). In these studies, however, the rate of genome size expansion through TE proliferation is greater than that of DNA removal, leading ultimately to larger genomes.

Given the rapid and recent accumulation of TEs in many plant genomes, combined with a short half-life for LTR-retrotransposons (20), insights into deletion dynamics and their impact on the directionality of plant genome size change are likely to emerge from studies of relatively recently diverged taxa (21). The cotton genus, *Gossypium*, is an especially good model in this respect. *Gossypium* (Malvaceae) is a monophyletic genus comprising approximately 50 diploid species of small trees and shrubs that are distributed throughout the world (22–25). Diploid members contain 13 chromosomes and are divided into eight (A–G, K) genome groups based on chromosome pairing behavior and interspecific fertility in hybrids (26, 27). Haploid nuclear content ranges 3-fold, from an average 885 Mb in the New World, D-genome species, to 2572 Mb in the Australian, K-genome species (28). This wide range in genome sizes and a well established phylogeny make *Gossypium* an excellent model for studying the impact and dynamics of DNA removal as an evolutionary determinant of genome size.

Here, we focus on the abundant *gypsy*-like LTR-retrotransposon, *Gorge3* (6). Using degenerate primers for the reverse transcriptase (RT) region of *Gorge3*, we amplified and performed phylogenetic analysis of 724 sequences from three *Gossypium* species that range 3-fold in genome size and from a phylogenetic sister group (24) to *Gossypium*, i.e., *Gossypioideis kirkii*. Consistent with expectations from other studies in angiosperms, we show that recent episodic bursts of transposition have, in fact, occurred in each lineage, and that the magnitude of each burst is in direct positive correlation with genome size. In addition, however, we use a modeling approach to show that species with small genomes have experienced a faster rate of *Gorge3* sequence removal relative to the rate of accumulation, leading to an overall decrease in genome size. The implication is that DNA removal is a powerful determinant of genome size variation among plants and that it can be a sufficiently strong force to not only attenuate, but reverse genome expansion through transposon accumulation.

## Results

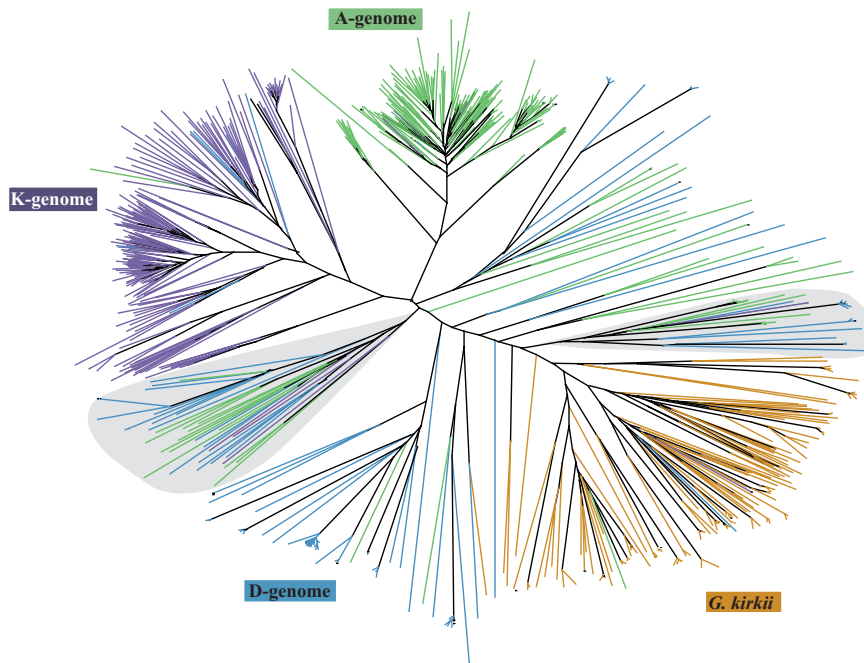
**Phylogenetic Analysis and Timing of Transposition Events.** A total of 724 unique reverse transcriptase (RT) sequences from *G. herbaceum* (A), *G. raimondii* (D), *G. exiguum* (K), and *Gossypioideis kirkii* (outgroup) were subjected to phylogenetic analysis using neighbor-joining (Fig. 1). The resulting phylogeny contained two *Gossypium*-specific clades consisting of sequences from all three *Gossypium* species. Lineage-specific sequences from the A- and K-genome species, which have the larger genomes, formed

Author contributions: J.S.H., S.R.P., R.A.R., and J.F.W. designed research; J.S.H., S.R.P., and R.A.R. performed research; J.S.H., S.R.P., and R.A.R. analyzed data; and J.S.H. wrote the paper.

The authors declare no conflict of interest.

This article is a PNAS Direct Submission.

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**Fig. 1.** Neighbor-joining analysis of 724 PCR amplified *Gorge3* reverse transcriptase sequences. Green = A-genome, *G. herbaceum*, purple = K-genome, *G. exiguum*, blue = D-genome, *G. raimondii*, and orange = *Gossypioides kirkii*. Cotton specific clades are indicated in gray.

distinct clusters with short to medium branch lengths, while sequences from the D-genome and *G. kirkii* appeared to have longer branches. However, recent amplification of *Gorge3* even in the two species with small genomes, *G. kirkii* and *G. raimondii* (D-genome), was evidenced by small clusters with very short branch lengths present at the tips of multiple longer branches. Few nonlineage specific sequences were recovered from the taxa with larger genomes (*G. herbaceum* and *G. exiguum*).

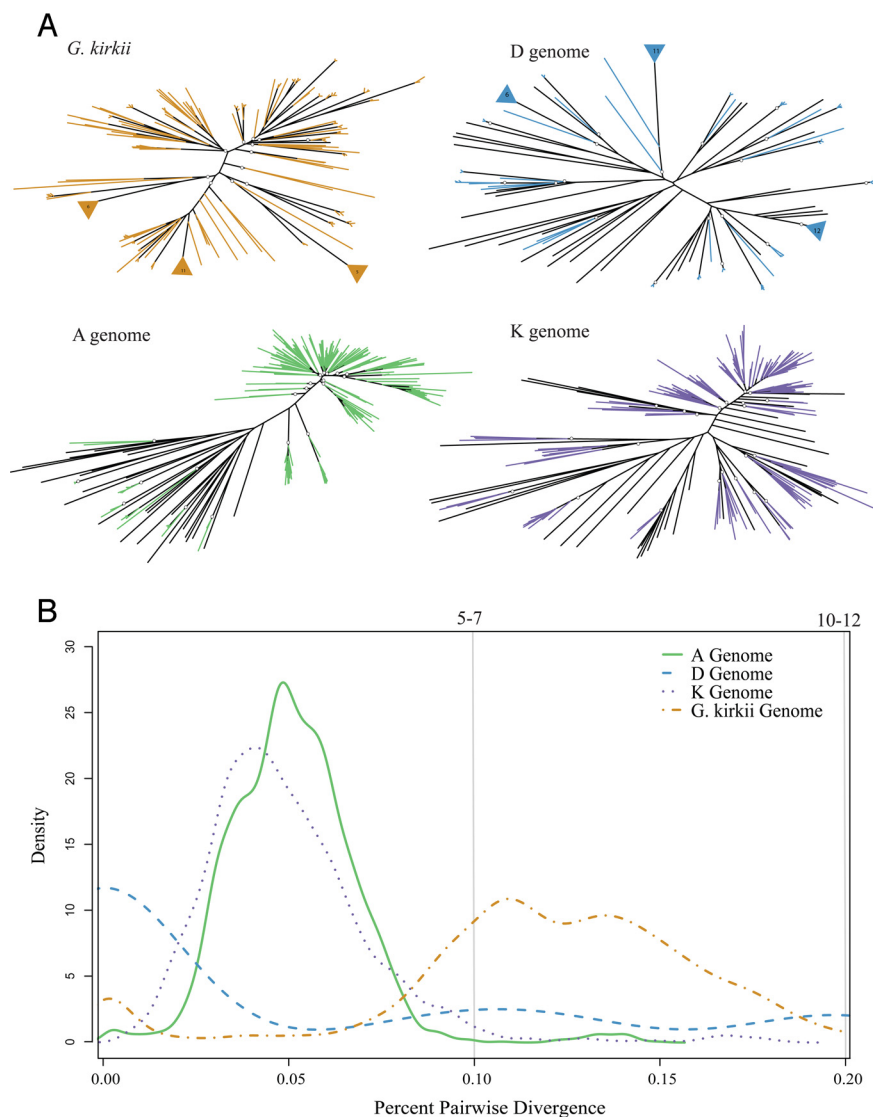
Evaluation of the lineage-specific transpositional nature and timing of *Gorge3* in each genome revealed episodic bursts of activity since divergence from a common ancestor in all species, at different points in their respective evolutionary histories (Fig. 2). All A-genome pairwise comparisons among lineage-specific clades cluster at 95% sequence identity, suggesting a sudden burst of transposition approximately 2–3 million years ago, preceded and followed by relative quiescence. Similarly, the K-genome appears to have experienced a burst of *Gorge3* transposition at approximately the same time as the A-genome. Although few lineage-specific D-genome sequences were sampled, most share greater than 99% sequence identity, suggesting very recent transpositional activity, perhaps within the last million years. Similarly, *G. kirkii* sequences clustered at 99% sequence identity, but also appear to have experienced a burst of transposition between 7 and 12 million years ago.

**Lineage-Specific Rates of DNA Gain Versus DNA Loss.** Due to the inherent bias in PCR amplification toward younger intact sequences, *Gorge3* sequences from previously constructed random genomic shotgun sequence (GSS) libraries were used to quantitatively estimate the amount of *Gorge3* accumulation and deletion in each lineage. A second round of neighbor joining analysis was performed on the 724 PCR amplified sequences plus 294 *Gorge3* GSS sequences. The GSS sequences were partitioned into the three time periods (lineage-specific, *Gossypium* specific, and pre-*Gossypium*; see *Methods*) and the copy number and total number of *Gorge3* Mb originating at each time point was estimated (Table 1).

Surprisingly, *Gorge3* copy numbers were more abundant in,

pre-*Gossypium* than at any other time point, for all taxa. Copy number estimates from this oldest time point in *G. kirkii* ( $3,001 \pm 2,445$ ) and the D-genome ( $4,731 \pm 2,725$ ) were not significantly different from one another, but many retained ancient copies of *Gorge3* were identified in the A-genome ( $22,272 \pm 6,331$ ) and twice as many ancient copies were recovered from the K-genome ( $43,037 \pm 9,063$ ). Copy number estimates for *Gossypium*-specific and lineage-specific time points were so low in the *G. kirkii* and D-genomes that they cannot be accurately estimated at this level of sampling. However, a consistent decrease in the number of copies originating during these two time periods is observed in the A- and K-genomes. Approximately  $16,360 \pm 5,434$  *Gossypium*-specific and only  $6,818 \pm 3,515$  lineage-specific copies were recovered from the A genome. Similarly,  $27,563 \pm 7,271$  *Gossypium*-specific and  $12,089 \pm 4,827$  lineage-specific copies were identified in the K-genome. These copy numbers were subsequently used to estimate the total number of Mb from each time point in each genome, assuming the average *Gorge3* is 9.7 kb in length. While approximately 830 total Mb of *Gorge3* resides in the 2,460-Mb genome of *G. exiguum*, only 111 Mb originated specifically within the lineage. The same trend is observed in all of the genomes, with approximately 225 Mb pre-*Gossypium* and 60 Mb lineage-specific in the A-genome, and 50 Mb pre-*Gossypium* and only a few Mb lineage-specific in the D-genome.

**Modeling the Directionality of Genome Size Change.** A simple growth model was used to infer changes in the rate of gain or loss of *Gorge3* across the phylogeny (see *Methods*). Based on the estimated *Gorge3* copy number in extant lineages (see above), the lineage-specific rates of gain or loss (indicated by numbers greater than or less than one, respectively) of *Gorge3* DNA (95% confidence intervals in brackets) are: A-genome: 3.91 [2.02, 6.12], D-genome:  $-4.96$  [ $-7.58$ ,  $-2.50$ ], K-genome: 11.12 [8.83, 13.89], *G. kirkii* genome:  $-3.99$  [ $-6.23$ ,  $-2.70$ ] (Fig. 3). The common ancestor was estimated to contain 28,878 copies of *Gorge3* (with a 95% confidence interval of [25,404–32,174]). Both A- and K-genomes are inferred to have undergone rapid expansion of *Gorge3*, while both the D-genome and *G. kirkii* have



**Fig. 2.** Lineage-specific nature and timing of *Gorge3* transposition in *Gossypium*. (A) Neighbor-joining analyses for PCR amplified *Gorge3* sequences are presented, with lineage-specific sequences in color and sequences originating before diversification in black. (B) The curves represent the distribution of pairwise comparisons among lineage-specific sequences for each genome. The bottom axis represents the percent divergence, the top axis is the estimated transposition time, and the y axis is the density of pairwise comparisons at a given time point.

experienced loss of *Gorge3* DNA (Fig. 4). Thus, it appears that genome contraction through deletion of *Gorge3* elements has played a dominant role in shaping the *G. raimondii* and *Gossypoides kirkii* lineages, whereas genome expansion through *Gorge3* proliferation is implicated in the other two lineages.

### Discussion

Here, we investigated both the quantitative and temporal nature of *gypsy*-like *Gorge3* evolution in *Gossypium*. Previous results indicate that copy number variation of this particular LTR-retrotransposon family is primarily responsible for the 3-fold variation in genome size observed among diploid members of the genus (6). Congruent with these findings, we show here that *Gorge3* has amplified differentially and independently in each of the lineages studied, with the highest copy number of sequences in the largest (K) genome and the lowest in the smallest (D) genome. However, the transpositional history in each lineage is distinctive and different. While lineage-specific transposition is episodic in nature in all genomes investigated, transpositional events occurred at different times in the evolutionary history of

each clade. Episodic bursts of transposition have also been demonstrated in *Oryza australiensis* (16), a relative of rice with a large genome, and some diploid members of *Gossypium* (17), suggesting that episodic, transpositional bursts may be a general phenomenon in angiosperm evolution. To the extent that this pattern holds, it raises intriguing questions about the mechanisms that govern relatively long periods of evolutionary stasis, as well as the nature of the “triggers” that release TEs from suppression. Stress and interspecific gene flow are known to disrupt epigenetic regulation, and hence these factors may well be involved; in this respect it is noteworthy that *Gossypium* contains many documented examples of interspecific hybridization (33).

**Evidence for Genome Downsizing in *Gossypium*.** Comparisons between orthologous BACs from the A- and D-genomes have provided insight into the mechanisms and rates of DNA loss in *Gossypium* (32, 34). In a gene-rich region surrounding the *CesA* gene, both the genic and intergenic regions were highly conserved, but in the *AdhA* region this was not the case. Specifically,



**Table 1. Estimated copy number and total number of Mb of *Gorge3* from various time points**

	<i>G. kirkii</i> outgroup 588 Mb	<i>G. raimondii</i> D-genome 880Mb	<i>G. herbaceum</i> A-genome 1,667 Mb	<i>G. exiguum</i> Kgenome 2,460 Mb
Lineage-specific				
>90% seq ID copies Mb	500 ± 1,000* †	789 ± 1,114 †	6,818 ± 3,515 68 ± 35	12,089 ± 4,827 111 ± 46
<i>Gossypium</i> specific				
>80 <90% seq ID copies Mb	789 ± 1,114	16,363 ± 5,434 †	27,563 ± 7271 163 ± 54	290 ± 74
Pre- <i>Gossypium</i>				
<80% seq ID copies Mb	3,001 ± 2,445 30 ± 24	4,731 ± 2,725 47 ± 27	22,272 ± 6,331 223 ± 64	43,037 ± 9,063 430 ± 90

\*Lineage-specific estimate for *G. kirkii* includes all sequences with an average of greater than 80% sequence identity instead of 90%.

†Unable to estimate number of Megabases with this data.

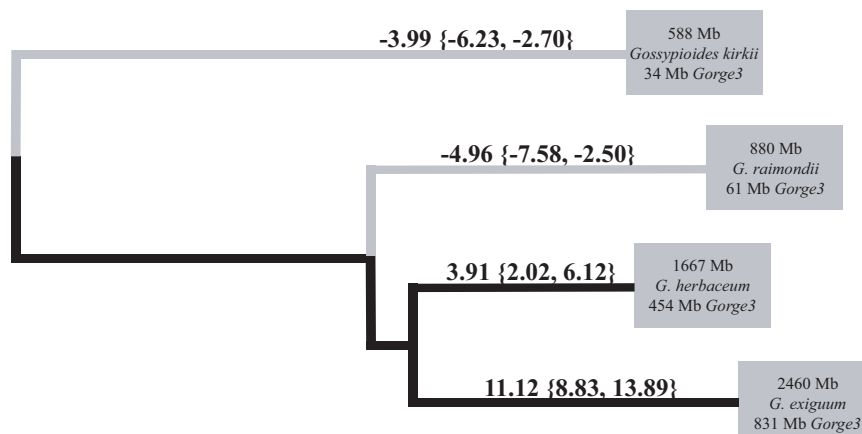
in this region the A-genome contained unique transposable element insertions and the D-genome exhibited a 2-fold higher rate of indels, most containing hallmarks of illegitimate recombination, suggesting a higher rate of deletion in the smaller genome. Solo LTRs, indicative of DNA loss through intrastrand homologous recombination, were also evident, suggesting that both mechanisms are operating to remove DNA in *Gossypium*.

Evidence presented here supports the interpretation that genome downsizing occurred in the D-genome lineage since its origin and despite TE proliferation. Our combined empirical and modeling approaches suggest that there is enormous lineage-specific variation in the gain/loss ratio of *Gorge3* retrotransposons. The sequence data highlight an ancient and massive retrotranspositional event in the common ancestor of all *Gossypium* species as well as in the outgroup, *Gossypoides kirkii*. It is apparent that the A and K lineages have been unable to purge this ancient *Gorge3* DNA and have concomitantly accumulated more lineage-specific *Gorge3* copies. In contrast, the D-genome not only has discarded much of its ancient *Gorge3* complement, but has also suppressed other rounds of massive TE proliferation. Our modeling results highlight the robust nature of this conclusion. Under most reasonable scenarios, the gain/loss ratios are significantly biased for loss in the taxa having smaller genomes.

One caveat of the current study is that of sequence identification. The GSS sequences used to estimate copy numbers from each genome were identified via similarity searches, and in fact

the D- and *G. kirkii* genomes possess a higher rate of small deletions, then the more degenerate *Gorge3* sequences will be difficult to identify. This would lead to an underestimation of the total number of ancient copies residing in the smaller genomes. Every effort was made to avoid this potential pitfall by performing iterative blast searches within each GSS library to identify degenerate sequences with low sequence identity to *Gorge3*. Additionally, the GSS libraries represent a minimum level of sampling from each genome, so some of the paralogs for a particular transposition event may not be sampled; however, because there is no apparent variation in substitution rates among these taxa (35) and all of the GSS libraries were constructed in the same manner with the same genome coverage (6), it is reasonable to assume that any sampling bias will be relatively equal among the four genomes and the results comparable to one another.

One may question whether the observed evolutionary trends for *Gorge3* are representative of the entire genome or if *Gorge3* is subject to evolutionary pressures unique to its particular genomic milieu. For example, *gypsy*-like retrotransposons have been shown to preferentially insert into pericentromeric heterochromatin in *A. thaliana* (36). It is unknown whether *Gorge3* exhibits similar insertion preferences or other biases, but the possibility remains that the inferences drawn here for rates of DNA loss and gain are not reflective of the genome overall. The veracity of our conclusions, both with respect to *Gossypium* and other angiosperms (and perhaps other eukaryotes), will only



**Fig. 3.** Phylogenetic relationships and estimated rates of *Gorge3* gain and loss among diploid members of *Gossypium*. Branch lengths are to scale. Numbers above the branches represent the estimate of the exponential rate of change in *Gorge3* DNA with confidence intervals in brackets. Taxa are shown at tips with entire genome size as well as the amount (in Mb) of extant DNA from *Gorge3* elements.



copies we have a system of linear equations that can be solved for the rate of *Gorge3* change along each branch of the tree:

$$0.416r_g + \text{Log}(N_{GADK}) = 8.613$$

$$0.0687r_g + 0.257r_d + 0.0229r_a + 0.0229r_k + \text{Log}(N_{GADK}) = 9.068$$

$$0.714r_g + 0.0714r_d + 0.207r_a + 0.0309r_k + \text{Log}(N_{GADK}) = 10.783$$

$$0.714r_g + 0.071r_d + 0.031r_a + 0.147r_k + \text{Log}(N_{GADK}) = 11.391$$

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where  $r_i$  is the rate of *Gorge3* change along the terminal branch leading to genome  $i$ , and  $N_{GADK}$  is the *Gorge3* copy number in the common ancestor of all four species. This system of four equations has five unknowns (the four rates and the ancestral *Gorge3* copy number). We defined a score function as the total amount of change in *Gorge3* copy number along the phylogeny. Ancestral copy number was estimated by minimization of the score function. All of our growth rates are scaled to the standardized branch length.

While there are errors associated with our estimates of extant *Gorge3* copy number, we performed the reconstruction 1,000 times by sampling uniformly within our 95% confidence intervals. In each case, the sign of the estimated growth rate was the same as that based on the estimates themselves.

**ACKNOWLEDGMENTS.** We thank Jordan Swanson for computational help, Mark Ungerer for providing primer sequences, Ashley Davidson for generation of sequence data, and Corrinne Grover for providing molecular clock data. This work was supported by the National Science Foundation Plant Genome Program.