

Costs and Cost Effectiveness of a Health Care Provider–Directed Intervention to Promote Colorectal Cancer Screening

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ABSTRACT

Purpose

Colorectal cancer (CRC) screening remains underutilized in the United States. Prior studies reporting the cost effectiveness of randomized interventions to improve CRC screening have not been replicated in the setting of small physician practices. We recently conducted a randomized trial evaluating an academic detailing intervention in 264 small practices in geographically diverse New York City communities. The objective of this secondary analysis is to assess the cost effectiveness of this intervention.

Methods

A total of 264 physician offices were randomly assigned to usual care or to a series of visits from trained physician educators. CRC screening rates were measured at baseline and 12 months. The intervention costs were measured and the incremental cost-effectiveness ratio (ICER) was derived. Sensitivity analyses were based on varying cost and effectiveness estimates.

Results

Academic detailing was associated with a 7% increase in CRC screening with colonoscopy. The total intervention cost was \$147,865, and the ICER was \$21,124 per percentage point increase in CRC screening rate. Sensitivity analyses that varied the costs of the intervention and the average medical practice size were associated with ICERs ranging from \$13,631 to \$36,109 per percentage point increase in CRC screening rates.

Conclusion

A comprehensive, multicomponent academic detailing intervention conducted in small practices in metropolitan New York was clinically effective in improving CRC screening rates, but was not cost effective.

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INTRODUCTION

Colorectal cancer (CRC) screening rates remain lower than screening rates reported for prostate, cervical, and breast cancer; national CRC screening rates have been reported as less than 45%.¹ The low rate of CRC screening may be due to a variety of factors related to patients (eg, fear about screening tests, lack of knowledge, disinterest, poor access to care), clinic infrastructure (poor organization, lack of specialists, long waiting lists, complicated referral process), and health care providers (heavy workloads, forgetfulness, concerns about patient noncompliance).^{2,3} Interventions to improve CRC screening rates have targeted several of these impediments to appropriate screening.

Four randomized trials reported on both the effectiveness and cost effectiveness of interventions designed to improve CRC screening rates.⁴⁻¹¹ Some of these interventions involved patient-directed telephone and mailed reminders to those who were nonadherent with CRC screening tests. Other physician-directed interventions involved affixing a patient self-report on CRC screening status to the front of the medical chart or issuing quarterly report cards to physicians with individual and group CRC screening rates for their clinics. The four interventions were effective, with increases in CRC screening rates ranging from 2% to 28%, and incremental cost-effectiveness ratios (ICERs) of \$131, \$161, \$1,161, and \$9,639, respectively, per percentage point increase in CRC screening rates (Table 1). Three trials were conducted at large general

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Table 1. ICERs for Other Large, Randomized Interventions to Improve CRC Screening

First Author and Year	Total No. of Participants	Target Population	Target Cancer Screening	Intervention	Cost of Intervention (US\$)	Baseline Screen Rate (%)	Change in Screening Rate (%)	ICER: Incremental Cost per Percent Increase in Screening(US\$)
Gorin 2008 (manuscript submitted)*	1,290 patients; 264 physician practices	Primary care physician offices in 2 geographically distinct New York City communities	FOBT, flexible sigmoidoscopy, colonoscopy	Academic detailing intervention, consisting of face-to-face visits from a trained educator and self-learning packets reinforcing CRC screening guidelines	147,865	9-10 (Bronx, Northern Manhattan) 21-28 (Upper East and West sides, Murray Hill)	7 across both communities	21,124 (colonoscopy) FOBT and flexible sigmoidoscopy not clinically effective, so ICERs not calculated
Wolf 2005, ¹¹ Ferreira 2005 ^{6*}	1,978 patients; 113 provider groups	Primary care physicians within an urban Veterans Affairs Medical Center	FOBT, flexible sigmoidoscopy, colonoscopy	Provider-directed intervention with regular feedback sessions of patient screening rates	86,753	32	9	9,639
Lairson 2007, ⁷ Pignone 2002 ¹²	1,546 patients	Patients in a large urban, university-based family medicine practice, age 50-74 years and at average risk for CRC	FOBT, flexible sigmoidoscopy, colonoscopy	Patients randomized to 4 groups: Control group Standard intervention, consisting of mailed informational brochure and invitation letter Tailored intervention, consisting of standard intervention + tailored message based on survey data* Tailored intervention + reminder phone call by a trained health educator*	Control = 0 Standard intervention = 16,254 Tailored intervention = 57,900 Tailored intervention + phone call = 77,200	Control = 32 Standard intervention = 46	Standard intervention = 14 Tailored intervention = -2 Tailored intervention + phone call = 2 Note: Control group is the standard intervention group	Standard intervention = 1,161 Tailored intervention = not clinically effective compared with standard intervention, so ICER not calculated Tailored intervention + phone call = 38,600
Shankaran 2007, ¹⁰ Denberg 2006 ⁵	781 patients	Patients in university-based internal medicine clinics (majority with commercial or university insurance) who received referrals for screening colonoscopy	Colonoscopy	Customized mailed informational brochure	1,927	59	12	161
Roetzheim 2004, ⁹ Chirikos 2004 ⁴	1,237 patients	Patients enrolled in a county-funded health insurance plan in Florida (do not qualify for Medicaid or Medicare)	FOBT	"SOS Intervention": cancer screening checklist performed by patients and color-coded chart reminders for physicians.	3,662	12	28	131

Abbreviations: ICER, incremental cost-effectiveness ratio; CRC, colorectal cancer; FOBT, fecal occult blood test.
*Physician-directed interventions.

medicine practices at a university medical center or a Veterans Affairs medical center. The fourth trial was conducted at eight county medical centers in Tampa, FL.

To our knowledge, no prior study has reported on effectiveness and cost effectiveness of efforts to improve CRC screening rates among primary care physicians who work in small urban medical practices. Interventions to improve CRC screening rates in private community practices may be challenging for logistic and financial reasons. Unlike large health maintenance organizations or care networks, small medical settings lack "economies of scale" in contacting larger numbers of patients or reaching out to larger numbers of physicians. Ganz et al¹³ attempted to reach out to these small practices by conducting a low-intensity quality-improvement program based on a continuing medical education intervention designed to improve CRC screening rates for 36 provider organizations that contracted with a large managed care health plan. Most of the provider organizations included more than 35 primary care physicians. The study found that only 26% of eligible patients received any CRC screening test, with no differences between the intervention or control groups. The current

study reports the cost effectiveness of a strategy that attempts to promote CRC screening in small physician practices in a much more intensive way than the approach described by Ganz et al.¹³

In this article, we review the cost effectiveness of a randomized, multicomponent, provider-directed intervention conducted among small medical practices in two socioeconomically disparate metropolitan New York City communities (Gorin et al, manuscript submitted for publication). This intervention was clinically effective in improving colonoscopy screening across both communities. Our goal in this report is to investigate whether an intensive physician-directed intervention involving evidence-based instruction in established guidelines is an economically feasible strategy to improve CRC screening rates among primary care physicians who work in small group practices.

METHODS

Intervention and Study Design

The academic detailing intervention was conducted in 264 physician offices in two distinct metropolitan communities in New York City. One

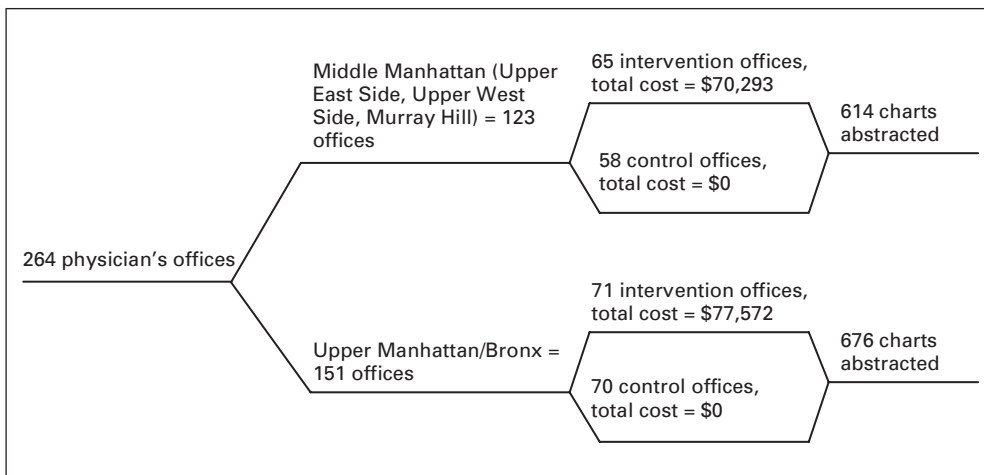


Fig 1. Flow diagram of the academic detailing intervention.

community consisted of participants in the Upper East and Upper West sides and Murray Hill sections of New York City and is referred to throughout this article as Middle Manhattan. The second community consisted of physician offices in northern Manhattan and South and Central Bronx and is referred to throughout this article as Upper Manhattan/Bronx. A total of 65 physician offices in Middle Manhattan and 71 physician offices in Upper Manhattan/Bronx were randomly assigned to receive the intervention; there were, on average, four practicing physicians per office. All intervention offices received four in-person academic detailing visits from a trained health educator (non-United States licensed, foreign-trained physician) over the course of 12 months. The physicians also received self-learning packets that included professionally designed print materials and scientific articles. Additionally, physicians in the intervention arm received a CD-ROM that included four standardized patient cases addressing barriers to CRC screening that had been identified in physician-completed surveys at the start of the study period. Office-based CRC prevention materials and multilingual patient education materials, evaluated for readability, were shared with the physicians and other staff. A total of 1,290 patient charts from a sample of physician offices (34 offices in the intervention group and 33 offices in the control group) were abstracted and assessed at baseline and 12 months for completion of fecal occult blood test (FOBT), flexible sigmoidoscopy, and colonoscopy. The study used a randomized controlled design. The results of the clinical trial are

described in detail in the accompanying article (Gorin et al, manuscript submitted for publication).

Flow Diagram

Figure 1 represents the sequence of events from initial enrollment to measurement of outcomes. Of note, only patient charts from a random sample of physician offices in both communities were abstracted for review.

Assumption for the Economic Model

The economic model was based on the health care organization's perspective, as it is most likely to support the costs of cancer screening promotion efforts. The total time spent by each physician on activities related to the academic detailing intervention was less than 4 hours over a 12-month period. Participating physicians were offered a \$100 honorarium for their participation; only 74 physicians requested this amount. The remaining physicians involved in this study gave their time voluntarily. Chart review, honoraria, and data abstraction costs were not included, as these were considered study-related costs.

Calculation of Costs

All inputs were included in the cost analysis (Table 2). The cost inputs were divided into two categories: initial development costs (fixed one-time costs including advertising, CD-ROM design, and multilingual translation of

Table 2. Cost of Academic Detailing Intervention

Cost Input	Total Cost of the Intervention* (US\$)	± 10% Around Cost Estimates (US\$)
Middle Manhattan community (Upper East Side, Upper West Side, Murray Hill) physician offices, n = 123		
Initial development costs: fixed costs (advertising, CD-ROM design, translation of materials)	21,484	19,336-23,633
Intervention delivery costs		
Printed materials, travel, office supplies	17,314	15,583-19,045
Academic detailer costs†	31,495	28,346-34,644.5
Total cost	70,293	63,263-77,322
Upper Manhattan/Bronx community offices, n = 141		
Initial development costs: fixed costs (advertising, CD-ROM design, translation of materials)	21,484	19,336-23,633
Intervention delivery costs		
Printed materials, travel, office supplies	19,847	17,862-21,832
Academic detailer costs†	36,241	32,617-39,865
Total cost	77,572	69,815-85,329
Total combined cost (both communities)	147,865	133,078-162,652

*Two hundred sixty-four physician offices.

†Detailing visits conducted by one foreign-trained physician educator over a 12-month period of time. Annual salary based on mean annual health educator salary (bureau of labor statistics 2007) of \$54,780 + 23.4% benefits rate.

Table 3. ICERs for the Academic Detailing Intervention

Cost-Effectiveness Measure	FOBT (US\$)	Flexible Sigmoidoscopy (US\$)	Colonoscopy (US\$)
Increase in screening rates, %	Screening rates did not increase	Screening rates did not increase	7%
Total cost of intervention	147,865	147,865	147,865
ICER per percentage point increase in screening	*	*	21,124
Sensitivity estimate, % (±10%)			19,011-23,236
Total initial development costs	42,968	42,968	42,968
Total intervention delivery costs (4 physicians per office)	104,897	104,897	104,897
Sensitivity estimate (intervention delivery costs: 8 physicians per office 2×)	52,449	52,449	52,449
Sensitivity estimate (intervention delivery costs: 2 physicians per office 1/2×)	209,794	209,794	209,794
ICER sensitivity estimate	*	*	13,631-36,109

Abbreviations: ICERs, incremental cost-effectiveness ratios; FOBT, fecal occult blood test.
 *ICER cannot be calculated because the intervention is not clinically effective.

materials in the self-learning packets) and intervention delivery costs (cost of printed materials, CD-ROM production, travel, office supplies, and time costs for the detailer). Academic detailers were compensated at an annual health educator salary of \$54,780 plus 23.4% benefits rate from the Bureau of Labor Statistics 2007.¹⁴ The total fixed costs were divided equally across both communities. The intervention delivery costs were determined for each community based on the number of participating physician offices (Table 2).

Calculation of the ICER

The ICER was calculated on the basis of the total cost of the intervention and the relative change in CRC screening rates between control and intervention groups across both communities. The clinical outcomes used in the calculation of ICER were the completion of colonoscopy, FOBT, and flexible sigmoidoscopy. The ICER is reported as dollars per additional percentage point increase in CRC screening rate. As in prior studies of the cost effectiveness of CRC screening promotion efforts, sensitivity analysis estimates were based on a 10% variation around the point estimates for the various cost inputs.^{10,11} An additional sensitivity analysis evaluated the ICER for differing

numbers of physicians in the practice and, accordingly, varying intervention delivery costs among the practices (Table 3; Fig 2). The average practice setting included four physicians. For this sensitivity analysis, the intervention delivery costs varied, whereas other costs remained constant. Intervention delivery costs were doubled if the practices included an average of two practitioners and were halved for those with eight practitioners.

RESULTS

At baseline, more patients in Upper Manhattan/Bronx had Medicaid insurance (36%) compared with Middle Manhattan (6.5%). In Middle Manhattan, baseline screening rates for colonoscopy, FOBT, and flexible sigmoidoscopy were 28.0%, 11.5%, and 6.4%, respectively, in the control offices and 21.1%, 8.4%, and 3.2%, respectively, in the intervention offices. In Upper Manhattan/Bronx, baseline screening

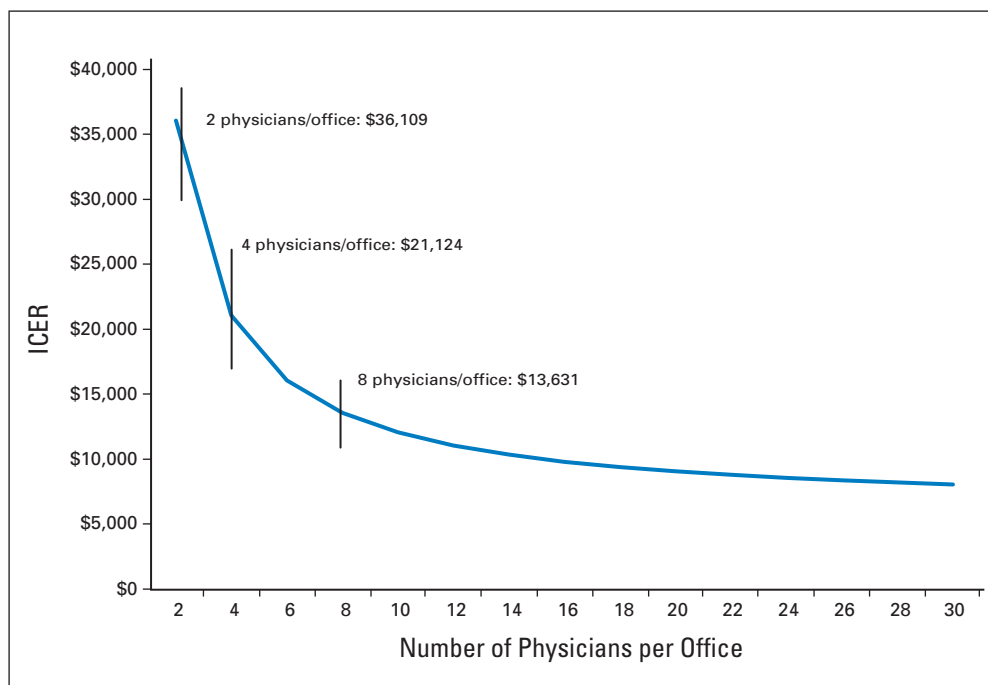


Fig 2. Estimated incremental cost effectiveness ratio (ICER) per percentage point increase in screening based on the number of physicians per office.

rates for colonoscopy, FOBT, and flexible sigmoidoscopy were 10.3%, 9.9%, and 1.9%, respectively, in the control offices and 9.1%, 11.0%, and 8.3%, respectively, in the intervention offices.

At a follow-up of 12 months, there was no statistically significant difference in uptake of FOBT or flexible sigmoidoscopy between control and intervention groups ($P = .47$ for FOBT and $P = .81$ for flexible sigmoidoscopy). In Upper Manhattan/Bronx, there was a 7.1% increase in colonoscopy screening in the intervention compared with the control group; in Middle Manhattan, there was a 5.7% increase in colonoscopy screening in the intervention compared with the control group. For the composite of both communities, there was a 7% greater increase in screening by colonoscopy in the intervention group compared with the control group (odds ratio [OR] = 1.93; 95% CI, 1.11 to 3.37; $P = .02$). A subgroup analysis also revealed that patients who were uninsured or had Medicaid insurance were less likely than the rest of the sample to undergo colonoscopic screening at follow-up, though this difference was small (OR = 0.96; 95% CI, 0.93 to 0.99; $P = .01$; ν OR = 0.98; 95% CI, 0.97 to 0.99; $P = .01$, respectively). Stratifying by community, the postintervention increase in CRC screening was 40% higher in Middle Manhattan than in Upper Manhattan/Bronx; baseline screening rates were approximately twice as high in Middle Manhattan.

The total cost of the intervention across both communities was \$147,865. The ICER of this physician-directed intervention is \$21,124 per percentage point increase in colonoscopy screening rate (Table 3). Sensitivity estimates based on a 10% variation around the cost inputs indicated that the estimated cost per additional percentage increase in colonoscopy screening ranged from \$19,011 to \$23,236. Sensitivity estimates based on varying the intervention delivery costs by size of the physician offices estimated the cost per additional percentage increase in colonoscopy screening ranged from \$13,631 (for medical practices with eight practitioners) to \$36,109 (for medical practices with two practitioners).

The academic detailing intervention was not associated with a statistically significant increased rate of CRC screening by either FOBT or flexible sigmoidoscopy. As such, the ICER cannot be calculated for these two other screening modalities.

DISCUSSION

To our knowledge, this study is the first to assess the cost-effectiveness of an intensive provider-directed intervention designed to improve CRC screening rates among practitioners who work in small group practices. The current study was conducted among 264 physician offices in New York City and consisted of multicomponent academic detailing, including face-to-face visits. The clinical trial reported a 7% increase in colonoscopy screening in the practices that were included in the intervention arms. The ICER associated with this intervention was \$21,124 per percentage point increase in CRC screening by colonoscopy.

The ICER for this intervention is markedly higher than all but one of the previously reported ICERs for CRC screening promotion interventions (Table 1). As noted earlier, prior cost-effectiveness analyses were based on studies conducted in large academic clinical settings or among practitioners who worked in eight large inner city medical clinics.^{4,7,10,11} The ICERs per extra percentage increased in screening for these prior low-intensity interventions ranged from

\$131 to \$1,161 for patient-directed or infrastructural interventions and \$9,639 for a physician-directed intervention involving feedback CRC screening rates.^{4,7,10,11} By contrast, a highly intensive patient-directed intervention involving patient-specific mailings combined with telephone reminders to delinquent patients was associated with an ICER of \$38,600 per percentage point increase in CRC screening rate.⁷ Taken together, these findings suggest that low-intensity interventions that can be shown to improve CRC screening may be more cost-effective than high-intensity interventions, even if clinically effective. Given that 75% of medical visits in the United States are made to small group practices of four or fewer physicians, and 39% of these visits are to solo practitioners, interventions that can optimally target a broad range of small practice settings in an economically feasible manner have yet to be described.¹⁵

Our findings can be interpreted in the context of key elements for evaluating the cost effectiveness of cancer screening promotional studies suggested by Andersen et al.¹⁶ These elements include basing interventions around screening tests that are known to be cost-effective; targeting average-risk individuals who have not previously participated in screening efforts; evaluating quality-of-life concerns of participants involved in cancer screening programs; focusing on interventions that are likely to be cost-effective; formally assessing the cost effectiveness of the promotion efforts during a randomized intervention; recognizing that the unique characteristics of the patient population, physicians, and health care system play an important role in the promotional efforts; and addressing the sustainability of the promotional program. This health care provider-directed intervention addresses all of the proposed lessons, with the exception of including prospective quality-of-life assessments and cost-effectiveness data in terms of quality-adjusted life years. As the purpose of this analysis was not to elucidate the cost effectiveness of the screening technology (which has been repeatedly investigated), but rather to study the promotion strategy itself in the context of previously reported CRC screening promotion efforts, the present study uses the much more relevant cost-effectiveness metric of cost per percentage point increase in screening rate.¹²

Some limitations to our study should be noted. First, cost-effectiveness analyses of CRC screening promotion interventions are based on a wide range of cost inputs, as operational aspects of each intervention differ. Second, the high costs of the intervention were attributed in large part to the time costs for the academic detailer to travel between practices. In sensitivity analyses where the average practice size was twice as large as in the actual intervention, the estimated ICER remained higher than \$13,000 per percentage point increase in CRC screening rate. However, when there were more than 16 physicians per practice group, the estimated ICER decreased to less than \$10,000 per percentage point increase in CRC screening rates, similar to our previously reported low-intensity physician-directed intervention.¹¹ Third, the metric used in this analysis, cost per percentage point increase in screening rate, has not routinely been used in describing interventions to promote CRC screening; this metric, however, is more applicable at the level of managed care organizations in which quality measures are based on percentages and populations rather than individuals. Fourth, the intervention was associated with an increase in uptake of screening by colonoscopy, but not FOBT or flexible sigmoidoscopy. It should be noted that during the time period for the intervention, the New York City Department of Health identified colonoscopy as the preferred CRC screening approach in the

metropolitan New York area.¹⁷ The baseline screening rates for colonoscopy in both Middle Manhattan (28%) and Upper Manhattan/Bronx (10.3%), however, were significantly lower compared with the national colonoscopy screening average (approximately 45%).¹

In conclusion, an academic detailing intervention consisting of physician education and addressing barriers to CRC screening resulted in a 7% increase in CRC screening in two socioeconomically and ethnically disparate Manhattan communities. The academic detailing intervention is associated with an ICER of \$21,124 per percentage point increase in screening. The need for dissemination of cost-effective interventions to improve CRC screening in small practices is crucial, as few small practices have the ability to create organized processes to proactively improve CRC detection.¹⁸ Future randomized trials of CRC screening promotion efforts in small community practice settings should focus on low-intensity interventions. High-intensity interventions involving medical practices with small numbers of physicians, even if clinically effective, are unlikely to be affordable.

AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST

The author(s) indicated no potential conflicts of interest.

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