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# **Advances in Tau-focused drug discovery for Alzheimer's disease and related tauopathies**

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# **Preface**

Neuronal inclusions comprised of the microtubule-associated protein tau are found in a number of neurodegenerative diseases, commonly known as tauopathies. In Alzheimer's disease, the most prevalent tauopathy, misfolded tau is probably a key pathological agent. The recent failure of Aβtargeted therapeutics in Phase III clinical trials suggests that it is timely and prudent to consider alternative drug discovery strategies for Alzheimer's disease. Here we focus on those directed at reducing misfolded tau and compensating for the loss of normal tau function.

# **Introduction**

The brains of patients with Alzheimer's disease (AD) and a number of other central nervous system disorders, such as frontotemporal dementia, Pick's disease, corticobasal degeneration and progressive supranuclear palsy, contain inclusions comprised of the microtubuleassociated protein tau<sup>1,2</sup>. This shared pathological feature has resulted in these various neurodegenerative diseases being called "tauopathies", although there are clear distinctions in the phenotypic manifestations of these disorders. The insoluble tau deposits found in the brains of patients with tauopathies are comprised of fibrils and are typically found within the cell bodies and **dendrites** of neurons<sup>3</sup> , where they are referred to as neurofibrillary tangles (NFTs) and neuropil threads (Figure 1). The occurrence of fibrillar tau inclusions in tauopathies suggests that they play a critical role in the observed clinical symptomology and pathology. This hypothesis is supported by correlations of NFT density and cognitive decline in AD<sup>4-6</sup>. However, no tau mutations have been identified in AD, whereas inherited early-onset AD can result from mutations in the amyloid precursor protein (APP) or presenilins that lead to increased synthesis of the amyloid β (Aβ) peptide found within the hallmark senile plaques of AD brain<sup>7,8</sup>. These genetic data led to an A $\beta$ -centric view of AD that, while still prevalent, was tempered by the later discovery that FTD with Parkinsonism linked to chromosome 17 (FTDP-17) resulted from *tau* gene mutations<sup>9,10</sup>. Because FTDP-17 patients have AD-like tau deposits in their brains<sup>11</sup>, it seems reasonable to surmise that this common tau pathology causes disease in AD and other tauopathies, albeit in the absence of tau gene mutations. In the case of AD, there is thus compelling evidence to implicate both  $\text{A}\beta$  and tau as disease-causing agents. Although the linkage between these two molecules in AD is not fully understood, the prevailing viewpoint is that misfolded Aβ species initiate cellular events that result in later tau  $\frac{12}{\text{aggregation}}$ <sup>12</sup>.

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Misfolded tau is found in a number of neurodegenerative diseases, including Alzheimer's disease, and could lead to neuronal dysfunction due to the formation of toxic species or loss of function. Given the recent failures of Aβ-targeted therapies, the tau-directed drug discovery programmes reviewed here could represent an alternative strategy for the treatment of AD.

Tau stabilizes microtubules (MTs) within cells<sup>13,14</sup> and is particularly enriched in neurons<sup>15</sup>, where MTs serve as the "tracks" upon which cellular cargo is transported in axons (Figure 2). Humans express six tau isoforms that result from alternative splicing of exons 2, 3 and  $10^{16}$ ,  $17$ , and the absence or presence of exon 10 leads to tau species that contain either three (3-R) or four (4-R) carboxyl-terminal microtubule (MT)-binding repeats (Figure 3). Not surprisingly, 4-R tau isoforms bind MTs with greater avidity than  $3-R$  forms<sup>18</sup>, and there is approximately equimolar 4-R and 3-R tau in normal individuals. Interestingly, a significant proportion of the known tau mutations in FTLD-17 affect exon 10 splicing (Figure 3), leading to an increase in the  $4-R/3-R$  ratio<sup>2,9,10</sup> and suggesting that over-stabilization of MTs results in disease. An alternative explanation is that 4-R tau more readily forms aggregates that contribute to disease<sup>19</sup>. The remaining FTLD-17 tau mutations result in missense mutations within the coding region of the gene (Figure 3)<sup>2,9,10</sup>, and studies show that some of these amino acid changes decrease the ability of tau to bind MTs20-22 and/or increase the propensity of tau to form insoluble fibrils *in vitro*23-<sup>25</sup> .

The causes of tau aggregation in sporadic tauopathies are not fully understood. One posttranslational modification that seems to alter the properties of tau in ways that resemble FTLD-17 mutations is hyperphosphorylation, which occurs in all tauopathies<sup>26,26,27</sup>. Tau is normally phosphorylated at multiple serine (ser) and threonine (thr) residues<sup>28</sup>, and hyperphosphorylation (Figure 3) reduces MT binding<sup>29-32,32</sup> and may enhance aggregation<sup>31,33,34</sup>. Therefore, it is possible that changes in protein kinase and/or phosphatase activities could enhance tau phosphorylation with consequent loss-of-function (LOF) and/or gain-of-function (GOF) toxicities. Additional post-translational modifications may also contribute to tau dysfunction. For example, tau undergoes a specific type of ser/thr Oglycosylation and these modifications can reduce the extent of tau phosphorylation<sup>35,36</sup>. Thus, a decrease in tau O-glycosylation could result in increased hyperphosphorylation. Tau can also be tyrosine phosphorylated<sup>37</sup>, sumoylated and nitrated<sup>38</sup>, although it is not fully understood what effects these modifications have on tau. Another post-translational event that may facilitate tau aggregation is proteolytic cleavage, as it appears that both calpain<sup>39</sup> and caspases40 can act on tau to produce fragments that may have an increased propensity to aggregate. Finally, it is known that tau fibrillization *in vitro* requires the presence of anionic co-factors such as heparin, RNA or negatively-charged lipids<sup>41,42</sup>, and it is possible that changes in the intracellular content of one or more such molecules may facilitate tau deposition in tauopathies.

The knowledge gained from the FTDP-17 mutations and an increased understanding of how the post-translational modifications of tau affect its function has led to a growing interest in developing therapeutics that target pathological tau. Most tau-directed drug discovery programmes are in early research stages and are not nearly as advanced as Aβ-focused AD programmes. However, recent notable failures in pivotal clinical trials with agents such as tramiprosate<sup>43</sup> and flurbiprofen<sup>44</sup>, which were aimed at reducing Aβ burden in the brains of AD patients, underline the need to pursue other therapeutic approaches including those that reduce pathological tau. It is thus timely to review recent advancements in tau-based drug discovery efforts and the relative merits of these strategies.

# **Compensating for Tau LOF**

Evidence that tau mutations and hyperphosphorylation can affect MT binding suggests that impairment of MT function and axonal transport contributes to neurodegeneration in AD and related tauopathies (Figure 2). A reduction of the stabilized MT marker, acetyl-tubulin, has been observed in NFT-containing neurons within the brain of patients with AD<sup>45</sup> and after tau deposition in a rat hippocampal slice model46. Importantly, a reduction in MT density and **fast axonal transport** (FAT) has been observed in a transgenic mouse model that develops

hyperphosphorylated tau inclusions in neurons of the cortex, brainstem and spinal cord<sup>47</sup>. Finally, in patients with AD a reduced MT density was observed in pyramidal neurons relative to age-matched controls, although the change appeared to be unrelated to the presence of  $NFTs<sup>48</sup>$ .

It should be noted that there are data which contradict the viewpoint that tau LOF contributes to neurodegeneration. For example, FAT was not affected in tau knockout mice<sup>49</sup>, and human APP transgenic mice that were crossed with tau-deficient mice showed improved cognitive performance relative to the APP mice expressing normal amounts of  $tau^{50}$ . However, constitutive gene knockout can lead to compensatory changes during development and it has been reported that tau knockout mice have elevated expression of the MT-associated protein 1a (MAP1a)<sup>51</sup>. Moreover, tau knockout mice are not normal as they develop cognitive as well as motor deficits with age, and primary hippocampal neurons from these animals show delayed axonal extension<sup>52,53</sup>. Inducible tau knockout mice have not yet been evaluated; these animals may provide a better measure of the significance of tau.

The hypothesis that tau LOF contributes to neuronal dysfunction has been further tested by treating tau transgenic mice that display MT and motor deficits with the **MT-stabilizing** drug, paclitaxel (Table  $1$ )<sup>47,54</sup>. After three months of drug treatment, the mice showed a significant improvement of FAT and MT density relative to vehicle-treated animals. Furthermore, there was a marked improvement in motor function in the paclitaxel-treated mice. Because paclitaxel does not readily cross the blood-brain barrier (BBB), the observed druginduced changes presumably resulted from paclitaxel uptake at peripheral neuromuscular junctions with subsequent retrograde transport to spinal motor neurons. These results demonstrate that tau LOF can be compensated for by small molecule drugs, and that MTstabilizing agents that readily cross the BBB might lead to similar improvements in tauopathy brains. Recently, the octapeptide NAP, which crosses the BBB, was found to promote MT assembly<sup>55</sup>. Intranasal NAP administration for three months to 9-month old transgenic mice that develop Aβ and tau deposits resulted in a reduction of tau phosphorylation as well as a lowering of Aβ levels<sup>56</sup>. Furthermore, in older transgenic mice that had developed moderate pathology, NAP treatment reduced tau phosphorylation, although Aβ levels were unaffected<sup>57</sup>. The mechanism whereby NAP alters tau phosphorylation and Aβ levels in young transgenic mice is unclear, as it is not evident that stabilization of MTs would lead to these changes. Nonetheless, these data are intriguing and support the concept that drug-induced stabilization of MTs could be beneficial in tauopathies.

A challenge when attempting to treat AD and related tauopathies with MT-stabilizing compounds is identifying molecules that readily reach the brain. Although intranasal administration of NAP seemed to result in effective brain levels, many of the more traditional MT-stabilizing agents, including the taxanes, have relatively poor BBB penetration<sup>58</sup>. This is due, at least in part, to many of the taxanes being substrates of the P-glycoprotein transporter that actively pumps xenobiotics from the cells lining the BBB back into the blood59. Identifying MT-stabilizing compounds with good brain penetration is important not only because this is the site of pathology in human tauopathies, but also because compounds that readily pass the BBB will achieve efficacious brain concentrations at relatively lower plasma drug levels. Keeping peripheral levels of MT-stabilizing drugs as low as possible is important as these compounds are potent anti-mitotic agents that can have significant side-effects. Based on the absence of observable morbidities in the tau transgenic mice that showed motor improvements upon paclitaxel treatment<sup>54</sup>, there is hope that relatively low brain concentrations of MTstabilizing drugs will be required to stabilize neuronal MTs in tauopathies. Further analysis of MT-stabilizing compounds to identify those that can gain access to the brain, followed by testing in animal models of tauopathy, will provide further information on the relative efficacy and safety of this approach.

#### **Inhibition of Tau hyperphosphorylation**

#### **Challenges associated with reducing Tau phosphorylation**

Normal tau is phosphorylated on a number of residues and the extent of this phosphorylation is increased dramatically in the brain of patients with  $AD^{60,61}$ . Although  $\sim 40$  ser/thr tau phosphorylation sites have been described<sup>62</sup>, only a small number of hyperphosphorylation sites are well-characterized (Figure 3); most of them flank the MT-binding domains, although ser262 and ser356 reside within these regions. Phosphorylation at ser262, thr231 and ser235 was found to reduce tau binding to MTs<sup>63</sup>, and phosphorylation or **pseudophosphorylation** of a number of sites has been demonstrated to enhance tau fibrillization<sup>31,33,64</sup> although phosphorylation at ser214 and ser262 may prevent tau aggregation<sup>65</sup>. Because hyperphosphorylation could lead to tau LOF or GOF, identifying inhibitors of the appropriate kinases has considerable therapeutic appeal.

Kinase inhibitors are being actively pursued in the pharmaceutical industry for a number of clinical applications, particularly for the treatment of cancers. Nonetheless, there are significant challenges to the development of tau kinase inhibitors. Because current kinase inhibitors are generally directed to the common ATP binding site shared by all members of this family, achieving kinase selectivity has proven to be difficult<sup>66</sup>. Furthermore, inhibiting tau hyperphosphorylation requires an understanding of the specific enzymes involved in these modifications. A large number of kinases have been shown to be capable of phosphorylating tau *in vitro*, including proline-directed kinases such as extracellular signal-related kinase 2 (ERK2), glycogen synthase kinase-3 (GSK-3) and cyclin-dependent kinase 5 (cdk5), as well as the non-proline-directed enzymes casein kinase 1 (CK1), protein kinase A (PKA), and microtubule affinity-regulating kinase (MARK)<sup>62,67,68</sup>. However, uncertainty remains about which of these is most important to tau hyperphosphorylation in human disease.

There is substantial support for CDK5 and GSK-3 being relevant kinases in tauopathies. GSK-3 exists in two highly homologous  $\alpha$  and  $\beta$  isoforms, but because the reagents used in many studies do not differentiate between these two species their relative contributions are unclear. GSK-3 co-localizes with NFTs<sup>69</sup>, although there doesn't seem to be an up-regulation of GSK-3 activity in AD brain<sup>70</sup>. Overexpression of GSK-3 $\beta$  in transgenic mice has been reported to increase tau hyperphosphorylation and to cause behavioural deficits<sup>71,72</sup>. CDK5 activity<sup>73</sup> and the levels of the CDK5 activator p35/p25<sup>74</sup> have been reported to be up-regulated in the brain of patients with AD Like GSK-3, CDK5 has also been demonstrated to be associated with nascent NFTs<sup>75-77</sup>. In addition, co-expression of  $p25$  and mutant human tau in mice led to the formation of NFTs with resulting neurodegeneration<sup>78</sup>. Interestingly, both  $CDK5^{79}$  and  $GSK-3$ have been implicated in the upregulation of Aβ synthesis, with inhibition of GSK-3α and GSK-3β reported to decrease Aβ levels<sup>80-82</sup>. Also, there seems to be a link between CDK5 and GSK-3β activity, as inhibition of CDK5 in mice overexpressing p25 led to an increase of tau phosphorylation by GSK-3 $\beta^{83}$ . This implies that inhibition of CDK-5 may not lead to a desired reduction of tau hyperphosphorylation. Among the candidate non-proline directed tau kinases, MARK may arguably be the most relevant. MARK phosphorylates multiple MTassociated proteins in addition to tau and cells that overexpress this kinase show increased tau phosphorylation<sup>84</sup>. MARK is localized to tau tangles in the AD brain<sup>85</sup> and overexpression of the MARK orthologue Par-1 in flies co-expressing human tau resulted in increased tau phosphorylation and enhanced neurotoxicity<sup>86</sup>.

A further challenge in developing tau kinase inhibitors, in addition to the issues mentioned above, is the possibility of target related side-effects as most kinases regulate several cellular processes. GSK-3 is perhaps best known for its involvement in glycogen metabolism and as a drug target for metabolic disease<sup>87</sup>. CDK5 is essential for survival in mice and has a key role in neuronal development<sup>88</sup>. Similarly, MARK is involved in axonal transport and neurite

growth<sup>89,90</sup>. Although kinase inhibitors have been used successfully in oncology, it remains to be determined whether these molecules can be safely administered on a chronic basis for the treatment of tauopathies. Notwithstanding these concerns, a number of research groups have developed inhibitors of the key kinases implicated in tau phosphorylation. The details on the many classes of compounds that have been identified to date have been reviewed in references<sup>67,91</sup>. A small number of tau kinase inhibitors (Table 1) have progressed to efficacy testing in tau-based animal models (Box 1), and these data are briefly discussed here as they provide important information about the merits of this approach. In fact, the development of several transgenic mouse lines that overexpress tau with FTDP-17 mutations<sup>92</sup> has provided important research tools for compound evaluation. These mice typically show an agedependent formation of intraneuronal hyperphosphorylated tau inclusions that mimic many aspects of the NFTs observed in human tauopathies, including neuritic pathology and axonal degeneration.

#### **Analysis of Tau phosphorylation inhibitors in animal models**

Most *in vivo* efficacy studies of tau kinase inhibitors have examined the effects of GSK-3 inhibition. Administration of the GSK-3 inhibitor LiCl for ~one month to transgenic mice that overexpress mutant human tau resulted in a decrease of tau phosphorylation and a reduction of insoluble tau<sup>93,94</sup>. LiCl has effects on other enzymes besides GSK-3, but it has been shown that treatment with the relatively specific GSK-3 inhibitor AR-A014418 (Table 1) leads to a reduction of insoluble tau within these transgenic mice that is comparable to that observed with LiCl. A four-month LiCl treatment of young transgenic mice that express the shortest human tau isoform led to a reduction of tau pathology and behavioural improvement<sup>95</sup>. Interestingly, although decreased tau phosphorylation was observed during the initial month of dosing in these mice, this effect was not seen by the end of the dosing regimen $95$ . Thus, the attenuated tau pathology in the LiCl-treated mice may have resulted from the transient inhibition of GSK-3 and/or from an increase in tau ubiquitination that was observed in these animals. More recently, LiCl was administered to transgenic mice that overexpress both mutant human tau and GSK-3β, and which develop age-dependent tau hyperphosphorylation accompanied by NFT formation. Treatment of pre-symptomatic animals with LiCl for 7.5 months prevented tau hyperphosphorylation and the onset of tau pathology, whereas administration of LiCl to mice with existing tau pathology resulted in a reduction of tau phosphorylation although NFTs persisted<sup>96</sup>. A comparable effect was observed when tetracycline-controlled GSK-3 $\beta$ expression was down-regulated in these older mice, suggesting that the effects of LiCl were specifically due to inhibition of GSK-3 $\beta$ <sup>96</sup>. Finally, LiCl has been administered to 15-month old transgenic mice that develop A $\beta$  plaques and tau tangles in their brain<sup>97</sup>. Daily LiCl treatment for one month led to reduced tau phosphorylation without affecting Aβ plaque burden. However, the drug treatment did not rescue memory deficits within these animals. Although studies of LiCl in human AD subjects are sparse, a recent 10-week clinical trial of LiCl in 71 mild AD patients did not show clinical or biomarker efficacy<sup>98</sup>.

Although many *in vivo* studies of tau kinase inhibition have used LiCl, a few additional small molecule kinase inhibitors have been evaluated for efficacy. The non-specific kinase inhibitor SRN-003-556 (Table 1), which affects CDK5, GSK-3 and ERK2, was evaluated in mice that overexpress mutant human tau<sup>99</sup>. The compound was shown to significantly delay the development of motor deficits and decrease the amount of soluble hyperphosphorylated tau after nine weeks of dosing. However, no reduction in NFTs was observed, leading the authors to speculate that the negative effects of tau may have resulted from diffusible multimers. More recently, a large number of GSK-3 inhibitors were investigated in 12-day old rats that have elevated tau phosphorylation relative to adult animals<sup>100</sup>. Both LiCl and CHIR98104 were found to reduce tau phosphorylation in the cortex and hippocampus, whereas alsterpaullone and SB216763 (Table 1) were only effective in the hippocampus.

Finally, it should be noted that another potential approach to modifying tau phosphorylation is through manipulation of tau O-linked glycosylation<sup>101</sup>. Certain ser/thr residues of tau are post-translationally modified through the addition of β-N-acetylglucosamine (O-GlcNac), and the levels of tau phosphorylation and O-GlcNac have been demonstrated to be reciprocally regulated such that increased tau O-GlcNac results in decreased phosphorylation<sup>35,36</sup>. The cleavage of O-GlcNac from tau is mediated by the enzyme O-GlcNacase, and a recent study has demonstrated that acute administration of an inhibitor of this enzyme, thiamet-G (Table 1), to normal rats caused an apparent reduction of tau phosphorylation at ser396, thr231 and ser404<sup>102</sup>. Thus, it may be possible to modulate tau phosphorylation through inhibition of O-GlcNacase, although it should be noted that the single *in vivo* study with the O-GlcNacase inhibitor thiamet-G was conducted with normal rats that do not have hyperphosphorylated tau. As many intracellular proteins undergo O-GlcNac modification, the potential side-effects of chronic inhibition of O-GlcNacase will have to be carefully examined.

## **Inhibition of Tau assembly into oligomers and fibrils**

As mentioned above, the conversion of soluble tau into oligomeric and fibrillar species could result in tau GOF and LOF toxicities. Thus, inhibiting tau assembly into multimeric structures might prevent the formation of toxic species and increase the levels of monomeric tau, which could contribute to MT stabilization. Although blocking protein–protein binding with small molecule drugs is generally believed to be difficult due to the large surface areas involved in such interactions, there is now growing evidence that tau multimerization can be disrupted with low molecular weight compounds (Table 2). These studies have been greatly facilitated by the discovery that tau can be induced to form well-defined fibrils *in vitro* in the presence of certain anionic co-factors, such as heparin or negatively-charged lipids<sup>42,103</sup>. Moreover, the formation of these tau fibrils can be readily monitored with fluorescent dyes that recognize the **cross-βfibril** structure that is common of all amyloid fibrils (see Box 2). Although the tau fibrils formed *in vitro* bear verisimilitude to the PHFs observed in the brains of patients with AD, other tauopathies such as progressive supranuclear palsy are characterized by straight tau filaments<sup>2</sup>. Thus it remains to be determined whether a compound that blocks tau fibrillization *in vitro* will affect all types of tau fibrils.

The first compound reported to inhibit tau–tau interactions was the dye methylene blue (Table 2), which was also shown to alter the structure of existing paired helical filaments (PHFs) isolated from the brain<sup>104</sup>. This molecule is now in clinical testing for AD and Phase II data presented at the 2008 International Conference on AD suggest that this compound had a positive treatment effect<sup>105</sup>, although larger Phase III studies are required to prove efficacy. Another dye-like molecule, N744, has also been identified as an inhibitor of full-length tau fibrillization and like methylene blue this compound could disaggregate existing  $filaments<sup>106</sup>$ . However, at higher concentrations N744 forms aggregates that were found to increase tau assembly  $107$ .

A number of laboratories have screened compound libraries (Box 2) with the goal of identifying inhibitors of tau fibrillization (see Table 1 for examples). For example, the Mandelkow laboratory completed high-throughput screening (HTS) of ~200,000 compounds in an assay in which fibrillization of a 3-R tau fragment was evaluated by thioflavine S (ThS) fluorescence<sup>108</sup>. This led to the identification of a number of anthraquinone inhibitors of tau fibril formation, including daunorubicin and adriamycin. These compounds also caused disaggregation of pre-formed tau fibrils if concentrations were increased above those required to prevent fibrillization, indicating that it is possible to find compounds that can both block the formation of tau fibrils and dissolve existing aggregates. Furthermore, it was demonstrated that an anthraquinone analogue could reduce the formation of tau inclusions in N2a neuroblastoma cells that overexpress a 4-R human tau fragment. A number of N-phenylamine tau fibrillization

inhibitors identified from this screen were later shown to also be active in the N2a cell model<sup>109</sup>. This group subsequently developed a pharmacophore model from the active compounds identified after  $HTS<sup>110</sup>$ , resulting in the identification of a phenylthiazolylhydrazide (PTH) series of compounds that prevented tau fibrillization as well as aggregation in the N2a cellular model<sup>111</sup>. Finally, a rhodanine series of tau fibril inhibitors was identified<sup>112</sup> by this team that disaggregated pre-formed tau fibrils and prevented tau aggregate formation in the N2a cells.

Other researchers have also screened compound collections to identify inhibitors of tau fibril assembly. Phenothiazines, porphyrins and polyphenols have been reported to block tau fibrillization as determined by ThT fluorescence and electron microscopic analysis of reaction products<sup>113</sup>. Another screen of  $\sim$ 51,000 compounds to identify inhibitors of heparin-induced fibrillization of a human 4-R tau fragment $11\overline{4}$  identified several active compounds, including previously described anthraquinones, phenothiazines, porphyrins and sulfonated dyes. In addition, novel benzofuran, pyrimidotriazine and quinoxaline inhibitors were discovered. Secondary analyses revealed that many of the compounds were inactive when dithiothreitol (DTT) was omitted from the tau assembly reaction, possibly because these compounds form peroxides in the presence of DTT that alter tau interaction. Among the compounds with less activity in the absence of DTT were the anthraquinones, benzofurans, porphyrins, pyrimidotriazines and sulfonated dyes, raising questions about the ultimate suitability of these molecules for use *in vivo*. The quinoxaline compounds did not depend on DTT for inhibition of tau fibril formation, and a bioinformatic analysis of the screening library revealed that only two of ~200 compounds containing a quinoxaline core structure were active in the tau assay. Both of these molecules contained a 2,3-di(furan-2yl) functionality that might be critical for activity. More recently, >290,000 compounds were screened at six concentrations with tau fibrillization monitored using fluorescence polarization (FP) and ThT fluorescence (Box 2). A total of 285 compounds showed complete dose-dependent inhibition of tau assembly, and a unique set of aminothienopyridazine inhibitors were identified that have drug-like physicalchemical attributes $115$ .

In summary, several distinct classes of small molecule compounds have been identified that prevent tau fibrillization (Table 2) and some of these have also been shown to disaggregate pre-formed fibrils or block tau aggregate formation in cells. Many of the existing examples of tau assembly inhibitors have chemical or biological properties that will probably make them unsuitable for use *in vivo*, and at least some may act through the generation of reactive species or via covalent modification that increase the potential for off-target side-effects. Moreover, it is possible that certain of the described tau fibrillization inhibitors may form colloidal structures that have been shown to result in non-specific inhibition of amyloid polymerization $116$ . Nonetheless, continued efforts in this area are likely to yield compounds that will be suitable for analysis in mouse models of tauopathy, which will be crucial in determining whether the strategy of inhibiting tau fibril formation has therapeutic merit. In particular, it will be important to show that tau assembly inhibitors can have the desired effect of reducing tau accumulations at doses that are safe. Many of the described compounds seem to require nearly equimolar concentrations relative to tau to block fibril formation *in vitro*, which might suggest that high concentrations would be required in the brain to achieve efficacy. However, it has been estimated that >99% of tau is bound to  $MTs<sup>117</sup>$ , and thus the free tau concentrations might be  $\leq$ 20 nM in neurons based on an estimate of 2  $\mu$ M total tau<sup>118</sup>. Under these circumstances, achieving equimolar drug concentrations should be achievable with reasonable doses.

Another factor to consider in the evaluation of tau assembly inhibitors is that there has been very little characterization of the tau species that accumulate in the presence of these compounds. Preferred compounds are likely to be those that prevent the initial stages of tau– tau interaction, so that they lead to an increase of tau monomers and not uncharacterized

intermediate multimeric structures which could conceivably have biological activity<sup>119</sup>. Thus, an understanding of the tau species that are formed in the presence of assembly inhibitors will be important in interpreting results from studies conducted in animal models of tauopathy.

# **Enhancing intracellular Tau degradation**

There are two major pathways by which cells can degrade misfolded cytosolic proteins. The first is the ubiquitin-proteasome system (UPS) in which proteins are modified with ubiquitin tags and subsequently degraded by the proteasome complex<sup>120</sup>. This requires the threading of the targeted protein into a narrow opening formed by the proteasome, thereby excluding oligomers and larger aggregates from catabolism by this route. Larger multimeric protein structures are thus primarily degraded through **macroautophagy**, which requires encapsulation by an autophagosome and subsequent fusion with a hydrolase-containing lysosome<sup>121</sup>. There is evidence that both of these systems may be affected in the AD  $brain<sup>122-126</sup>$ , and although normal tau has not been shown to use these systems there are reports which suggest that hyperphosphorylated and misfolded tau can undergo degradation through both of these pathways. Accordingly, it is possible that upregulation of one or both of these catabolic systems may lead to a reduction of pathological tau in AD and other tauopathies.

The involvement of the UPS in the degradation of phosphorylated tau has been demonstrated through the use of inhibitors of the 90 kD heat shock protein (Hsp90). Hsp90 acts as a molecular chaperone that combines with other proteins to form a complex that assists in the refolding of denatured proteins in an ATP-dependent process. If the ATPase function of Hsp90 is inhibited with molecules such as geldanamycin, the composition of the refolding complexes can change such that proteins which were once stabilized by Hsp90 are targeted for degradation by the proteasome (see  $127,128$  for greater detail). Inhibitors of Hsp90 have been extensively studied as possible cancer therapies as it appears that many oncogenic proteins are stabilized through interactions with Hsp90. Several Hsp90 inhibitors reduced the levels of tau phosphorylated at proline-directed kinase sites Ser202/Thr205 and Ser396/Ser404 in cells overexpressing mutated human tau<sup>129</sup>. Moreover, tau with an altered conformation that is recognized by a specific antibody was found to be decreased in cells treated with Hsp90 inhibitors. Two studies have shown that treating transgenic mice that express human tau with BBB-permeable Hsp90 inhibitors (Table 1) — EC102 for seven days, or PU24FCl for one month — reduced the amount of hyperphosphorylated tau in the brain<sup>127,130</sup>.

Interestingly, EC102 was found to displace biotin-labeled geldanamycin from Hsp90 complexes within human AD cortical brain homogenates at 1000-fold lower concentration than from homogenates derived from control cortex or non-affected AD cerebellum<sup>127</sup>. This observation is in keeping with the discovery that the Hsp90 inhibitor 17 asllylaminogeldanamycin has 100-fold higher binding affinity for Hsp90 derived from tumour cells than from normal cells<sup>131</sup>. These data suggest that there is a preferential binding of Hsp90 inhibitors to complexes that are associated with misfolded proteins, and imply that Hsp90 inhibitors can be used clinically at doses that will leave other Hsp90–client protein interactions intact.

Although Hsp90 inhibitors seem to hold promise for reducing phosphorylated and misfolded monomeric tau through the UPS, it is unlikely that this pathway would affect larger tau oligomers and fibrils. However, the autophagic clearance system has been implicated in the removal of aggregate-prone proteins, including those involved in neurodegenerative  $disease<sup>121,132</sup>$ . Macroautophagy can be induced with the drug rapamycin, and it has been demonstrated that treatment of flies which express wild-type or mutated tau with this compound results in a reduction of insoluble tau and associated toxicity <sup>133</sup>. Recently, it was found that clearance of tau was slowed in human tau-expressing neuroblastoma cells that were treated

with the **lysosomotropic agents** NH4Cl or chloroquine 134. Furthermore, the addition of the autophagy inhibitor, 3-methyladenine, led to enhanced tau accumulation and aggregation <sup>134</sup>. There is thus growing evidence that aggregated tau can be degraded by autophagy and that an up-regulation of the autophagy-lysosomal system with drugs like rapamycin might be a potential strategy for the treatment of tauopathies. Unfortunately, rapamycin affects the mTOR signalling network and has pleiotropic effects, including immunosuppression, that complicate its use. In this regard, inhibition of an mTOR-independent target, inositol monophosphatase, with LiCl has been shown to cause an upregulation of autophagy and an increased clearance  $\alpha$ -synuclein, which forms intracellular inclusions in Parkinson's disease<sup>135</sup>. As previously discussed, many studies have been conducted with LiCl in tauopathy models in which changes in aggregated tau levels were attributed to inhibition of GSK-3. It is possible that LiCl might have also induced autophagy in these models, and it will be important to further study whether inositol monophosphatase inhibition affects tau aggregates in cell-based models and tau transgenic mice.

# **Conclusions**

There clearly is growing interest in tau-focused drug discovery for AD and other tauopathies, and this has resulted in significant recent advancements in this area. Indeed, a number of small molecules have been identified that target tau-mediated neuropathology and neurodegeneration (Figure 4). These include compounds that inhibit tau multimerization, decrease tau phosphorylation through inhibition of kinases or O-GlcNacase, enhance tau degradation or compensate for tau LOF through stabilization of MTs. However, only a handful of tau kinase inhibitors, Hsp90 inhibitors and MT stabilizing agents have undergone proof-of-principle testing in established transgenic mouse models of tauopathy. Moreover, the only tau-directed drugs that have progressed to human clinical testing are methylene blue, LiCl and NAP. It is hoped that many additional compounds that target tau pathology will soon be examined for efficacy *in vivo*, as such studies are important in further validating the use of tau-based therapeutic approaches.

As tau-directed therapies move toward clinical testing in AD and other tauopathies, they will face many of the same difficulties that are presently being encountered in trials of Aβ-targeted drugs. Foremost among these is the challenge of demonstrating clinical efficacy in a population that is likely to have substantial existing neurodegeneration. Efforts are underway to improve early AD diagnosis and to identify those with **prodromal disease**, as such patients should be more responsive to disease-modifying treatments. However, conducting clinical trials at an early disease stage presents other difficulties, including the possibility of having to follow patient response for longer time periods. This challenge might be mitigated by the identification of informative efficacy biomarkers for AD and related tauopathies. Although there is considerable uncertainty about the relative roles of  $\mathbf{A}\mathbf{\beta}$  and tau in AD, the greater correlation of memory impairment with NFTs than with Aβ-containing senile plaques suggests that tau pathology is temporally more proximal to the neurodegenerative events that result in dementia than are Aβ aggregates. If true, it may be easier to demonstrate clinical efficacy in AD with tau-directed drugs than with those targeting Aβ. It is thus very important that there be continued advancement of tau drug discovery programmes so that candidates are identified for future clinical assessment.

#### **Box 1. Transgenic mouse models of tauopathy**

The assessment of compounds directed to potential tauopathy drug targets has been greatly facilitated by the development of transgenic mice that develop tau neuropathology as they age (reviewed in  $1,92$ ). In general, mice that have been genetically altered to overexpress human tau containing one or more mutations found in patients with frontotemporal dementia

with Parkinsonism linked to chromosome 17 (FTDP-17) develop central nervous system inclusions comprised of hyperphosphorylated human tau. These intraneuronal aggregates resemble those observed in tauopathies, and many tau transgenic mice also show profound neuron loss. The regional expression profile of tau in these transgenic mice depends on the promoter that is used to drive tau expression, and thus certain transgenic lines show neuropathology that is largely restricted to the forebrain, whereas other lines have more broadly disseminated tau expression and pathology. Recently, transgenic mice have been developed in which mutated human tau expression can be regulated through the use of a repressible promoter 136, thereby facilitating studies examining the effects of temporal tau expression.

#### **Box 2. Description of high-throughput screens used to identify Tau fibrillization inhibitors**

Full-length tau or certain tau fragments can be induced to form fibrils that closely resemble those isolated from diseased brains. Tau monomers have a highly disorganized structure and will not spontaneously assemble into fibrils unless an anionic co-factor such as heparin or arachidonic acid is included in the incubation mixture. When one of these anionic species is added to a tau preparation and incubated at 37°C, a time-dependent increase in fibril content is observed that can be detected with dyes such as Thioflavine S or T (ThT), which emit a characteristic fluorescence signal upon binding to cross-β-fibril structures (see figure) <sup>137</sup>. A alternative detection method involves mixing a small amount of fluorescently-labeled tau into the fibrillization  $assay<sup>115</sup>$ . The fluorescent tau is incorporated in growing tau multimers, which slows the rotational freedom of the fluorescent probe and causes an increase in fluorescence polarization (FP) (depicted below). Whereas ThT will only bind tau fibrils, the FP method allows for the detection of both non-fibrillar and fibrillar multimeric tau species and the FP and ThT readouts can be performed in the same reaction. Combining both of these methods in one assay can help to distinguish compounds that inhibit the earliest stages of tau assembly (resulting in a diminution of both FP and ThT fluorescence) from those that primarily affect fibril growth (leading to diminished ThT fluorescence but relatively unchanged FP). Tau fibrillization assays of this type have been miniaturized so that fibrils can be reproducibly formed in 384- or 1536-well plates, thereby allowing large compound libraries to be screened for molecules that inhibit tau fibril formation.



- **-** Tau is normally a soluble protein that stabilizes microtubules (MTs) within cells and is particularly enriched in neurons, where MTs serve as the "tracks" upon which cellular cargo is transported in axonal projections. The formation of insoluble tau aggregates could cause neurodegeneration through the formation of toxic tau species, or through a loss of tau function due to its hyperphosphorylation and sequestration in inclusions.
- **-** Tau mutations have been shown to cause frontotemporal dementia with Parkinsonism linked to chromosome 17 (FTDP-17), but tau mutations have not been identified in other tauopathies, including AD. The causes of tau aggregation in these sporadic tauopathies are not fully understood, although tau hyperphosphorylation might be important as it decreases tau binding to MTs and increases tau fibrillization.
- **-** There is a growing interest in developing therapeutics that target pathological tau, particularly for the treatment of AD. Most tau-directed drug discovery programmes are in early research stages and are not as advanced as programmes that aim to decrease levels of the amyloid β peptides which form senile plaques in the AD brain.
- **-** A number of approaches are being pursued for the treatment of tauopathies, including the development of brain-penetrant compounds that can 1) stabilize MTs and thus compensate for tau loss-of-function; 2) reduce tau hyperphosphorylation; 3) inhibit tau assembly into oligomers and fibrils; or 4) enhance tau intracellular degradative pathways.
- **-** As tau-directed therapies move toward clinical testing in AD and other tauopathies, they will face many of the difficulties that are presently being encountered in trials of Aβ-targeted AD drugs. These include drug safety and the challenge of demonstrating clinical efficacy in a population that is likely to have existing neurodegeneration.

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# **Glossary**

Dendrites, a branched extension of a neuron that interacts with adjacent cells and transmits electrical impulses.; Fast Axonal Transport, a mechanism whereby intracellular organelles are transported along microtubules at a rate of ~400 mm/day.; Microtubule-stabilizing drug, typically molecules, such as paclitaxel or epothilones, that were identified from natural products and which bind with high affinity to microtubules, thereby affecting microtubule dynamics. Compounds of this type have been used for the treatment of cancer because they affect mitotic spindles and induce death in rapidly dividing cells.; Pseudophosphorylation, the effects of serine (ser) or threonine (thr) phosphorylation can be mimicked in part by substitution of the phosphorylated ser/thr residues within a protein with aspartic acid or glutamic acid residues. These amino acids, like phosphorylated ser or thr, carry a negative charge at physiological pH.; Cross-β-Fibril, a fibril composed of repeating units enriched in β-sheets that align parallel to the fibril axis with their β-strands perpendicular to this axis.; Macroautophagy, a process whereby a double-membrane structure encapsulates cytosolic material and later fuses with lysosomes, resulting in degradation of the sequestered matter.; Lysosomotropic Agent, a molecule that enters the lysosomes and alters its function, often by increasing the pH of this normally acidic organelle.; Prodromal Disease, the earliest phase of a developing condition or disease..

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#### **Figure 1. Tau pathology in AD and related tauopathies**

At autopsy, the brains of patients with Alzheimer's disease or related tauopathies show abundant neurofibrillary tangles (NFTs) and neuropil threads that are comprised of pathological tau. These tau deposits can be visualized by treating brain slices with certain silver stains or by immunostaining with antibodies that recognize tau (as shown in A, with darklystained NFTs and dense tau neuropil threads that yield a nearly uniform brown staining in a hippocampal section of an Alzheimer's disease brain). A schematic representation of NFTs and neuropil threads within a neuron is shown in B, with an example of tau fibrils that resemble those found in NFTs depicted in the associated inset.



#### **Figure 2. Tau in healthy neurons and in tauopathies**

Tau facilitates microtubule (MT) stabilization within cells and it is particularly enriched in neurons. MTs serve as "tracks" that are essential for normal trafficking of cellular cargo along the lengthy axonal projections of neurons, and it is thought that tau function is compromised in Alzheimer's disease and other tauopathies. This probably results both from tau hyperphosphorylation, which reduces the binding of tau to MTs, and through the sequestration of hyperphosphorylated tau into neurofibrillary tangles (NFTs) so that there is less tau to bind MTs. The loss of tau function leads to MT instability and reduced axonal transport, which could contribute to neuropathology.



#### **Figure 3. The Tau gene, known Parkinsonism linked to chromosome 17 (FTDP-17) mutations and sites of hyperphosphorylation**

Tau is a mult-exonic gene that undergoes alternative post-transcriptional splicing of exons 2 (orange), 3 (yellow) and 10 (green) to yield six isoforms in the brain. Exons 9-12 encode microtubule (MT)-binding repeat domains and the exclusion or inclusion of exon 10 results in tau with three (3-R) or four (4-R) MT-binding domains, respectively (black bars). Tau mutations that result in FTDP-17 map primarily to exons 9-12 or to the intronic region between exons 10 and 11, with the latter increasing the prevalence of exon 10-containing 4-R tau. There are no reported tau mutations in Alzheimer's disease, but hyperphosphorylated tau inclusions are formed that resemble those seen in FTDP-17. There are ~40 reported sites of tau phosphorylation, and the major hyperphosphorylation sites $91$  are shown at the bottom of the figure.



**Figure 4. Therapeutic strategies to reduce Tau-mediated neuropathology and neurodegeneration** A number of approaches are being pursued to reduce the consequences of pathological tau in Alzheimer's disease and related tauopathies. It is believed that tau deposition into neurofibrillary tangles (NFTs) results in a loss of normal tau stabilization of microtubules (MTs) and/or the formation of toxic tau multimeric structures. A reduction of tau interaction with MTs might be compensated for by small molecule MT-stabilizing agents. Tau hyperphosphorylation reduces its binding to MTs and enhances its fibrillization, and inhibitors of tau kinases might thus improve both MT function and reduce the formation of pathologic tau multimers. Because tau O-glycosylation and phosphorylation seem to be reciprocally regulated, inhibition of O-GlcNacase might be another approach to decreasing tau hyperphosphorylation. Another potential strategy for increasing the amount of soluble tau available for MT binding and for decreasing potentially toxic aggregates is to inhibit the assembly of tau into larger multimeric structures or dissolve existing aggregates (tau assembly inhibitors). Finally, it may be possible to increase the degradation of misfolded and aggregated tau. Hsp90 inhibitors might increase proteasome-mediated clearance of misfolded and/or hyperphosphorylated tau monomers, whereas enhancers of autophagy have the potential to increase the removal of tau aggregates. For examples of compounds targeting these processes please see table 1.









**Tau Kinase Inhibitors** 





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**Table 2**



![](_page_30_Figure_2.jpeg)