

NIH Public Access

Author Manuscript

Mol Cell. Author manuscript; available in PMC 2010 May 14

Published in final edited form as:

Mol Cell. 2009 May 14; 34(4): 405–415. doi:10.1016/j.molcel.2009.04.010.

FACT and Asf1 regulate nucleosome dynamics and coactivator binding at the *HO* promoter

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Abstract

Transcriptional activators and coactivators overcome repression by chromatin, but regulation of chromatin disassembly and coactivator binding to promoters is poorly understood. Activation of the yeast *HO* gene follows the sequential binding of both sequence specific DNA-binding proteins and coactivators during the cell cycle. Here we show that the nucleosome disassembly occurs in waves both along the length of the promoter and during the cell cycle. Different chromatin modifiers are required for chromatin disassembly at different regions of the promoter, with Swi/Snf, the FACT chromatin reorganizer, and the Asf1 histone chaperone each required for nucleosome eviction at distinct promoter regions. FACT and Asf1 both bind to upstream elements of the *HO* promoter well before the gene is transcribed. The Swi/Snf, SAGA, and Mediator coactivators bind first to the far upstream promoter region and subsequently to a promoter proximal region, and FACT and Asf1 are both required for this coactivator re-recruitment.

INTRODUCTION

Chromatin structure can repress transcription, and chromatin remodeling, histone modification, and nucleosome displacement all play important roles in gene activation (Li et al., 2007). Chromatin disassembly often occurs at promoters when a gene is activated, allowing transcription factors to access to DNA (Williams and Tyler, 2007; Workman, 2006). Transcriptional coactivators or chromatin factors can be required for nucleosome displacement at specific promoters (Adkins et al., 2004; Biddick et al., 2008; Schwabish and Struhl, 2007; Verdone et al., 2002). Swi/Snf, SAGA, and Mediator are transcriptional coactivator complexes that promote transcription activation by changing chromatin. The Swi/Snf complex uses ATP hydrolysis to remodel nucleosomes, thus increasing DNA accessibility and facilitating transcription. The SAGA complex contains the Gcn5 acetyl transferase that acetylates N-terminal histone lysine residues and thus promotes transcription, and it also has subunits that interact with the TATA-binding factor and with activators. It is believed that the Mediator complex recruits basal factors to the promoter and stimulates preinitiation complex formation; Mediator can also be recruited to upstream promoter elements in the absence of basal factors (Bhoite et al., 2001; Cosma et al., 2001; Govind et al., 2005).

The *HO* gene has a large promoter by yeast standards, with mapped promoter elements extending nearly 2 kb (Fig 1A). The upstream URS1 region of the promoter (-1900 to

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-1000) contains two binding sites for the Swi5 DNA-binding protein, while the URS2 region at -900 to -200 contains eight sites for SBF. Previous work has shown that Swi5 recruits the Swi/Snf and Mediator coactivators to URS1, and that SAGA is subsequently recruited to the URS2 region of the promoter (Bhoite et al., 2002;Cosma et al., 1999). SBF, composed of Swi4 and Swi6, binds to sites within URS2 and is thought to be the ultimate activator of HO (Cosma et al., 2001). Some previous studies were conducted in ash1 mutant strains (Cosma et al., 2001;Cosma et al., 1999); HO is normally expressed only in mother cells, and the ash1 mutation allows HO expression in daughters as well (Bobola et al., 1996; Sil and Herskowitz, 1996). The ash1 mutation was thought to only increase the HO signal, but Ash1 is now known to be associated with a histone deacetylase complex (Carrozza et al., 2005). The *ash1* mutation changes *HO* regulation in mother cells, allowing Swi/Snf to bind to HO URS1 in the absence of the normally required Gcn5 acetyltransferase (Mitra et al., 2006). Here we use chromatin immunoprecipitation (ChIP) to examine factor binding under native conditions in ASH1 strains. We show that three coactivators bind first to URS1 and later at URS2, and that the subsequent binding at URS2 is dependent on SBF, the FACT chromatin reorganizing factor, and the Asf1 histone chaperone.

We also show that FACT and Asf1 are required for other chromatin transitions that are important for normal *HO* expression. The yeast FACT complex consists of two subunits, Spt16 and Pob3, and an associated HMG protein Nhp6 (Formosa, 2008). *SPT16* and *POB3* are essential for viability, and alleles with visible phenotypes have been isolated. FACT changes the accessibility of DNA within nucleosomes without hydrolyzing ATP and without repositioning the histone octamer core relative to the DNA (Formosa et al., 2001; Rhoades et al., 2004); mechanistically this is quite different from the Swi/Snf family of chromatin remodeling factors which require ATP. Mutations affecting the FACT chromatin reorganizing complex were already known to reduce *HO* expression (Formosa et al., 2001).

Genetic and biochemical evidence indicate FACT has roles in both DNA replication and transcription (Formosa, 2008). Binding of FACT to replication factors, genetic interactions with replication mutants, and sensitivity of FACT mutants to replication inhibitors, all support a replication function (Gambus et al., 2006; VanDemark et al., 2006; Wittmeyer et al., 1999). Evidence for a role for FACT in promoting transcriptional elongation includes stimulation of transcription through a chromatin barrier in vitro (Orphanides et al., 1998), physical association of yFACT with elongation factors (Krogan et al., 2002; Simic et al., 2003), and binding of yFACT to transcribed regions of genes in vivo (Mason and Struhl, 2003; Saunders et al., 2003). Finally, a role for FACT in establishing the transcription preinitiation complex was suggested by genetic interactions between basal transcription factors and FACT, by FACT stimulation of TBP and TFIIA binding to a nucleosome in vitro, and by reduced TBP binding to promoters in vivo in a FACT mutant (Biswas et al., 2006; Biswas et al., 2007; Biswas et al., 2006; Biswas et al., 2005).

Asf1 is a histone chaperone, a protein that associates with histones and stimulates histone transfer reactions without being incorporated into nucleosomes (De Koning et al., 2007). Asf1 binds to a histone H3–H4 dimer (Agez et al., 2007; Antczak et al., 2006; English et al., 2006; Natsume et al., 2007), and is believed to promote nucleosome assembly during DNA synthesis (Mousson et al., 2007). Consistent with a replication role, *asf1* mutants are sensitive to the replication inhibitor hydroxyurea (Sutton et al., 2001). We find that FACT *asf1* double mutants show a strong additive sensitivity to hydroxyurea, suggesting that Asf1 and FACT both promote DNA replication. *asf1* mutants are defective for changes in chromatin structure at the *PHO5* promoter that accompany transcriptional activation (Adkins et al., 2007). Asf1 is required for H3 eviction during transcriptional elongation, and Asf1 binds to promoters and ORFs of active genes (Schwabish and Struhl, 2006). An

asf1 mutation also reduces histone H3(K56) acetylation by the Rtt109 acetyltransferase (Collins et al., 2007; Driscoll et al., 2007; Han et al., 2007; Schneider et al., 2006; Tsubota et al., 2007).

Here we describe changes in chromatin structure that occur along the length of the *HO* promoter as the cell cycle progresses. The Swi/Snf, SAGA, and Mediator coactivators are recruited first to the URS1 region and nucleosomes are evicted here. Subsequently, nucleosomes are evicted from the downstream URS2 region, followed by coactivators binding to this region. Mutations affecting SBF, FACT or Asf1 eliminate both chromatin changes and coactivator binding to URS2, but events at URS1 are unaffected. Thus FACT and Asf1, which both bind to the URS2 region of the promoter, are required for these chromatin transitions that occur before recruitment of Pol II.

RESULTS

Changes in HO promoter chromatin structure during the cell cycle

Chromatin disassembly at promoters often accompanies transcription activation (Williams and Tyler, 2007), so we looked for changes in histone occupancy during HO activation during the cell cycle (Fig 1A). A histone H3 ChIP assay was performed using cells synchronized by GALp::CDC20 arrest and release. Using this synchrony protocol, HO is expressed maximally at 40 min following release (Fig 2D). We used PCR primers tiled across the HO promoter to interrogate the ChIP samples for each time point. At the G2 arrest (0 min) two Swi5 binding sites are in nucleosome free regions, and the nucleosomes in between these sites are evicted at 20 min and start to return at 30 min. There are nucleosomes covering the URS2 region at 0 min. Nucleosomes are evicted from the left end of URS2 between 20 and 25 min, and from the right end of URS2 at 30 min. This wave of nucleosome disassembly across URS2 can be clearly seen in Fig 1B, where histone loss at the PCR interval centered at -729 precedes loss at the -349 interval. Additionally, nucleosome reassembly at the left end of URS2 occurs sooner that at the right end. Finally, histone eviction at the TATA region only occurs at 40 min, a time when the gene is transcribed (see Fig 2D). This time course experiment clearly shows a wave of nucleosome disassembly that moves across the HO promoter during the cell cycle.

Histone acetylation is often associated with promoter activation (Li et al., 2007), and this was examined in a separate time course experiment (Fig 2). Chromatin samples were immunoprecipitated with antibodies that detect acetylation at H3(K14) (Fig 2A) or the H4 tail (Fig 2B), as well as histone H3 (Fig 2C). Histone acetylation occurs progressively, first at URS1 (PCR interval centered at -1293), then URS2 (-666), and finally TATA. Acetylation is then lost quickly, much faster than the repopulation following nucleosome displacement (Fig 2C). These results are substantially different from a previous study that used *ash1* mutant strains (Krebs et al., 1999). Notably, we find chromatin disassembly and histone acetylation occur concurrently at each promoter region in our *ASH1* strain.

Swi5 recruits coactivators to the URS1 region of the HO promoter

A major question is what factors are responsible for this sequence of changes at the *HO* promoter. Cosma et al. (1999) showed that Swi5 first recruits Swi/Snf to the promoter, followed by SAGA. These experiments were conducted using *ash1* mutant strains, allowing *HO* expression in both mother and daughter cells. However, we found that an *ash1* mutation allows Swi/Snf to be recruited to *HO* in the absence of the Gcn5 acetyl transferase present in SAGA, while Gcn5 is required for Swi/Snf binding in *ASH1* strains (Mitra et al., 2006). We therefore decided to examine the kinetics of Swi/Snf, SAGA, and Mediator binding to *HO* during the cell cycle in *ASH1* strains, and how these coactivators are recruited to the

promoter. ChIP experiments with synchronized cells show that the Swi5 DNA-binding protein and Swi/Snf, SAGA, and Mediator coactivators all bind to the *HO* URS1 region, with peak binding at 20 min following release (Fig 2E, 3A, 3B, 3C). Gcn5 is present in multiple complexes (Eberharter et al., 1999; Pray-Grant et al., 2002; Sterner et al., 2002), and to verify that SAGA is present at *HO* we used a strain with a Flag tag on the Spt20 subunit which is part of SAGA's core. A ChIP experiment shows Spt20-Flag binds to *HO* with the same cell cycle kinetics as Gcn5-Myc (Suppl Fig S2). It is known that Swi5 interacts directly with Swi/Snf (Neely et al., 1999) and Mediator (Bhoite et al., 2001) which

interacts directly with Swi/Snf (Neely et al., 1999) and Mediator (Bhoite et al., 2001) which can explain their recruitment to sites of Swi5 binding. To address whether SAGA also interacts with Swi5 we used purified recombinant GST-Swi5 in a pulldown experiment (Suppl Fig S3). Extracts were prepared from strains with Myc tags on two SAGA subunits, and Gcn5-Myc and Ada2-Myc both bound to GST-Swi5 but not to GST only; Swi4-Myc did not bind to GST-Swi5, demonstrating specificity of the interaction. An interaction between SAGA and Swi5 is consistent with studies showing interaction of other activators with the Ada2 subunit of SAGA (Berger et al., 1992). Swi5 can therefore recruit each of the three coactivators independently.

To determine the localization of the three coactivators with higher resolution, we used the set of PCR primers tiled across the *HO* promoter. Swi/Snf binding is found throughout the URS1 region (Suppl Fig S4A) with the peak of binding centered on the Swi5 binding site at -1300 (Suppl Fig S4D). SAGA also binds throughout URS1, but shows two peaks of binding at the two Swi5 binding sites (Suppl Fig S4B). In contrast, Mediator binding is limited to the immediate vicinity of the -1300 Swi5 binding site, with no binding over background seen in the upstream portion of URS1 or at the -1800 Swi5 binding site (Suppl Fig S4C). A *swi5* mutation eliminates binding of Swi/Snf, SAGA, and Mediator to *HO* URS1 (data not shown), consistent with previous work (Bhoite et al., 2001; Cosma et al., 1999). We conclude that Swi5 is responsible for recruiting all three coactivators to *HO* URS1.

Re-recruitment of coactivators to the URS2 region of HO

Swi5 is unstable after it is imported into the nucleus (Tebb et al., 1993), and Swi5 binding at *HO* disappears soon after the peak at 20 min after the release (Fig 2E). Coactivator occupancy at URS1 declines concomitant with loss of Swi5 binding (Fig 3A–C). However, binding of the three coactivators, Swi/Snf, SAGA, and Mediator, is seen at the promoter proximal URS2 region with a peak of binding at about 35 min following release (Fig 3A–C). We analyzed ChIP samples from the 35 min time point with the same tiled *HO* PCR primers to determine where these coactivators are binding. All three coactivators bind throughout URS2 at this time, with highest binding detected with the primer set centered at –729 (Suppl Fig S4).

Thus the Swi5 DNA-binding protein recruits the Swi/Snf, SAGA, and Mediator coactivator complexes to the URS1 region of the *HO* promoter, then subsequently all three complexes leave URS1 and are found instead at URS2. We refer to this as coactivator re-recruitment, as we do not know whether specific molecules of the Swi/Snf, SAGA, and Mediator move down the promoter, or whether the coactivators dissociate from URS1 and different coactivator molecules subsequently bind to URS2.

It is possible that transcription by RNA polymerase II results in the observed changes in chromatin structure, although there are no known promoters, transcripts, or ORFs in this far upstream region of the *HO* promoter. To address this question, we performed ChIPs to measure pol II binding to the *HO* promoter in synchronized cells (Fig 2F). Although pol II is seen binding to the TATA region at the time the gene is transcribed, no binding is seen at URS1 or URS2 at any time during the cell cycle. These results suggest that both the re-

recruitment of coactivators and the changes in chromatin structure at *HO* are independent of transcription by RNA pol II.

FACT is required for coactivator re-recruitment at HO

We hypothesized that other chromatin factors may be required for the changes in chromatin structure at *HO*. In addition to functioning in DNA replication and transcription elongation, the FACT complex has a role in facilitating initiation of transcription (Formosa, 2003). The *SPT16* and *POB3* genes encoding FACT subunits are essential for viability, but there are viable mutant alleles that show reduced *HO* expression (Formosa et al., 2001). A *pob3* mutation also reduces expression of the SBF-dependent *CLN2* gene, consistent with work with other FACT alleles (Lycan et al., 1994; Rowley et al., 1991), but not expression of *PIR1*, a Swi5-dependent gene (Fig 4A). We examined binding of the Swi/Snf, SAGA, and Mediator coactivator complexes to *HO* in a *pob3* mutant strain (Fig 3D–F). All three coactivators bind to *HO* URS1 with kinetics similar to wild type. However, in the *pob3* mutant essentially no binding of Swi/Snf, SAGA, or Mediator is seen at URS2, suggesting that FACT is required for re-recruitment of the three coactivators to URS2.

Asf1 is required for HO expression and for coactivator re-recruitment

Asf1 encodes a histone chaperone, and *asf1* mutants are like *spt16* and *pob3* mutations in FACT in showing sensitivity to the DNA synthesis inhibitor hydroxyurea (Formosa et al., 2001; Schlesinger and Formosa, 2000; Sutton et al., 2001). We find that asf1 spt16 and asf1 *pob3* double mutants show a strong additive sensitivity to hydroxyurea (Fig 4B), suggesting that Asf1 and FACT function in distinct ways to promote DNA replication. The asf1 spt16 and *asf1 pob3* double mutants also show additive growth defects on complete medium. Because the *asf1* mutation is toxic in FACT mutants, we tested whether overexpression of ASF1 could suppress FACT mutants. Instead of suppression, however, we found ASF1 overexpression is toxic in FACT mutants (Fig 4C). Thus FACT mutants are quite sensitive to Asf1 levels, as either too much or too little Asf1 inhibits growth in FACT mutants. Based on these results, we investigated whether an asf1 mutation, like pob3, affects gene expression, and found that *asf1* sharply reduces *HO* expression (Fig 4A). These mutations have only a modest effect on expression of the Swi5-dependent PIR1 and SBF-dependent CLN2 genes, and thus this effect is at least partly specific to HO. We next examined binding of the Swi/Snf, SAGA, and Mediator coactivator complexes to HO in an asf1 mutant strains synchronized by GALp::CDC20 arrest and release (Fig 3G–I). All three coactivators bind to URS1 in the *asf1* mutant, although binding is reduced. More importantly, the *asf1* mutation blocks coactivators binding to URS2. Thus Asf1 and FACT are both required for coactivator at HO.

FACT and Asf1 bind to the HO promoter

Previous work has shown that FACT is recruited to transcribed regions by elongating RNA polymerase, but little FACT binding to promoters was seen (Mason and Struhl, 2003). We therefore performed ChIP experiments with synchronized cells using polyclonal antibody to the Spt16 subunit of FACT (Fig 5A). No FACT binding is seen at URS1, but strong FACT binding is seen at URS2 at 20 min after release and at TATA at 40 min. FACT binding at TATA is expected when *HO* is transcribed, as FACT is present at transcribed open reading frames (Mason and Struhl, 2003). Binding at URS2 is unexpected, however, as FACT binding to promoter elements had not been described. The ChIP samples from the 0 and 20 min time points were analyzed with the PCR primers tiled across the *HO* promoter and the results show that FACT binds across the URS2 region, but primarily at the left end (Suppl Fig S5A). There are several notable observations. FACT binding to URS2 is concurrent with the distant binding of Swi5 and coactivators at URS1. Additionally, the position of peak FACT binding at 20 min approximates the site of peak coactivator binding at later times in

the cell cycle. Finally, the nucleosome displacement that occurs at the left end of URS2 starts soon after FACT binds, while the coactivators do not bind until later in the cell cycle.

ChIP experiments were performed using synchronized cells to determine where Asf1 binds to the *HO* promoter (Fig 5B). Although no binding was seen at URS1, strong binding was seen at URS2 and at TATA. Binding at TATA is expected, as it has been previously shown that Asf1 binds to promoters and ORFs concomitant with transcriptional activation (Schwabish and Struhl, 2006); however, the binding at URS2 is unexpected. Analysis with the PCR primers tiled across the *HO* promoter shows that Asf1 binds more to the right half of URS2 (Suppl Fig S5B).

Swi/Snf is required for FACT binding to HO

ChIP experiment with various mutants were performed to determine what factors are required for Asf1 binding at *HO*. As shown in Fig 5C, mutations affecting the Swi5 DNA-binding factor or the Swi/Snf, SAGA, or Mediator coactivator complexes eliminate binding of Asf1 to URS2. A *pob3* mutation affecting the FACT complex also eliminates Asf1 binding to URS2, consistent with FACT binding earlier in the cell cycle. A *swi6* mutation affecting the SBF factor also reduces Asf1 binding. We conclude that coactivators, FACT, and SBF are required for Asf1 binding to the *HO* promoter.

We next used synchronized cells to determine what factors are required for FACT binding at HO. FACT binding to HO URS2 is not affected by an asf1 mutation (Fig 5D); FACT binding to TATA is sharply reduced in the asf1 mutant, consistent with the decreased HO transcription. FACT binding to URS2 is lost in a swi5 mutant (Fig 5E), and we conclude that FACT binding to HO is SWI5-dependent. We next determined the effect of mutations affecting coactivators or the SBF DNA-binding factor. FACT binds to URS2 in a $gcn5\Delta$ mutant lacking the acetyltransferase normally present in SAGA, in a gall1 Δ mutant affecting Mediator, or in a swi6/2 mutant affecting SBF (Fig 5E). However, on these mutants the peak of FACT binding at 20 min is reduced, with binding persisting at 25 min as opposed to the precipitous decline in binding in wild type. Thus the binding peaks are shifted to the right in these mutants, and FACT may dissociate more slowly. Alternatively, integrating under the curves suggests the same amount of FACT binds, but the peak of FACT binding is delayed. Finally, FACT binding is eliminated in a *swi2(E834K)* mutant affecting Swi/Snf (Fig 5E). We conclude that Swi/Snf is required for FACT binding, although the sites at 20 min where Swi/Snf binds in URS1 and FACT binds in URS2 are separated by some 600 nt.

FACT interacts with SBF

The reduced FACT binding in the *swi6* mutant suggested that the SBF DNA-binding factor could help recruit FACT to the promoter. Consistent with this idea, immunoprecipitation of Swi6-Myc from cells also brings down the Spt16 and Pob3 subunits of FACT (Fig 5F). Swi6 nuclear localization is regulated within the cell cycle, and FACT binding at 20 min after *CDC20* release occurs when Swi6 enters the nucleus (Geymonat et al., 2004;Sidorova et al., 1995). Asf1 does not bind to *HO* in a *swi6* mutant (Fig 5C), consistent with SBF promoting FACT binding. Finally, the three coactivators do not bind to URS2 in a *swi6* mutant, while coactivator binding to URS1 is unaffected (Suppl Fig S6). This results contradict an earlier study that found Swi/Snf and SAGA binding to URS2 was not affected by a *swi6* mutation (Cosma et al., 1999); this study used strains with an *ash1* mutation affecting a HDAC complex, however. We find that SBF binding to URS2 is markedly reduced by *swi5* or *swi2* mutations, but only modestly affected by *gal11* or *gcn5*; strong SBF binding is seen in the *swi2 ash1* double mutant (Suppl Fig S7). Finally, a *pob3* mutation modestly reduces SBF binding (Suppl Fig S7), and thus FACT and SBF mutually promote

binding. In summary, SBF interacts with FACT and facilitates its binding, and both factors are required for Asf1 binding and coactivator recruitment at URS2.

Swi/Snf is required for nucleosome displacement at URS1

We next examined what factors are required for the waves of chromatin disassembly that occurs at *HO* using mutants that had been synchronized during the cell cycle (Fig 6). A *swi5* mutation eliminates coactivator recruitment and *HO* expression, and no nucleosome displacement was seen at any part of the *HO* promoter in the *swi5* mutant. We next examined coactivator mutants. Nucleosome displacement at URS1 in minimal, and very transient, in a *swi2(E834K)* mutant; nucleosome eviction at URS2 is also lost. In contrast, nucleosome displacement at URS1 is unaffected in *gcn5* Δ or *gal11* Δ mutants. The *gcn5* Δ mutation also limits nucleosome eviction at URS2, while *gal11* Δ does not affect nucleosome eviction here. We conclude that histone acetylation by Gcn5 is required for efficient nucleosome displacement at URS1.

FACT, SBF, and Asf1 are required for nucleosome displacement at URS2

We next performed H3 ChIPs to examine the effect of *pob3* and *asf1* mutations on nucleosome eviction (Fig 6F, 6G). In both mutants chromatin disassembly occurs normally at the URS1 region, but eviction is severely reduced at URS2. A similar effect is seen in the *swi6* mutant (Fig 6H), consistent with SBF facilitating FACT recruitment. Additionally, the repopulation of URS1 nucleosomes in wild type cells is defective in these mutants. We conclude that mutations in FACT, SBF, and Asf1 have similar effects at *HO* URS2, eliminating both coactivator re-recruitment and chromatin disassembly.

Nucleosome loss at the left end of URS2 (centered at -729) precedes loss at the right end of URS2 (-349) (Fig 1B), and we therefore examined chromatin changes at these regions in FACT and Asf1 mutants. Nucleosome loss at the left end of URS2 is lost in *pob3* mutant but occurs normally in an *asf1* mutant (Fig 6I). In contrast, FACT and Asf1 are both required for nucleosome loss at the right end of URS2 (Fig 6J). The timing of events at URS2 is interesting. Binding of FACT and Asf1 precede binding of the coactivators, with FACT binding primarily to the left half of URS2 at 20 min, while Asf1 binds to the right half at 30 min. Chromatin disassembly shows similar kinetics, with chromatin disassembly at the left end of URS2 occurs later in the cell cycle and requires Asf1.

DISCUSSION

Transcriptional activation of *HO* involves sequential changes in chromatin structure along the promoter during the cell cycle. Nucleosome displacement at *HO* occurs stepwise, first at URS1, then in sequence at the left end of URS2, the right end of URS2, and finally at the TATA region when the gene is transcribed (Fig 1B). Concomitant with this chromatin disassembly, acetylation of the residual nucleosomes also occurs in waves along the promoter (Fig 2A,B). ChIP experiments show there is sequential recruitment of three coactivator complexes, Swi/Snf, SAGA, and Mediator, first to URS1 and subsequently to URS2 (Fig 3). Importantly, these are dependent changes: the nucleosome eviction and coactivator binding must occur first at URS1 before chromatin changes and coactivator binding can occur at URS2 later in the cell cycle. Finally, two chromatin factors that have not been previously described as binding to upstream promoter elements, FACT and Asf1, are specifically required for the chromatin disassembly and coactivator binding events at URS2 (Fig 7). FACT binding to URS2 occurs before Asf1 binding, and FACT and Asf1 are each required for nucleosome eviction in distinct regions of URS2 (Fig 6I,J).

The Swi5 DNA-binding protein is required for all subsequent changes at the *HO* promoter, and thus can be considered a "pioneer" factor (Cirillo et al., 2002). Swi5 is cell cycle regulated, entering the nucleus after anaphase (Moll et al., 1991). Swi5 interacts directly with the Swi/Snf, SAGA, and Mediator coactivators, and recruits them to the URS1 region of the *HO* promoter. Swi5 is rapidly degraded by ubiquitin mediated proteolysis (Kishi et al., 2008), and binding of the three coactivators quickly disappears. There are two Swi5 binding sites at URS1, separated by 500 bp. Both binding sites are required for *HO* activation, and experiments suggest an interaction between the two sites (McBride et al., 1997). Swi5 binds more strongly in vitro to Site B at -1300 (McBride et al., 1997), and ChIP experiments also show stronger Swi5 binding to Site B in vivo (Suppl Fig S4). Swi/Snf and SAGA bind to both Site A and Site B within URS1, with stronger binding to Site B; Mediator appears to bind only to Site B. We suggest that there is an interaction between the Swi5 molecules bound to these two sites, and that it is the interaction of Swi5 and coactivators bound to the two sites that leads to eviction of the nucleosomes in between.

The three coactivators are recruited to URS1 by Swi5, and 10–15 min later they are present at the URS2 region of the promoter, which we call coactivator re-recruitment (Fig 7). FACT and Asf1 are required for this recruitment of coactivators to URS2, and FACT and Asf1 both bind to URS2 before the peak of coactivator binding here (Fig 7). We think of URS1 as the "staging area," where coactivators are first recruited. Subsequently, the coactivators move from the staging area to the URS2 region where the "traditional" activation occurs. This two part activation scheme allows greater stringency of control over *HO* expression by imposing additional requirements before activation of expression. The requirement for FACT and Asf1 for coactivator re-recruitment suggests that mobilization of coactivators from the staging area to the activation site is blocked by an inhibitory chromatin structure.

Previous work showed FACT binding to transcribed open reading frames, but not to upstream promoter elements (Mason and Struhl, 2003; Saunders et al., 2003), with FACT recruited by ubiquitylated H2B (Fleming et al., 2008). FACT had not been previously shown to interact with sequence-specific DNA-binding proteins, but here we show that FACT interacts with SBF to facilitate binding to *HO* (Fig 5E,5F). At the *HO* URS2 promoter region SBF and FACT are both required for nucleosome eviction and coactivator binding. Importantly, FACT binding precedes the nucleosome eviction which precedes coactivator binding.

Disassembly of chromatin can occur at promoters when a gene is transcriptionally activated (Boeger et al., 2003; Reinke and Horz, 2003; Workman, 2006). Nucleosome displacement at certain promoters requires Asf1 (Adkins et al., 2004), Gcn5 (Verdone et al., 2002), or Swi/ Snf (Biddick et al., 2008; Schwabish and Struhl, 2007). Nucleosome eviction is often accompanied by histone acetylation (Boeger et al., 2003; Erkina and Erkine, 2006; Zhao et al., 2005), as we observe at HO. Remarkably, nucleosome eviction at HO occurs stepwise during the cell cycle, moving across the promoter (Fig 1). Swi/Snf is required for efficient nucleosome eviction at URS1, as there is only weak and transient nucleosome loss in the swi2 mutant (Fig 6C). This residual nucleosome eviction may be facilitated by SAGA or Mediator; the swi2 mutation sharply reduces, but does not completely eliminate, binding of these coactivators. This histone eviction at URS1 at 20 min is followed by repopulation of nucleosomes over the next 20–40 min (Fig 1B). Interestingly, this histore loss at URS1 persists in a number of the mutants, including gcn5, gal11, pob3 and asf1 (Fig 6). The mechanism underlying this defect in nucleosome repopulation at URS1 is not clear; the gcn5, pob3, and asf1 mutants are defective in nucleosome loss at URS2 while the gal11 mutant is not.

In contrast to URS1, chromatin disassembly at URS2 occurs before the coactivators bind to this region. Nucleosome loss here requires FACT and Asf1, but these factors are differentially required at two regions of URS2 (Fig 6). Chromatin disassembly at the left end of URS2 requires FACT but not Asf1, and FACT binds primarily to this part of URS2 (Suppl Fig S5A) shortly before the chromatin disassembly. Asf1 binds later to URS2 (Fig 5), primarily at the right end of URS2 (Suppl Fig S5B) when the Asf1-dependent nucleosome eviction occurs here. FACT and Swi/Snf may contribute to this chromatin disassembly, but both factors are required for Asf1 binding to *HO*. Importantly, FACT and Asf1 are not required for nucleosome displacement at URS1, and we therefore conclude that chromatin disassembly at URS1 and the two regions of URS2 are all mechanistically different. The Asf1 histone chaperone may receive the H3-H4 tetramers evicted from URS1 or the left end of URS2, but stable Asf1 binding is only detected by ChIP slightly later in the cell cycle. Finally, the absence of RNA polymerase II at URS1 or URS2 at any time during the cell cycle (Fig 2F) eliminates the possibility that nucleosome eviction or coactivator recruitment are due to either poised polymerase or expression of a noncoding transcript.

The yeast *HO* gene encodes an endonuclease that initiates mating type switching. Inappropriate expression of an endonuclease could be disastrous in natural populations, and *HO* expression is therefore tightly regulated. Multiple activators and coactivators are required to overcome the repression at *HO*. Here we show that different factors are required to evict nucleosomes from different regions of the *HO* promoter. Rather than being uniform, the chromatin of the *HO* promoter appears to contain a series of nucleosomes with individual properties, much like a series of padlocks that each requires a different key and that must be unlocked in a defined order.

EXPERIMENTAL PROCEEDURES

All yeast strains used are listed in Suppl Table 1 and are isogenic in the W303 background (Thomas and Rothstein, 1989). Standard genetic methods were used for strain construction (Rothstein, 1991; Sherman, 1991). Cell cycle synchronization was performed by galactose withdrawal and re-addition with a *GALp::CDC20* strain grown at 25°C in YEP medium containing 2% galactose and 2% raffinose (Bhoite et al., 2001). A high degree of synchrony was demonstrated by flow cytometry analysis, budding indices, and analysis of cycle regulated mRNAs (data not shown). In other experiments cells were grown in YEPD medium (Sherman, 1991).

Chromatin immunoprecipitations (ChIPs) were performed as described (Bhoite et al., 2001; Voth et al., 2007) using 9E11 (Abcam) or 4A6 (Upstate) monoclonal antibody to the Myc epitope, anti-Flag (M2, Sigma) monoclonal antibody to the Flag epitope, anti-histone H3 (07–690, Upstate) anti-histone H3(Ac-Lys14) (07–353, Upstate), anti-acetyl-histone H4 (06–598, Upstate), rabbit anti-Spt16 (provided by Tim Formosa), anti-RNA pol II (8WG16, Covance) and antibody coated magnetic beads (Rabbit and Pan Mouse IgG beads, Dynal Biotech). ChIP assays were analyzed by real time PCR as described (Eriksson et al., 2004). PCR primers are listed in Suppl Table 2. Each ChIP sample was first normalized to an input DNA sample to and then to the ChIP signal for a control region on chromosome I. Immunoprecipitations were performed as described previously (Biswas et al., 2008) using anti-Myc antibody and blots were probed with anti-Myc, anti-Spt16, and anti-Pob3 antibodies and scanned using a Odyssey Infrared Imaging System (Li-Cor Biosciences).

GST-Swi5 was expressed and purified from *E. coli* containing plasmid M1202 (Brazas et al., 1995) and used for GST pull down experiments as described (Barlev et al., 1995). Western blots were performed with anti-Myc antibody and fluorescent secondary antibodies. RT-qPCR was used to measure *HO* mRNA levels as described (Voth et al., 2007) using

primers listed in Suppl Table 2, except that *RDN25* RNA was used as the internal control. The YEp-*ASF1* plasmid M5382 was constructed by cloning a PCR-generated 2.03 kb EcoRI fragment with the ASF1 gene into pRS426 (Christianson et al., 1992).

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Acknowledgments

We thank Tim Formosa for FACT antibodies and for many helpful discussions. We thank Tim Formosa, Peter Geiduschek, Emily Parnell, Dean Tantin, and Warren Voth for comments on the manuscript. This work was supported by a grant from the National Institutes of Health

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Fig 1. Nucleosome eviction occurs in waves along the HO Promoter

A. DY6669 cells (*GALp::CDC20*) with a *GALp::CDC20* allele were synchronized in mitosis by removing galactose, followed by release by addition of galactose (t = 0). The *CDC20* arrest is at the G2/M transition, and *HO* expression at 40 min following release corresponds to late G1 phase. Nucleosome occupancy was measured by H3 ChIP using samples taken at various times after the release. This data along with additional time points are shown in Suppl Fig S1. The DNA in the experiment was sheared to an average of 350 bp, as opposed to approximately 550 bp in the other ChIP experiments. URS1, URS2, the Swi5 and SBF binding sites are shown for the *HO* promoter, where the ATG represents +1 and the transcription start site is at -20. Error bars in ChIP assays reflect the standard deviation of three replicate PCRs.

B. The data from panel A, along with additional time points, is plotted as a function of time for PCR intervals centered at -1528, -729, -349, and -55.

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Fig 2. Histone acetylation, Swi5, and pol II ChIPs at the *HO* promoter DY6669 cells (*GALp::CDC20*) were used for ChIPs for H3(K14)-Ac (**A**), H4-Ac (**B**), histone H3 (**C**), and RNA pol II (**F**). The H3(K14)-Ac and H4-Ac ChIPs are normalized to the ChIP of total H3. **D**. *HO* mRNA measured by RT-qPCR from synchronized DY8602 (*GALp::CDC20 SWI2-Myc*). **E.** Swi5-Myc ChIP with synchronized DY6542 (*GALp::CDC20 SWI5-Myc*). Error bars in ChIP or RT-qPCR assays reflect the standard deviation of three replicate PCRs.







Fig 4. FACT mutants are sensitive to Asf1 levels

A. *HO* expression is reduced in *pob3* and *asf1* mutants. RNA was isolated from log phase wild type (DY150), *pob3* (DY7379), and *asf1* (DY12869) strains, and *HO*, *PIR1*, and *CLN2* expression measured by RT-qPCR. Error bars in RT-qPCR assays reflect the standard deviation of three replicate PCRs.

B. Double mutants affecting FACT and Asf1 are additive. Serial ten fold dilutions of strains DY150 (wild type), DY7815 (*spt16-11*), DY13178 (*asf1 spt16-11*), DY12554 (*pob3-Q308K*), DY12869 (*asf1*), DY13168 (*asf1 pob3-Q308K*), DY7379 (*pob3-L78R*), and DY13156 (*asf1 pob3-L78R*) were grown in plates with or without 25 mM hydroxyurea.

C. Overexpression of *ASF1* is toxic in FACT mutants. Strains DY150 (wild type), DY7815 (*spt16-11*), DY10308 (*pob3-Q308K*), and DY7379 (*pob3-L78R*) were transformed with either the pRS426 (YEp-*URA3*) vector or multicopy YEp-*ASF1*, and serial dilutions were grown on plates lacking uracil at the indicated temperature.

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Fig 5. FACT binds to URS2 before Asf1

ChIPs were performed with synchronized cells in A, B, D, and E.

A. FACT binds to URS2 at 20 min in wild type (DY6669; GALp::CDC20).

B. Asf1 binds to URS2 at 30 min in wild type (DY13010; *GALp::CDC20 ASF1-Myc*). **C.** Using asynchronous cells, Asf1-Myc binds to *HO* URS2 in wild type (DY12997), but Asf1-Myc binding is lost in *swi5* (DY13145), *swi2* (DY13176), *gcn5* (DY13164), *gal11* (DY13162), *pob3* (DY13150), and *swi6* (DY13147) mutants. DY150 was used as the untagged control.

D. FACT binds to URS2 in an asf1 mutant (DY12914; GALp::CDC20 asf1).

E. FACT binding to URS2 in wild type (DY6669; *GALp::CDC20*), *gcn5* (DY6650; *GALp::CDC20 gcn5*), *gal11* (DY7419; *GALp::CDC20 gal11*), *swi6* (DY13373; *GALp::CDC20 swi6*), *swi5* (DY6570; *GALp::CDC20 swi5*), and *swi2* (DY9718; *GALp::CDC20 swi2*) strains.

F. FACT interacts with Swi6. Extracts prepared from strains DY150 (no tag), DY8341 (*SWI4-Myc[18]*) (150 kd), DY8353 (*SWI6-Myc[18]*) (117 kd), DY5832 (*SWI5-Myc[8]*) (92 kd), and DY12997 (*ASF1-Myc[13]*) (51 kd), were immunoprecipitated with anti-Myc antibody and analyzed on western blots, along with controls corresponding to 10% of the input before immunoprecipitation, and the blots were probed with anti-Myc, anti-Spt16, and anti-Pob3 antibodies.

Error bars in ChIP assays reflect the standard deviation of three replicate PCRs.



Fig 6. Swi5 and Swi/Snf are required for nucleosome eviction at URS1 and FACT and Asf1 for eviction at URS2

Histone H3 ChIP was performed using the following synchronized cells and PCR primers for URS1 centered at -1293, URS2 at -666, at TATA at -55: **A.** DY6669 (*GALp::CDC20*), **B.** DY6570 (*GALp::CDC20 swi5*), **C.** DY9718 (*GALp::CDC20 swi2*), **D.** DY6650 (*GALp::CDC20 gcn5*), **E.** DY7419 (*GALp::CDC20 gal11*). **F.** DY11246 (*GALp::CDC20 pob3*), **G.** DY12914 (*GALp::CDC20 asf1*), and **H.** DY13373 (*GALp::CDC20 swi6*). **I.** The wild type, *pob3* and *asf1* H3 ChIPs were probed with URS2 primers centered at -729. **J.** The wild type, *pob3* and *asf1* H3 ChIPs were probed with URS2 primers centered at -349. Error bars in ChIP assays reflect the standard deviation of three replicate PCRs.



Fig 7. Model of events at the *HO* **promoter during the cell cycle** Times are minutes after release from *GALp::CDC20* arrest.