

Surveillance after Curative Resection of Colorectal Cancer

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ABSTRACT

Surgical resection is the primary treatment modality for patients with localized colorectal cancer, but unfortunately one-third to one-half of these patients will develop a recurrence. If detected early, recurrent disease may be amenable to surgical resection and this provides the rationale for a follow-up strategy in patients with resected colorectal cancer. Despite eight published randomized controlled trials and six published systematic reviews evaluating different follow-up strategies, there is still no consensus as to the appropriateness of follow-up in colorectal cancer patients. In the present article the authors explore the reasons behind the controversy and the arguments used to support each side. They outline the current published guidelines and the data to support these recommendations, including the use of carcinoembryonic antigen (CEA) levels, liver imaging, and colonoscopy. Finally, they speculate on the future developments that may impact on this debate.

KEYWORDS: Colorectal neoplasm, postoperative surveillance, colorectal surgery

Objectives: On completion of this article the reader should be able to summarize the current recommendation for surveillance after curative resection of colorectal cancer and the evidence supporting these recommendations.

Colorectal cancer is the third most common cancer diagnosed in North America and approximately two-thirds of patients will undergo surgical resection, with or without adjuvant chemotherapy for curative intent. Unfortunately, 30 to 50% of patients will develop recurrent disease with greater than 90% of recurrences occurring in the first 5 years following surgery.¹ Recurrent and metastatic disease or a second primary colon cancer, if detected early, may be amenable to a potentially curative surgical resection. This provides the rationale for a follow-up strategy in patients with resected colorectal cancer.

Despite a fairly extensive body of literature evaluating the benefit of various colorectal cancer follow-up

strategies, there remains significant debate surrounding this topic.

To date, there have been eight published randomized controlled trials²⁻⁹ and six published systematic reviews¹⁰⁻¹⁵ of randomized trials evaluating different follow-up strategies. Despite this wealth of high-quality clinical trials there is still no consensus as to the appropriateness of follow-up in colorectal cancer patients, and very little agreement on the modalities that should be employed or the frequency with which they should be used. A consensus for colorectal cancer follow-up would have far reaching implications because ~230,000 patients who undergo curative colorectal cancer resections each year are

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candidates for follow-up in the United States, Canada, and Europe.¹⁶

Here we will explore the reasons behind the controversy and the arguments used to support each side. We will outline the current published guidelines by various national and international societies and the data to support these recommendations, including the use of carcinoembryonic antigen (CEA) levels, liver imaging, and colonoscopy. Finally, we speculate on the future developments that may impact on this debate, including currently open clinical trials evaluating the role of colorectal cancer follow-up in the setting of contemporary hepatobiliary surgery and chemotherapy, and newer screening strategies, such as 18-fluorodeoxyuridine positron emission tomography (¹⁸FDG-PET).

RATIONALE FOR COLORECTAL CANCER FOLLOW-UP

The principal aim for a follow-up program after completion of cancer therapy is to improve survival. This premise requires that effective treatment be available for patients who experience recurrence, but that the effectiveness of the treatment is superior when the recurrence is detected prior to the development of symptoms. In the case of colorectal cancer, the treatment is surgery for resectable recurrences and new primary tumors. Long-term survival data has been published for complete resection of local recurrences, regional recurrences (retroperitoneal and mesenteric) and metastatic recurrences, including the liver and lung. Several studies have also demonstrated that asymptomatic recurrences of colorectal cancer are more amenable to an R0 (margin negative) surgical resection.^{2,5,7}

RANDOMIZED CONTROLLED DATA

Eight randomized controlled trials have enrolled 2,923 patients with colorectal cancer undergoing curative resection from 1983 to 2004.^{2,4-9} In each study, an intensive follow-up strategy was compared with either a control^{2,5,8,9} or minimal^{3,4,6,7} follow-up strategy with a wide variation in the follow-up intensities and modalities used between the various trials (Table 1). The target population of each study was patients with colorectal cancer (Dukes stage A, B, and C) treated surgically with curative intent. The primary endpoint was overall survival at 5 years in seven trials²⁻⁹ and disease-specific survival in one trial.³ Other outcome measures included frequency and time to recurrence, the number of asymptomatic recurrences and the number of curative surgeries performed for recurrence. Two of the eight randomized controlled trials met their primary endpoint and demonstrated that “intensive” postoperative surveillance improved overall survival.^{5,7} Both of these studies included CEA and liver imaging in the intensive arm. However,

although the study by Secco et al⁷ had a truly minimal follow-up strategy in the control arm, the study by Pietra et al⁵ had CEA and liver ultrasound in the control arm, albeit less frequently. Though none of the studies demonstrated a difference in the total number of recurrences detected between the intensive and control groups, four studies found significantly more asymptomatic recurrences in the intensive follow-up group⁴⁻⁷ and four studies found that recurrences were also detected earlier with intensive surveillance.²⁻⁵ This translated into a significantly higher rate of reoperation, with a curative intent, in two studies.^{5,7}

There are several published meta-analyses evaluating the role of follow-up after curative resection of primary colorectal cancer.¹⁷⁻²⁰ Only four of these are limited to randomized controlled data and evaluate the impact of surveillance on survival.¹⁰⁻¹³ These four meta-analyses reported a 20% to 33% reduction in the hazard ratio for all cause-mortality for those individuals who received intensive follow-up, with an absolute risk reduction of 7% for 5-year mortality (Table 2).²¹ The conclusions from each meta-analysis are similar: intensive follow-up after curative resection of colorectal cancer improves overall survival; asymptomatic recurrences and reoperation for cure were more common in patients undergoing intensive follow-up; and the wide variation in the follow-up strategies used in the studies makes it impossible to infer the best combination and frequency of visits, blood tests, endoscopic procedures, and radiologic investigations from this data.

CONTROVERSY AND INTERPRETATION

The arguments both for and against various screening strategies each have validity. The opponents to colorectal cancer follow-up point out that only two of the eight clinical trials evaluating intensive versus minimal follow-up have demonstrated an overall 5-year survival advantage.^{5,7} In addition, though they acknowledge that several meta-analyses have found an overall survival advantage with an intensive surveillance strategy, they argue that given the heterogeneity of the control strategies, these trials should not be analyzed together. For example, the intensity of the intense surveillance arm in one study³ was equivalent to the “minimal” surveillance arm in other studies.^{2,5,6} Moreover, they call attention to the fact that the improvement in overall survival was not secondary to a decrease in cancer-related deaths because disease-specific survival was not significantly different between the two groups. The fact that significantly more surgical procedures for recurrences were performed in the intensive surveillance arm is simply because the decision to attempt salvage surgery was made by clinicians with knowledge of the study group to which the patient belonged. In these studies, the unblinded design introduces a bias that calls into question the validity of

Table 1 Randomized Controlled Trials of Surveillance Strategies following Colorectal Cancer Resection

Trial and Year	Recruitment	N	Intervention-Experimental	Intervention-Control
Rodriguez et al, 2006 ⁹ (Spain)	1997-2001	259	Hx, P/E, blood, CEA q 3 months for 5 years U/S or CT q 6 months for 3 years, then at 48 and 56 months	Hx, P/E, blood, CEA q 3 months for 5 years
Secco et al, 2002 ⁷ (Italy)	1988-1996	337	Hx, P/E, CEA q 3 months for 5 years U/S q 6 months for 3 years, then annually for 2 years CXR annually for 5 years Rigid sigmoidoscopy annually for rectal cancer patients	“minimal follow-up program performed by the physician”
Pietra et al, 1998 ⁵ (Italy)	1987-1990	207	Hx, P/E, CEA, U/S, CXR q 3 months for 2 years, then q 6 months for 3 years then annually Liver CT annually Colonoscopy annually	Hx, P/E, CEA, U/S q 6 months for first year, then annually CXR, CT liver, and colonoscopy annually
Schoemaker et al, 1998 ⁶ (Australia)	1984-1990	325	Hx, P/E, FOBT, CBC, LFTs, CEA q 3 months to 15 months, then q 6 months to 5 years CXR, CT liver, colonoscopy annually	Hx, P/E, FOBT, CBC, LFTs, CEA q 3 months to 15 months, then q 6 months to 5 years
Kjeldsen et al, 1997 ⁴ (Denmark)	1983-1994	597	Hx, P/E, DRE, gyne exam, FOBT, colonoscopy, CXR CBC, ESR, LFTs q 6 months for 3 years, then annually for 2 years, then at 10 years, 12.5 years, and 15 years	Hx, P/E, DRE, gyne exam, FOBT, colonoscopy, ESR, LFTs at 5 years, 10 years, and 15 years.
Makela et al, 1995 ² (Finland)	1988-1990	106	Hx, P/E, CBC, FOBT, CEA, CXR q 3 months for 15 months, then q 6 months until 42 months, then annually to five years Flex sigmoidoscopy for rectal or sigmoid tumors q 3 months Colonoscopy at 3 months (if not done preoperatively) then annually U/S liver and primary site at 6 months then annually	Hx, P/E, CBC, FOBT, CEA, CXR q 3 months for 15 months then q 6 months until 42 months then annually to five years Rigid sigmoidoscopy and barium enema annually for rectal or sigmoid tumors
Ohlsson et al, 1995 ³ (Sweden)	1983-1986	107	Hx, P/E, rigid sigmoidoscopy, CEA, ALP, GGT, FOBT, CXR q 3 months for 2 years, then q 6 months for 2 years, then at year 5. Flexible sigmoidoscopy or colonoscopy at 9, 21, and 42 months Colonoscopy at 3, 15, 30 and 60 months CT pelvis at 3, 6, 12, 18, 24 months	FOBT q 3 months for 2 years, then annually

Hx, History; P/E, physical exam; CEA, carcinogenic embryonic antigen assay; CBC, complete blood count; LFTs, liver function tests and enzymes; FOBT, fecal occult blood test; ALP, alkaline phosphatase; CXR, chest radiograph; U/S, ultrasound; CT, computed tomography; gyne, gynecologic; DRE, digital rectal examination.

this finding. Finally, they question the applicability of these relatively dated clinical trials given the advances in multimodality treatment of recurrent and metastatic colorectal cancer. Six of the studies included in the meta-analysis began recruiting patients in the 1980s,²⁻⁷

long before newer and more effective chemotherapeutics and targeted agents were available and when the indications for hepatic, pulmonary, and retroperitoneal surgery for metastatic disease were strict and narrow. As a case in point, the most recent publication is the interim

Table 2 Summary of Meta-Analysis Results

Trial	Mortality OR M-H, Fixed (95% CI)	Recurrence OR M-H, Fixed (95% CI)	Disease-Free Survival OR M-H, Fixed (95% CI)
Rodriguez et al, 2006 ⁹	0.77 (0.41, 1.45)	1.10 (0.63, 1.90)	N/A
Secco et al, 2002 ⁷	N/A	0.83 (0.54, 1.28)	N/A
Pietra et al, 1998 ⁵	0.51 (0.2, 0.92)	0.78 (0.45, 1.34)	N/A
Schoemaker et al, 1998 ⁶	0.65 (0.40, 1.05)	0.78 (0.50, 1.23)	N/A
Kjeldsen et al, 1997 ⁴	0.90 (0.64, 1.27)	1.01 (0.70, 1.45)	0.99 (0.67, 1.47)
Makela et al, 1995 ²	0.79 (0.37, 1.70)	1.15 (0.53, 2.50)	N/A
Ohlsson et al, 1995 ³	0.57 (0.26, 1.29)	0.94 (0.42, 2.12)	0.64 (0.27, 1.51)
Overall	0.73 (0.59, 0.91)	0.91 (0.75, 1.10)	0.92 (0.64, 1.31)

Note: OR less than one favors more intensive surveillance. M-H, Mantel-Haenszel. Adapted from Jeffery et al.¹¹

analysis of 985 patients randomized to the large multicenter European study by the Gruppo Italiano di Lavoro per la Diagnosi Anticipata (GILDA),⁸ and it has yet to demonstrate a difference in survival between the two arms after a mean follow-up of 14 months.

Conversely, the proponents of colorectal cancer follow-up argue that the individual clinical trials lacked the power to detect a statistically significant difference in survival. As the six meta-analyses published on the topic have all concluded, there is an overall survival advantage to postoperative surveillance. They argue that although the surveillance strategies differ between studies, the follow-up for the control arm is always less intense than the follow-up for the experimental arm, indicating that there exists a continuum of improvement in survival with surveillance—some is good, but more is always better. They are not concerned with the finding that disease-specific survival was not significantly different between the two surveillance strategies because it was only reported in two trials.^{3,4} They instead point out that the successful reoperation rate for recurrent disease was

significantly increased and the time to recurrence detection was significantly decreased, indicating that surveillance was accomplishing what it was supposed to—finding recurrent disease early so that it can be treated for a durable cure. Lastly, they emphasize that the more chemotherapeutic and surgical options that exist, the more beneficial early detection of recurrence should be because treatment will have a higher chance of success.

PUBLISHED PRACTICE GUIDELINES

There are a wide range of published practice guidelines (Table 3), including, but not limited to, guidelines from the American Society of Clinical Oncology²¹ (ASCO), the National Comprehensive Cancer Network²² (US), National Health Service²³ (UK), the European Society of Medical Oncology²⁴ (ESMO), and the Program in Evidence-Based Care¹² (Cancer Care, Ontario, Canada). Each of these guidelines is based on a review of the literature and regional expert opinions and consensus. The guidelines focus on frequency of physician

Table 3 Published Guidelines for Colorectal Cancer Surveillance after a Curative Resection

Guideline	Clinic Visit (Months)	CEA Level	Abdominal Imaging	Chest Imaging	Colonoscopy
ASCO	Year 1–3: q3–6 Year 4–5: q6	Year 1–3: q3 months	Year 1–3: Annually	Year 0–3: CT annually	Perioperative, then year 3, then q5 years
NCCN	Year 1–2: q3–6 Year 3–5: q6	Year 1–2: q3–6 months Year 3–5: q6 months	CT Year 1–3: Annually	Not recommended	At 1 year, then as indicated
NHS	Not specified	Not recommended	CT or Liver U/S within first 2 years	Not recommended	Within first year, then as indicated
ESMO	Not specified	Year 1–3: q3–6 months Year 4–5: q6–12 months (if initially elevated)	Liver U/S Year 1–3: q6 months Year 4–5: Annually CT abdomen if at high risk	Years 1–5: CT annually if high risk	At 1 year, then q3–5 years
CCO	Year 1–3: q6 Year 4–8: q12	At surgeon's discretion	Liver U/S at surgeon's discretion	CXR at surgeon's discretion	At 6 months, then as indicated

ASCO, American Society of Clinical Oncology; NCCN, National Comprehensive Cancer Network (US); NHS, National Health Service (UK); ESMO, European Society of Medical Oncology; CCO, Program in Evidence Based Care, Cancer Care Ontario (CAN); U/S, ultrasound; CT, computed tomography; CXR, chest x-ray.

visits, serum CEA monitoring, follow-up abdominal and chest imaging and colonoscopic evaluations. Despite being based on similar evidence there are important differences between the guidelines. Areas of contention include serum CEA monitoring, which is recommended by both of the American guidelines as well as the European Society of Medical Oncology. However the Canadian guideline merely suggests considering CEA testing, whereas the UK guideline does not recommend it at all based on the current evidence. Second, follow-up abdominal imaging is fairly universal, although timelines and modalities differ with some recommending liver ultrasound and others abdominal and pelvic CT scans. Furthermore, chest-imaging recommendations vary with the majority making no recommendation or recommending CT chests as clinically indicated. Lastly, all guidelines agree on a need for follow-up colonoscopy postoperatively to ensure the colon is clean of polyps. Subsequent endoscopic follow-up is less clear, with most guidelines leaving that at the discretion of the surgeon.

SPECIFIC FOLLOW-UP MODALITIES

The common sites of recurrence following resection of colorectal cancer include the liver (33%), lung (22%), local (15% for colon, 35% for rectum) and regional lymph nodes (14%), with few second or metachronous new primaries (3%).²⁵ As no single screening test is best suited for all sites of recurrent disease, a combination of tests has generally been studied including clinic visits, serum CEA levels, liver imaging, and colonoscopy.

Office Visits

The benefit of follow-up visits has not been well established. In the meta-analysis by Jeffrey et al, there was no survival benefit to clinic visits versus no clinic visits (one study³) or more versus fewer clinic visits (two studies^{4,5}). Despite the lack of evidence, most recommendations include physician visits to coordinate and discuss the results of the surveillance tests, and to reinforce healthy behaviors, such as physical activity.²¹ In addition, physician visits provide an opportunity to counsel patients on new developments in genetic counseling or screening of other primaries and monitoring for long-term toxicities of therapy.¹² There is also limited evidence to suggest that physician visits provide psychological support and reassure patients.^{26,27}

Carcinoembryonic Antigen

CEA is an oncofetal antigen that is elevated in ~75% of patients with a colorectal cancer recurrence.²⁸ The sensitivity and specificity of CEA for detecting a postoperative recurrence depends on the threshold level considered abnormal. Using a CEA cutoff of 10 IU/L,

the sensitivity and specificity for detecting any recurrence were 44% and 90%, respectively, as compared with 80% and 42%, respectively, when a cutoff of 6 IU/L was used.²⁹ CEA is most sensitive for hepatic and retroperitoneal metastases and least sensitive for local recurrences and peritoneal or pulmonary disease.³⁰ The levels of serum CEA may rise with a median lead-time of 4.5 to 8 months prior to the development of cancer-related symptoms.³¹ The lead-time, combined with the sensitivity for hepatic metastases offers the main justification for following patients with serial CEA monitoring. Two of the published meta-analyses concluded that only trials using CEA testing in the intensive arm demonstrated a significant improvement in survival with follow-up.^{10,12} These studies also included hepatic imaging, thus confounding the results and creating ambiguity as to the benefit of CEA testing alone. An elevated serum CEA triggers a complete evaluation for recurrent disease, including chest, abdominal, and pelvic imaging and colonoscopy. In cases where no site of disease can be found, an FDG-PET scan or even a second-look laparotomy may be employed to detect the site of disease recurrence. It is important to note, however, that the false-positive rate for CEA elevation during follow-up may be as high as 16%,³⁰ resulting in an extensive work-up to find the suspected recurrence and unnecessary anxiety for the patient. The controversy surrounding CEA testing is not centered on the ability of serial CEA to detect a resectable hepatic metastasis, resulting in an earlier hepatic resection with curative intent, but whether earlier surgery translates into a survival benefit at the population level.

Liver Imaging

The role of hepatic imaging, including abdominal CT scan or hepatic ultrasound is one of the most controversial areas of postoperative colorectal cancer surveillance. On one side of the argument, the benefit of surveillance lies in the ability to surgically resect early colorectal metastases and most frequently these occur in the liver. On the other side, the cost of adding CT scanning (and even ultrasound) to recurrence surveillance is not insignificant. ASCO justifies the recommendation to perform yearly CT scan of the abdomen for the first 3 years following surgery because all of the published meta-analyses showed a survival benefit for "liver imaging." Specifically, there appears to be significantly more surgical procedures performed for recurrence and a 25% lower mortality for patients undergoing liver imaging compared with nonimaging strategies.²¹ In a follow-up study by Arriola et al of 619 patients undergoing surveillance, imaging techniques, including abdominopelvic CT and liver ultrasound, diagnosed relapse in only 19% of patients (as compared with 72% with CEA testing), but 50 to 60% of those cases were resectable

(as compared with 32% with CEA testing).³² Another follow-up surveillance study of 530 patients by Chau et al also demonstrated that patients whose relapses were detected by symptoms had inferior survival compared with those detected by CT scan.³³ As with CEA, the question of whether earlier surgery translates into a survival benefit at the population level remains. In addition, when evaluating the role of hepatic imaging in surveillance, it must be kept in mind that both the quality of CT scans and the indications for hepatic resection are continuously evolving.

Colonoscopy

The role of follow-up colonoscopies to evaluate for anastomotic recurrence and metachronous colorectal cancers is the most widely accepted surveillance modality and it is included in most published colorectal cancer surveillance guidelines (Table 3). Most of the randomized trials evaluating surveillance strategies for colorectal cancer recurrence had a median observation period of 5 years or less; therefore, no definite conclusions can be made in regard to the incidence of second bowel cancers. The evidence for the use of colonoscopy to detect metachronous colorectal cancers and polyps comes from large population-based polyp surveillance studies.³⁴

FOLLOW-UP FREQUENCY

The incidence of recurrent disease is ~50% following curative resection of primary colorectal cancer with 71% of recurrences occurring in the first 2 years following resection and 91% by 5 years.³⁵ It is for this reason that most follow-up studies have conducted frequent tests during the first 2 to 3 years with less frequent tests for years 4 and 5. The majority of screening strategies for recurrent colorectal cancer do not extend beyond 5 years. The incidence of a second colorectal cancer primary, however, occurs at a constant cumulative rate of ~3% every 6 years³⁶ and, as such, screening tests must be done at regularly spaced intervals for life. The optimal time interval between clinic visits and surveillance tests is even less clear than the selection of modalities to use for surveillance.

QUALITY OF LIFE ANALYSIS

The impact of an intensive surveillance program on physical and psychological well-being of the patients, as well as the frequency of complications from the surveillance procedures or the incidence of false positive findings has not been well documented. The randomized trial by Shoemaker et al⁶ was the only study to report complications with follow-up testing (four complications of colonoscopy) with a rate of 0.55%, a rate comparable to other series of colonoscopies. The quality

of life and attitudes of patients participating in a surveillance program were evaluated in two studies.^{4,26} In the pilot study by Stiggelbout et al, patients were reassured by regular contact with a physician and the anticipation of visits and tests caused only minimal anxiety.²⁶ In the randomized trial by Kjeldsen et al, the subgroup of patients alive at the end of the study were mailed a quality of life questionnaire.³⁷ Keeping in mind that all these patients were alive and most were disease free at the time of the questionnaire, there was no difference in quality of life measures between the two groups, again indicating that the inconvenience and anxiety of the extra tests was balanced by the more frequent reassurance given by their physician. The ongoing multicenter European GILDA trial has health-related quality of life as one of the primary endpoints and will also attempt to address quality of life issues in patients with and without disease recurrence.

ECONOMIC ANALYSIS

It is hard to deny that individual patients may derive benefit from the early detection and treatment of recurrent colorectal cancer, but what is not clear is whether populations of patients benefit from an "intense" surveillance strategy, as compared with a "minimal" one. There is a paucity of data regarding the cost-effectiveness of conventional versus intensive follow-up regimens. The data that does exist is often difficult to draw conclusions from, with most lacking comparison groups. Thus, a cost-benefit analysis between the two surveillance options cannot be determined.

Two studies currently exist that provide a cost per life year gained from intensive surveillance. The first study was based on a population from the south of France and used a Markov model to compare the effects of an intensive versus simplified follow-up strategy.³⁸ Patients in the intensive group were followed based on the 1998 French Consensus Conference including serial physical examinations, CEA monitoring, colonoscopy every 3 years, abdominal imaging, and annual chest radiography. Patients in the simplified follow-up group underwent only some of these examinations, but not all and not as frequently. The costs of monitoring were evaluated over a period of 5 years after curative resection and then up to the seventh year. Costs were calculated using 1998 cost coefficients.

Using the Markov model the intensive surveillance group had a cost-effectiveness ratio of 3114€ (4235 USD) per quality adjusted life year (QALY) in favor of intensive follow-up. The largest benefit was seen in Dukes stage C patients with 1058€ (1439 USD) per QALY. The overall cost per year of life gained was 4000€ (5441 USD) with the largest benefit again for Dukes stage C patients with 1654€ (2250 USD) per year of life gained.

The second study, based out of the United Kingdom, was a rigorous evaluation of cost-effectiveness based on a meta-analysis of the existing randomized trials.¹⁵ The authors highlighted the heterogeneity of the existing randomized trials with no single method for standard or intensive follow-up. Furthermore, despite a demonstrated benefit in effectiveness and cost per life year gained, it is not possible to determine which of the specific strategies are beneficial or cost effective. However, based on 2002 cost coefficients, an intensive surveillance program provided 0.73 life years gained at a cost of 2479£ (3758 USD) per patient, or 3402£ (5156 USD) per life year gained.

Thus, based on these two studies it appears that a more thorough follow-up program may be cost-effective with a relatively inexpensive cost of ~5000 USD per life year gained. However, it is important to point out that it is not clear which strategies are the truly cost effective ones and what is the quality of the additional time gained. Two studies are currently in progress with secondary outcomes of cost per life year gained and quality of life. The first is the GILDA study and the second, the Follow up after Colorectal Surgery (FACS) trial based out of the UK, which opened in 2004. More studies are needed to determine the cost-effectiveness in other countries and specifically in North America where the health care system and costs are different than those of Europe and the UK.

COMPLIANCE WITH CURRENT GUIDELINES

Several studies have evaluated the compliance with various colorectal cancer surveillance guidelines.³⁹⁻⁴² The adherence to surveillance guidelines is generally low with only 7% of patients receiving minimum pre-defined CEA follow-up in one retrospective study.³⁹ Several barriers to surveillance have been identified by health care providers including unclear guidelines and confusion as to which provider is in charge of ordering investigations.⁴³ Indeed, the creation of a dedicated colorectal cancer follow-up clinic demonstrated improved compliance with postoperative surveillance in one study.⁴⁴ Interestingly, patient compliance with follow-up regimens, evaluated in three trials, was quite good indicating that patients are willing to accept frequent visits and testing.

FOLLOW-UP STUDIES IN PROGRESS

As previously mentioned, there are several studies evaluating surveillance strategies in patients with resected colorectal cancer that are still in progress. The GILDA group of investigators in Italy is currently conducting a randomized trial of follow-up in patients with Dukes B or C colorectal cancer. The trial opened in 1998 and has

a target accrual of 1500 patients, making it the largest trial to date. In designing this trial, it was felt that CEA was already so deeply rooted in practice that exclusion from a postoperative program was deemed unfeasible. The "intensive" arm was instead designed to assess the potential of other diagnostic tests, such as chest x-ray (CXR), liver imaging, and more frequent colonoscopies on overall and disease-specific survival. Health-related quality of life is also a primary endpoint in this study. An interim analysis of 985 patients, published in 2004, did not demonstrate any improvement in overall survival between the two surveillance arms, but the follow-up time was short. The FACS trial from the UK opened in 2004 with a target recruitment of 4,890 patients who have undergone curative treatment for primary colorectal cancer (Dukes A-C). The study compares primary care to intensive hospital follow-up with CT and ultrasound scanning. The primary objective is the number of recurrences treated surgically with curative intent with overall survival as a secondary endpoint. Several reports suggest that enrollment is slow.^{11,45} The COLOFOL study includes patients with a resected Dukes B or C colorectal cancer randomized to a low or high frequency follow-up regimen that includes serum CEA, CT, or magnetic resonance imaging (MRI) of the liver, and CXR or CT of the lungs. The only difference between the regimens is the interval between follow-up testing, which is performed at 1 and 3 years for the low frequency cohort and at 6 month intervals in the high frequency cohort. The primary outcomes are overall and disease-specific survival at 5 years. These studies are unlikely to clarify the role of serum CEA monitoring in colorectal cancer surveillance because the control arms in each study include it, albeit at a reduced frequency. These studies will primarily address the use of additional imaging modalities and the frequency of follow-up testing in an era of more aggressive hepatic surgery and more effective chemotherapeutics to treat colorectal cancer recurrences.

NEWER SURVEILLANCE STRATEGIES

¹⁸FDG-PET has emerged as a promising diagnostic imaging modality in evaluating recurrent colorectal cancer. It has been used to help select patients for hepatic resection^{46,47} and to evaluate patients with an elevated CEA and normal conventional imaging and colonoscopy.^{48,49} The use of systematic ¹⁸FDG-PET as part of a surveillance strategy to detect tumor recurrence has been assessed in one randomized trial. In this study, 130 patients were randomized to conventional follow-up (including a clinic visit, CEA, and liver ultrasound every 3 months, a CXR every 6 months and an abdominal CT scan at 9 and 15 months), or conventional follow-up plus an ¹⁸FDG-PET scan at 9 and 15 months. The results demonstrated that the time from baseline to recurrence was significantly shorter in the PET group

and recurrences were also more frequently cured by surgery (R0 resection). Of the 65 patients screened with PET scan, three had false-positive findings and three had an unrelated primary detected (two lung cancers and one gastrointestinal stromal tumor). Although these results are interesting, the current cost of PET makes wide application of this strategy unlikely at the present time.

CONCLUSION

Though it appears that surveillance following colorectal cancer resection is beneficial in terms of earlier detection of recurrence, resulting in more surgical resections with curative intent, there is only limited evidence to suggest that overall survival is improved. Which modalities are most valuable and what is the optimal frequency of follow-up is less clear. Physician visits, whether they are used to provide reassurance and encourage a health lifestyle or to coordinate follow-up studies, are a mainstay of colorectal surveillance strategies. Furthermore, colonoscopic evaluation to ensure the absence of anastomotic recurrence or metachronous disease is essential. Areas of contention include serum CEA monitoring and chest imaging, as well as the type and frequency of liver imaging. Future considerations are the cost-effectiveness of various surveillance strategies, the quality of life implications and the role of different surveillance techniques in light of recent improvements and advances in chemotherapeutics and the surgical management of metastatic disease.

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