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Cyclophosphamide, Epirubicin, and Fluorouracil Versus Dose-Dense Epirubicin and Cyclophosphamide Followed by Paclitaxel Versus Doxorubicin and Cyclophosphamide Followed by Paclitaxel in Node-Positive or High-Risk Node-Negative Breast Cancer

Margot Burnell, Mark N. Levine, Judith-Anne W. Chapman, Vivien Bramwell, Karen Gelmon, Barbara Walley, Ted Vandenberg, Haji Chalchal, Kathy S. Albain, Edith A. Perez, Hope Rugo, Kathleen Pritchard, Patti O'Brien, and Lois E. Shepherd

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Purpose

Cyclophosphamide, epirubicin, and fluorouracil (CEF) and doxorubicin and cyclophosphamide (AC) followed by paclitaxel (T) are commonly used adjuvant regimens in women with early breast cancer. In a previous trial in women with locally advanced breast cancer, 3 months of high-dose epirubicin and cyclophosphamide (EC) administered every 2 weeks (dose-dense) was equivalent to 6 months of CEF. We hypothesized that 3 months of paclitaxel after dose-dense EC (EC/T) would be superior to CEF or AC/T.

Methods

After lumpectomy or mastectomy, women 60 years of age or younger with axillary node-positive or high-risk node-negative breast cancer were randomly assigned to receive CEF, EC/T, or AC/T for 6 months. This article reports the interim analysis for recurrence-free survival (RFS), which was planned after 227 recurrences.

Results

A total of 2,104 patients were enrolled. The median follow-up is 30.4 months. Hazard ratios for recurrence are as follows: AC/T versus CEF, 1.49 (95% CI, 1.12 to 1.99), P = .005; AC/T versus EC/T, 1.68 (95% CI, 1.25 to 2.27), P = .0006; and EC/T versus CEF, 0.89 (95% CI, 0.64 to 1.22), P = .46. Three-year RFS rates for CEF, EC/T, and AC/T are 90.1%, 89.5%, and 85.0%, respectively. There was more febrile neutropenia with CEF (22.3%) and EC/T (16.4%) compared with AC/T (4.8%), but more neuropathy with the last two regimens.

Conclusion

Three-weekly AC/T is significantly inferior to CEF or EC/T in terms of RFS. It is too early to detect any difference between CEF and dose-dense EC/T.

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INTRODUCTION

In the last three decades, incremental benefits in breast cancer disease-free survival (DFS) and overall survival (OS) have occurred with the introduction of anthracycline and taxane adjuvant chemotherapy regimens and with the exploration of higher doses (dose intense) and more frequent administration of these drugs (dose dense).¹⁻³ In the National Cancer Institute of Canada (NCIC) Clinical Trials Group (CTG) MA5 trial, 6 months of cyclophosphamide, epirubicin, and fluorouracil (CEF) improved 5-year DFS and OS compared with 6 months of cyclophosphamide, methotrexate, and fluorouracil (CMF).⁴

Subsequently, CEF was compared with a 12-week regimen of epirubicin and cyclophosphamide (EC) administered with higher doses and more frequent dosing in locally advanced breast cancer.⁵ No difference was detected between regimens in progression-free survival at 34 months. Meanwhile, the results of the Cancer and Leukemia Group B (CALGB) 9344 trial showed an improvement in 3-year DFS with the addition of four cycles of 3-weekly paclitaxel (T), after a 12-week regimen of doxorubicin and cyclophosphamide (AC),⁶ which had been shown to be equivalent to CMF.⁷

Our intention in the MA21 trial was to further improve results associated with the CEF regimen by

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Clinical Trials repository link available on JCO.org.

Corresponding author: Mark Levine, MD, Rm 104, Henderson Research Centre, 711 Concession St, Hamilton, Ontario L8V 1C3, Canada; e-mail: mlevine@mcmaster.ca.

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Table 1. Treatment Regimens						
CEF EC/T		AC/T				
Cyclophosphamide 75 mg/m ² orally, days 1-14	Epirubicin 120 mg/m² IV, day 1	Doxorubicin 60 mg/m ² IV, day 1				
Epirubicin 60 mg/m ² IV, days 1 and 8	Cyclophosphamide 830 mg/m ² IV, day 1	Cyclophosphamide 600 mg/m² IV, day 1				
Fluorouracil 500 mg/m ² IV, days 1 and 8	EC administered every 14 days for 6 cycles	AC administered every 21 days for 4 cycles				
Cotrimoxazole 2 tablets orally bid or ciprofloxacin 500 mg orally bid for duration of chemotherapy	Paclitaxel 175 mg/m ² IV, every 21 days for 4 cycles	Paclitaxel 175 mg/m ² IV, every 21 days for 4 cycles				
Duration = six 28-day cycles	Filgrastim 5 μ g/kg subcutaneously, days 2-13	Filgrastim and epoetin permitted				
Filgrastim and epoetin permitted	Epoetin 40,000 U subcutaneously weekly					

NOTE. A complete blood count with differential and platelet count was performed at the beginning of each cycle of chemotherapy. Dose modifications were performed according to predefined guidelines based on hematologic and non-hematologic toxicity.

Abbreviations: CEF, cyclophosphamide, epirubicin, and fluorouracil; EC/T, dose-dense epirubicin and cyclophosphamide followed by paclitaxel; AC/T, doxorubicin and cyclophosphamide followed by paclitaxel; IV, intravenously; bid, twice per day.

incorporating a taxane. Because 12 weeks of dose-dense EC was equivalent to 6 months of CEF,⁵ we hypothesized that the addition of four cycles of 3-weekly paclitaxel after EC (EC/T) would improve outcome, without increasing the duration of treatment. We also postulated that EC/T would improve outcome over AC/T. The strategy was to compare the experimental arm (EC/T) with two existing standard regimens (CEF and AC/T) and to compare the two standard regimens that were the same duration but differed in anthracycline and presence of a taxane.

METHODS

Patient Population

Women were eligible if they were premenopausal or postmenopausal, 60 years of age or younger, had axillary node-positive or high-risk node-negative breast cancer, and had undergone complete resection of all known disease by total or partial mastectomy, including axillary node clearance. Node-negative patients were eligible if the tumor was ≥ 1 cm and one or more of the following were present: histologic grade 3, estrogen receptor (ER) negativity, or lymphovascular invasion. Sentinel node biopsy was allowed; when positive, patients underwent axillary dissection. Microscopic residual invasive disease present at partial mastectomy margins led to a recommendation for further excision. However, patients were still eligible without further excision if administered breast irradiation with a boost to the tumor bed.

Patients were excluded if they had evidence of metastasis, documented history of cardiac disease or previous cancer (except treated basal cell and squamous cell carcinoma of the skin or any other cancer except invasive breast cancer treated > 5 years before study entry, and presumed cured), inadequate renal function (serum creatinine level >1.5× the upper limit of normal) or elevated bilirubin (> 1.5× the upper limit of normal), a serious underlying medical or psychiatric illness, inflammatory or locally advanced breast cancer before surgery, microscopic evidence of residual tumor at the resection margins of the total mastectomy, gross tumor remaining in the axilla postsurgery, previous radiation therapy or chemotherapy for breast cancer, or were more than 12 weeks from definitive surgery for breast cancer.

Potentially eligible patients underwent bone scan (if indicated by abnormal alkaline phosphatase), chest radiograph, abdominal ultrasound or computed tomography (if indicated by abnormal liver function tests), and radionuclide cardiac scan before being randomly assigned to treatment. Informed consent was obtained from eligible patients before assignment to treatment. The study protocol was approved by the institutional review board of each participating center.

Treatment Regimens

Patients were assigned using a minimization procedure to one of three regimens by the NCIC CTG central office. Stratification was by number of

positive nodes (0, one to three, four to 10, and > 10), type of surgery (total ν partial mastectomy), and ER status (ER positive ν ER negative). Chemotherapy regimens are shown in Table 1. The results of the CALGB 9741 trial were presented in December 2002.⁸ At a median follow-up of 36 months, AC/T administered every 2 weeks with filgrastim was associated with improved DFS and OS compared with administration every 3 weeks. The MA21 Steering Committee decided to continue with the AC/T arm as designed because it was felt that AC/T every 3 weeks would still continue to be a widely used standard.

All patients who underwent lumpectomy received local radiation to the breast after completion of chemotherapy. Radiation to the chest wall and regional nodes after total mastectomy was permitted and given according to local institutional practice.

The hormone receptor assays were performed locally. A positive test was defined by ≥ 10 fmol/mg or by local determination of positive on immunohistochemistry. Patients with ER-positive tumors received tamoxifen after completion of chemotherapy. After October 2004, an aromatase inhibitor was allowed. After June 2005, trastuzumab for 1 year was allowed for patients with human epidermal growth factor receptor (HER2) –positive disease.

Toxicity evaluations by National Cancer Institute (NCI) Common Toxicity Criteria Version 2.0 were performed on day 1 of each cycle of chemotherapy. Quality of life (QOL) was assessed by the Breast Cancer Chemotherapy Questionnaire and European Organisation for Research and Treatment of Cancer Quality of Life Questionnaire C30.^{9,10} These assessments were performed within 7 days before random assignment and afterwards. The QOL results will be the subject of another article.

Follow-Up Studies

After completion of chemotherapy, patients were seen every 3 months until the end of the first year, every 4 months in Year 2, then every 6 months until the end of 5 years and yearly thereafter. Patients underwent a history and physical examination at each follow-up, along with CBC count, platelet count, and liver function tests.

A mammogram was performed yearly and a radionuclide cardiac scan at 12, 24, 36, 48, and 60 months after random assignment.

Outcome Measures

The primary end point of this study was relapse-free survival (RFS), which was defined as the time from random assignment to the time of recurrence of the primary breast cancer. Local breast recurrence (including ductal carcinoma in situ), nodal recurrence, and metastatic disease were considered a recurrence. Patients who had contralateral breast cancer, a second malignancy, or non-disease-related death were censored. Secondary outcome measures consisted of OS, toxicity as assessed by the NCI Common Toxicity Criteria and QOL. The interim analysis for OS was scheduled to take place after the final RFS analysis, so will be reported after 453 deaths.

Statistical Design

Originally, the trial was to have enrolled approximately 500 patients per group to detect a hazard ratio (HR) for RFS between CEF or AC/T, and EC/T of 1.43, which corresponded to a 10% improvement in 5-year RFS from 60%

to 70%. To have 80% power to detect this HR using a two-sided 5% α level test, 453 recurrences would be needed. It was postulated that 1,500 patients would be entered over 3 years, with further follow-up of at least 2.45 years before the final analysis.

As a result of the CALGB 9344 trial results, the MA21 sample size was re-examined.¹¹ The HR of 1.43 was maintained between CEF or AC/T, and EC/T, and hence the requirement for 453 events remained. We considered reasonable RFS rates to then be 70% for AC/T and CEF and 78% for EC/T. Thus 2,100 patients were to be recruited over approximately 4.2 years, along with 2.7 years of additional follow-up.

Statistical Analysis

One interim analysis was planned after observation of about half the events to allow early disclosure of the study outcomes if the results were extreme. By intention-to-treat, all patients accrued to the trial were to be included in the primary analyses; randomization values of the stratification factors were used for the primary analyses.

The comparison of the three treatment groups was based on the least significant difference approach,¹² in which the sample size is determined by the maximum HR for any pairwise comparison of the trial arms. The method accounts for multiple comparisons of global and pairwise testing.

A two-sided stratified log-rank test, adjusting for the stratification factors, was the primary method to compare RFS between the three groups using the least significant difference approach. This involved first performing a global stratified test to see whether there were differences between the three treatment groups. If the global test was significant at the nominal level, .005 for the interim analysis (.048 in the final analysis), then three stratified pairwise comparisons were to be performed at the same nominal level. The nominal level was based on O'Brien-Fleming type boundaries as proposed by Lan and DeMets,¹³ such that the overall significance level at final analysis was maintained at 5%. The RFS experiences of the three treatment groups are reported here with Cox survivor plots, adjusted by the stratification factors.

As an exploratory analysis, a Cox proportional hazards model was used in a stepwise model to assess and adjust for factors significantly related to RFS. Factors examined in the stepwise adjusted Cox modeling were age at allocation (missing/< 40/40 to 49 ν 50 to 60 years), race (white ν other), performance status (missing/unkown/0 ν 1/2/3), T status (missing/TX/T1 ν T2/T3/T4), N status (missing/N0 ν N1/N2), menopausal status (missing/postmenopausal ν



Fig 1. Three-year adjusted relapse-free survival. CEF, cyclophosphamide, epirubicin, and fluorouracil; AC-T, doxorubicin and cyclophosphamide followed by paclitaxel; EC-T, dose-dense epirubicin and cyclophosphamide followed by paclitaxel.

premenopausal), and HER2/*neu* status (missing/unknown/0/1/2+ or negative v 3+ or positive).

All patients who received at least one dose of study treatment for whom we had on-treatment data at the time of analysis were included in the safety analysis.

RESULTS

Patient Population

A total of 2,104 patients were recruited from Canadian and US centers, between December 2000 and May 2005. The prespecified interim analysis was performed on a database that was locked October 18, 2006 when there were 261 events. Median follow-up was 30.4 months.

A total of 701 women were allocated to CEF, 701 women were assigned to EC/T, and 702 women were assigned to AC/T. See Figure 1 for CONSORT description. Of the 2,104 patients who were randomly assigned, 21 patients never received their protocol-specified treatment. All 2,104 patients randomly assigned to the study are included in the efficacy analysis and in Table 2 describing the baseline characteristics. The number of patients in each arm who switched from tamoxifen to an aromatase inhibitor before recurrence were 74 (CEF), 81 (EC/T), and 84 (AC/T). A small number of women received trastuzumab: 29 (CEF), 27 (EC/T), and 26 (AC/T). Baseline characteristics were similar among treatments (Table 2).

Table 2. Baseline Characteristics						
	CEF (n = 701)		E0 (n =	C/T 701)	AC/T (n = 702)	
Factor	No.	%	No.	%	No.	%
Age, years						
≤ 39	97	13.8	130	18.5	97	13.8
40-49	322	45.9	297	42.4	341	48.6
50+	282	40.2	274	39.1	264	37.6
Surgery						
Lumpectomy	355	50.6	350	49.9	354	50.4
Mastectomy	346	49.4	351	50.1	348	49.6
Nodes positive						
0	196	28.0	198	28.2	195	27.8
1-3	303	43.2	303	43.2	306	43.6
4-10	155	22.1	156	22.3	158	22.5
> 10	47	6.7	44	6.3	43	6.1
Tumor stage						
T1	240	34.2	240	34.2	255	36.3
T2	391	55.8	377	53.8	383	54.6
Т3	62	8.8	68	9.7	57	8.1
T4	8	1.1	12	1.7	7	1.0
Тx	0	0.0	4	0.6	0	0.0
ER status						
Negative	283	40.4	288	41.1	289	41.2
Positive	418	59.6	413	58.9	413	58.8
HER2/neu*						
Negative	492	70.2	494	70.5	481	68.5
Positive	90	12.8	74	10.6	78	11.1
Unknown	119	17.0	133	19.0	143	20.4

Abbreviations: CEF, cyclophosphamide, epirubicin, and fluorouracil; EC/T, dose-dense epirubicin and cyclophosphamide followed by paclitaxel; AC/T, doxorubicin and cyclophosphamide followed by paclitaxel; ER, estrogen receptor; HER2, human epidermal growth factor receptor 2. *HER2 not performed routinely when MA21 started.

Table 3. 3-Year Relapse-Free Survival						
	Treatment (%)					
Group	CEF (n = 701)	EC/T (n = 701)	AC/T (n = 702)			
All patients	90.1	89.5	85.0			
Node status, No. of positive nodes						
0	92.3	91.5	87.8			
1-3	92.2	90.6	86.1			
4+	76.2	80.8	70.3			
ER status*						
Positive	91.5	90.4	88.0			
Negative	82.0	84.7	73.2			

Abbreviations: CEF, cyclophosphamide, epirubicin, and fluorouracil; EC/T, dose-dense epirubicin and cyclophosphamide followed by paclitaxel; AC/T, doxorubicin and cyclophosphamide followed by paclitaxel; ER, estrogen receptor.

*There was no significant interaction between ER status and treatment (P = .23).

Ninety-one percent of CEF patients received six cycles of treatment, 50.6% of whom received 100% of their anthracycline dose. Eighty-three percent of EC/T patients received 10 cycles of chemotherapy, 65.7% and 59.8% of whom received 100% of intended anthracycline and paclitaxel dose, respectively. Finally, 90% of the AC/T arm received eight cycles of chemotherapy, with 100% of anthracycline and paclitaxel dose delivered to 94.2% and 80.2% of patients, respectively.

RFS

The 3-year adjusted RFS rates for CEF, EC/T, and AC/T were 90.1%, 89.5%, and 85% (P = .001; Fig 1). The pairwise comparisons of the three treatment groups are shown in Table 3. HRs for recurrence are as follows: AC/T versus CEF, 1.49 (95% CI, 1.12 to 1.99), P = .005;

AC/T versus EC/T, 1.68 (95% CI, 1.25 to 2.27), P = .0006; and EC/T versus CEF, 0.89 (95% CI, 0.64 to 1.22), P = .46. The comparison of the treatment groups by nodal status and in ER-positive and -negative patients is shown in Table 3. In the Cox model, the only significant factor included in addition to treatment was age. Older women had better RFS than younger: HR = 0.7 (95% CI, 0.54 to 0.91), P = .01.

Survival

Fifty patients on the CEF arm have died, compared with 47 patients on the EC/T arm and 65 patients on the AC/T arm.

Toxicity

The worst toxicity levels experienced by each patient according to the NCI Common Toxicity Criteria are listed in Table 4. Forty-one patients from one center with unverifiable toxicity reports on audit were excluded from Table 4; results were similar with inclusion of these patients. One patient who received study therapy did not have toxicity reports available at the time of analysis. The rates of febrile neutropenia were 22.3% and 16.4% in the CEF and EC/T patients compared with 4.8% in AC/T (P < .001). None of the febrile neutropenic events were fatal. The rates of erythrocyte transfusion in the three treatment arms were 23.8%, 39.9%, and 1.6%, respectively (P < .001). There was more grade 3 and 4 cardiac toxicity after completion of the chemotherapy in the CEF patients compared with the other groups: 2.1%, 0.7%, and 0.3%, respectively (P < .001). Four patients (0.57%) in the CEF group and four patients (0.57%) in the EC/T group experienced acute leukemia compared with none in the AC/T group. There was more peripheral neuropathy in the EC/T and AC/T patients.

DISCUSSION

The outcomes of women with early breast cancer have improved incrementally through trials that have evaluated new chemotherapy drugs

Table 4. Incidence of Worst Ever Toxicity							
	CEF		EC/T		AC/T		
Toxicity and Grade	No.	%	No.	%	No.	%	P^*
Patients with toxicity	680	100	688	100	674	100	
Nausea, grade 3/4	34	5.0	98	14.2	37	5.5	< .001
Vomiting, grade 3/4	38	5.6	103	15.0	42	6.2	< .001
Diarrhea, grade 3/4	18	2.7	25	3.6	8	1.2	< .001
Stomatitis, grade 3/4	61	9.0	68	9.9	5	0.7	< .001
Granulocytes, grade 3/4†	412	60.9	379	55.3	287	42.6	< .001
Platelets, grade 3/4†	96	14.1	165	24.1	10	1.5	< .001
Thrombosis, grade 3/4	22	3.2	18	2.6	3	0.5	< .001
Sensory neuropathy, grade 3/4	2	0.3	41	6.0	37	5.5	< .001
Motor neuropathy, grade 3/4	2	0.3	10	1.5	2	0.3	< .001
Febrile neutropenia, grade 3/4	153	22.5	111	16.1	32	4.8	< .001
Decreased LVEF (acute), grade 3/4	3	0.4	2	0.3	2	0.3	.02
Decreased LVEF (delayed), grade 3/4	14	2.1	5	0.7	2	0.3	< .001
Hemoglobin, grade 3/4†	112	16.5	199	29.0	7	1.0	< .001
Acute leukemia	4	0.57	4	0.57	0	0.0	.14

Abbreviations: CEF, cyclophosphamide, epirubicin, and fluorouracil; EC/T, dose-dense epirubicin and cyclophosphamide followed by paclitaxel; AC/T, doxorubicin and cyclophosphamide followed by paclitaxel; LVEF, left ventricular ejection fraction.

*P value based on Fisher's exact test to compare toxicities between the three arms

TRespectively, N for granulocytes are 677, 686, and 674; for platelets, 680, 686, and 674; for delayed decreased LVEF, 670, 682, and 659; for hemoglobin, 680, 687, and 674.

and different doses and schedules of drugs postoperatively. Although much progress has been made, women still develop recurrences.

The results of our trial showed that AC/T was inferior to both CEF and high-dose EC/T in terms of RFS. There are several possible reasons to explain the superiority of CEF over AC/T. The anthracycline was different in the regimens. On an equimolar basis, epirubicin is less myelosuppressive and less cardiotoxic than doxorubicin, with no loss of antitumor efficacy.¹⁴ Thus the total planned cumulative dose and duration of anthracycline in CEF was greater than in AC/T. The two regimens also differed in the total cumulative dose, dose-intensity, and duration of cyclophosphamide. However, previous trials found no advantage with high-dose cyclophosphamide and dose-intensified cyclophosphamide compared with standard-dose cyclophosphamide.^{15,16}

In the EC/T and the AC/T arms, the taxane dose and schedule and the duration of anthracycline were the same. However, the anthracyclines were different, and their total planned cumulative dose in EC/T was greater than in AC/T. Moreover, the EC component of the experimental arm was more dose-dense than the AC component of AC/T. As the planned taxane dose and schedule were the same for the two regimens, this provides further evidence for the benefits of an optimized anthracycline regimen.

Currently, no difference in RFS has been detected between CEF and EC/T; however, there are insufficient events for an appropriate comparison. All patients in the EC/T arm received erythropoietin. Recently, there has been much discussion on the potential for erythropoietin to stimulate tumor growth.¹⁷ In our trial, EC/T efficacy at this follow-up was still superior to AC/T.

In our trial, there was more nausea and vomiting with the EC/T regimen compared with the other two arms. The rate of febrile neutropenia was highest in the CEF arm. Cardiac toxicity as reflected by symptomatic congestive heart failure was low, but was highest in the CEF arm. To date, the rate of leukemia/myelodysplastic syndrome is low and in keeping with the results of other adjuvant chemotherapy trials. As expected, there was more neuropathy with the taxane-containing regimens.

This first analysis of the accumulating data was preplanned. The number of events required for the survival analysis has not been reached, so no formal statistical comparison has been performed. However, the observed number of deaths in each arm is consistent with the RFS.

To date MA21 shows that CEF and EC/T are superior to AC/T in terms of RFS (HR = 1.49 and 1.68, respectively). In the CALGB 9741 trial at a median follow-up of 36 months, the RFS of dose-dense AC/T was superior to 3-weekly AC/T (HR = 1.35).⁵ There are limitations to cross-study comparisons. Nonetheless, using the common comparator of AC/T, the RFS of dose-dense AC/T does not appear superior to that associated with either CEF or EC/T. However, dose-dense AC/T does appear to be less toxic than the two epirubicin regimens in MA21. In the 9741 trial at 69 months median follow-up, the improvement in RFS with dose-dense AC/T compared with 3-weekly AC/T persisted and was statistically significantly, but there was no difference in OS.¹⁸ In a recent Spanish trial in women with node-positive disease, four cycles of fluorouracil, epirubicin, and cyclophosphamide (epirubicin 90 mg/m²) every 3 weeks followed by weekly paclitaxel for 8 weeks was superior to six cycles of fluorouracil, epirubicin, and cyclophosphamide (HR for DFS = 1.3).¹⁹ The cumulative dose of epirubicin was higher in our trial than in the Spanish trial. In the not too distant future, MA21 will have sufficient events to examine whether adding a taxane to a dose-dense epirubicin-containing regimen improves RFS over CEF.

We have previously reported that expression or amplification of HER2 predicted responsiveness to epirubicin-based adjuvant chemotherapy.²⁰ Recently, in a retrospective analysis of the CALGB 9344 trial, HER2 status predicted benefit from the addition of paclitaxel after AC,²¹ but this was not the case in the recent Spanish study.¹⁹ The results of future correlative studies should help optimize anthracycline and taxane regimens by tailoring them to the individual patient.

Currently a number of different chemotherapy regimens with different drugs and schedules are being studied in trials. Dose-dense AC/T is commonly used in practice in the United States and Canada.⁸ The results of our trial support the notion that AC/T administered every 3 weeks is less than optimal. However, it could be argued that the question of the scheduling of drugs in the AC/T regimen is still open. In a recently published trial, women with early breast cancers received four cycles of AC every 3 weeks postoperatively and then in a factorial design were allocated to weekly taxane versus 3-weekly taxane and paclitaxel versus docetaxel.²² The arms that received weekly paclitaxel and 3-weekly paclitaxel, and the DFS of the weekly docetaxel arm was similar to 3-weekly paclitaxel. The weekly paclitaxel arm was also associated with improved survival compared with 3-weekly paclitaxel.

In conclusion, AC/T administered every 3 weeks is significantly inferior to CEF or EC/T in terms of RFS in patients with high-risk operable breast cancer.

AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST

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AUTHOR CONTRIBUTIONS

Conception and design: Margot Burnell, Mark N. Levine, Vivien Bramwell, Barbara Walley, Kathy S. Albain, Edith A. Perez, Hope Rugo, Lois E. Shepherd

Administrative support: Patti O'Brien

Provision of study materials or patients: Margot Burnell, Mark N. Levine, Vivien Bramwell, Karen Gelmon, Barbara Walley, Ted Vandenberg, Haji Chalchal, Kathy S. Albain, Edith A. Perez, Hope Rugo, Kathleen Pritchard

Collection and assembly of data: Judith-Anne W. Chapman, Patti O'Brien, Lois E. Shepherd

Data analysis and interpretation: Margot Burnell, Mark N. Levine, Judith-Anne W. Chapman, Karen Gelmon, Kathy S. Albain, Kathleen Pritchard, Lois E. Shepherd **Manuscript writing:** Margot Burnell, Mark N. Levine, Judith-Anne W. Chapman, Vivien Bramwell, Karen Gelmon, Kathy S. Albain, Edith A. Perez, Kathleen Pritchard, Lois E. Shepherd

Final approval of manuscript: Margot Burnell, Mark N. Levine, Judith-Anne W. Chapman, Vivien Bramwell, Karen Gelmon, Barbara Walley, Ted Vandenberg, Kathy S. Albain, Edith A. Perez, Hope Rugo, Lois E. Shepherd

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