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## Bioaminergic neuromodulation of respiratory rhythm in vitro

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### Abstract

Bioamines, such as norepinephrine and serotonin are key neurotransmitters implicated in multiple physiological and pathological brain mechanisms. Evolutionarily, the bioaminergic neuromodulatory system is widely distributed throughout the brain and is among the earliest neurotransmitters to arise within the hindbrain. In both vertebrates and invertebrates, monoamines play a critical role in the control of respiration. In mammals, both norepinephrine and serotonin are involved in the maturation of the respiratory network, as well as in the neuromodulation of intrinsic and synaptic properties, that not only differentially alters the activity of individual respiratory neurons but also the activity of the network during normoxic and hypoxic conditions. Here, we review the basic noradrenergic and serotonergic pathways and their impact on the activity of the pre-Bötzinger Complex inspiratory neurons and network activity.

### 1- Introduction

Bioamines, such as norepinephrine (NE) and serotonin (5-HT) are involved in the maturation of mammalian neural network as well as in the modulation of its intrinsic and synaptic properties. By changing the synaptic and intrinsic properties of a rhythmogenic network, these neuromodulators, in turn alter the frequency and phasing of the motor patterns produced by a given neuronal circuit (for review see: Marder and Bucher, 2007; Doi and Ramirez, 2008). The respiratory network is no exception and, as neuromodulators, NE and 5-HT in particular, have multiple functions in controlling respiratory rhythmic activity.

The respiratory network has to be continuously active throughout life to insure survival. During this time, the neural network controlling breathing is under influence of multiple neuromodulators, among which, bioamines are the earliest neurotransmitters to arise in the brainstem. During life, bioamines are released in a state-dependent manner from different nuclei that participate in the control of vital functions and arousal and their influence is an integral part of the neural network that generates breathing (Mason et al., 2007).

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The respiratory rhythm is thought to be generated by neural networks located within the ventral respiratory column and the parafacial respiratory group (pFRG) (Alheid et al., 2002; Feldman and Del Negro, 2006). Within the ventral respiratory column is the Bötzing Complex (BötC) which primarily contains expiratory neurons and the pre-Bötzing Complex (pre-BötC) that is critical for generating inspiratory activity (Smith et al., 1991; Ramirez et al., 1998).

Over the past twenty years, the use of *in vitro* preparations, the “*en bloc*” developed by Suzue (1984) as well as slices (Smith et al., 1991), has improved the understanding of the basic principles of the generation and modulation of the inspiratory rhythm. After discussing how the respiratory rhythm may be generated, we will discuss the role of NE and 5-HT in the modulation of the respiratory rhythm generation with emphasis on own data collected from slices preparation containing the pre-BötC that generates inspiratory breathing activity that we will compare with others *in vivo* and *in vitro* data. Then, we will focus on the cellular mechanisms involved in this neuromodulation. Finally, we will review the role of bioamines in pathologies affecting the control of breathing. In this review article we will not consider membrane properties of motoneurons and discuss motoneuronal activities as the monitored rhythmic activity from the respiratory rhythm generator.

## 2- Generation of the inspiratory like rhythm

The neural network underlying inspiratory rhythm generation is proposed to be located in the ventrolateral medulla so called, the pre-BötC (Smith et al., 1991; Ramirez et al., 1998). When isolated in a transverse brain-slice preparation, the pre-BötC generates inspiratory rhythmic activities, that resemble eupnea, sighs, and during hypoxia, the network generates fictive gasps (Lieske et al. (2000, Fig. 2)). Recent studies described an additional network for respiratory rhythm generation: the pFRG which is thought to constitute a dual oscillator for the respiratory rhythm generation (Feldman and Del Negro, 2006). The respiratory rhythm is thought to be generated by the close interaction of the pre-BötC and the pFRG (Ballanyi et al., 1999; Janczewski et al., 2002; Onimaru and Homma, 2003; Mellen et al., 2003; Feldman and Del Negro, 2006), although the role of the pFRG has been questioned in adult rats (Fortuna et al., 2008). The pFRG is located rostral to the pre-BötC. The pre-BötC and pFRG have heterogeneous populations of respiratory neurons and some of these have pacemaker properties that have been proposed to be essential for rhythmogenesis (Ramirez et al., 2004; Peña et al., 2004; Tryba et al., 2006, Fig. 1). However, others have proposed a different hypothesis regarding how the rhythm is generated that relies on emergent network properties (Del Negro and Hayes, 2008; Feldman and Del Negro, 2006). Both synaptic coupling and intrinsic bursting properties likely play a critical role in respiratory rhythmogenesis. In the case of fictive gasping rhythmogenesis, it appears that synaptically released serotonin plays a critical synaptic role in expression of pacemaker properties (Tryba et al. 2006).

In slices preparation containing the pre-BötC, two types of inspiratory neurons that express pacemaker properties have been identified (Thoby-Brisson and Ramirez, 2001; Peña et al., 2004; Del Negro et al., 2005, Fig. 1). The bursting mechanism of one type depends on calcium-activated non-selective cation current (Peña et al., 2004; Del Negro et al., 2005; in yellow in Fig. 1) and they are referred as “cadmium sensitive” (CS) pacemaker neurons because bath applied cadmium (a non-specific calcium current blocker) abolishes their ability to burst. These pacemaker neurons are sensitive to the non-specific calcium current antagonist, flufenamic acid. The other type of pacemaker neurons have a bursting mechanism that depends on the persistent sodium current (Peña et al., 2004; Del Negro et al., 2005; in red in Fig. 1), these are so-called cadmium insensitive (CI) pacemaker neurons because they continue to burst after bath-application of cadmium. Their bursting mechanisms can be blocked by the persistent sodium current antagonist, riluzole. The inspiratory rhythm generator, as well as the different

elements of the network such as pacemaker neurons, receive excitatory and inhibitory input from multiple sources containing NE and 5-HT.

### 3. Bioaminergic modulation of the respiratory network *in vitro*

The respiratory network is continuously modulated by endogenous bioamines that are required for its normal operation. Several studies suggest that endogenous NE and 5-HT are required for the maturation of the respiratory neuronal network (Bou-Flores et al., 2000; Viemari et al., 2004; Viemari, 2008). Nevertheless, Pet-1 null mice which lack ~ 70 % of all 5-HT neurons show normal gross anatomy of most brain structures (Hendricks et al., 2003). Similarly, *Lmx1b<sup>f/f/p</sup>* mice with near complete absence of central 5-HT neurons also reveals normal gross anatomy (Hodges et al., 2008) suggesting the presence of compensatory mechanisms. This may be due to the ability of other neurotransmitter systems (e.g. noradrenergic) to maintain normal respiratory activity in the absence of 5-HT (St-John and Leiter, 2007).

#### 3.1 Noradrenergic modulation

In the brainstem of vertebrates there are 7 NE (A1–A7) and 3 adrenergic (C1–C3) groups. Of these, A6 (Locus coeruleus) is a well delineated cluster of NE neurons in the dorsal pons. It is estimated that 50 % of the NE projections in the CNS originate in the A6 which are directed toward the forebrain, cerebellum, brainstem and spinal cord (Aston-Jones et al., 1995).

Anatomical studies have established reciprocal projections between the major groups of 5-HT and NE neurons in the brain (Aston-Jones et al., 1991). The physiological importance of such connections is evidenced by alterations in neuronal activity in lesion experiments. When 5-HT neurons are lesioned, the firing rate of A6 neurons is enhanced in a sustained fashion by about 70%, as is the case with 5-HT synthesis inhibition (Dremencov et al., 2007). When NE neurons are lesioned, dorsal raphe 5-HT neurons discharge erratically at a low rate (Svensson et al., 1975). Although it is difficult to investigate the respective role of both neuromodulators *in vivo*, the use of *in vitro* preparation has facilitated the understanding of the bioaminergic neuromodulation of the respiratory network. This experimental approach can be used to establish the net excitatory and/or inhibitory nature of a specific neurotransmitter at the post-synaptic level.

**3.1.1. NE modulation of the respiratory rhythm generator**—A5 and A6 pontine nuclei continuously modulate the respiratory rhythm generator *in vitro* (Hilaire et al., 2004) as well as *in vivo* (Guyenet et al., 1993). Recent *in vitro* experiments using slices and *en bloc* preparations also suggest that medullary catecholaminergic neurons (A1/C1) also contribute to respiratory rhythmogenesis. Local application of yohimbine (an alpha 2 noradrenergic receptors antagonist) and lesion experiments showed that A1/C1 groups are involved in the yohimbine depressing effect of the respiratory activity *in vitro* (Zanella et al., 2006). These results suggest that A1/C1 groups modulate the respiratory frequency *in vitro* but they do not rule out the possibility that A2/C2 neurons play a role in the stabilization of the rhythm, since they are affected in *Mecp2* mutant mice that exhibit an irregular respiratory rhythm (Viemari et al., 2005b; Viemari, 2008). A5 and A6 neurons modulate respiration and contribute to its maturation before birth. A5 neurons depress the medullary respiratory rhythm generator which slows down the respiratory activity *in vitro* (Hilaire et al., 2004). In adult rats, A5 neurons are connected to the respiratory rhythm generator and display respiratory related activity. A6 neurons also display a respiratory related activity (Oyamada et al., 1998). *In vitro* experiments showed that A6 neurons are required for normal respiratory rhythm to emerge at birth (Viemari et al., 2004). Data from mutant mice (Viemari et al., 2004; 2005a) further suggest that A6 noradrenergic nuclei excite the respiratory rhythm generator. In *Phox2a* and *Ret* mutant mice, A6 neurons are missing and the respiratory activity is slower and more variable compared to wild-type (Viemari et al., 2004; 2005a). As summarized in recent reviews (Hilaire et al.,

2004; Viemari, 2008), NE pontine groups exert opposite effects on the respiratory rhythm generator, A6 exerts a facilitation and A5 an inhibition. At the medullary level, A1/C1 modulates the frequency and A2/C2 is involved in the stabilization of the rhythm. In mice, exogenous NE application is typically excitatory, in *in vitro* brainstem–spinal cord and transverse slice preparations (Viemari et al., 2004; Viemari and Ramirez, 2006a) whereas in rats NE inhibits the respiratory rhythm generator via alpha-2 noradrenergic receptors possibly due to inter-species differences (Viemari and Hilaire, 2002).

In slices, exogenous application of NE induced a significant increase in the frequency of eupneic-like and sigh-like bursts previously characterized by Lieske et al. (2000). NE also increased burst duration and burst area of fictive eupneic-like events (Viemari and Ramirez, 2006). We showed that this excitatory effect is mediated through the activation of alpha-1 noradrenergic receptors since application of prazosin, an alpha-1 noradrenergic receptors antagonist, abolishes this excitation and application of the alpha-1 agonist, phenylephrine, mimics the NE excitatory effect (Viemari and Ramirez, 2006).

**3.1.2. NE and inspiratory neurons**—Activity of pre-inspiratory neurons could be directly regulated by excitation via alpha-1 noradrenergic receptors and inhibition via alpha-2 noradrenergic receptors (Arata et al., 1998). In this population, two subsets of neurons continued to burst after pharmacological isolation from the network and are identified as pacemaker neurons. In CS pacemaker neurons, NE increased the burst duration and the area encapsulated by the burst envelope without significantly affecting the burst frequency whereas in CI pacemaker neurons, NE increased the burst frequency without affecting either the burst duration or the burst area (Fig. 1). These results suggest that the two types of pacemaker neurons play different roles in respiratory rhythm generation. NE also modulates the activity of the two types of “non-pacemaker” inspiratory neurons (Viemari and Ramirez, 2006; Fig. 1). One type, so called “silent non-pacemaker” neurons, does not exhibit tonic spiking activity after application of a cocktail that blocks most fast synaptic transmission. A second type, so-called “active non-pacemaker”, exhibits irregular spiking activity after ionotropic glutamatergic receptor antagonists are applied. In synaptically isolated “non-pacemaker” neurons, bath-application of NE depolarized all silent “non-pacemaker” neurons. NE depolarized the active “non-pacemaker” neurons and induced cadmium sensitive bursting properties. We suggested that NE increases a calcium-activated non-selective cation current in the CS and conditional pacemaker neurons that is consistent with the fact that alpha-1 noradrenergic receptor activation opens non-specific cationic conductances (Carette, 1999). Alpha 1 noradrenergic receptors are also positively coupled to G protein q/11, Phospholipase C, inositol 1,4,5-triphosphate receptor, and protein kinase C. On CI pacemaker neurons, activation of the alpha-1 noradrenergic receptors exerts its effect by modulating a different cellular mechanism. One possibility is that NE increases the persistent sodium channel as occurs in the case of activation of the 5-HT<sub>2A</sub> receptors (Peña and Ramirez, 2002). Alpha-2 noradrenergic receptors are inhibitory and are coupled to G protein i/0 (Johnson et al., 1996), adenylyl cyclase, cAMP and PKA.

### 3-2 serotonergic modulation

The 5-HT system also plays a fundamental role in the modulation of the respiratory activity. In the brainstem of vertebrates, two principal groups of 5-HT neurons have been described, B1–B3 and B4–B7 groups. The medullary raphé, which include the raphé magnus, pallidus and obscurus is considered to be involved in the respiratory control (Richerson, 2004). 5-HT is necessary for the development of the respiratory network and 5-HT excess, in MAO-A deficient mice or activation of 5-HT<sub>2A</sub> receptors in wild-type alter the wiring of the respiratory network (Bras et al, 2008).

**3.2.1. 5-HT modulation of the respiratory rhythm generator**—Serotonergic effects have been investigated by applying exogenous 5-HT, or by modifying the endogenous levels of 5-HT. Exogenous application of 5-HT exerts complex modulatory effects on the respiratory network. This can be attributed to the presence of multiple 5-HT receptor subtypes that cause diverse effects at the single neuron and network level.

In “*en bloc*” preparation, blockade of 5-HT uptake increased the frequency of the fictive respiratory activity recorded from the C4 ventral root (Morin et al., 1990 ; Di Pasquale et al., 1992), whereas application of 5-HT antagonist abolishes the respiratory activity (Di Pasquale et al., 1994). Activation of 5-HT<sub>1A</sub> receptors induces a facilitation of the rhythm (Monteau et al., 1994; Bou-flores et al., 2000). Recent *in vivo* studies also showed a facilitatory effect after applying 8-OH-DPAT (Stettner et al., 2008). However, these effects inducing a facilitation of the respiratory activity in the brainstem spinal cord preparation or *in vivo* cannot be attributed to a direct effect on the respiratory rhythm generator since several structures may contribute to the modulation of the respiratory rhythm generator.

Slice preparations have the ability to define the mechanisms of the effects of 5-HT on the respiratory rhythm generator. In this preparation, bath or pre-BötC specific application of 5-HT increases the frequency of the rhythmic activity (Al-Zubaidy et al., 1996; Schwarzacher et al., 2002). The effect of 5-HT on rhythmic activity recorded from the hypoglossal or the Pre-BötC can be mimicked by the 5-HT<sub>2</sub> agonist, DOI, and blocked by the 5-HT<sub>2A,C</sub> antagonist ketanserin (Al-Zubaidy et al., 1996, Peña and Ramirez, 2002). The excitatory effect of DOI can be mimicked by blockade of endogenous 5HT uptake with alaproclate. A possible action on 5-HT<sub>2C</sub> receptors has been excluded by comparing the effects caused by 5-HT<sub>2A</sub> antagonists with those caused by a specific 5-HT<sub>2C</sub> receptors antagonist (Peña and Ramirez, 2002). However, Gunther et al. (2006) reported that blockade of 5-HT<sub>2B</sub> receptors, but not ketanserin, can abolish the hypoglossal activity and earlier work by Al-Zubaidy et al. (1996) showed that the respiratory rhythm persists after bath application of methylsergide. In slices, 5-HT endogenous activation is also required to stabilize the eupneic rhythm and the blockade of the 5-HT excitatory drive results in an irregular rhythmic activity *in vitro* (Peña and Ramirez, 2002). This excitatory effect obtained pharmacologically can be mimicked in transverse slices by stimulating raphé neurons the primary source of endogenous 5-HT. These results confirm previous results obtained in different *in vitro* preparations (Al-Zubaidy et al., 1996; Onimaru et al., 1998) as well as in anaesthetized cats (Lalley et al., 1995) and in conscious rats (Cayetanot et al., 2002).

**3.2.2. 5-HT and inspiratory neurons**—As we described for NE, 5-HT differentially affects the different types of pacemaker neurons that are involved in generating different respiratory network activities (Doi and Ramirez, 2008; Viemari, 2008; Viemari and Ramirez, 2006; Fig. 1). 5-HT increases the bursting of the CI pacemaker neurons upon pharmacological isolation (Peña and Ramirez, 2002; in red in Fig. 1). 5-HT, *via* activation of 5-HT<sub>2A</sub> receptors specifically increases the bursting frequency of CI pacemaker neurons that may result in an increased frequency of the network activity. On the other hand, blockade of 5-HT<sub>2A</sub> receptors (with either ketanserin or piperidine) abolished the bursting properties in CI pacemaker neurons and increased the irregularity of the network (Peña and Ramirez, 2002; Tryba et al., 2006; Chevalier et al., 2008), whereas application of 5-HT uptake blockers restored the regularity after application of 5-HT<sub>2A</sub> antagonists.

As is the case with CI pacemaker neurons, CS pacemaker neurons are influenced by 5-HT (Fig. 1). Application of 5HT<sub>2A</sub> serotonergic receptors agonists induced an increase in amplitude of the depolarizing drive potential in CS pacemaker neurons (Peña and Ramirez, 2002; Fig. 2). As a possible consequence, activation of these receptor subtypes increased the amplitude of the integrated activity at the network level. This modulation of amplitude can be abolished

after blockade of the calcium-activated non-selective cation current with flufenamic acid, suggesting the possibility that CS pacemaker neurons may play a role in this modulation. These data additionally suggest that synaptic neuromodulation plays an important role in the expression of intrinsic pacemaker properties.

5-HT<sub>1</sub> receptors are linked to G protein i/o and inhibit adenylate cyclase and increase a K<sup>+</sup> conductance. The synaptic localization of 5-HT<sub>1A</sub> and 5-HT<sub>1B</sub> is critical in determining the effects on excitability of respiratory neurons but also in interpreting the data collected when applying agonist and antagonist since they target the pre- and the post-synaptic elements that lead to opposite effects on respiratory output. Activation of 5-HT<sub>2A</sub> receptors generally leads to increased neuronal activity through different mechanisms (Doi and Ramirez, 2008; Hodges and Richerson, 2008). The 5-HT<sub>2A</sub> mediated effects on respiratory rhythm are thought to occur through PLC and PKC activation and modulation of transient and persistent sodium current (Peña and Ramirez, 2002). 5-HT<sub>4</sub> and 5-HT<sub>7</sub> are usually coupled to G<sub>αs</sub> protein that increases the excitability and could contribute to reverse respiratory depression following fentanyl administration (Manzke et al., 2003).

Although 5-HT acts through different receptors and different cellular signaling mechanisms, depending on which 5-HT receptor is activated, 5-HT has a unique influence on the activity of different inspiratory cell types that, in turn, differentially alters fictive eupnea, sighs and gasping inspiratory activities (Peña and Ramirez, 2002; Ramirez et al., 2004; Tryba et al., 2006; 2008; Doi and Ramirez, 2008; Hodges and Richerson, 2008). 5-HT exerts its effects *via* a multitude of receptors within which a few of them are known to modulate the respiratory rhythm generator. Bath applied 5-HT increases and subsequently decreases bursting frequency in pre-inspiratory and inspiratory neurons. This complex modulation seems to be explained by the activation of 5-HT<sub>2A</sub> (excitatory), 5-HT<sub>2C</sub> (excitatory) and 5-HT<sub>1A</sub> (inhibitory) receptors (Onimaru et al., 1998). This modulation can gain in complexity since 5HT<sub>4A</sub> and 5HT<sub>7</sub> receptors are expressed in the Pre-BötC (Manzke et al., 2008). For example, 5HT<sub>4A</sub> receptor activation reverses respiratory depression after fentanyl administration (Manzke et al., 2003) and 5-HT<sub>7</sub> receptors have also received attention due to the moderate affinity for 8-OH-DPAT (Richter et al., 2003) which can explain the different results obtained after activation of 5-HT<sub>1A</sub> receptors (Morin et al., 1990; Onimaru et al., 1998). Finally, all these effects depend also on the experimental protocol as well as the concentration used in different laboratory.

### 3.2.3. Fictive gasping depend on activation of 5-HT<sub>2A</sub> serotonergic receptors—

Under normoxic conditions (Fig. 2a, artificial cerebro-spinal fluid bubbled with carbogen, 95% O<sub>2</sub>-5% CO<sub>2</sub>) the isolated respiratory network is able to produce a second inspiratory like rhythm, the augmented inspiratory efforts, called fictive sigh (in blue in Fig 2a). A recent study by Tryba et al. (2008) postulated that CI pacemaker neurons are important for the generation of sighs confirming the study by Peña and Aguilera (2007) that suggested that riluzole blocked not only gasps but also sighs. Fictive sighs are also under influence of bioamines and 5-HT and NE both increased the fictive sigh frequency.

When the isolated respiratory network is exposed to lowered oxygen conditions, a third type of inspiratory rhythm called fictive gasps is generated (Fig. 2b). Gasping activity is characterized by a shorter duration and a more rapid inspiratory effort onset compare to the fictive eupneic activity. In contrast to fictive eupneic activity, fictive gasping is eliminated by riluzole alone (Peña et al., 2004). During hypoxic conditions, synaptically isolated CS-pacemaker neurons cease endogenous bursting, whereas CI pacemaker neurons, and the respiratory network, remains rhythmic (Thoby-Brisson and Ramirez, 2000; Peña et al., 2004). Thus, CI-pacemaker neurons have been previously shown to require endogenous serotonergic 5-HT<sub>2A</sub> receptor activation to burst (Peña and Ramirez, 2002). Tryba et al. (2006) now suggested that they are involved in gasping generation *in vitro* since their blockage

abolishes gasping (Fig. 2c). In contrast to CI pacemakers, CS pacemakers continue to burst following blockade of 5HT<sub>2A</sub> receptors (Pena and Ramirez, 2002) and CS pacemakers are not thought to participate in the gasping rhythm. Peña and Aguilera (2007) confirmed that pharmacological blockade of CI pacemaker neurons by application on riluzole drastically reduced gasping *in vivo*. Experiments in the *in situ* perfused preparation also suggest that both 5-HT<sub>2A</sub> and alpha-1 adrenergic receptors are important to sustain gasping after hypoxia-induced depression (St-John and Leiter, 2007). Taken together, these experiments performed in different preparations confirmed that neuromodulators and bioamines in particular play a crucial role in the generation of the different respiratory rhythms. Along these lines, while neuromodulation has been shown to be essential for rhythmogenesis in several invertebrate rhythmic networks, it should be noted that little evidence had previously identified its critical role in generating rhythmic central pattern generator and motor output, in vertebrate systems either at the cellular (Peña and Ramirez, 2002; Tryba et al., 2006) or network levels (Tryba et al., 2006). The fact that CI pacemaker bursting properties are conditionally dependent on serotonergic neuromodulation emphasizes the fact that respiratory rhythmogenesis is highly dependent on both synaptic and intrinsic bursting, or pacemaker, mechanisms.

#### 4. Bioamines and respiratory diseases

Sudden Infant Death Syndrome has been associated with serotonin but also with disturbance in the noradrenergic systems (Hilaire, 2006). A study by Weese-Mayer et al. (2004) revealed that catecholaminergic neurons are abnormal in SIDS. But, abnormalities in the serotonergic modulation of respiratory nuclei are proposed to be a major risk factor for Sudden Infant Death Syndrome (Kinney, 2005; Paterson et al., 2006; Weese-Mayer et al., 2008). Sudden Infant Death Syndrome victims displayed a decreased 5-HT binding in an important area for the chemoreception of the medulla (Ozawa and Okado, 2002) reported reduced expression of 5-HT<sub>1A</sub> receptors in the medulla. Weese-Mayer et al., (2003) also reported that the promoter polymorphism in 5-HTT (serotonin gene transporter) may play an important role in Sudden Infant Death Syndrome risk. These studies indicate a possibly large involvement of the 5-HT system and strengthen the role of serotonin in the pathogenesis of Sudden Infant Death Syndrome but also in others respiratory disease such as Pader-Willi syndrome and sleep apneas (Wilken et al, 1997; Real et al, 2007; Zanella et al, 2008b; Stettner et al, 2008).

Sudden Infant Death Syndrome is hypothesized to occur, in part because of a disruption of autoresuscitation, or gasping activity during hypoxia (Kahn et al., 1988; Poets et al., 1999). *In vitro* data suggest that the central fictive gasping activity generated by the pre-BötC requires 5HT<sub>2A</sub> receptor neuromodulation (Tryba et al., 2006). Whether 5HT<sub>2A</sub> receptor activation is critical to gasping has been understandably difficult to verify *in vivo*, given *in vivo* application of serotonin agonists/antagonists will likely nonspecifically act on many receptors and sites that influence respiratory rhythm and pattern. That said, elucidating which serotonin receptor (s) may be involved in Sudden Infant Death Syndrome may be better clarified when more specific agonists/ antagonists and antibodies are developed.

Neuromodulation of the respiratory network by NE likely plays a role in Rett Syndrome (Viemari et al., 2005). Rett Syndrome results from either a mutation or gene duplication of the methyl-CpG binding protein 2 (MeCp2) gene encoding a transcription factor (Amir et al., 1999; Guy et al., 2001; Viemari et al., 2005). Rett Syndrome results in progressive mental regression, loss of the ability to walk, speak or purposefull hand use (Hagberg et al., 1983). Rett Syndrome victims eventually suffer from irregular breathing patterns (Elian and Rudolf, 1991). Mice with MeCp2 deficiency share similar phenotypes as children with Rett Syndrome. For example, MeCp2 mutant mice also have irregular breathing patterns and this irregular breathing activity *in vivo* (Viemari et al., 2005), parallels the irregular respiratory activity recorded from the brainstem slice preparations made from these mutants and containing the

pre-BotC *in vitro* (Viemari et al., 2005; Pena and Tryba, 2007). These data suggested the possibility that the irregular breathing pattern of activity resulted from central deficits in respiratory rhythm modulation. In brainstem slice preparations made from mice with the MeCp2 mutation, bath-application of NE restored the regularity of fictive eupneic activity to control levels (Viemari et al., 2005; Pena and Tryba, 2007). There is also a developmental degeneration of noradrenergic neuromodulation of the respiratory central network in MeCp2 mutants and this is proposed to correlate with the developmental loss of regular respiratory activity (Viemari et al. 2005; Pena and Tryba, 2007). Thus, NE likely plays a critical role in stabilizing the central respiratory eupneic breathing activities in Rett Syndrome, whereas intervention, such as using NE-reuptake blockers may also provide a promising avenue to stabilize respiratory patterns in those who have Rett Syndrome (Zanella et al., 2008a; Roux et al., 2007; Pena and Tryba, 2007).

## 5. Conclusions

The mechanisms underlying respiratory rhythm generation in a neural network where the dynamic interactions between synaptic and intrinsic properties are highly modulated, is difficult and complex. Many very creative approaches to this issue have contributed to a better understanding, and we have only touched on a few of these approaches here, largely *in vitro* studies, which have the benefit of being able to dissect cellular and central network mechanisms, but may be limited regarding the entire *in vivo* system. That caveat noted, *in vitro* studies have suggested that the interplay between both intrinsic (pacemaker) bursting properties of neurons and synaptic neuromodulation (e.g., via 5-HT and NE) play a major role in respiratory rhythmogenesis. Understanding the important and in some cases, critical, role of these neuromodulators in stabilizing respiratory rhythmogenesis in a state-dependent fashion will likely elucidate the cellular mechanisms of diseases such as Sudden Infant Death Syndrome, Rett Syndrome, Prader-Willi syndrome and sleep apneas.

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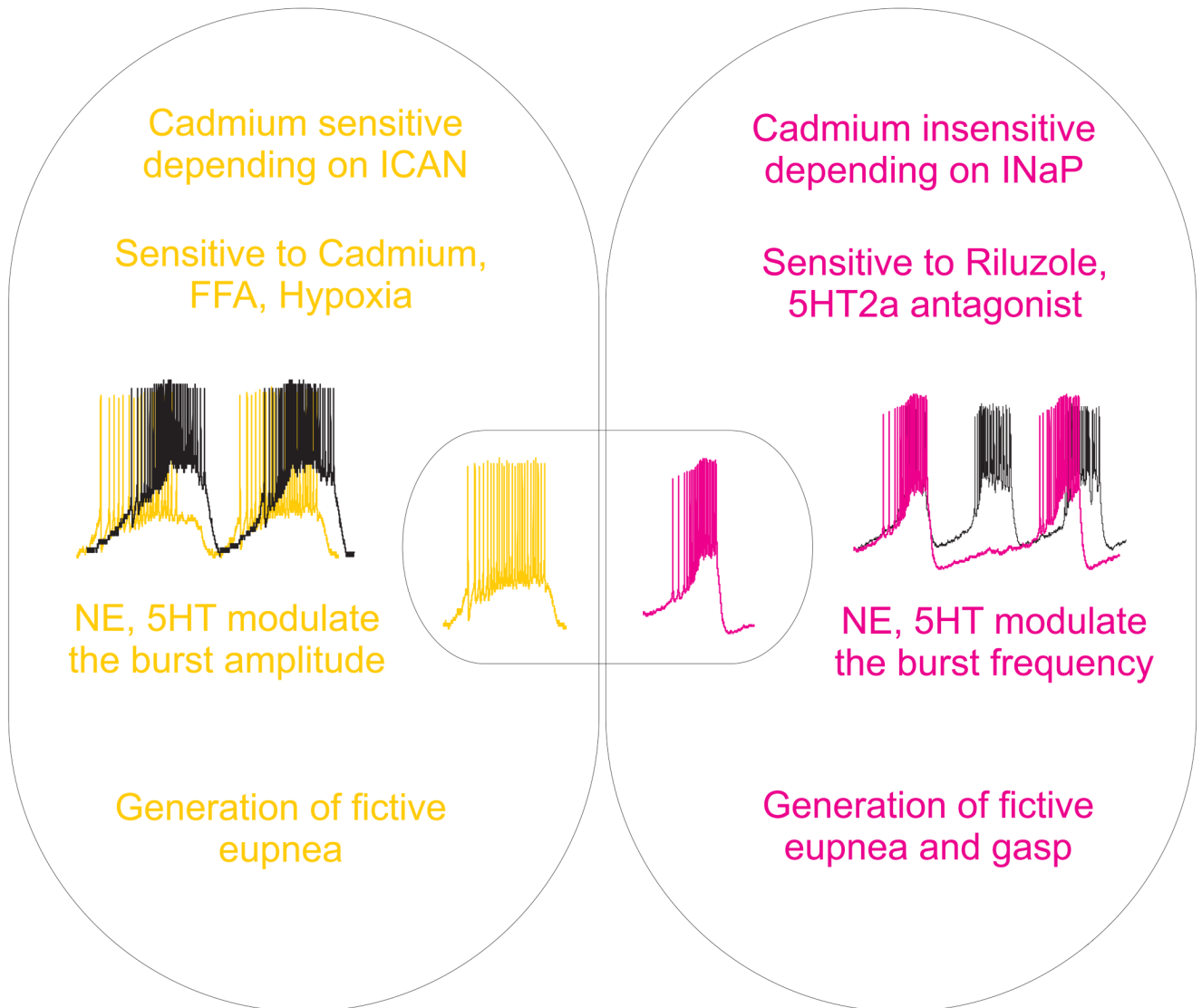


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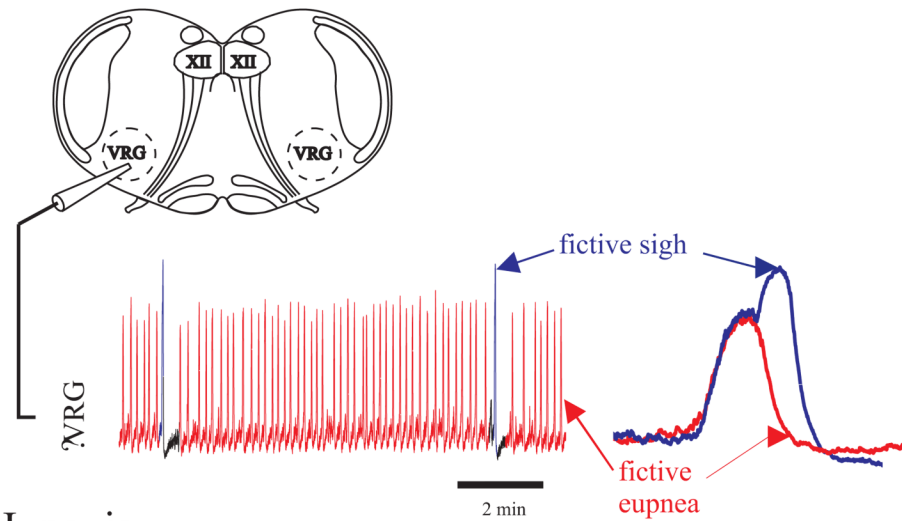
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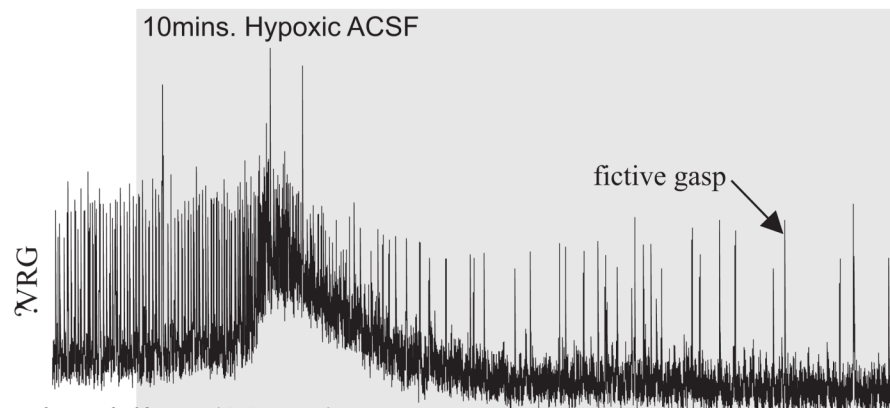
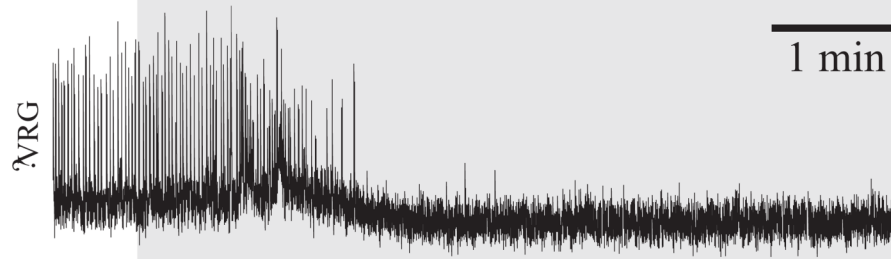
**Figure 1. Modulation of pacemaker intrinsic properties**

In slices preparation containing the preBötC (650  $\mu\text{M}$  thick slice, the potassium concentration raised at 8mM, bubbled with 95% O<sub>2</sub>-5% CO), two types of inspiratory neurons that express pacemaker properties have been identified. The bursting mechanism of one type depends on calcium-activated non-selective cation current and they are referred as “cadmium sensitive” (CS) pacemaker neurons (in yellow). The other type of pacemaker neurons have a bursting mechanism that depends on the persistent sodium current, these are so-called cadmium insensitive (CI) pacemaker neurons (in red). The two types of pacemaker neurons are proposed to play different roles in the modulation of the different respiratory like patterns. Bioamines such as, 5-HT and NE differentially modulate the different types of pacemaker neurons.

## a-control



## b-Hypoxia

c - Piperidine (20 $\mu$ M)**Figure 2. Gasping depends on activation of 5-HT<sub>2A</sub> serotonergic receptors**

1a- Schema of a transverse slice preparation containing the PreBötzinger Complex (650  $\mu$ M thick slice, the potassium concentration raised at 8mM). Respiratory rhythmic activity is obtained with a suction electrode positioned on the surface of the slice in the area of the nucleus ambiguus (i.e., dorsal to the PBC).

The isolated respiratory network is capable of generating multiple rhythmic inspiratory-like population bursts under normoxic (slice bubbled with 95% O<sub>2</sub>-5% CO<sub>2</sub>) conditions that correspond to fictive eupnea (in red) and fictive sighs (in blue).

1b- Under hypoxic conditions (slice bubbled with 95% N<sub>2</sub>-5% CO<sub>2</sub>, in grey) the isolated respiratory network generates fictive gasps.

1c- Under hypoxic conditions (slice bubbled with 95% N<sub>2</sub>-5% CO<sub>2</sub>, in grey), blockade of 5-HT<sub>2A</sub> serotonergic receptors (piperidin) abolished gasping in vitro.