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Risk prediction with procalcitonin and clinical rules in community-

acquired pneumonia

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Abstract

Objective—The Pneumonia Severity Index (PSI) and CURB-65 predict outcomes in community acquired pneumonia (CAP), but have limitations. Procalcitonin, a biomarker of bacterial infection, may provide prognostic information in CAP. Our objective was to describe the pattern of procalcitonin in CAP, and determine if procalcitonin provides prognostic information beyond PSI and CURB-65.

Methods—We conducted a multi-center prospective cohort study in 28 community and teaching emergency departments. Patients presenting with a clinical and radiographic diagnosis of CAP were enrolled. We stratified procalcitonin levels *a priori* into four tiers – I: < 0.1; II: \geq 0.1 to <0.25; III: \geq 0.25 to < 0.5; and IV: \geq 0.5 ng/ml. Primary outcome was 30d mortality.

Results—1651 patients formed the study cohort. Procalcitonin levels were broadly spread across tiers: 32.8% (I), 21.6% (II), 10.2% (III), 35.4% (IV). Used alone, procalcitonin had modest test characteristics: specificity (35%), sensitivity (92%), positive likelihood ratio (LR) (1.41), and negative LR (0.22). Adding procalcitonin to PSI in all subjects minimally improved performance. Adding procalcitonin to low risk PSI subjects (Class I–III) provided no additional information. However, subjects in procalcitonin tier I had low 30d mortality regardless of clinical risk, including those in higher risk classes (1.5% vs. 1.6% for those in PSI Class I–III vs. Class IV/V). Among high risk PSI subjects (Class IV/V), one quarter (126/546) were in procalcitonin tier I, and the negative LR of procalcitonin tier I was 0.09. Procalcitonin tier I was also associated with lower burden of other adverse outcomes. Similar results were seen with CURB-65 stratification.

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Conclusions—Selective use of procalcitonin as an adjunct to existing rules may offer additional prognostic information in high risk patients.

Keywords (MeSH)

Biological markers; procalcitonin; pneumonia; prediction rules

INTRODUCTION

Background

Community-acquired pneumonia (CAP) accounts for 1.3 million hospitalizations in the US each year (1) at a cost of \$8.4 billion.(2) It is the most common cause of severe sepsis (3) and infection-related death.(4) Key to the safe and efficient management of CAP is the ability to reliably predict who will fare well or poorly. The Pneumonia Severity Index (PSI) (5) and CURB-65 (6) are clinical rules that identify a subset of individuals at low risk of death who are candidates for outpatient care.(7;8) However, all remaining patients are classified as high risk, usually prompting hospital admission and parenteral antibiotics, even though a large proportion may do well.(9) Thus, there has been considerable interest in the development of rapidly available biomarkers that might confer additional prognostic information.(10)

Importance

Procalcitonin is a calcitonin precursor that is generally elevated in bacterial infections but low in viral infections.(11) Procalcitonin has good discrimination for bacterial infections and sepsis (12–15), and three trials used low procalcitonin levels to withhold antibiotics in emergency department (ED) patients presenting with respiratory illnesses.(16–18) However, two recent meta-analyses concluded that procalcitonin could not reliably differentiate sepsis from non-infectious inflammation in critically ill patients (19), and had only moderate diagnostic performance for identifying bacteremia in ED patients.(20) Furthermore, the prognostic value of procalcitonin levels in patients with high PSI scores, and that higher concentrations were associated with mortality and complications(21), but Beovic et al found no association between procalcitonin and PSI.(22) These single center studies were limited by small sample sizes, and used older procalcitonin assays with low sensitivity.(23)

Goals of This Investigation

Our goal was to determine the prognostic utility of a newer, high sensitivity procalcitonin assay for 30d mortality, and assess its value beyond established clinical prediction rules. We tested this assay within a multi-center, prospective cohort of patients presenting to the ED with a clinical and radiographic diagnosis of CAP. We hypothesized that an early singular procalcitonin measurement would aid risk assessment beyond that available from the PSI and CURB-65.

METHODS

Study design and setting

We conducted a multicenter, prospective cohort study of patients presenting to the EDs of 28 teaching and non-teaching hospitals in southwestern Pennsylvania, Connecticut, southern Michigan, and western Tennessee between November 2001 and November 2003 (GenIMS – Genetic and Inflammatory Markers of Sepsis). A specific aim of GenIMS was to develop and validate risk prediction tools based on information available early in the course of disease. As

part of this aim, we sought to determine the prognostic utility of procalcitonin for 30-day mortality.

Selection of participants

Eligible subjects were \geq 18 years old and had a clinical and radiologic diagnosis of pneumonia as per Fine, et al.(5) We excluded those transferred from another hospital, discharged from a hospital within the prior 10 days, with an episode of pneumonia within the past 30 days, on chronic mechanical ventilation, with cystic fibrosis, with active pulmonary tuberculosis, with a known positive HIV antibody titer, having alcoholism with evidence of end-organ damage, admitted for palliative care, enrolled previously in GenIMS, incarcerated, and pregnant women. We obtained informed consent from the subject or proxy. The Institutional Review Boards of the University of Pittsburgh and all participating sites approved the study.

Data collection and processing

We gathered baseline and sequential clinical information by structured patient or proxy interviews, bedside assessment by study nurses, and structured medical record reviews. Median time from ED admission to day 1 blood sample collection was 1.3 hours. We did not obtain day 1 samples from patients presenting after 11pm or on weekends and holidays for logistical reasons. Study personnel collected blood sample into pyrogen-free vials containing heparin, and separated plasma by centrifugation within one hour. Plasma was frozen and shipped on dry ice to our central laboratory in Pittsburgh. We tracked clinical data and blood samples using unique anonymized identification numbers, merging data only after assay completion. We observed strict data confidentiality and audited clinical data and assays for accuracy, including random chart audits, repeat blood assays, and computer flags for inconsistencies.

Methods of measurement

We measured procalcitonin using a time-resolved, amplified cryptate emission assay (Kryptor PCT, BRAHMS, Hennigsdorf, Germany). The assay has a functional assay sensitivity of 0.06 ng/ml, and at 0.1 ng/ml the coefficient of variation is 10–15%. (24) Study nurses ascertained deaths in hospital. Post discharge deaths were ascertained by telephone and National Death Index (NDI) search. We enrolled subjects between November 2001 and November 2003, locked clinical data in 2004, completed assays in 2005, and petitioned complete NDI data when it became available in 2006.

We prospectively assessed severity of illness using PSI.(5) We calculated CURB-65 retrospectively using altered mental status or a new change in Glasgow Coma Scale as proxy measures for confusion.(25) Based on prior studies, we stratified procalcitonin into four tiers - tier I: < 0.1; tier II: \geq 0.1 to < 0.25; tier III: \geq 0.25 to < 0.5, and; tier IV: \geq 0.5 ng/ml.(16–18) We defined a clinically significant positive culture based on published guidelines and prior literature.(26–33) For example, single blood cultures that yielded coagulase negative staphylococci, and sputum cultures that yielded normal oral flora were not counted as clinically significant. We defined severe sepsis as infection plus acute organ dysfunction following international consensus criteria.(34) Acute organ dysfunction was defined as a new Sequential Organ Failure Assessment (SOFA) score of >2 in any of six organ systems.(35)

Outcome measures

Our primary outcome was 30-day mortality, the traditional endpoint used for clinical prediction rules in CAP, including PSI and CURB-65. Secondary outcomes included 90-day mortality, length of stay, and intensive care unit (ICU) admission.

Primary data analysis

We generated descriptive data, comparing initial presentation and outcome measures across procalcitonin tiers. To test for trends across procalcitonin tiers for ordinal variables, we used the Jonckheere-Terpstra trend test. To test for differences across procalcitonin tiers for continuous variables, we used the Kruskal-Wallis test.

To understand the prognostic utility of procalcitonin and the two clinical prediction rules as stand-alone tests, we first generated Kaplan-Meier plots of 30-day mortality by category (tier, class or group). We then generated test characteristics (sensitivity, specificity, negative and positive likelihood ratios [LR]) for each test dichotomized into low and high risk. A positive LR > 10 and a negative LR < 0.1 may be considered to provide strong evidence to rule in or rule out the condition of interest.(36) We defined low risk as Class I–III for PSI, Group 1 for CURB-65, and tier I (<0.1 ng/mL) for procalcitonin, based on previous criteria. (5;18;37)

To understand the value of adding procalcitonin to PSI, we first generated 30-day mortality Kaplan-Meier plots stratified by procalcitonin tier within each PSI Class. Second, we assessed the change in test characteristics for a logistic regression model where procalcitonin was added to a model with PSI alone. This approach assumes both 'tests' (PSI and procalcitonin) are performed in all patients. We derived and validated models using a 3:1 random split of the overall cohort, fitting with all possible combinations of PSI, procalcitonin, and the corresponding interaction term. These models were also fit with PSI and procalcitonin treated as categorical variables. Third, because one could selectively order procalcitonin measurement depending on the clinical risk assessment, we assessed the test characteristics of procalcitonin within the clinical low and high risk strata. We used the same approach to determine the prognostic value of adding procalcitonin to CURB-65. Analyses were performed using SAS 9.1 (SAS, Cary, NC).

Sensitivity analyses

To complete sensitivity analyses, we explored the effects of reclassifying PSI Class I–III subjects as high risk if they were hypoxic (5), and excluding patients who were subsequently ruled out for CAP during their hospitalization. Due to the large number of variables for the analysis stratifying and comparing the baseline presentation of high-risk patients by procalcitonin tier I vs. tiers II–IV, we chose a significance level of p < 0.01. For all other analyses, we assumed significance at p < 0.05.

RESULTS

Characteristics of study subjects

Of the 2320 subjects enrolled in GenIMS, 1651 (71.2%) had a day 1 procalcitonin drawn and formed the study cohort. PSI was measured in the ED in 1384 (83.8%) of the 1651 study cohort subjects (Figure 1). The study cohort was predominantly white, underlying disease was common, approximately half were identified as high risk by the PSI and CURB-65 clinical risk rules, and most were admitted to hospital. Very few subjects identified in the ED as having CAP were subsequently "ruled out for CAP" by the inpatient clinical team (Table 1). Severe sepsis developed in one quarter, and 30-day mortality was 6.4% overall (Table 2), and 15.0% and 11.3% in those labeled as high risk by PSI and CURB-65 (Appendix Tables 1 and 2).

The mean procalcitonin level at presentation was 3.4 ng/mL, but levels were broadly spread (SD: 16.5), such that 542 subjects (32.8%) were in tier I, 356 (21.6%) in tier II, 169 (10.2%) in tier III, and 584 (35.4%) in tier IV. Higher procalcitonin tiers were associated with more clinical signs of infection and a worse course and outcome (Table 2). For example, subjects in the lowest tier had the lowest severity scores, lowest likelihood of developing clinically

significant cultures, and lowest rates of severe sepsis, mechanical ventilation, ICU admission, and death. Lowest procalcitonin tier patients were also the most likely to be discharged from the ED and, if admitted, to be subsequently ruled out for CAP (Table 2). Among subjects who developed severe sepsis, those in the lowest procalcitonin tier were less likely to develop central nervous (5.3% vs. 24.2%; p = 0.0001), cardiovascular (4.0% vs. 11.0%; p = 0.08), and ≥ 3 organ (1.3% vs. 16.5%; p = 0.0001) system dysfunction, and had more organ failure-free days in the first 30 days (25.5 vs. 18.5; p < 0.0001), compared to those in procalcitonin tiers II–IV.

Main Results

As stand-alone tests, the PSI, CURB-65 and procalcitonin had similar characteristics. For each, 30-day mortality generally rose with rising category (Figures 2 and 3, Table 2). In addition, after dichotomizing subjects as 'high' or 'low' risk, each test alone had moderate specificity (35–64%), high sensitivity (87–92%), low positive LRs (1.41–2.43) and modest negative LRs (0.20–0.23) (Table 3). Simply adding the procalcitonin test result to the PSI in all subjects led to only minimal improvement in test performance. In the derivation cohort, sensitivity increased from 71.8% to 76.1%, specificity from 77.3% to 79.8%, and area under the receiver operating curve from 0.83 to 0.85. Similarly, in the validation cohort, sensitivity and specificity changed from 78.0% to 78.3% and from 75.2% to 78.9%. Results were similar when adding procalcitonin to CURB-65.

Mortality at 30 days was very low among subjects identified as low risk by the clinical prediction rules (1.4% for PSI Class I–III and 1.6% for CURB-65 Group 1) and there was no additional prognostic advantage when stratified by procalcitonin tier (Figures 2 and 3, Table 3). However, among those identified as high risk by PSI, 23.1% (126/546) had a procalcitonin level in tier I. In this subgroup, only two subjects died by day 30, yielding a mortality rate of 1.6%, similar to that of the low risk subjects. In contrast, mortality was higher in the PSI Class IV/V subjects who had a procalcitonin tier >I (19.0% [80/420], p < 0.0001) (Figure 2). The negative LR for a low procalcitonin within clinically high risk subjects was 0.09 (Table 3). Results were similar with CURB-65: 21.9% (181/825) of CURB-65 Group 2/3 subjects had a procalcitonin level in tier I, and mortality was 2.2% (4/181) vs. 13.8% (89/644) for subjects with procalcitonin levels in tier I vs. tiers II–IV (p < 0.0001), yielding a negative LR for a low procalcitonin 3.

Secondary and sensitivity analyses

We explored possible reasons for the large mortality difference by procalcitonin tier among subjects identified clinically as high risk. Some clinical signs were worse for those with higher procalcitonin tiers in comparison to those in tier I, but differences were generally modest, and many other aspects of clinical presentation were similar. For example, those in procalcitonin tiers II–IV were more likely to have renal impairment, altered mental status, fever, and leukocytosis, but no more likely to be hypoxic, tachypneic, tachycardic, hypotensive, thrombocytopenic or have abnormal blood glucose or sodium. There were also no differences in age, race, sex, Charlson co-morbidity, functional status, duration of symptoms, or domicile (nursing home vs. home).

Within clinical high risk subjects, those in procalcitonin tier I also had lower 90-day mortality (PSI: 9% vs. 26%, p < .0001; CURB-65: 9% vs. 19%, p=.0004) and, in those identified as high risk by CURB-65, shorter length of stay (6.7d vs. 7.9d, p=.002) and reduced likelihood of ICU admission (13% vs. 21%, p=.01), compared to those in procalcitonin tiers II–IV. Neither excluding subjects who ruled out for CAP, nor reclassifying hypoxic PSI Class I–III subjects as 'high risk' significantly changed our results.

LIMITATIONS

We chose 30-day mortality as the primary outcome, following the methodology of the original PSI and CURB-65 studies. Other outcomes are important, and not necessarily correlated with mortality. Nevertheless, subjects with a low procalcitonin appeared to have lower rates of many other outcomes, including mortality measured at different timepoints (Appendix Tables 1 and 2). Second, although procalcitonin tier I was consistently associated with a low mortality, a "dose-response" was not seen across procalcitonin tiers II-IV, with similar 30-day mortality rates across these tiers (range: 8.4% - 9.5%) (Table 2). Thus, it is important to emphasize that only the lowest range of procalcitonin is associated with low mortality. Third, CAP is a clinical diagnosis with inherent subjectivity. A recent acute bronchitis review noted that a procalcitonin < 0.1 ng/ml may be able to safely discriminate between acute bronchitis and CAP, but that more data were needed. (38) This raises the possibility that some of our cohort with a low procalcitonin, although diagnosed clinically and radiographically with CAP by their treating physicians, may not actually have had pneumonia. However, all GenIMS patients met the CAP criteria of Fine et al (5), an expected, low percentage of patients were later deemed not to have CAP, this percentage did not markedly vary by procalcitonin tier (range: 4% - 7%), and eliminating these patients from our analyses had minimal effect. Lastly, as with PSI, CURB-65, or any clinical decision adjunct, procalcitonin must be interpreted in the context of the individual patient, and clinical judgment is always necessary.

DISCUSSION

Over a century ago, it was observed that "...the result of a laboratory test should have, in a given case, the same value as a cardinal symptom or an approved clinical sign...many ... forget this, and fail to correlate the laboratory findings with the clinical findings."(39) We also observed that used alone, procalcitonin performed similarly to existing clinical prediction rules, but that indiscriminately adding procalcitonin to all patients, regardless of clinical risk category, provided little additional information. However, clinical prediction rules have two important limitations – physicians may misapply or not remember them, and within a given risk category there can be a significant range in outcome. We therefore sought to determine if procalcitonin could address these concerns, first as a stand-alone test, and then layered on top of clinical risk assessment.

Our main finding was that in a large, contemporaneous cohort of patients diagnosed in the ED with CAP, patients with a procalcitonin < 0.1 ng/ml had a low 30-day mortality rate, even in patients defined as high-risk by established clinical risk prediction rules. Thus, adding procalcitonin to the assessment of high clinical risk patients significantly improved the ability to rule out the likelihood of death. There are, however, important caveats to these observations.

First, although a procalcitonin < 0.1 ng/ml in high-risk subjects had a very low LR for death, the relatively wide 95% confidence interval merits caution. Second, a good outcome for a high-risk subject could either be because the risk prediction tool was inadequately discriminant or because the ensuing care averted an adverse outcome. Thus, a retrospective identification of a rule or test with potentially valuable test characteristics should be followed up by prospective assessment of its impact on clinical decision-making and outcomes. The potential of the PSI was not fully understood until Marrie et al and Yealy et al demonstrated that it could help physicians safely withhold hospital admission and intravenous antibiotics.(37;40) We similarly recommend that procalcitonin as an adjunct to clinical tools be tested prospectively before wider use.

Our primary goal was to determine how procalcitonin might enhance existing CAP prediction rules and decision making. We recognize though that physicians often do not explicitly

calculate PSI in daily clinical practice. However, the same factors that comprise PSI and other prediction rules also go into the bedside clinical judgment many physicians use to guide their decisions. Our results therefore suggest that procalcitonin may aid decision making in high risk patients, defined explicitly or implicitly with the PSI or a similar tool. Most importantly, we again emphasize that procalcitonin should never be used in isolation to make clinical decisions, and does not replace physician assessment.

Current CAP guidelines recommend that clinically high risk patients be hospitalized, and that ICU admission be considered for patients in the highest risk categories. (7;41;42) Of interest, Marrie et al also observed that many clinical high-risk patients might be safely managed at home.(43) Our data suggest that procalcitonin may aid in identifying PSI/CURB 65 high risk patients who will rarely suffer mortal and other complications. Thus, there could be considerable benefits, both in terms of conserved resources and antibiotic management, if one could better stratify high risk patients. Prospective studies are needed to determine if procalcitonin can improve physician management decisions and outcomes in high risk patients.

However, two clinically important questions merit emphasis. First, is a low procalcitonin in a high risk patient clinically obvious, and only a "costly surrogate measure of health status"? (44) We believe not, since these patients did not appear different than other high risk patients across a wide range of baseline variables, suggesting that a low procalcitonin is not particularly obvious at the bedside. Second, why did those patients with high clinical risk scores, yet low procalcitonin levels, do so well? We found that a low procalcitonin was associated with shorter length of stay, lower proportions of mechanical ventilation and ICU admission, and a more benign severe sepsis phenotype. This suggests that while CAP patients with high clinical risk scores often already have severe sepsis at ED presentation or develop it later, that a low procalcitonin portends a less severe course, potentially explaining the associated low mortality.

Of note, our observational study design does not allow us to address whether procalcitonin can be useful in guiding antibiotic treatment, based on ability to determine bacterial infection. Instead, our work focused on procalcitonin and links to adverse outcomes in those already diagnosed with CAP. We recognize however that these two domains, while theoretically distinct, are practically intermingled. For example, patients with CAP that have positive blood cultures tend to fare worse, and low procalcitonin is associated with both low positive culture rates and low mortality.

We conclude that in a large, multicenter CAP cohort, patients in the lowest procalcitonin tier (≤ 0.1 ng/ml) were at a low risk of death, regardless of clinical risk. Used indiscriminately, procalcitonin provided little additional information over PSI and CURB-65 risk assessment. However, a selective two-tiered approach of first performing a clinical risk assessment, and then obtaining procalcitonin only in those judged to be high-risk, offers potentially important value.

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Figure 1. Flow diagram of study

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Figure 2. Kaplan-Meier survival curves, by PSI Class and procalcitonin tier

In PSI Class I–III, mortality was low, and stratification by procalcitonin tier did not provide additional information. In PSI Class IV/V, patients with a procalcitonin < 0.1 ng/mL had the lowest 30-day mortality. PSI – Pneumonia Severity Index. PCT – procalcitonin.

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Figure 3. Kaplan-Meier survival curves, by CURB-65 Group and procalcitonin tier In CURB-65 Group 1 patients, mortality was low, and and stratification by procalcitonin tier did not provide additional information. In CURB-65 Groups 2/3, patients with a procalcitonin < 0.1 ng/mL had the lowest 30-day mortality. PCT – procalcitonin.

Study cohort demographic and clinical characteristics (n = 1651)

	Value
Age (years), mean (SD)	65.0 (18.5)
Sex (male), n (%)	860 (52%)
Race, n (%)	
White	1336 (81%)
Black	227 (14%)
Other	88 (5%)
Prior antibiotics, n (%)	271 (16%)
Pre-enrollment duration of symptoms, mean (SD), median	4.8 (14.5), 3.0
Charlson co-morbidity index	
Mean (SD), median	1.69 (2.12), 1.0
Index > 0, n (%)	1094 (66%)
PSI [*]	
Mean (SD), median	82.0 (34.3), 80.5
Class, n (%)	
I, II	541 (39%)
III	297 (21%)
IV	419 (30%)
V	127 (9%)
CURB-65	
Mean (SD), median	1.57 (1.19), 1.0
Group, n (%)	
1	826 (50%)
2	421 (25%)
3	404 (24%)
ED discharges, n (%)	265 (16%)
Ruled out for CAP, n (%)	94 (6%)

SD - standard deviation, PSI - Pneumonia Severity Index, ED - emergency department, CAP - community acquired pneumonia

* PSI was measured in the ED in 1384 (83.8%) subjects. There were no significant differences between subjects who did, and did not, have a PSI measured.

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		1 (< 0.1)	П (≥ 0.1; < 0.25)	Ⅲ (≥ 0.25; < 0.5)	IV (≥0.5)
value *	1651 (100%)	542 (32.8%)	356 (21.6%)	169 (10.2%)	584 (35.4%)
0018	1475 (96%)	449 (93%)	317 (96%)	157 (97%)	552 (97%)
<.0001	766 (47%)	155 (29%)	169 (48%)	87 (52%)	355 (61%)
.1292	1605(97%)	532 (99%)	341 (96%)	166 (99%)	566 (97%)
<.0001	1138 (76%)	312 (67%)	239 (73%)	116 (74%)	471 (85%)
<.0001	3.02 (0.87)	2.67 (0.85)	2.99 (0.87)	3.11 (0.82)	3.33 (0.78)
<.0001	82.0 (34.3)	71.2 (30.9)	83.9 (33.7)	86.8 (32.9)	89.7 (35.5)
<.0001	541 (39%)	224 (48%)	109 (37%)	52 (35%)	156 (33%)
	710 (20%)	112 (24%)	00 (22%) 01 /21%)	28 (19%)	91 (19%)
	419 (30%)	110 (24%)	(%1C) 16	(%) (2) (0) (13 (0%)	(%4C) C01
<.0001	1.57 (1.19), 1.0	1.16 (1.06), 1.0	1.56 (1.15), 2.0	1.73 (1.19), 2.0	1.91 (1.22), 2.0
1000					
<.0001	826 (50%)	361 (6/%) 111 (30%)	I /2 (48%)	/0 (41%)	223 (38%)
	(%CZ) 174	111 (20%)	(%07) 66	40 (20%) 51 (30%)	102 (20%)
2020	771 (16%)	103 (10%)	07 (24%) 64 (18%)	02 (14%)	()470 ()470 ()470 () 81 (14 %)
1010	(0/01) 1/7	(N C I) C O I	(m ot) +0	(0/+T) C7	(0/ + T) TO
<.0001	106 (6.4%)	8 (1.5%)	30 (8.4%)	16 (9.5%)	52 (8.9%)
<.0001	161(9.8%)	24 (4.4%)	41 (11.5%)	26 (15.4%)	70 (12.0%)
<.0001	6.2 (5.3), 5.0	5.1(4.4), 4.0	6.3(5.4), 5.0	6.9 (5.2), 5.0	7.0 (5.9), 5.5
<.0001	215 (13%)	42 (8%)	48 (13%)	20 (12%)	105 (18%)
<.0001	265(16%)	137 (25%)	62(17%)	16(9%)	50(9%)
.040	94 (6%)	38 (7%)	24 (7%)	6(4%)	26 (4%)
<.0001	215 (13%)	34 (6%)	29 (8%)	22 (13%)	130 (22%)
<.0001	423 (26%)	91 (17%)	99 (28%)	51 (30%)	182 (31%)
<.0001	202 (12%)	33 (6%)	43 (12%)	26 (15%)	100(17%)
.0002	89 (5%)	14 (3%)	23 (6%)	6(4%)	46 (8%)
SD – standa	ard deviation, ED – emers	cency department, CAP – c	ommunity acquired pneumo	nia, ICU – intensive care uni	t, LOS – length of stay
	value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value value va	ralue* 1651 (100%) .2018 1475 (96%) 0018 1475 (96%) 0018 1475 (96%) 0001 766 (47%) 1292 1605 (97%) 0001 766 (47%) 0001 766 (47%) 0001 3.02 (0.87) 0001 3.02 (0.87) 0001 3.02 (0.87) 0001 3.02 (0.87) 0001 3.02 (0.87) 0001 541 (39%) 127 (1.9) 1.0 0001 1.57 (1.19), 1.0 0001 1.57 (1.19), 1.0 0001 1.57 (1.19), 1.0 0001 1.57 (1.19), 1.0 0001 1.57 (1.19), 1.0 0001 1.57 (1.19), 1.0 0001 1.57 (1.19), 1.0 0001 1.57 (1.19), 1.0 0001 1.56 (1.3%) 0001 1.56 (1.3%) 0001 1.56 (1.8%) 0001 2.15 (1.3%) 0001 2.56 (1.6%)	ralue 1651 (100%) 542 (32.8%) .0018 1475 (96%) 449 (93%) .0001 766 (47%) 155 (29%) .0001 766 (47%) 532 (99%) .11292 1605 (97%) 532 (99%) .0001 7138 (76%) 532 (99%) .0001 3.02 (0.87) 2.67 (0.85) .0001 3.02 (0.87) 2.67 (0.85) .0001 3.02 (0.87) 2.67 (0.85) .0001 3.02 (0.87) 2.67 (0.85) .0001 541 (39%) 71.2 (30.9) .0001 541 (39%) 110 (24%) .127 (9%) 110 (24%) 110 (24%) .0001 1.57 (1.19), 1.0 1.16 (1.06), 1.0 .0001 1.57 (1.19), 1.0 1.16 (1.06), 1.0 .0001 1.57 (1.9), 1.0 1.11 (20%) .0001 1.57 (1.9), 1.0 1.11 (20%) .0001 1.57 (1.9), 1.0 2.1 (4.4%) .0001 1.57 (1.9), 1.0 2.1 (4.4%) .0001 1.57 (1.9) 1.11 (20%) .0001	alue* 1651 (100%) 542 (32.8%) 356 (21.6%) 356 (21.6%) 317 (96%) 1202 (67%) 552 (99%) 317 (96%) 1202 (67%) 552 (99%) 312 (67%) 259 (0.87) 221 (1.96) 110 (2.48) 120 (2.68) 110 (2.53), 5.0 (2.53), 5.0 (2.51) (2.53), 5.0 (2.51) (2.53), 5.0 (2.51) (2.53), 5.0 (2.53), 5.0 (2.53), 5.0 (2.53), 5.0 (2.53), 5.0 (2.53), 5.0 (2.54), 5.0 (2.53), 5.0 (2.53), 5.0 (2.54), 5.0 (2.54), 5.0 (2.56) (2.54), 5.0 (2.56) (2.58) (2.58) (2.58) (2.58) (2.58) (2.58) (2.58) (2.58) (2.58) (2.58) (2.58) (2.58) (2.58) (2.58) (2.56) (2.58) (2.56) (2.53) (2.56) (2.53) (2.56) (2.53) (2.56) (2.53) (2.56) (2.53) (2.56) (2.53) (2.56) (2.53) (2.56)	alue* I651 (100%) 542 (32.8%) 356 (21.6%) I69 (10.2%) 0018 1475 (96%) 449 (93%) 317 (96%) 157 (97%) 0001 766 (47%) 155 (29%) 317 (96%) 157 (97%) 1222 1188 (76%) 312 (67%) 332 (67%) 311 (0.82) 1222 1188 (76%) 312 (67%) 323 (73%) 87 (52%) 0001 3.02 (0.87) 2.05 (0.87) 331 (0.67%) 311 (0.82) 0001 3.02 (0.87) 2.07 (0.19) 83 (33.7) 86.8 (32.9) 0001 541 (39%) 110 (24%) 109 (37%) 52 (35%) 0001 541 (39%) 100 (37%) 52 (35%) 53 (37%) 110 (24%) 110 (24%) 100 (37%) 52 (35%) 53 (37%) 0001 1.57 (1.19), 1.0 1.16 (1.06), 1.0 1.56 (1.15), 2.0 1.73 (1.19), 2.0 0001 1.57 (95%) 110 (37%) 52 (35%) 53 (35%) 0001 1.57 (1.19), 1.0 1.16 (1.06), 1.0 1.56 (1.15), 2.0 1.73 (1.19), 2.0 <

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* Test for trend across procalcitonin tiers, using the Jonckheere-Terpstra test for trend for ordinal variables, and test for differences across procalcitonin tiers using the Kruskal-Wallis test for continuous

variables.

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Test characteristics of alternative risk assessment strategies for 30-day mortality

Risk assessment strategy	Z	Positive likelihood ratio (95% CI)	Negative likelihood ratio (95% CI)	Specificity	Sensitivity	I I
Using ¢ach test alone in all patients PSI ★ CURB-65 [†] Procalcitonin* [≠]	1384 1651 1651	2.43 (2.18 - 2.70) 1.85 (1.70 - 2.02) 1.41 (1.32 - 1.51)	$\begin{array}{c} 0.20\ (0.12-0.34)\\ 0.23\ (0.14-0.39)\\ 0.22\ (0.11-0.43)\end{array}$	0.64 0.53 0.35	0.87 0.88 0.92	I
Using procalcitonin in low-risk patients [‡] PSI Class 1-III CURB-65 Group 1	838 826	0.97 (0.60 - 1.58) 1.23 (0.85 - 1.78)	$1.04 (0.53 - 2.04) \\ 0.70 (0.31 - 1.59)$	0.40 0.44	0.58 0.69	1
Using procalcitonin in high-risk patients [#] PSI Class IV/V CURB-65 Group 2/3	546 825	1.33 (1.25 – 1.42) 1.26 (1.19 – 1.34)	$\begin{array}{c} 0.09 & (0.02-0.36) \\ 0.18 & (0.07-0.47) \end{array}$	0.27 0.24	0.98 0.96	1
* PSI Class IV/V vs. Class I-III +						I I

 $^\dagger\mathrm{CURB-65}$ Group 2/3 vs. Group 1

 $\overset{4}{\not r}$ Procalcitonin $\supseteq; 0.1$ ng/ml vs. procalcitonin < 0.1 ng/ml

PSI - Pneumonia Severity Index

PSI Class I, II					
Procalcitonin tier (ng/ml)	Z	30d mortality (%)	90d mortality (%)	Severe sepsis (%)	Severe sepsis on Day 1 (%)
	100	2 /10/ 2	2 2102 2	15 (700)	
> 0.1	1001	2 (1%) 0 (0%)	(0/C T)) (0/C T)) (0/C T) (0/C T)) (0/C T) (0/C T) (0/C T)) (0/C T	(70/1) CT	4 (2%) 5 (50%)
	60 (0 (0.00)	0 (0%)		(0/ C) C
C.U > ; C.U ≥	70	2 (4%)	2 (4%)	9 (11/%)	4 (8%)
≥ 0.5	156	1(1%)	1(1%)	19 (12%)	10(6%)
TOTAL PSI Class III	541	5(1%)	6 (1%)	54 (10%)	23 (4%)
Procalcitonin tier (ng/ml)		30d mortality (%)	90d mortality (%)	Severe sepsis (%)	Severe sepsis on Day 1 (%)
<01	112	3 (3%)	8 (7%)	11 (10%)	3 (3%)
	711		2 (502)	20 (2007)	
Z U.1; < U.2;	00	(0, C) 7	(0,0) 0	(0/00) 07	0 (9%)
C.U > (CLU)	87	0 (0%)	I (4%)	4 (14%)	0 (0%)
<u><0.5</u>	16	7 (2%)	3 (3%)	22 (24%)	9 (10%)
PSI Class IV	167	(%7)/	(% כ) כ۱	(%61)/0	18 (0%)
Procalcitonin tier (ng/ml)		30d mortality (%)	90d mortality (%)	Severe sepsis (%)	Severe sepsis on Day 1 (%)
< 0.1	110	2 (2%)	11 (10%)	42 (38%)	13 (12%)
> 0.1: < 0.25	91	13 (14%)	15 (16%)	33 (36%)	14 (15%)
> 0.25. < 0.5	55	10(18%)	16 (29%)	25 (45%)	15 (27%)
> 0.5	163	19 (12%)	31 (19%)	62 (38%)	34 (21%)
TOTAL PSI Class V	419	44 (11%)	73 (17%)	162 (39%)	76 (18%)
Procalcitonin tier (ng/ml)		30d mortality (%)	90d mortality (%)	Severe sepsis (%)	Severe sepsis on Day 1 (%)
~ 0.1	16	(%0)0	1 / 100	8 (50%)	8 (5006)
× 0.1 × 0.1. × 0.35	01	0(0%)	0 (0/0) 16 (5502)	(0/0C) 0 10/22001	0.07.00
2 0.1; < 0.2;	67 13	11 (20%)	10(22%)	19 (00%) 5 (38%)	3 (73%)
C.0. < 0.2. C.0. Solution Solution	69	4 (31%) 23 (33%)	2 (30%) 27 (39%)	2 (30%) 44 (64%)	29 (42%)
TOTAL	127	38 (30%)	48 (38%)	76 (60%)	49 (39%)

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Appendix Table 1

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Procalcitonin, PSI, mortality and severe sepsis.

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Procalcitonin, CURB-65, mortality and severe sepsis.

CURB-65 Group 1					
Procalcitonin tier (ng/ml)	z	30d mortality (%)	90d mortality (%)	Severe sepsis (%)	Severe sepsis on Day 1 (%)
 <0.1 <0.1;<0.25 <0.25;<0.5 <0.5;<0.5 TOTAL CURB-65 Group 2 	361 172 70 826	4 (1%) 3 (2%) 2 (3%) 4 (2%) 13 (2%)	8 (2%) 4 (2%) 2 (3%) 6 (3%) 20 (2%)	35 (10%) 28 (16%) 16 (23%) 39 (17%) 118 (14%)	12 (3%) 11 (6%) 5 (7%) 16 (7%) 44 (5%)
Procalcitonin tier (ng/ml)		30d mortality (%)	90d mortality (%)	Severe sepsis (%)	Severe sepsis on Day 1 (%)
 < 0.1 ≥ 0.1; < 0.25 ≥ 0.25; < 0.5 ≥ 0.5 TOTAL CURB-65 Group 3 	111 99 48 163 421	4 (4%) 8 (8%) 5 (10%) 10 (6%) 27 (6%)	9 (8%) 13 (13%) 11 (23%) 17 (10%) 50 (12%)	33 (30%) 26 (26%) 16 (33%) 46 (28%) 121 (29%)	11 (10%) 11 (11%) 9 (19%) 17 (10%) 48 (11%)
Procalcitonin tier (ng/ml)		30d mortality (%)	90d mortality (%)	Severe sepsis (%)	Severe sepsis on Day 1 (%)
 < 0.1 ≥ 0.1;<0.25 ≥ 0.25;<0.5 ≥ 0.5 TOTAL 	70 85 51 198 404	0 (0%) 19 (22 %) 9 (18%) 38 (19%) 66 (16%)	7 (10%) 24 (28%) 13 (25%) 47 (24%) 91 (23%)	23 (33%) 45 (53%) 19 (37%) 97 (49%) 184 (46%)	10 (14%) 21 (25%) 12 (24%) 67 (34%) 110 (27%)