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## Dystrophic dendrites in prefrontal cortical pyramidal cells of dopamine D<sub>1</sub> and D<sub>2</sub> but not D<sub>4</sub> receptor knockout mice

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### Abstract

Recent data indicate that cortical dopamine denervation results in dystrophic changes in the dendrites of pyramidal cells, including decreases in dendritic spine density and length. However, it is not known if the loss of signaling through specific dopamine receptors subserves these dendritic changes. We examined the dendritic structure of layer V pyramidal cells in the prefrontal cortex of D<sub>1</sub>, D<sub>2</sub>, and D<sub>4</sub> dopamine receptor null mutant mice and their wild-type littermates. Decreased basal dendritic length and spine density were observed in the D<sub>1</sub> knockout mice. Similarly, a decrease in basal dendritic spine density was uncovered in the D<sub>2</sub> knockout mice relative to wild-type littermates. No changes in any dendritic parameter were observed in the D<sub>4</sub> knockout mice. These observations suggest that the dystrophic changes observed in prefrontal cortical pyramidal cell dendrites are due to loss of signaling through D<sub>1</sub> and possibly D<sub>2</sub> receptors. The current data also suggest that caution should be exercised in the interpretation of behavioral, physiological and biochemical studies of the PFC in dopamine receptor knockout mice.

### Keywords

dendritic spine; dopamine receptor; Golgi impregnation; Parkinson's Disease; pyramidal cell; schizophrenia

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Over the past 20 years a large number of studies have uncovered structural changes in the brains of schizophrenic persons. In particular, there is a decrease in cortical thickness and volume in the prefrontal cortex (PFC), but without an overall change in the numbers of neurons (Selemon and Goldman-Rakic, 1999). Because neuronal number is unchanged, elements in the neuropil, including dendrites, may be lost. Among the most replicated of postmortem findings is the decrease in the density of dendritic spines on pyramidal cell (PCs) in the PFC (Black et al., 2004; Broadbelt et al., 2002; Garey et al., 1998; Glantz and Lewis, 2000; Kolluri et al., 2005). Also reported is a decrease in the dopamine innervation of the PFC in schizophrenia that does

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not appear to be attributable to antipsychotic drug treatment (Akil et al., 1999), raising the possibility that the dystrophic changes in PC dendrites in the PFC may be related to a decrease in dopaminergic tone. We recently found that dopamine denervation of the rat PFC results in a decrease in both basal and apical dendritic spine density, basal dendritic length, and dendritic arborization in layer V PFC PCs in the rat (Wang and Deutch, 2008). This observation is consistent with the hypothesis that a decrease in dopamine signaling in the PFC results in dendritic remodeling of cortical neurons in schizophrenia. However, the receptors through which dopamine modulates dendritic morphology in the PFC are not known.

Dopamine has previously been shown to modulate dendritic structure in the striatum, where loss of signaling through the D<sub>2</sub> receptor appears to be critical (Cepeda et al., 2001; Day et al., 2006; Rodriguez and Pickel, 1999). Three of the five dopamine receptor transcripts are expressed in the PFC of the rodent in low-to-moderate abundance (Bergson et al., 1995; Meador-Woodruff et al., 1991; Wang and Pickel, 2002). D<sub>1</sub> mRNA-expressing PCs are found in layers V and VI, with a second band of D<sub>1</sub>-expressing cells in layer II (Gaspar et al., 1995; Vincent et al., 1993). The distribution of D<sub>2</sub> receptor-expressing PCs in the PFC is more restricted, being found mainly in layer V (Gaspar et al., 1995; Vincent et al., 1993). Finally, PCs in the PFC that express the D<sub>4</sub> transcript are found in the deep layers (V and VI) (Noain et al., 2006). *In situ* hybridization histochemistry studies have not revealed any significant numbers of dopamine D<sub>3</sub> or D<sub>5</sub> receptor-expressing cells in the adult rat PFC, although RT-PCR studies have reported on the presence of these two dopamine receptors during embryonic and early postnatal development (Araki et al., 2007).

A recent study in the D<sub>1</sub> null mutant ( $-/-$ ) mouse noted dendritic abnormalities in the PFC, including an altered apical dendritic trajectory toward the pial surface (Stanwood et al., 2005); dendritic spine density was not assessed in this study. Animals treated chronically with the dopamine receptor antagonist haloperidol, which *in vivo* targets D<sub>2</sub>-like receptors almost exclusively, do not show any change in the length or spine density of basal dendrites of layer V PCs in the PFC (Wang and Deutch, 2008), suggesting that a loss of signaling through the D<sub>2</sub> receptor does not elicit dendritic spine changes. We are not aware of any studies that have examined dendritic structure of PCs in animals treated with D<sub>1</sub> or D<sub>4</sub> receptor antagonists or in any dopamine receptor null mutant mice. To assess the role of DA receptors in denervation-induced dendritic spine remodeling in the PFC, we utilized mice with genetic deletion of D<sub>1</sub>, D<sub>2</sub> or D<sub>4</sub> DA receptors and their wild-type (wt) littermates, determining if the constitutive absence of these dopamine receptors results in morphological changes in layer V pyramidal neurons in the PFC.

## Results

### Total basal dendritic length

Dendritic length was significantly decreased in D<sub>1</sub> knockout relative to wildtype littermates ( $t_9=3.72$ ,  $p=0.005$ ; see Figure 1). In contrast, there was not a significant difference in total basal dendritic length in D<sub>2</sub> knockout mice relative to wildtype controls, although a non-significant trend toward a decrease ( $p=.063$ ) was noted (see Figure 1). No difference in total basal dendritic length was seen in D<sub>4</sub> null mutants relative to controls. We did not determine total apical dendritic length because the apical dendrite of layer V pyramidal cells was often transected as it coursed toward the pial surface of the PFC.

### Basal dendritic spine density

ANOVA revealed that spine density on the basal dendrites of layer V pyramidal cells was significantly decreased in D<sub>1</sub><sup>-/-</sup> relative to D<sub>1</sub><sup>+/+</sup> mice ( $F_{1,54} = 6.17$ ,  $p=.016$ ; see Figure 1 and Figure 2). A significant difference in spine density as a function of distance from the soma of

the dendrite was also uncovered ( $F_{5,54} = 58.31$ ,  $p < .001$ ; Fig. 2), but no significant distance  $\times$  genotype interaction was found. We also determined total number of spines per basilar dendritic tree. The total number of basal dendritic spines in the D<sub>1</sub> knockout mouse was decreased by 42% ( $t_9 = 4.32$ ,  $p = .0019$ ), and by 34% in the D<sub>2</sub> null mutant ( $t_6 = 3.34$ ,  $p = .0157$ ).

Although we did not observe a significant decrease in total dendritic length in D<sub>2</sub> knockout mice, a significant decrease in basal dendritic spine density was observed in these mice ( $F_{1,36} = 6.60$ ,  $p = .0151$ ; Figure 1 and 2), as was a decrease in spine density as a function of distance from the soma ( $F_{5,36} = 18.77$ ,  $p < .001$ ). Again, no significant genotype by distance interaction was uncovered.

There was no difference between D<sub>4</sub> knockouts and wt controls in basal dendritic spine density (see Figure 1 and Figure 2).

### Apical dendritic spine density

Because the apical dendrites of layer V PCs were usually transected as they coursed to the superficial layers, we were unable to measure dendritic spines on the entire extent of the apical dendrite. However, we could reliably reconstruct the first 100  $\mu\text{m}$  of the apical dendrite, and therefore measured spine density on the apical dendrite at distances between 80–100  $\mu\text{m}$  distal to the soma. We did not observe any differences across genotypes for the D<sub>1</sub>, D<sub>2</sub>, or D<sub>4</sub> receptors. Apical dendritic spine density (mean  $\pm$  SEM number of spines/10  $\mu\text{m}$  dendrite) measurements were as follows. D<sub>1</sub> receptor:  $3.38 \pm 0.33$  (wildtype) vs  $3.34 \pm 0.32$  (knockout) ( $t_9 = 0.09$ ,  $p = 0.933$ ); D<sub>2</sub> receptor:  $3.10 \pm 0.45$  vs  $2.33 \pm 0.62$  ( $t_6 = 1.01$ ,  $p = 0.35$ ); and D<sub>4</sub> receptor:  $3.22 \pm 0.41$  vs  $3.74 \pm 0.92$  ( $t_8 = 0.52$ ,  $p = 0.618$ ).

### Dendritic branching

Sholl analyses to determine basal dendritic complexity at 20  $\mu\text{m}$  intervals distal to the soma were conducted (see Figure 2 and Figure 3). In D<sub>1</sub><sup>-/-</sup> mice both significant main effects of genotype ( $F_{1,54} = 24.96$ ,  $p < .001$ ) and distance from the soma ( $F_{5,54} = 57.67$ ,  $p < .001$ ) were observed, but no significant interaction. Bonferroni t-tests revealed significantly less dendritic branching at distances between 40–80  $\mu\text{m}$  distal to the soma. In D<sub>2</sub> knockout mice a similar decrease in basal dendritic complexity was attributable to genotype ( $F_{1,36} = 16.02$ ,  $p < .001$ ; Fig. 2), as was a change in branching as a function of distance from the soma ( $F_{5,36} = 91.33$ ,  $p < .001$ ). A significant genotype  $\times$  distance interaction was also observed in the D<sub>2</sub> knockout mice ( $F_{5,36} = 3.22$ ,  $p = .017$ ), due to specific decreases in branching of relatively proximal dendrites (40 and 60  $\mu\text{m}$  distal to the soma). Finally, the D<sub>4</sub><sup>-/-</sup> did not differ significantly from wildtype controls in basal dendritic complexity, nor was there a significant genotype  $\times$  distance interaction.

### Discussion

D<sub>1</sub> receptor knockout mice exhibited dystrophic changes in layer V pyramidal cells in the medial PFC, including a decrease in dendritic length and dendritic spine density. The PFC pyramidal cells of dopamine D<sub>2</sub> null mutants also had fewer dendritic spines than wildtype mice, although there was not a significant decrease in the length of the basal dendritic tree. These data suggest that disruption of dopamine signaling through either the D<sub>1</sub> or D<sub>2</sub> receptor may account for the dystrophic changes in pyramidal cells that are seen secondary to dopamine denervation of the PFC. Genetic deletion of the third dopamine receptor that is expressed by layer V pyramidal cells in the PFC, the D<sub>4</sub> receptor, did not result in any structural change to the dendritic tree of layer V PCs, indicating that the effects observed in the D<sub>1</sub> and D<sub>2</sub> knockout mice are not non-specific.

There is a paucity of data on structural changes in PFC neurons in dopamine receptor null mutant mice, although these animals have been used extensively in studies of cortical and corticostriatal function (Beaulieu et al., 2007; Glickstein et al., 2002; Glickstein and Schmauss, 2004; Huang et al., 2004; Trantham-Davidson et al., 2008; Waddington et al., 2005). We recently reported that the apical dendrites of D<sub>1</sub> knockout mice fail to take a normal direct trajectory toward the pial surface, instead ascending through the cortical mantle in a tortuous path (Stanwood et al., 2005), but did not systematically examine dendritic spines or the organization of the basal dendritic tree. We are not aware of any other studies that have examined the dendritic structure of PFC pyramidal cells in D<sub>2</sub> or D<sub>4</sub> receptor knockout mice.

Although cells expressing both D<sub>1</sub> and D<sub>2</sub> mRNAs are most abundant in deep layers of the rodent PFC, cells expressing these two receptors appear to be mainly segregated (Gaspar et al., 1995), consistent with sub-laminar distributions of D<sub>1</sub> and D<sub>2</sub> mRNA-expressing PCs. This originally suggested to us that differences in pyramidal cell dendrites might be observed in the D<sub>1</sub> and D<sub>2</sub> knockouts, but probably not in both. However, we observed dystrophic changes in both D<sub>1</sub> and D<sub>2</sub> knockout mice.

The dystrophic changes in the dendrites of layer V PFC neurons in the D<sub>2</sub> knockout mice were also unexpected because we had previously found that treatment of rats for three weeks with haloperidol, which *in vivo* is an selective D<sub>2</sub> receptor antagonist (Zhang and Bymaster, 1999), does not change dendritic structure of PFC pyramidal cells (Wang and Deutch, 2008). It is unclear why we observed a decrease in spine density in the D<sub>2</sub> knockout but failed to find any effect of pharmacological blockade of the D<sub>2</sub> receptor. It is unlikely that the dose of haloperidol used in our original study was too low, because the same dose of haloperidol given in the drinking water resulted in an average of ~85% D<sub>2</sub> receptor occupancy (Perez-Costas et al., 2008). It is also unlikely that the duration of the drug treatment was not sufficient because we previously found that disruption of the dopamine innervation of the PFC causes spine changes within three weeks.

Recent data suggest one other mechanism that might account for the dystrophic dendrites in the PFC of D<sub>2</sub><sup>-/-</sup> mice but not mice treated with the D<sub>2</sub> antagonist haloperidol. It is possible that release of dopamine is altered in the PFC of the D<sub>2</sub> mutant mice, such that decreased signaling through the D<sub>1</sub> receptor occurs and leads to changes in pyramidal cell morphology. Thus, Schmauss and colleagues demonstrated a blunted prefrontal cortical *c-fos* response to dopamine D<sub>1</sub> agonist stimulation in mice lacking D<sub>2</sub> or D<sub>3</sub> receptors (Glickstein and Schmauss, 2004; Schmauss et al., 2002). Moreover, an elegant study by Kellendonk and colleagues (2006) revealed that transient D<sub>2</sub> receptor overexpression in the striatum leads to activation of D<sub>1</sub> receptors in the PFC, and suggested that the altered cortical D<sub>1</sub> receptor response seen in the constitutive D<sub>2</sub> receptor knockout is developmentally-mediated. The generation of conditional D<sub>2</sub> receptor knockout mice will be required to test this hypothesis.

Our data point to the critical role of dopamine in determining dendritic morphology in cortical pyramidal cells. The dystrophic changes in the dendrites of PFC PCs of D<sub>1</sub> and D<sub>2</sub> receptor knockout mice are consistent with the hypothesis that the loss of dendritic spines seen in the PFC in schizophrenia may be linked to a decrease in cortical dopaminergic tone. However, our data do not exclude the possibility that the loss of dendrites on layer V PCs determines in part the decrease in dopamine tone in the PFC: layer V PCs project to and synapse with dopamine neurons in the ventral mesencephalon that in turn innervate the PFC (Carr and Sesack, 2000).

Dendritic spines, on which dopamine receptors are expressed, are the anatomical conduit by which most excitatory and some inhibitory inputs gain access to the pyramidal cell. As such, the loss of dendritic spines on prefrontal cortical PCs in the D<sub>1</sub> and D<sub>2</sub> knockout mice has broad implications for the use of these animals in studies of PFC function, including

electrophysiological, biochemical, and behavioral studies. For example, a relatively large body of animal and human data has argued for the involvement of specific dopamine receptors in various cognitive functions. D<sub>1</sub> dopamine receptors in the PFC are critically involved in working memory (Goldman-Rakic et al., 2004; McNab et al., 2000; Takahashi et al., 2008) and polymorphisms in the D<sub>1</sub> dopamine receptor are associated with performance in a working memory task (Lane et al., 2008). In contrast, there are somewhat contrasting data on the involvement of the D<sub>2</sub> dopamine receptor in working memory (Wang et al., 2004; Takahashi et al., 2008), but D<sub>2</sub> receptors in the frontal cortex are necessary for executive function, including conditional associative memory (Bach et al., 2007) and tasks of cognitive flexibility (De Steno and Schmauss, 2009). Because dendritic spines are the major locus of expression of dopamine receptors, (Sesack et al., 2003; Negyessy et al., 2005; Bordelon-Glauser et al., 2008), changes in spine number would be predicted to impact cognition.

Interpretations of behavioral and functional studies of the PFC in various genetically manipulated mice, including dopamine receptor null mutants, usually fail to consider potential structural changes in pyramidal cells. It is likely that the observed changes in PC dendritic structure arise because of a lack of specific dopamine receptors during development. Because conditional dopamine receptor knockouts are not available, it is critical that caution be exercised in interpreting behavioral and physiological studies of neuronal plasticity in D<sub>1</sub> and D<sub>2</sub> knockout mice.

## Experimental Procedure

Adult male D<sub>1</sub> knockout (n=5) and wildtype (n=6) mice were originally obtained from Jackson Laboratories (Bar Harbor, ME), and then subsequently bred, maintained, and housed at Vanderbilt University. D<sub>2</sub> and D<sub>4</sub> knockout mice were generated as previously described (Kelly et al., 1998; Kruzich et al., 2004; Rubinstein et al., 1997). All mice were backcrossed at least 10 generations to a C57Bl/6J background. Four male D<sub>2</sub> knockout and four male littermate wildtype mice were used, with six D<sub>4</sub><sup>-/-</sup> and <sup>+/+</sup> mice used. Animals were raised under group housing conditions on a 12-hours light/dark cycle and with unrestricted access to food and water. These studies were conducted in accordance with the NIH Guide for the Care and Use of Laboratory Animals, with the approval and under the oversight of the Vanderbilt University Animal Care and Use Committee.

The mice were deeply anesthetized with isoflurane and sacrificed by decapitation. The brains were removed from the cranial vault. The sections were subjected to Golgi impregnation using the FD Rapid GolgiStain kit (FD NeuroTechnologies, MD), with empirically-determined modifications in the duration of incubation during the development step. Coronal 150 μm thick coronal sections cut on a freezing microtome and then mounted on gelatin subbed slides. All samples for a given receptor (e.g., D<sub>1</sub> wildtype and D<sub>1</sub> knockouts) were processed at the same time, in the same solutions, and incubated or developed in various solutions for the same durations. Sections were then washed, dried, and coverslipped in DPX.

Golgi-impregnated neurons in layer V of the prelimbic (area 32) area of the PFC were randomly selected and reconstructed using a computer-based neuron tracing system (NeuroLucida, MicroBrightField, Inc., VT, USA); reconstructions were done by a person blind to the genotype of the animals. At least five randomly-selected pyramidal neurons were reconstructed from each animal provided they met the following criteria: 1) cells were located in layer V of the prelimbic cortex; 2) neurons were pyramidal in shape and appeared evenly impregnated under a 10x objective; 3) at least three basilar dendritic shafts were present. 4) soma and branches were not obscured by the processes of adjacent neurons. All neurons were reconstructed by the same investigator using a 63x oil immersion lens with digital doubling of objective magnification. After neurons were reconstructed and the average values for dendritic

parameters (i.e., total basal dendritic length, total dendritic spine density and sholl analysis of basal dendritic complexity) were calculated by each individual animal, group differences in total basal dendritic length, total basal dendritic spine density and spine density as a function of distance (20  $\mu\text{m}$ ) from the soma were determined. The data were analyzed by means of two-way ANOVAs with Bonferroni t-tests when indicated by a significant interaction or main effect, or by Student's t-tests.

## Abbreviations

PCs, pyramidal cell; PFC, prefrontal cortex; wt, wildtype.

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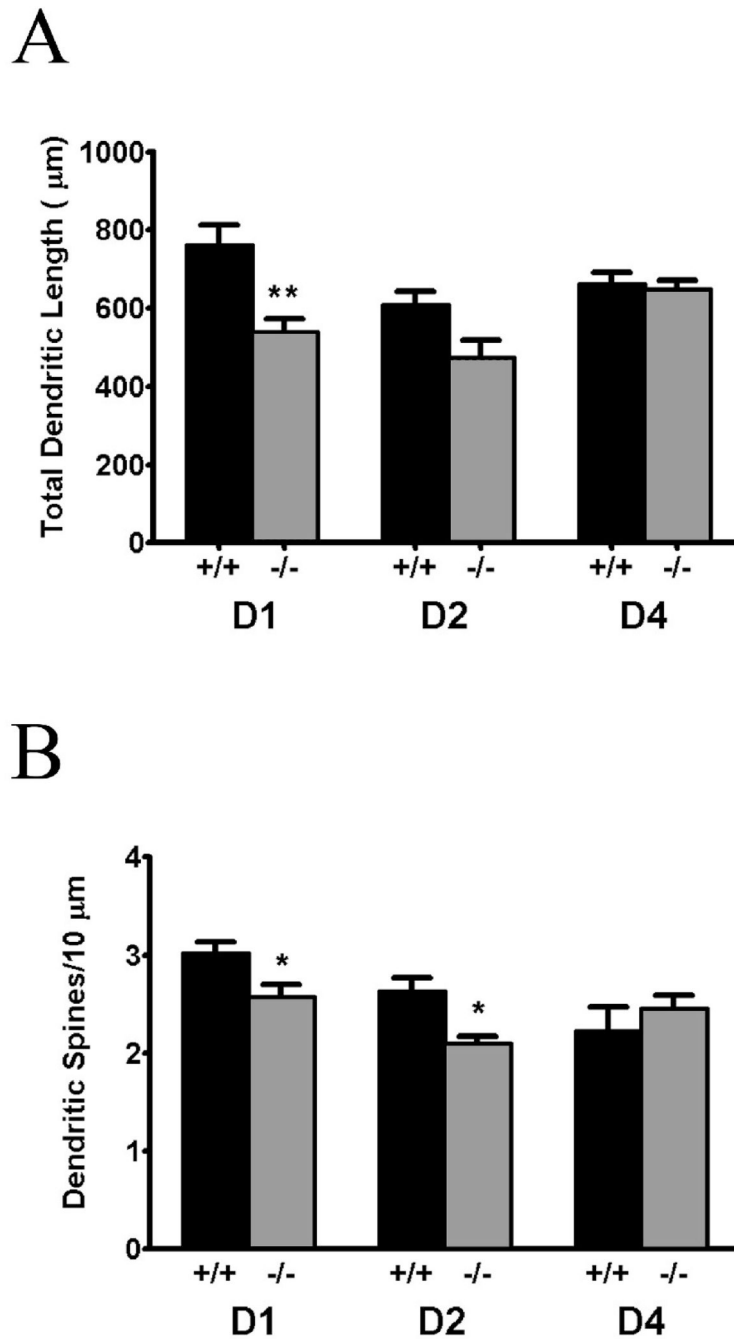
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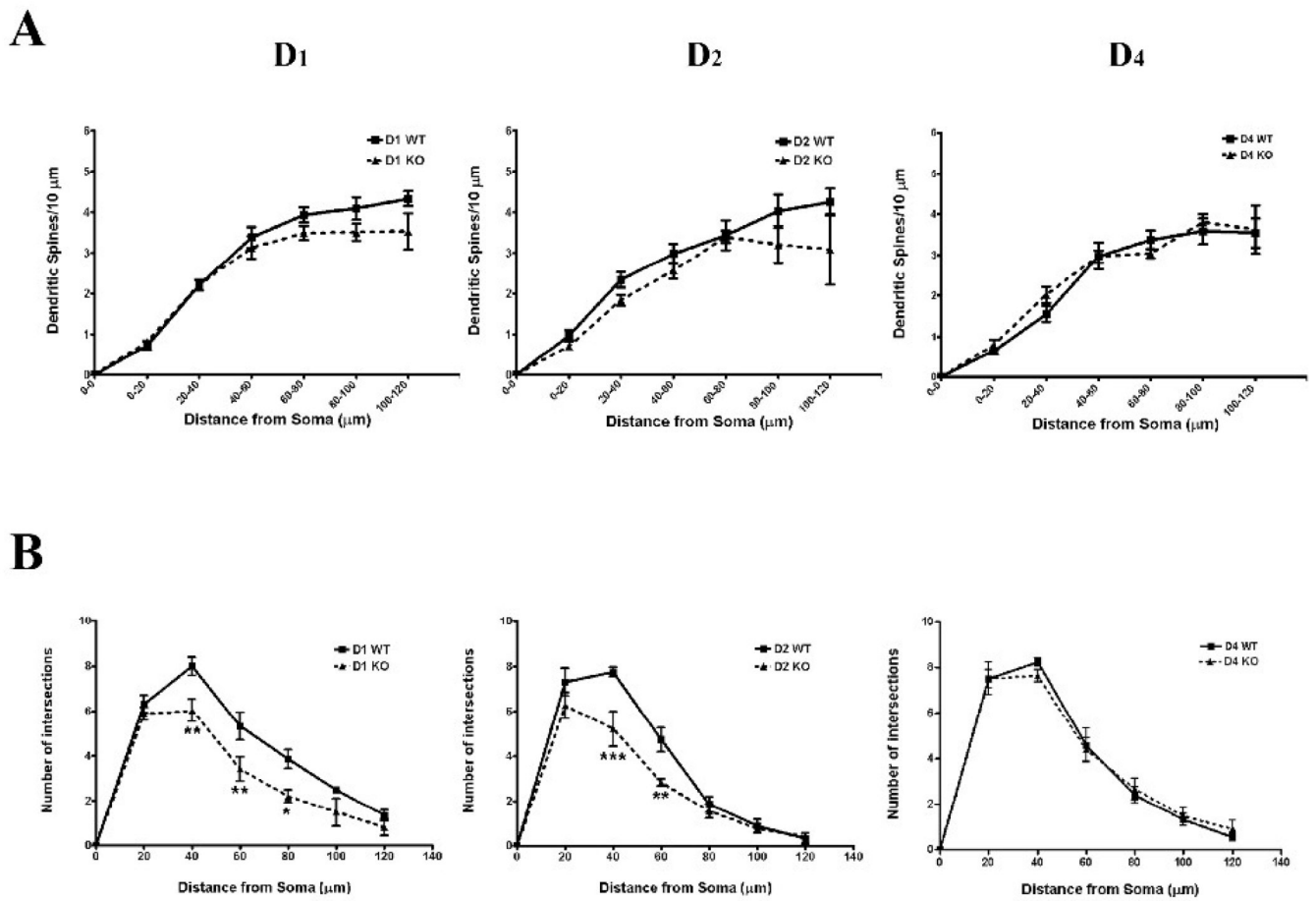
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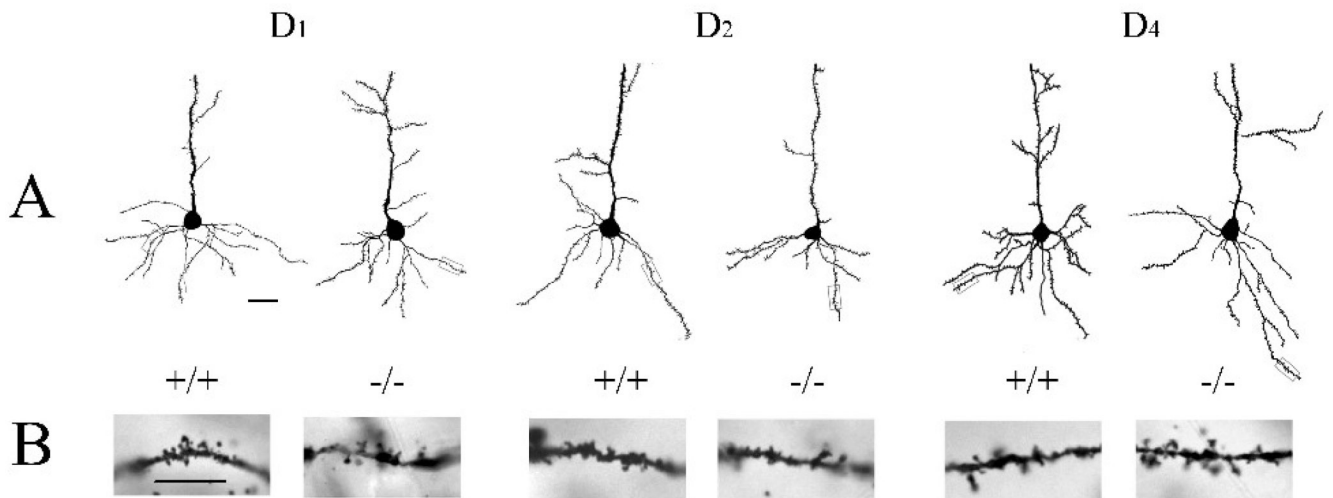
**Figure 1.**

Total basal dendritic spine length (panel A) and basal dendritic density (panel B) are decreased in layer V pyramidal cells of the PFC in D<sub>1</sub> knockout mice. There is also a significant decrease in total basal dendritic length in the D<sub>1</sub> mutant, but not in the D<sub>2</sub> knockout mouse, although a trend toward a decrease was noted. No change in the spine density of dendritic length was found in the D<sub>4</sub> knockout. Data are expressed as mean  $\pm$  SEM  $\mu$ m dendrite length (panel A) and number of spines/10  $\mu$ m dendritic density (panel B). \*,  $p < 0.05$ , \*\*  $p < 0.01$  relative to wild-type controls



**Figure 2.**

Panel A shows changes in dendritic spine density as a function of distance along the basal dendrite. Despite the significant main effect of genotype in the D<sub>1</sub> and D<sub>2</sub> knockout mice, there was no genotype × distance interaction. In panel B the results of Sholl analyses revealed a decrease in basal dendritic complexity in the D<sub>1</sub> and D<sub>2</sub> but not D<sub>4</sub> knockout mice. \*,  $p < 0.05$ , \*\*  $p < 0.01$ , \*\*\*  $p < 0.001$  relative to wild-type controls



**Figure 3.**

Reconstructions of representative Layer V pyramidal neurons in the PFC of D<sub>1</sub> (left two neurons), D<sub>2</sub> (center two neurons), and D<sub>4</sub> (right two neurons) wildtype (shown on the left of each pair of neurons) and null mutants (on the right of each pair of cells). The insets below each reconstructed neuron are photomicrographs of segments of the basal dendrites from that neurons. Scale bar = 30  $\mu\text{m}$  in (A) and 10  $\mu\text{m}$  in (B).