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Breast cancer recurrence in older women five to ten years after diagnosis

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Abstract

Little is known about the risk of recurrence more than five years after diagnosis among older breast cancer survivors. A community-based population of women ≥65 years diagnosed with early stage breast cancer who survived disease-free for five years was followed for five additional years or until a diagnosis of breast cancer recurrence, second primary, death or loss to follow-up. These five-year disease-free survivors (N=1277) had primary breast cancers that were node negative (77%) and estrogen receptor positive or unknown (86%). Five percent (N=61) developed a recurrence between five and ten years after diagnosis; 25% local, 9.8% regional, and 66% distant. Women who were node positive (Hazard Ratio [HR]=3.9; 95% Confidence Interval [CI]=1.5,10); had poorly differentiated tumors (HR=2.5; 95% CI=0.9, 6.6); or who received breast conserving surgery without radiation therapy (HR=2.4: 95%CI=1.0, 5.8) had higher recurrence rates compared with node negative, well differentiated, and receipt of mastectomy, respectively. Not receiving adjuvant tamoxifen, compared with receiving adjuvant tamoxifen, was also positively associated with late recurrence among women with estrogen receptor positive/unknown tumors. While relatively few women experience a late recurrence, most recurrences present as advanced disease, which is difficult to treat in older women. This study of late recurrence emphasizes that the risk, although small, is not negligible even in this group at high risk for death due to competing causes.

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Keywords

Breast cancer; recurrence; primary therapy; cancer survivorship; older women

Introduction

Survivorship is defined as the time between cancer diagnosis and death (1). Currently, there are over 2.6 million breast cancer survivors in the United States and 1.6 million of them are \geq 65 years old.¹ As the number of breast cancer survivors increases due to improvements in screening, primary treatment, and adjuvant therapy (1), identifying factors associated with survival after five years becomes more important. Older women have the highest incidence and prevalence of breast cancer (2), yet little is known about factors affecting the risk of recurrence among women who remain disease-free five years after diagnosis. Recently, Brewster et al observed higher stage (stage II versus stage I) and hormone receptor positive tumors (versus hormone receptor negative tumors) without hormonal therapy were associated with risk of recurrence after five years (3). However, the majority of the population (78%) was <60 years old and from one tertiary care cancer center (3), leaving an important gap regarding late recurrence risk in older women, who are the majority of breast cancer survivors. The objective of this study is to describe the risk for, and identify predictors of, breast cancer recurrence beyond five years in the community-based population of breast cancer survivors ≥65 years old who were included in the Breast Cancer Treatment Effectiveness in Older Women (BOW) cohort (4).

Materials and Methods

The BOW cohort (4,5) includes women ≥65 years old at diagnosis with stage I or II breast cancer from 1990 to 1994 in six geographically diverse Cancer Research Network (CRN) (6) healthcare systems: Group Health, Seattle, Washington; Fallon Clinic, Worcester, Massachusetts; Kaiser Permanente Southern California, Pasadena, California; Lovelace Health System, New Mexico; HealthPartners, Minneapolis, Minnesota; and Henry Ford Health System, Detroit, Michigan. Through collaborative research, the CRN aims to increase effective preventive, curative, and supportive interventions for major cancers among diverse populations and health systems. Women who had any cancer diagnosed within five years before their incident breast cancer diagnosis were excluded. We chose five years for this boundary because cancers diagnosed more than five years earlier would be rare and would not affect breast cancer treatments or outcomes. The institutional review boards of the six participating healthcare systems and Boston University Medical Center approved this study.

Demographic characteristics, breast cancer tumor characteristics and treatments, comorbid conditions, and breast cancer recurrence were collected from tumor registries, administrative data, and medical record review (7). The inter-rater reliability of medical record abstraction was \geq 90% overall (8); with 90% sensitivity and 96% specificity for breast cancer recurrence classification.

The survivor population for the reported study included women from the BOW cohort who survived disease-free for five years after initial breast cancer diagnosis (Figure 1). Follow-up began five years after diagnosis and accrued until the diagnosis of first recurrence, second primary, death from any cause, disenrollment from the healthcare system, or survival for an additional five years (ten years disease-free from diagnosis), whichever came first.

¹Cancer Survivorship Research [Internet]. Rockville: National Cancer Institute, Division of Cancer Control and Population Sciences [updated 2006 Nov 6; cited 2009 Jun 11]. Available from: http://cancercontrol.cancer.gov/ocs/definitions.html

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Late breast cancer recurrence was defined as a tumor that was pathologically or clinically diagnosed between five and ten years after diagnosis in the same breast as the original tumor or in any regional or distant site (Figure 1). A tumor that occurred in the contralateral breast (second primary) was not considered a late recurrence because primary tumor therapy is aimed at reducing the risk of recurrence, not occurrence of disease in the contralateral breast. Therefore, women were censored at the date of diagnosis of second primary breast cancer.

We included age at diagnosis (65–69; 70–79; \geq 80), race/ethnicity (non-Hispanic White; Hispanic and/or Other Race), Charlson Comorbidity Index (9) in the year before diagnosis (0; 1; \geq 2),tumor size (<1; 1-<2; 2-<3; \geq 4 centimeters), nodal involvement (0; 1–3; \geq 4), histologic grade (well differentiated; intermediate/moderate differentiated; poorly differentiated/ undifferentiated/anaplastic), primary therapy (mastectomy; breast conserving surgery (BCS) plus radiation therapy (RT); BCS only), progesterone receptor expression (positive or unknown; negative), estrogen receptor (ER) expression (positive or unknown; negative), receipt of adjuvant tamoxifen (yes; no), and receipt of adjuvant chemotherapy (yes; no) as potential predictors of late recurrence.

The roles of estrogen and progesterone receptors in recurrence risk, and how they impact the effectiveness of tamoxifen, present an analytic challenge. Progesterone receptor expression has little impact on the protective effect of tamoxifen after accounting for ER expression, whereas the protective effect of tamoxifen in women with unknown ER expression is similar, although weaker in magnitude, to its effect in women with ER-positive tumors (10). Our results for all combinations of tamoxifen use (yes; no) cross-tabulated by estrogen and progesterone receptor expression together and separately, including and excluding women with unknown hormone expression, were all similar. We report the results of the model adjusting for a four category variable created by cross-tabulating ER-positive/unkown expression by receipt of adjuvant tamoxifen.

We described tumor and treatment characteristics using univariate statistics. Using the survivor cohort, all of the predictors of recurrence after five years were included in a Cox proportional hazards regression model using SAS version 9.1 (Cary, North Carolina). Since breast cancerspecific mortality is often used as a surrogate outcome for recurrence, we also evaluated predictors of breast cancer-specific mortality among the five-year disease free survivors, following methods described earlier to identify deaths from breast cancer (11).

Results

In Table 1, we provide characteristics of women who had definitive surgery from the BOW cohort at its inception (N=1837) to put the characteristics of women who survived disease-free for five years (N=1277) in context. We also show descriptive characteristics for the women who experienced a late recurrence (N=61). Similar to the BOW inception cohort, most of the five-year disease-free survivors were between 65 to 74 years old at diagnosis (67%), non-Hispanic white (81%), had no nodal involvement (77%), and had tumors with ER-positive (or unknown) expression (86%). Among survivors with ER-positive (or unknown) expression, 32% were not prescribed, 39% received less than five years, and 29% received five years or more of adjuvant tamoxifen. Women with ER-positive (or unknown) tumors who did not receive tamoxifen had less aggressive tumor characteristics than women with ER-positive (or unknown) expression who received tamoxifen (tumors ≤ 2 centimeters [85% versus 45%, respectively], no nodal involvement [98% versus 68% respectively], and well/moderately differentiated tumors [64% versus 38% respectively]) (data not shown).

The rate of recurrence was higher in the first five-years (40 per 100 woman-years) than the second five-years (26 per 100 woman-years). Five percent (N=61) of women disease-free at

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five-years had a recurrence between five and ten years after diagnosis (late recurrences). Of the women with a late recurrence, 25% presented with local disease, 9.8% with regional disease, and 66% with distant metastases. The median time to recurrence after the first five disease-free years was 2.8 years.

Women who had four or more positive nodes at their original diagnosis had nearly four times the rate of late recurrence compared with node negative women. Women with poorly differentiated tumors had 2.5 times the recurrence rate as women with well-differentiated tumors. When tumor size and nodal involvement were combined to form stage categories, women with stage II tumors had 2.6 times the recurrence rate as stage I women (95% CI =1.4, 5.0). Higher recurrence rates were also experienced by women who received BCS without RT than women who received a mastectomy. For adjuvant therapy, there appeared to be an increased rate of late recurrence among the women with ER-positive (and unknown) tumors who did not receive adjuvant tamoxifen compared with women who received tamoxifen. However we did not observe an association between receipt of adjuvant chemotherapy and late recurrence (Table 1).

The results for breast cancer-specific mortality parallel those for late recurrence. Women with four or more positive nodes (HR=4.5; 95%CI=1.9, 11) and who received BCS without RT (HR=2.1; 95%CI=1.1, 4.3) were more likely to die of breast cancer five to ten years after diagnosis compared with women who were node negative or received a mastectomy, respectively.

Discussion

In a large cohort study of older women with early-stage breast cancer treated in communitybased settings, we found that tumor characteristics and primary tumor therapy continue to predict recurrence risk after five years of disease-free survival. Specifically we found that nodal involvement, poor histology, and breast conserving surgery without radiation therapy increased the rate of late recurrence. We also observed a positive association between not receiving adjuvant tamoxifen and the risk of late recurrence among ER-positive women.

Our results are consistent with previous findings of mostly younger women (3,11–14). Although comorbid diseases were not associated with late recurrence in our older cohort, existing comorbidities were associated with receipt of suboptimal treatment (4). More aggressive tumor characteristics and inadequate primary tumor therapy increased the risk of recurrence after five years (3,12–15). The association between higher stage (stage II versus stage I) is similar to the finding of Brewster et al (3). The positive association among ER-positive women who did not receive adjuvant tamoxifen and late recurrence is also consistent with previous findings (12–15). However, we must be cautious in our interpretation of this finding since our data are also compatible with a 30% protective effect of adjuvant tamoxifen on late recurrence among ER-positive women.

The risk of recurrence among ER-positive women has been shown to vary over time with a greater risk of recurrence in the later years than in the first two years (12–15). Studies have shown that ER-negative tumors mostly recur within the first five years after diagnosis, while ER-positive tumors recur later (13,14). In addition, early discontinuation of adjuvant tamoxifen before the completion of five years may also explain our increased risk of recurrence among our ER-positive women (12). Approximately 70% of our older survivors with ER-positive (and unknown) tumors received adjuvant tamoxifen. However, nearly 40% received less than five years of adjuvant tamoxifen. These women may not have had the complete benefit of tamoxifen because they were treated in the 1990s when recommendations for the duration of tamoxifen treatment were evolving, and before the advent of aromatase inhibitors (16,17). Although there

is growing support for our finding (3,12–14), the biological mechanisms explaining the difference in risk over time by ER expression are still unknown. At the time of diagnosis and treatment of our cohort CYP2D6 and subtypes of ER-positive expression (human epidermal growth factor receptor 2 [Her2]) were not routinely assayed. Future evaluation of late breast cancer recurrence by genetic factors and subtypes may be useful to further explain the increased risk beyond five years in older women with ER-positive tumors (18,19).

Although treatment patterns of today differ from the 1990s, ten years of follow-up requires that women were diagnosed with incident breast cancer in the 1990s. An additional limitation is that the majority of our older survivor cohort was non-Hispanic White, so our results may not apply to risk in other racial and ethnic populations. Despite these limitations, the BOW cohort is one of the largest cohorts of older women with breast cancer in the United States and has the unique feature of nearly complete follow-up through ten years.

To our knowledge, this study is one of the first to specifically assess the risk of late recurrence in a cohort restricted to older women. Older women with breast cancer increasingly survive five years or more after initial diagnosis, despite their risk for death due to breast cancer and other competing causes. These women remain at risk for recurrence as well as coexisting morbidity and mortality five to ten years after their diagnosis. While relatively few older women recur after five years, those who do often have advanced cancers that are difficult to treat. To improve outcomes for older women, particularly those with a five-year or longer life expectancy, providing guideline therapy for the primary tumor (20,21) and surveillance for recurrence beyond five years (22) of survival are essential to reducing morbidity and mortality, and maintaining quality of life.

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Inclusion criteria for the BOW inception cohort, five-year disease-free survivor cohort, and women diagnosed with a late recurrence in a population of older breast caner survivors.

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Table 1

Descriptive, tumor, and treatment characteristics for older women of the inception cohort* who received surgery (n=1837), five-year disease-free breast cancer survivors (n=1277), and survivors who developed a recurrence between five and ten years after diagnosis (n=61).

Characteristic	Inception Cohort (N	n=1837) %	5 Year Survivor Coho (n=1277) N %	A Recurrence five to ten y diagnosis (n=61 N	/ears after 1) %	$\mathrm{HR}^{\dot{t}}(95\%~\mathrm{CI})$
Age categories	909	70	017	č	00	Defenses
	070	4 7 7	10 0/4	47 C	<i>c</i> ,	
	140	00		07	0 t 0	1.7(0.9, 2.9)
6/-6/	304	1/	201 10	01	9.8	0.8 (0.3, 2.0)
>80 B (B41	360	20	210 16	n	8.2	0.8 (0.3, 2.3)
Kace/Ethnicity		0	10 1001	,	L L	e e
Non-Hispanic White	1503	78	1036 81	40		Keterence $+$
Hispanic and/or Other Race	334	18	241 19	cI	57	$1.2(0.7, 2.5)^{+}$
Charlson Comorbidity Index						
0	1252	68	926 73	44	72	Reference
	498	27	307 24	16	26	1.4(0.7, 2.5)
	87	4.7	44 3.5	1	1.6	0.6(0.1, 4.4)
Lumor Size		c c	100	Ţ	¢,	ţ
	3/6	50	23 23	18	18	Keterence
1 to < 2 cm	901	41	248 45 276 25	23	38	1.1 (0.5, 2.3)
$2 \cos 5 \cos 5 \cos 5$	428 774	27	77 8/7	10	07	1.2 (0.5, 2.8)
Zo CIII Node a contractor	214	CI	71 001	11	10	(6.6,6.0) 6.1
		L T		ç	01	c f
U nodes	13/1	<u>ر</u>	984 //	30	49	Keterence
I to 5 nodes	5.55 	8 C	230 18	23 8	5 X	2.5 (1.5, 4.8)
Z4 noues Utitalization	CC1	7.1	C.4 /C	ø	C1	(1.01, C.1) 4.C
unstored differentiated	200	71	10 10	v	0 0	Defemance
Well differentiated	167	10	200 772 19	0 0	7.0 11	
nucturculate/incuctate Poorly differentiated/indifferentiated/ananlastic	0/0	, c 23	766 31	07 66	36	2.5 (0.9, 6.6)
Not determined/stated	435	24	300 23	1 x	13	1.1(0.4, 3.1)
Primary Therapy	2	i		,		
Mastectomy	<i>LL6</i>	53	658 52	32	52	Reference
Breast Conserving Surgery (BCS) plus Radiation Therapy	639	35	499 39	22	36	1.4(0.8, 2.7)
BCS	221	12	120 9.4	7	11	2.4(1.0, 5.8)
Progesterone Receptor Expression				:	1	
Positive or Unknown	1538	84 - 4 /	1093 86	52	85	Reference
	667	10	184 14	ע	cı	0.0 (0.3, 1.1)
Estrogen keceptor Expression	1530	70	1003 86	ŝ	05	
Possing Temovifor	0CCI	† 0 5	00 C60T	70 70	co 10	ۍ د
	070T	<u>, , , , , , , , , , , , , , , , , , , </u>	740 00	7 c	10	Kererence"
	010	55		01 •	2	2.4 (0.7, 8.1)
	667	10 1		י ת	el %	
	101	6	14 07	n '	55 1	(7.7, 7.7)
No I amoxiten	C 01	çç	96 601	Q	0/	1.6 (0.5, 4.4)
Unemotherapy received	101	01	100	Ţ	ç,	
ICS	10/	01	1157 01	+ ¹	C7	1.2 (0.3, 2.1) Defemance
IND	2421	06	16 /011	41	11	Relerence
* The incention cohort is similar to that reported by Geiver et	al 5 The variables have he	en modified by c	ollansing categories and recati	oorizing race/ethnicity_tumo	vr size, node r	ositivity progesterone
THE INCEPTION COUNTLY SOMMAN IN THAT TEPOTICA OF CARRY CL	al. Ille vallaules have uv		Ullapating caregories and iven	BUILDING LAVE/CUILINULY, MILIN	I SIEC, IIUUL	JUSILIVILY, PLUGUSILLIVILY

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receptor expression, estrogen receptor expression and tamoxifen therapy. The inception cohort was not used in any analyses for late recurrence.

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 $^{\dagger}\mathrm{Cox}$ proportional hazards model simultaneously included all predictors and health care system.

 \sharp Non-Hispanic White compared to Hispanic and/or Other Race (African American or Asian).

 $^{\&}$ The reference group for the comparison of positive or unknown ER expression compared with negative ER expression.