

Real-time observation of the transition from transcription initiation to elongation of the RNA polymerase

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The transition from initiation to elongation of the RNA polymerase (RNAP) is an important stage of transcription that often limits the production of the full-length RNA. Little is known about the RNAP transition kinetics and the steps that dictate the transition rate, because of the challenge in monitoring subpopulations of the transient and heterogeneous transcribing complexes in rapid and real time. Here, we have dissected the complete transcription initiation pathway of T7 RNAP by using kinetic modeling of RNA synthesis and by determining the initiation (IC) to elongation (EC) transition kinetics at each RNA polymerization step using single-molecule and stopped-flow FRET methods. We show that the conversion of IC to EC in T7 RNAP consensus promoter occurs only after 8- to 12-nt synthesis, and the 12-nt synthesis represents a critical juncture in the transcriptional initiation pathway when EC formation is most efficient. We show that the slow steps of transcription initiation, including DNA scrunching/RNAP–promoter rotational changes during 5- to 8-nt synthesis, not the major conformational changes, dictate the overall rate of EC formation in T7 RNAP and represent key steps that regulate the synthesis of full-length RNA.

abortive synthesis | FRET | rate-limiting | T7 RNA polymerase | transcription transition

The recruitment of RNA polymerase (RNAP) to the promoter and isomerization to a competent open complex are important regulatory steps in gene transcription (1, 2). Similarly, the transition from abortive initiation to processive elongation is an essential event of transcription that often dictates the rate at which the full-length RNA is made (3–9). During the abortive initiation phase, the RNAP maintains contacts with the promoter and catalyzes RNA polymerization by scrunching the template DNA (10–13). After a certain length of RNA is made, the RNAP switches transcription from promoter-specific to promoter-independent and processive RNA synthesis. Single-subunit and multisubunit RNAPs switch from the initiation complex (IC) to the elongation complex (EC) by undergoing specific structural rearrangements. For example, the single-subunit T7 RNAP protein undergoes major refolding of its N-terminal domain during EC transition that releases promoter contacts and results in the formation of RNA channel (14, 15). The bacterial RNAP makes this transition by releasing the sigma factor from the promoter (7, 16–19), and in eukaryotic RNAP II, this event is marked by the removal of several transcription factors, including TFIIB, TFIIE, TFIIIF, and TFIIH (20). Although the initiation stage and the transition from IC to EC are major points of regulation that ultimately control gene expression, the rate-limiting steps governing these multistep processes and the kinetics of transition have not been elucidated.

The challenge in studying the kinetic pathway of initiation and the IC-to-EC transition has been the transient and heterogeneous nature of the initially transcribing complexes. Studies of the transient heterogeneous intermediates necessitate the development of rapid and real-time techniques that can monitor the relevant subpopulations in the time period of RNA synthesis. Although

numerous crystal structures of the T7 RNAP complex at various intermediate steps have provided exquisite structural details (13–15, 21, 22), several more of such snapshots will be required to decipher the complete transcription pathway.

To study the kinetics of IC-to-EC transition, we developed single-molecule and stopped-flow FRET assays that monitored the bending and unbending conformational changes in the promoter DNA as the transcription reaction proceeded from initiation to elongation. Structural studies of T7 RNAP have shown that the promoter DNA is highly bent in IC with 2- to 7-nt transcript, whereas the DNA is significantly less bent in the EC (13–15). By placing fluorescent donor and acceptor dyes at critical positions within the promoter DNA (Fig. 1), we were able to structurally resolve the IC and EC species of T7 RNAP by their FRET signatures and quantify the rates of EC formation at each RNA polymerization step. By determining the distributions of IC and EC as a function of reaction time, we measure the kinetics of EC formation in T7 RNAP and show that transition occurs between 8- and 12-nt RNA synthesis. We show that the stage immediately after 12-nt synthesis represents a critical juncture in the transcriptional initiation pathway when EC formation is most efficient. Comparison of the RNA polymerization rates and the EC formation rates reveals that the overall formation of EC is rate-limited by the slow steps of 2-nt synthesis and DNA scrunching/RNAP–promoter rotational conformational changes. Thus, our studies reveal that DNA scrunching during initiation that triggers EC formation in RNAPs represents a key stage that regulates the rate of full-length RNA synthesis.

Results and Discussion

Single-Molecule FRET Monitors Initiation and Elongation Complexes. The consensus T7 promoter carrying a single pair of FRET fluorophores at –4 and +17 positions (with respect to the transcription start site at +1) was immobilized to a quartz slide via biotin–neutravidin link (Fig. 24). After forming the DNA–T7 RNAP complex, an incomplete set of NTPs or NTP plus 3' dNTP was added to initiate transcription and to halt RNA polymerization at selected positions. The FRET values of the halted transcriptional complexes were determined by analyzing single molecules (23, 24). The single-molecule donor (I_D) and acceptor (I_A) fluorescence

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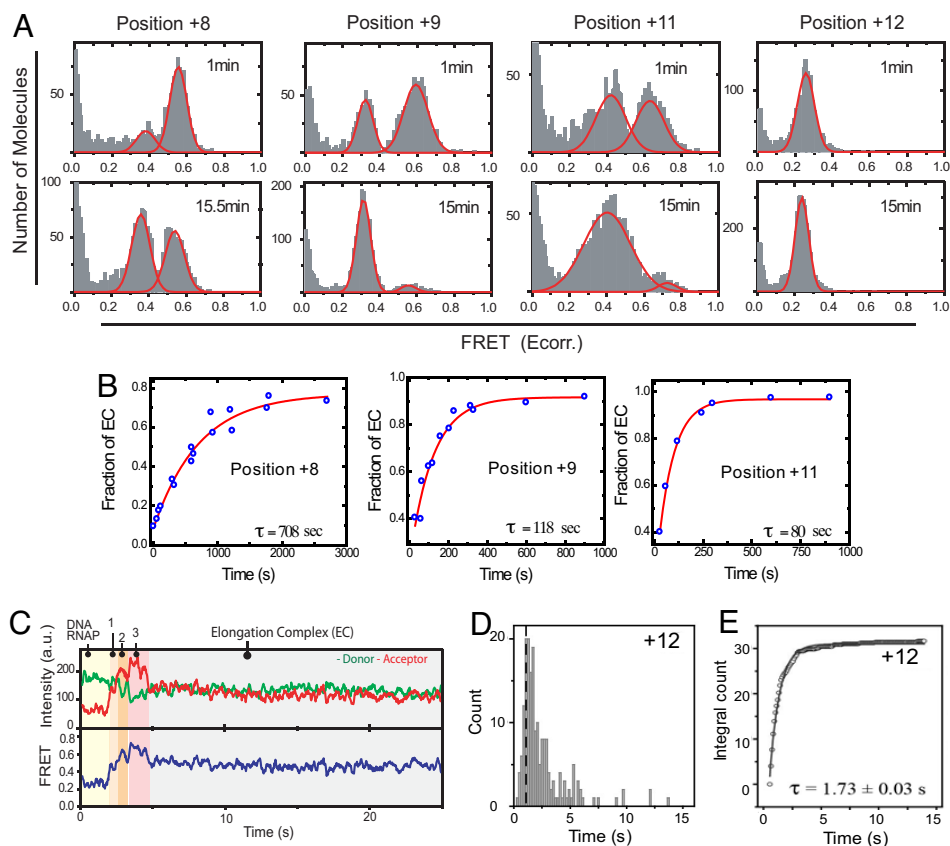


Fig. 3. Kinetics of IC-to-EC transition measured by single-molecule FRET. (A) Distribution of FRET values of the halted complexes 1 min and 15 min after reaction start. Data for +8, +9, and +11 were fitted to two Gaussian distributions (smoothed curves), and data for +12 were fitted to a single distribution to quantify IC and EC fractions. (B) The fraction of EC increases with single-exponential kinetics, with the time of reaction providing the indicated mean τ . (C) Single-molecule FRET time trajectory of transcription reaction halted at +12 measured by using the DNA SM-NT2. (Upper) Time course of donor and acceptor intensities. (Lower) The apparent FRET, E_{appr} , calculated without the γ correction factor in Eq. 1. (D) Dwell times in the high-FRET state (State 3 in C) were determined from >200 molecules. (E) Integrated frequency counts from the selected portion of the dwell time (right half of the dashed line in D) fit well to a single-exponential decay function to yield a mean τ of 1.7 s for EC formation at +12.

min at +9 ($\approx 0.0083 \text{ s}^{-1}$), and ≈ 1.3 min at +11 (0.013 s^{-1}), and it was <1 min at +12 (Fig. 3B).

The relatively fast rate of EC formation after 12-nt synthesis was monitored more accurately by analyzing the real-time FRET trajectories of transcription reactions under single-molecule conditions. In the example trace shown in Fig. 3C, soon after adding NTPs to R-P, the apparent FRET value increased from ≈ 0.3 to ≈ 0.5 (state 1) and to ≈ 0.6 (state 2) until it reached a maximal value of ≈ 0.7 (state 3). After spending a certain amount of time in state 3, the apparent FRET at ≈ 0.7 dropped to ≈ 0.45 abruptly, and it remained such until photobleaching of the acceptor after ≈ 30 s. We propose that the sudden decrease in FRET, which is faster than the time resolution of the measurement, represents the unbending of the promoter due to EC formation. Based on the apparent FRET values of the halted complexes in Fig. S2, we assigned state 1 as IC_{2/3}; state 2 as IC_{4/5}; and state 3 as IC_{5/6 to 8/12}. The mean τ of state 3, therefore, sets the lower limit to how fast EC formation can occur after 12-nt RNA synthesis (Fig. 3D). The dwell time distribution of state 3 was measured, and the slow phase was fit to a single exponential decay function to yield a mean τ of 1.7 s, or the EC formation rate constant of 0.6 s^{-1} (Fig. 3D and E). Similar values of mean τ were obtained for transcription halted at +14 ($\tau = 2.2 \text{ s}$) or +15 ($\tau = 2.3 \text{ s}$; Fig. S3). The results indicate that although the switch from IC to EC starts at +8, the observed rate constant of EC formation is slow during 8- to 11-nt synthesis and increases by ≈ 400 -fold when the RNA reaches a length of 12 nt.

Kinetics of IC-to-EC Transition by Stopped-Flow FRET Measurements. The IC-to-EC transition kinetics were measured under ensemble reaction conditions by using the stopped-flow method. The consensus T7 promoter was labeled with the donor-acceptor (D-A) fluorophore pair at opposing ends of the DNA on -22 nontemplate (NT) and +18 template (T), respectively, to report on global changes in the promoter structure in actively transcribing DNA-RNAP com-

plexes (Fig. 1). Free DNA or DNA-RNAP has little FRET because of the large end-to-end distance of $\approx 140 \text{ \AA}$ in the linear 40-bp DNA (Fig. 4A). The transcriptional complex halted at +6 showed a FRET value of ≈ 0.15 , indicating a significantly shortened D-A distance of $\approx 86 \text{ \AA}$. At +15, the FRET value decreased, indicating movement of the DNA ends away from each other.

In the stopped-flow experiments, R-P was mixed with limiting set of NTPs or NTPs plus 3' dNTP mixture, and donor and acceptor fluorescence changes were measured in real time. At the start, in all of the reactions, a rapid increase in FRET—i.e., donor fluorescence decrease and corresponding increase in acceptor fluorescence—was observed (Fig. 4B and Fig. S4). This early-phase FRET increase is consistent with DNA bending during initiation. Starting at +8 (Fig. 4Bc), a time-dependent decrease in FRET was observed after 1 s of initiating the reactions. This late-phase FRET decrease due to EC formation became more prominent after 9-nt synthesis (Fig. 4Bd), and most efficient in terms of rate and magnitude after 12-nt synthesis (Fig. 4Be).

The stopped-flow kinetic traces were fit to Eqs. 2-5 (SI Text) to determine the rate and magnitude of FRET changes during ongoing transcription and after reactions were halted at various positions. The rate constant of the early-phase FRET increase observed in all of the reactions is consistent with the measured rate constant of transcription initiation (Fig. S5). The early-phase FRET amplitude, however, was low in the RNAP-DNA complex, but it increased progressively to ≈ 0.13 at +5 (Fig. S5), consistent with progressive bending of the DNA during initiation. FRET remained at the high value even after 2 min in the +5 to +7 reactions, but starting at +8, it showed a time-dependent decrease, reaching its minimum value in +12 (Fig. 4C). The kinetics of the late-phase FRET decrease were fit to an exponential function to obtain the following EC formation rate constants: $\approx 1 \times 10^{-3} \text{ s}^{-1}$ at +8 ($\tau = 16 \text{ min}$), $\approx 0.01 \text{ s}^{-1}$ at +9 ($\tau = 1.7 \text{ min}$) and +10, $\approx 0.05 \text{ s}^{-1}$ at +11 ($\tau = 20 \text{ s}$), and $\approx 0.3 \text{ s}^{-1}$ at $\geq +12$ ($\tau = 3 \text{ s}$). Thus, the mean τ of

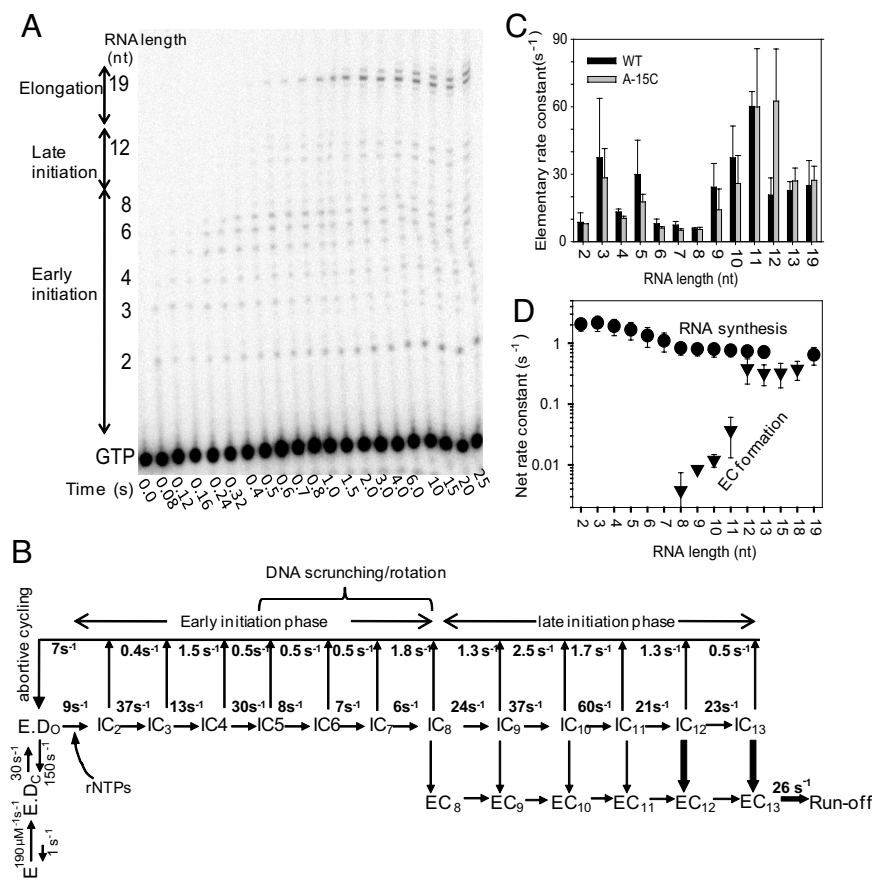


Fig. 5. Kinetics of RNA synthesis. (A) Sequencing gel shows the time course of RNA synthesis (2- to 19-nt runoff) on the consensus T7 promoter (DNA sequence P-22 from refs. 8 and 44). (B) The complete transcription initiation pathway of T7 RNAP with the experimentally determined rate constants for each of the elementary steps. The rate constants for RNAP binding to the T7 consensus promoter and open complex formation were obtained from previous studies (32, 35). The rate constants of RNA synthesis and RNA dissociation were derived from global fit of the RNA-elongation kinetics in A to the model in B (Fig. S8). Efficient EC formation from IC on 12/13-nt RNA is shown in bold arrows. (C) Elementary rate constants of 2- to 19-nt RNA synthesis steps for the consensus promoter (black bars) and the A-15C promoter (gray bars). Error bars represent the range of data from two or more measurements. (D) Net rate constants of making RNA of 2- to 19-nt lengths (circles) and EC formation (triangles) at those translocation steps on the consensus promoter. The net rate constants of EC formation were obtained from the data in Fig. 4D. The plotted values are means \pm SE of measurements of three independent measurements.

from 5–8 nt (12), RNA synthesis steps are slow between 6 and 8 s⁻¹. Curiously, after 8-nt, the RNA synthesis rate constant increased suddenly to ≈ 24 s⁻¹ for the 8→9 step and remained high between 20 and 60 s⁻¹ for the rest of the steps until runoff synthesis (Fig. 5C).

The sudden increase in RNA polymerization rate after 8-nt synthesis coincides with the stage when the specificity loop loses its interactions with the promoter (28, 36). Loss-of-specificity loop interactions could increase the rate of RNA synthesis by removing the barrier for the rotational conformational change, facilitating further elongation of the RNA from 8 nt until EC formation. Thus, the dissection of the initiation pathway of transcription shows that DNA scrunching and RNAP–promoter rotation steps from 5- to 8-nt RNA synthesis are the bottleneck during transcription initiation, responsible for the rate-limiting synthesis of 9- to 12-nt RNAs. The kinetic pathway of A-15C promoter was similarly dissected (Fig. S8A), which showed that even in this altered promoter, the RNA synthesis steps during DNA scrunching and RNAP–promoter rotation stages are the slowest.

To compare the kinetics of RNA synthesis to EC formation, we calculated the net rate constants of RNA synthesis. The synthesis of 2- to 19-nt RNA occurs by a multistep process, and therefore a net rate constant calculated by using Eq. 2 indicates how fast a particular length of RNA is made. The net rate constant of making a 2-nt RNA is ≈ 2 s⁻¹, but it decreases progressively as the RNA gets longer, reaching a value of 0.8 s⁻¹ for the 8 nt and remaining at that value until runoff synthesis (Fig. 5D). These net rate constants of RNA synthesis were compared to the EC formation rate constants at each RNA synthesis step to determine what limits EC formation. The 8-nt RNA is made with a net rate constant of 0.8 s⁻¹, whereas the observed rate constant of EC formation at this position is 0.004 s⁻¹ (Figs. 3B and 4D). Thus, EC formation at +8 is 200-fold slower than making 8-nt RNA. Similar comparison shows that 9- to 11-nt RNAs are made faster than EC formation at those positions.

Hence, EC formation, even though observed between 8 and 11 nt synthesis, is relatively slow and inefficient. On the other hand, EC is formed at +12 (0.3 to 0.6 s⁻¹) as fast as the 12-nt RNA is made (0.7 s⁻¹; Fig. 5D). These results indicate that IC₁₂ readily converts to EC₁₂, whereas IC_{8–11} species do not.

The net rate constants of RNA synthesis on the A-15C promoter were comparable to the consensus promoter (Fig. S8B). Although EC formation was observed earlier in the A-15C promoter, the rate constant of EC formation at +7 (≈ 0.01 s⁻¹) or +8 (≈ 0.15 s⁻¹) was slower than that of 7- or 8-nt synthesis. Only at +9 did the EC formation rate constant (0.4–0.5 s⁻¹) becomes comparable to that of RNA synthesis (Fig. S8B). The results indicate that during active transcription, EC formation primarily occurs at +12 (on the consensus promoter) and at +9 (on the A-15C promoter). Examination of the initiation pathway reveals that EC formation is rate-limited by the slow steps of DNA scrunching and RNAP–promoter rotational steps that bring about the synthesis of 8-nt RNA. We also noted that the overall synthesis rate constant of 12-nt RNA was essentially the same as that of the runoff product, and this implies that the drastic conformational changes associated with transition to EC must be faster than the RNA synthesis rate, or these changes are progressively realized such that they are not rate-limiting.

The conversion from IC to EC is a critical as well as a complex process for all RNAPs. Surprisingly, in T7 RNAP, it is not the rate-limiting step for full-length RNA synthesis. Instead, our studies indicate that full-length RNA production is limited by the efficiency of early-phase initiation and escape from frequent abortive release before the RNA transcript enters the EC-formation phase. Triggering by DNA scrunching has been suggested as the major mechanism behind transition to EC in various model RNAPs (11, 12, 37). We show here that DNA scrunching and rotation of the promoter-interacting domains and the bound upstream promoter

also dictate the observed rate of elongation complex formation in T7 RNAP. These steps would serve as prime targets for differential regulation of transcription and gene expression. Indeed, T7 lysozyme, the negative regulator of T7 transcription, targets the steps of initiation rather than elongation in a promoter strength-dependent manner (38–41).

Materials and Methods

Protein and Oligonucleotides. T7 RNAP was purified as described previously (42, 43). Oligodeoxynucleotides (Integrated DNA Technologies) (Table S1) were gel-purified and labeled with the fluorophores via an aminohexyl linker (C6) as described previously (44).

Single-Molecule FRET Measurements. The experiments were carried out by using a wide-field total internal reflection (TIR) microscopy setup (12) and DNA constructs (SM-NT1, SM-NT2, and a tethering B strand; Table S1), with Cy3 and Cy5 being labeled at –4NT and +17T, respectively. The FRET efficiency, E_{corr} , was calculated from apparent donor and acceptor signals (I_D , I_A) by using Eq. 1,

$$E = \left[1 + \gamma \frac{I_D}{I_A} \right]^{-1} \quad [1]$$

where γ is the ratio of change in average acceptor intensity (ΔI_A) to change in average donor intensity (ΔI_D) before and after acceptor photobleaching (23) (for details, see *SI Text*).

Stopped-Flow FRET Measurements. Real-time simultaneous measurements of donor and acceptor fluorescence intensities were carried out on a T-scheme KinTek stopped-flow instrument (Model 2001) (32) (for details, see *SI Text*).

Transient-State Kinetics of RNA Polymerization and Data Analysis. The kinetics of de novo RNA synthesis were measured at 25 °C by using a rapid chemical-quench flow instrument equipped with a temperature-controlled water bath (KinTek) according to established procedures (44). The time-dependent formation and decay of each RNA product was fit to the transcription model (Fig. 5B) by using the KinTek Explorer program (KinTek) (45).

To determine the net rate of i -nt RNA synthesis ($i \geq 2$), we summed all $\geq i$ -nt products and fit the kinetics to an incomplete gamma function by using a MATLAB-based (Mathworks) global analysis program (*gfit*; <http://gfit.sourceforge.net/>) (Eq. 2).

$$Y = \frac{A}{\int_0^\infty e^{-x} x^{n-1} dx} \int_0^{kt} e^{-x} x^{n-1} dx \quad [2]$$

where Y is the amount of i -nt RNA products summed from all $\geq i$ -nt bands, A is the amplitude of each phase from the fitting, k is the stepping rate, t is reaction time, and n represents the number of steps required by the RNAP to synthesize the RNA product since adding the first nucleotide. The averaged overall rate constant ($k = 1/\tau$) for the polymerase to synthesize i -nt RNA is expressed by $1/\tau = k/n$.

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