

Phenotypic and Genotypic Characterization of *Enterobacteriaceae* with Decreased Susceptibility to Carbapenems: Results from Large Hospital-Based Surveillance Studies in China[∇]

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The resistance mechanism of 49 *Enterobacteriaceae* isolates with decreased susceptibility to carbapenems collected from 2004 to 2008 at 16 teaching hospitals in China was investigated. Moderate- to high-level carbapenem resistance in most isolates was more closely associated with loss or decreased expression of both major porins combined with production of AmpC or extended-spectrum β -lactamase enzymes, while KPC-2, IMP-4, and IMP-8 carbapenemase production may lead to a low to moderate level of carbapenem resistance in *Enterobacteriaceae* in China.

To date, the emergence of carbapenem-resistant *Enterobacteriaceae* has been reported in some countries (7, 9, 16, 19). Carbapenemases and porin loss combined with AmpC enzyme hyperproduction are regarded as the main mechanisms of resistance (7, 9, 12, 19). In China, there have been some reports of KPC-2-producing carbapenem-resistant *Klebsiella pneumoniae*, *Serratia marcescens*, and *Escherichia coli* in the city of Hangzhou (2, 17, 20). However, a nationwide survey has not been performed. In this study, 49 *Enterobacteriaceae* isolates with decreased susceptibility to carbapenems (MIC of imipenem, meropenem, or ertapenem of ≥ 2 $\mu\text{g/ml}$) were collected from 16 teaching hospitals in a nationwide distribution, which included 26 *K. pneumoniae*, 8 *E. coli*, 10 *Enterobacter cloacae*, 2 *Enterobacter aerogenes*, and 3 *Citrobacter freundii* isolates. Identification of organisms was confirmed by using the API 20E or Vitek2 Compact system (bioMérieux, France). Susceptibility testing was performed by using the agar dilution method according to the guidelines of the Clinical and Laboratory Standards Institute (CLSI) (3, 4). Breakpoints for tigecycline were as defined by the FDA (susceptible, ≤ 2 $\mu\text{g/ml}$; resistant, ≥ 8 $\mu\text{g/ml}$). Forty-nine isolates were nonsusceptible to most antibiotics except to tigecycline (to which 45 of 49 isolates were susceptible) and polymyxin B (to which 47 of 49 isolates were susceptible).

Conjugation experiments were carried out in mixed broth cultures, as described previously (2). Plasmid DNAs of all carbapenemase-producing isolates were obtained with a QIAfilter midikit (Qiagen, Hilden, Germany). Resistance genes were successfully transferred from 23 of 49 isolates to the recipient *E. coli* C600. Among the 16 carbapenemase-producing clinical isolates, carbapenemase genes of 13 isolates were successfully transferred to *E. coli* C600, except for three

IMP-4-producing *E. cloacae* isolates. The 13 carbapenemase-producing transconjugants showed 8- to 64-fold increases in the MIC of imipenem, 32- to 512-fold increases in the MIC of meropenem, and 256- to 4,096-fold increases in the MIC of ertapenem relative to those of the recipient. Most of the carbapenemase-producing transconjugants harbored a single plasmid, while only one transconjugant (GZ64T) harbored four different plasmids (Table 1).

PCR of β -lactamase genes for the transconjugants and respective donors was carried in a PTC-200 PCR system (Bio-Rad). The primers used in this study were described previously (1, 5, 11, 12, 14, 18, 19). PCR products were purified with a QIAquick PCR purification kit (Qiagen) and were sequenced on an ABI PRISM 3730XL sequencer analyzer. Carbapenemase genes were detected in 16 of 49 clinical isolates, which involved the *bla*_{KPC-2} gene from four *K. pneumoniae* and two *E. coli* isolates, the *bla*_{IMP-4} gene from three *K. pneumoniae*, three *E. cloacae*, and two *C. freundii* isolates, and the *bla*_{IMP-8} gene from two *K. pneumoniae* isolates. Among 49 clinical isolates, 23 carried *bla*_{TEM-1}, 21 carried *bla*_{SHV}, and 26 carried *bla*_{CTX-M}, while *bla*_{CTX-M-14} and *bla*_{CTX-M-3} were the predominant genotypes among CTX-M-producing isolates. Fourteen isolates carried *bla*_{DHA-1}, and seven carried *bla*_{CMY-2}. Other β -lactamase genes (*bla*_{NMC}, *bla*_{SME}, *bla*_{IMP}, *bla*_{GES}, *bla*_{VIM}, *bla*_{SPM}, *bla*_{SIM}, *bla*_{GIM}, and *bla*_{OXA}) were not detected in any of the 49 isolates.

All 49 isolates and their transconjugants were screened for the *qnr* (*qnrA*, *qnrB*, and *qnrS*) genes by multiplex PCR (13) and for *aac(6')-Ib-cr* by PCR and sequencing (10). Among 49 isolates, 14 carried *qnr* genes, and *qnrS1* (9/14) and *qnrB* (5/14) were the predominant *qnr* genotypes. Seventeen of 49 isolates carried an *aac(6')-Ib* gene, and 9 of them were determined to be *aac(6')-Ib-cr*.

Class 1 integrons were detected in the 49 clinical isolates and corresponding transconjugants by PCR and sequencing (8). Nine different structures of class 1 integrons were found in these isolates (Tables 1 and 2). The most common gene cassettes contained resistance determinants to aminoglycosides (*aadA5*, *aadA2*, and *aadA1*) and trimethoprim (*dhfrA17* and

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TABLE 1. Susceptibilities and resistance mechanisms of carbapenemase-producing isolates and their transconjugants

Isolate no. ^a	Species	Site ^b	MIC ($\mu\text{g/ml}$) of drug ^c											Plasmid size(s) (kb)	Resistance mechanism(s)	Integron	
			IPM	MEM	ETP	FOX	TZP	CTX	CAZ	FEP	CIP	AMK	TGC				POL
ZI18	<i>K. pneumoniae</i>	ZJ1	16	8	16	>256	>256	128	64	16	>32	>256	1	0.5	147, 6.4, 4.1, 3.4	KPC-2, SHV-28, DHA-1, ompK35 loss	
ZI18T			2	1	2	128	64	8	16	4	1	>256	0.06	0.25	147	KPC-2, DHA-1	
ZI70	<i>K. pneumoniae</i>	ZJ2	>256	256	>256	128	>256	>256	64	>256	16	>256	0.25	0.5	42, 5.2, 2.5	KPC-2, SHV-11, CTX-M-14, ompK35/36 loss	<i>dfxA12-orff-aaad42</i>
ZI70T			16	8	16	32	>256	64	32	32	0.13	1	0.13	0.25	42	KPC-2	
ZI71	<i>K. pneumoniae</i>	ZJ2	16	16	16	16	>256	256	32	128	16	>256	0.13	1	42, 5.2, 2.5	KPC-2, TEM-1, SHV-11, CTX-M-14, ompK35 loss	<i>dfxA12-orff-aaad42</i>
ZI71T			8	4	4	32	>256	16	32	16	0.25	1	0.13	0.5	42	KPC-2	
ZI99	<i>K. pneumoniae</i>	ZJ1	16	4	8	32	>256	128	64	32	>32	0.5	1	1	90	KPC-2, CTX-M-3, qnrS1, ompK35 DE ^d	
ZI99T			16	16	8	32	>256	256	128	64	2	2	0.25	0.25	90	KPC-2, CTX-M-3, qnrS1	
ZI86	<i>E. coli</i>	ZJ1	8	8	32	64	256	64	32	32	>32	1	0.25	0.5	120, 23, 7.1, 6.4, 5.9, 5.3, 3.9, 2.9, 2.3, 1.0	KPC-2, TEM-1, ompC loss	<i>dfxA17-aaad45</i>
ZI86T			8	8	16	64	>256	32	32	16	0.25	32	0.25	0.5	120	KPC-2	
ZI87	<i>E. coli</i>	ZJ1	8	8	16	64	256	64	32	32	>32	1	0.25	0.5	120, 23, 7.1, 5.9, 5.3, 3.9, 2.9, 2.3, 1.0	KPC-2, TEM-1, ompC loss	<i>dfxA17-aaad45</i>
ZI87T			8	8	16	64	>256	32	32	16	0.25	1	0.25	0.25	120	KPC-2	
FZ47	<i>K. pneumoniae</i>	FZ	8	2	8	>256	64	256	>256	128	4	>256	1	1	190, 110, 80	IMP-8, TEM-1, SHV-11, CTX-M-14, qnrB2, aac(6')-Ib, ompK35/36 loss	<i>dfxA12-orff-aaad42</i>
FZ47T			4	1	4	>256	8	128	>256	64	1	>256	0.06	0.25	190	IMP-8, TEM-1, CTX-M-14, qnrB2, aac(6')-Ib	<i>dfxA12-orff-aaad42</i>
FZ49	<i>K. pneumoniae</i>	FZ	8	2	4	>256	128	>256	>256	256	0.5	>256	0.5	1	190, 110, 80	IMP-8, TEM-1, SHV-11, CTX-M-14, qnrB2, aac(6')-Ib, ompK35/36 loss	<i>dfxA12-orff-aaad42</i>
FZ49T			4	1	4	>256	8	128	>256	32	1	>256	0.06	0.25	190	IMP-8, TEM-1, CTX-M-14, qnrB2, aac(6')-Ib	<i>dfxA12-orff-aaad42</i>
GZ64	<i>K. pneumoniae</i>	GZ1	16	8	32	>256	>256	256	>256	128	2	1	0.5	0.5	140, 49, 11, 5.9, 3	IMP-4, ompK35/36 loss	<i>IMP-4-orfII</i>
GZ64T			2	2	4	256	8	128	256	16	0.25	1	0.06	0.5	140, 49, 11, 5.9	IMP-4	<i>IMP-4-orfII</i>
WH76	<i>K. pneumoniae</i>	WH	2	4	2	>256	16	128	256	32	0.5	1	0.5	1	57	IMP-4, TEM-1, SHV-14, CTX-M-3, qnrS1	
WH76T			4	8	2	>256	32	256	>256	64	2	1	0.06	0.5	57	IMP-4, TEM-1, CTX-M-3, qnrS1	
WH77	<i>K. pneumoniae</i>	WH	2	4	4	>256	32	256	256	32	0.5	1	0.5	1	57	IMP-4, TEM-1, SHV-14, CTX-M-3, qnrS1	
WH77T			4	4	4	>256	32	256	>256	64	2	1	0.06	0.5	57	IMP-4, TEM-1, CTX-M-3, qnrS1	
SZ62	<i>C. freundii</i>	SZ	4	4	4	>256	256	256	>256	64	32	>256	1	0.5	48, 33, 5.5, 5.4, 4.2, 3.3, 2.8, 1.7, 1.2	IMP-4, TEM-1, CMY-2, qnrS1, aac(6')-Ib-cr	
SZ62T			4	4	4	>256	32	128	>256	32	4	1	0.13	0.25	48	IMP-4, qnrS1	

TABLE 2. Susceptibilities and resistance mechanisms of non-carbapenemase-producing isolates with porin loss and their transconjugants

Isolate no. ^a	Species	MIC (µg/ml) of drug ^b											Resistance mechanism(s)	Integron	
		IPM	MEM	ETP	FOX	TZP	CTX	CAZ	FEP	CIP	AMK	TGC			POL
CY1	<i>K. pneumoniae</i>	32	16	32	>256	8	128	256	64	>32	>256	16	1	SHV-II, DHA-1, ompK35/36 loss	<i>dfpA12-orfF-aadA2</i>
CY1T	<i>K. pneumoniae</i>	0.25	0.016	0.008	64	2	4	64	2	2	>256	1	0.25	SHV-II, DHA-1	<i>dfpA12-orfF-aadA2</i>
PU3	<i>K. pneumoniae</i>	32	16	64	>256	>256	128	128	64	>32	16	0.25	0.5	DHA-1, aac(6')-Ib-cr, ompK35/36 loss	
PU4	<i>K. pneumoniae</i>	16	4	32	>256	8	16	64	0.5	>32	>256	4	0.5	SHV-1, DHA-1, ompK35/36 loss	
PU5	<i>K. pneumoniae</i>	16	8	64	>256	256	>256	256	256	>32	>256	0.5	1	SHV-12, DHA-1, aac(6')-Ib-cr, ompK35/36 loss	
PU8	<i>K. pneumoniae</i>	16	8	32	>256	128	128	256	4	>32	8	1	1	SHV-II, DHA-1, aac(6')-Ib-cr, ompK35/36 loss	
SZ61	<i>K. pneumoniae</i>	16	8	64	>256	>256	128	256	16	>32	>256	4	0.5	TEM-1, SHV-1a, CMY-2, ompK35/36 loss	
GZ66	<i>K. pneumoniae</i>	32	4	64	>256	>256	128	>256	32	>32	>256	0.5	0.5	SHV-II, DHA-1, ompK35/36 loss	<i>aadA2</i>
GZ66T	<i>K. pneumoniae</i>	0.5	0.032	0.008	128	1	16	128	1	1	>256	0.125	0.25	SHV-II, DHA-1	
SX67	<i>K. pneumoniae</i>	4	2	32	>256	>256	>256	>256	256	>32	>256	0.5	1	SHV-2, CTX-M-14, DHA-1, qnrB6/S1, aac(6')-Ib-cr, ompK35/36 loss	
CY75	<i>K. pneumoniae</i>	32	8	64	>256	>256	>256	256	2	>32	2	1	1	SHV-11, DHA-1, ompK35/36 loss	<i>dfpA12-orfF-aadA2</i>
XJ81	<i>K. pneumoniae</i>	8	2	64	>256	>256	>256	>256	256	1	16	0.5	0.5	TEM-1, SHV-12, DHA-1, aac(6')-Ib, ompK35/36 loss	
XJ81T	<i>K. pneumoniae</i>	0.25	0.016	0.008	16	2	4	64	0.5	0.125	16	0.064	0.25	TEM-1, SHV-12, aac(6')-Ib	
PU105	<i>K. pneumoniae</i>	32	16	128	>256	>256	>256	>256	128	>32	>256	2	32	TEM-1, SHV-12, CTX-M-14, DHA-1, qnrB10/B4-like, aac(6')-Ib-cr, ompK35/36 loss	
ZJ68	<i>K. pneumoniae</i>	4	8	64	32	>256	>256	256	>256	4	>256	0.125	1	CTX-M-15, ompK35/36 loss	<i>dfpA12-orfF-aadA2</i>
ZJ68T	<i>K. pneumoniae</i>	0.5	0.032	0.064	16	32	>256	256	128	0.125	>256	0.064	0.5	CTX-M-15	<i>dfpA12-orfF-aadA2</i>
ZJ69	<i>K. pneumoniae</i>	32	4	32	>256	16	64	128	8	32	>256	0.5	1	SHV-28, ompK35/36 loss	
GZ83	<i>K. pneumoniae</i>	32	8	128	>256	>256	>256	>256	32	>32	>256	0.5	1	SHV-11, CTX-M-14, ompK35/36 loss	<i>aadA2</i>
PU104	<i>K. pneumoniae</i>	2	8	64	256	>256	>256	>256	>256	4	0.5	0.5	0.5	TEM-1, SHV-11, CTX-M-15, qnrS1, ompK35/36 loss	
PU104T	<i>E. coli</i>	0.25	0.032	0.016	256	4	256	64	32	>32	2	0.25	0.25	TEM-1, CTX-M-15	<i>dfpA17-aadA5</i>
PU60	<i>E. coli</i>	32	8	64	>256	256	256	256	16	>32	32	0.125	0.5	TEM-1, CMY-2, ompC/F loss	
PU60T	<i>E. coli</i>	0.5	0.032	0.064	128	4	16	64	0.5	0.25	1	0.125	0.25	CMY-2	
PU96	<i>E. coli</i>	32	8	128	>256	>256	>256	>256	64	>32	2	1	0.5	TEM-1, CTX-M-14, CMY-2, ompC/F loss	
PU101	<i>E. coli</i>	32	16	128	>256	>256	>256	>256	64	>64	4	0.064	0.5	TEM-1, CTX-M-14, CMY-2, ompC loss, ompF DE ^c	
PU101T	<i>E. coli</i>	0.25	0.032	0.25	>256	32	128	>256	1	>64	1	0.25	0.25	TEM-2, CTX-M-14, CMY-2, aac(6')-Ib, ompC DE ^c , ompF loss	<i>dfpA17-aadA5</i>
PU102	<i>E. coli</i>	16	8	64	>256	>256	>256	64	>256	>64	64	0.25	0.5	TEM-1, CTX-M-14, CMY-2, aac(6')-Ib, ompC DE ^c , ompF loss	
PU102T	<i>E. cloacae</i>	0.25	0.032	0.25	>256	>256	256	64	64	0.25	1	0.25	0.25	TEM-1, CTX-M-14, CMY-2	<i>dfpA12-orfF-aadA2</i> ^d
GX24	<i>E. cloacae</i>	4	2	16	>256	>256	256	>256	1	8	>256	1	2	TEM-1, DHA-1, aac(6')-Ib, ompC loss, ompF DE ^c	
PU41	<i>E. aerogenes</i>	32	8	64	>256	128	>256	64	256	8	>256	1	0.5	CTX-M-14, DHA-1, qnrS1, ompC DE ^c , ompF loss	
PU41T	<i>E. coli</i>	0.5	0.032	0.032	256	1	128	64	16	2	>256	0.064	0.25	CTX-M-14, DHA-1, qnrS1	<i>cmiA1</i> variant-like- <i>aac(6')-Ib</i>
PU43	<i>E. coli</i>	8	16	32	128	256	>256	32	>256	>32	8	0.25	0.5	TEM-1, CTX-M-14, aac(6')-Ib, ompC/F loss	<i>dfpA17-aadA5</i>
HX74	<i>E. coli</i>	4	8	16	64	>256	>256	256	>256	>32	8	0.064	0.5	TEM-1, CTX-M-14, CTX-M-3, aac(6')-Ib-cr, ompC/F loss	<i>dfpA12-orfF-aadA2</i>
HX74T	<i>E. cloacae</i>	0.25	0.064	0.064	16	4	>256	256	256	>32	2	0.125	0.25	CTX-M-3	<i>dfpA12-orfF-aadA2</i>
GX56	<i>E. aerogenes</i>	4	4	32	>256	>256	>256	256	>256	>32	>256	4	0.5	ompC loss, ompF DE ^c	
WH85	<i>E. aerogenes</i>	8	8	16	256	>256	>256	32	>256	0.032	1	0.064	0.5	TEM-1, CTX-M-3, ompC/F loss	
NJ88	<i>E. cloacae</i>	16	16	128	>256	128	>256	>256	>256	16	>256	0.5	0.5	CTX-M-14, ompC/F loss	
NJ89	<i>E. cloacae</i>	16	16	128	>256	128	>256	>256	>256	16	>256	0.5	0.5	CTX-M-14, ompC/F loss	
NJ90	<i>E. cloacae</i>	16	16	128	>256	128	>256	>256	>256	16	>256	0.5	0.5	CTX-M-14, ompC/F loss	
NJ91	<i>E. cloacae</i>	16	16	128	>256	128	>256	>256	>256	16	>256	0.5	0.5	CTX-M-14, ompC/F loss	
GZ84	<i>K. pneumoniae</i>	1	1	8	>256	>256	256	256	32	>32	16	0.5	0.5	SHV-11, aac(6')-Ib-cr, ompK35 loss	
WH97	<i>K. pneumoniae</i>	2	2	4	>256	32	128	>256	32	1	1	0.5	0.5	SHV-33, aac(6')-Ib, ompK35 DE	
RJ78	<i>C. freundii</i>	8	2	8	>256	>256	256	128	64	16	8	1	2	CMY-2, qnrA1, aac(6')-Ib-cr, ompC loss	
SZ93	<i>E. cloacae</i>	4	0.125	0.064	>256	2	1	0.5	0.125	0.064	1	1	>32	ompC loss	
C600	<i>E. coli</i>	0.25	0.032	0.008	16	1	0.125	0.5	0.064	0.25	1	0.064	0.5		

^a *E. coli* C600 was the recipient; T at the end of the isolate number indicates the transconjugant.
^b IPM, imipenem; MEM, meropenem; ETP, ertapenem; FOX, ceftoxitin; TZP, piperacillin-tazobactam; CTX, cefotaxime; CAZ, ceftazidime; FEP, cefepime; CIP, ciprofloxacin; AMK, amikacin; TGC, tigecycline; POL, polymyxin B.
^c DE, decreased expression.

TABLE 3. Distribution and corresponding carbapenem MIC ranges for strains with different resistance determinants

Resistance determinant profile	No. of isolates	MIC ($\mu\text{g/ml}$) range		
		Imipenem	Meropenem	Ertapenem
IMP-4 ⁺ , no porin loss or decreased expression	4	2–4	4	2–4
IMP-4 ⁺ , single porin loss	3	8	8	32
IMP-4 ⁺ , double porin loss	1	16	8	32
KPC-2 ⁺ , single porin loss or decreased expression	5	8–16	4–16	8–32
KPC-2 ⁺ , double porin loss	1	>256	256	>256
IMP-8 ⁺ , double porin loss	2	8	2	4–8
Carbapenemase ⁻ , ESBL ⁺ , AmpC ⁻ , single porin loss or decreased expression	2	1–2	1–2	4–8
Carbapenemase ⁻ , ESBL ⁺ , AmpC ⁻ , double porin loss	11	2–32	4–16	16–128
Carbapenemase ⁻ , ESBL ⁻ , AmpC ⁺ , single porin loss	1	8	4	8
Carbapenemase ⁻ , ESBL ⁻ , AmpC ⁺ , double porin loss or decreased expression	5	4–32	2–16	16–64
Carbapenemase ⁻ , ESBL ⁺ , AmpC ⁺ , double porin loss or decreased expression	12	4–32	2–16	32–128
Carbapenemase ⁻ , ESBL ⁻ , AmpC ⁻ , single porin loss	1	4	0.125	0.064
Carbapenemase ⁻ , ESBL ⁻ , AmpC ⁻ , double porin loss or decreased expression	1	4	4	32

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