# **Experimental and computational aspects of signaling mechanisms of spike-timing-dependent plasticity**

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**STDP** (spike-timing-dependent synaptic plasticity) is thought to be a synaptic **learning rule that embeds spike-timing information into a specific pattern of synaptic strengths in neuronal circuits, resulting in a memory. STDP consists of bidirectional long-term changes in synaptic strengths. This process includes long-term potentiation and long-term depression, which are dependent on the timing of presynaptic and postsynaptic spikings. In this review, we focus on computational aspects of signaling mechanisms that induce and maintain STDP as a key step toward the definition of a general synaptic learning rule. In addition, we discuss the temporal and spatial aspects of STDP, and the requirement of a homeostatic mechanism of STDP in vivo. [DOI: 10.2976/1.3137602]**

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Activity-dependent persistent changes in the strength of synaptic transmission (synaptic strength) are believed to refine neural connections and mediate learning and memory [\(Hebb,](#page-12-0) [1949\)](#page-12-0). STDP (spike-timing-dependent synaptic plasticity) has emerged experimentally as a form of such changes in synaptic strength [\(Magee and](#page-13-0) [Johnston, 1997;](#page-13-0) [Markram](#page-13-1) *et al.*, 1997; [Bi and Poo, 1998;](#page-11-0) Zhang *et al.*[, 1998a\)](#page-14-0). STDP consists of bidirectional longterm changes in synaptic strength, depending on the timing of presynaptic and postsynaptic spikings (prespiking and postspiking). STDP incorporates both persistent increases in synaptic strength [LTP (long-term potentiation)] [Fig.  $1(A)$ ] and persistent decreases in synaptic strength [LTD (long-term depression)] [Fig.  $1(B)$ ]. STDP may serve as a synaptic learning rule that embeds spike-timing information into a specific pattern of synaptic strengths in a neuronal circuit. As such, it has attracted the attention of experimental researchers as well as computational modelers.

Although there are several forms of STDP that vary depending on the types of synapses and neurons involved (for reviews, see [Abbott and Nelson, 2000;](#page-11-1) [Caporale and Dan, 2008\)](#page-11-2), here we focus on the canonical form of STDP because it has been the most extensively studied. The canonical form of STDP is induced by 60–100 repetitive pairings of prespiking and postspiking events at a rate of 0.2–5 Hz (for a review, see [Ca](#page-11-2)[porale and Dan, 2008\)](#page-11-2). tLTP (spiketiming-dependent LTP) is induced when a presynaptic spike is followed by a postsynaptic spike [Fig.  $1(A)$ ]. Conversely, tLTD (spike-timing-dependent LTD) is induced when a postsynaptic spike is followed by a presynaptic spike [Fig.  $1(B)$ ]. The amplitude of both tLTP and tLTD exhibits bidirectional exponential decay as a function of the interval between the prespiking and postspiking events. The range of the

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**Figure 1. A canonical form of STDP.** Reprinted by permission, from Macmillan Publishers Ltd: Nature ([Froemke and Dan, 2002](#page-12-1)), copyright (2002). (A) Repetitive pairings of prespiking→postspiking within a 20 ms interval (20 ms> $T_{\sf post}$ - $T_{\sf pre}$ >0 ms) at 0.2 Hz lead to persistent increase In synaptic strength (LTP). (B) Repetitive pairings of postspiking→prespiking within a 40 ms interval ( −40 ms<*T<sub>post</sub>−T<sub>pre</sub><*0 ms) at 0.2 Hz lead to persistent decrease in synaptic strength (LTD). (C) The critical timing window of STDP. Circles and triangles indicate experimental data (triangles: high  $Ca<sup>2+</sup>$ and  $Mg^{2+}$  with bicuculline), and solid lines are exponential fits to the data. The data are taken from layer II/III neurons in the visual cortex ([Froemke and Dan, 2002](#page-12-1)).

spike interval that leads to tLTP and tLTD is referred to as the "critical timing window" of STDP [Fig.  $1(C)$ ]. Hereafter, prespiking preceding postspiking is denoted as prespiking  $\rightarrow$  postspiking, and postspiking preceding presynaptic spiking as postspiking $\rightarrow$ prespiking.

The canonical form of STDP has been widely observed in the excitatory glutamatergic synapses of the following types of cells: neurons in the visual cortex [\(Sjostrom](#page-14-1) *et al.*, [2001;](#page-14-1) [Froemke and Dan, 2002\)](#page-12-1), neurons in the somatosensory cortex [\(Feldman, 2000;](#page-12-2) [Bender](#page-11-3) *et al.*, 2006), neurons in the corticostriatum [\(Pawlak and Kerr, 2008\)](#page-13-2), cultured hippocampal neurons [\(Bi and Poo, 1998\)](#page-11-0), hippocampal CA3 neurons [\(Debanne](#page-12-3) *et al.*, 1998), neurons in the dorsal cochlear nucleus [\(Tzounopoulos](#page-14-2) *et al.*, 2004), neurons in the retinotectal projection of the *Xenopus laevis* tadpole [\(Zhang](#page-14-0) *et al.*[, 1998a\)](#page-14-0), and neurons in the olfactory system of the locust *Schistocerca americana* [\(Cassenaer and Laurent, 2007\)](#page-11-4). Moreover, plasticity involving a similar critical timing window has been observed in hippocampal CA1 neurons, but with distinct features (Pike *et al.*[, 1999;](#page-13-3) [Wittenberg and](#page-14-3) [Wang, 2006;](#page-14-3) [Carlisle](#page-11-5) *et al.*, 2008). In these cells, it has been found that a distinct form of tLTD can be induced by prespiking  $\rightarrow$  postspiking pairings with a 20 ms interval, and postsynaptic bursting is required to induce tLTP. In addition, STDP has been shown to contribute to acquired brain functions such as direction selectivity in the visual system of the *Xenopus laevis* tadpole [\(Engert](#page-12-4) *et al.*, 2002; [Mu and Poo,](#page-13-4) [2006\)](#page-13-4) and the synchronous flow of olfactory information in the locust *Schistocerca americana* [\(Cassenaer and Laurent,](#page-11-4) [2007\)](#page-11-4).

STDP is regulated by signaling mechanisms that consist of electrophysiological and biochemical activities. The signaling mechanisms of STDP constitute a synaptic learning rule. The synaptic learning rule is a mathematical description of synaptic plasticity, describing when and what neural activities are able to induce changes in synaptic strength and explaining how altered synaptic strengths are stabilized. Because the synaptic learning rule governs the changes in synaptic strengths in a neural circuit that represent learning and memory, a complete description of the synaptic learning rule is essential for understanding the representation of learning and memory in the brain. Biophysical modeling and phenomenological modeling are two approaches to describe the synaptic learning rule. Biophysical modeling is a mathematical description of the signaling mechanisms of STDP, which consist of electrophysiological and biochemical processes. Phenomenological modeling of STDP is a mathematical description of its characteristics as an observed phenomenon, without reference to signaling mechanisms.

STDP can be temporally divided into two processes: *induction* and *maintenance*. Induction is a process in which prespiking and postspiking initiate signaling events, resulting in changes in synaptic strengths. Maintenance occurs after induction, and is the process by which changes in synaptic strengths are stabilized. In this review we describe signaling mechanisms underlying the induction and maintenance of STDP. In addition, we discuss temporal and spatial aspects of STDP. *In vitro* experiments examining STDP typically involve stimulation with spiking events at regular intervals. However, neurons *in vivo* are known to fire spikes irregularly (e.g., [Softky and Koch, 1993\)](#page-14-4), and it is unclear how STDP is induced *in vivo* under natural conditions. Furthermore, the form of STDP differs depending on the location of dendrites [\(Froemke](#page-12-5) *et al.*, 2005; [Letzkus](#page-13-5) *et al.*, 2006), and STDP depends on an interaction between multiple presynaptic inputs located at different parts of dendrites [\(Golding](#page-12-6) *et al.*[, 2002;](#page-12-6) [Letzkus](#page-13-5) *et al.*, 2006; [Sjostrom and Hausser, 2006\)](#page-14-5). Such spatiotemporal aspects must be considered in any realistic discussion of synaptic plasticity *in vivo*.

A number of reviews have been published on the experimental aspects of STDP [\(Linden, 1999;](#page-13-6) [Zucker, 1999;](#page-14-6) [Bi and](#page-11-6) [Wang, 2002;](#page-11-6) [Sjostrom and Nelson, 2002;](#page-14-7) [Johnston](#page-12-7) *et al.*, [2003;](#page-12-7) [Lisman and Spruston, 2005;](#page-13-7) [Dan and Poo, 2006;](#page-12-8) [Du](#page-12-9)[guid and Sjostrom, 2006;](#page-12-9) [Kampa](#page-12-10) *et al.*, 2007; [Letzkus](#page-13-8) *et al.*, [2007;](#page-13-8) [Caporale and Dan, 2008;](#page-11-2) [Larkum and Nevian, 2008;](#page-12-11) [Sjostrom](#page-14-8) *et al.*, 2008) as well as the computational aspects involved [\(Abbott and Nelson, 2000;](#page-11-1) [Worgotter and Porr,](#page-14-9) [2005;](#page-14-9) [Morrison](#page-13-9) *et al.*, 2008; see also reviews in *Biol. Cybern.* 87 (5–6), 2002). At present, however, few reviews have considered both experimental and computational features of STDP together (see [Abbott and Nelson, 2000](#page-11-1) for one exception). As such, in this review we focus on the intersection between experimental investigations and computational modeling of the signaling mechanisms of STDP.

# **I. SIGNALING MECHANISMS OF INDUCTION**

Signaling mechanisms of induction of STDP include a spiketiming detector, which is a signaling function that directly detects the timing between prespiking and postspiking events. Many signaling activities have been identified as essential for STDP, but not all would be expected to be involved in spike-timing detection. Although several candidate spike-timing detectors have been proposed (for a review, see [Caporale and Dan, 2008\)](#page-11-2), the mechanism underlying this spike-timing detection remains to be elucidated. Because the properties of a spike-timing detector would determine the form of STDP, its characterization is required for a complete description of STDP as a synaptic learning rule.

### **A. Signaling mechanisms of induction of tLTP**

As described above, tLTP is induced by repetitive pairings of prespiking  $\rightarrow$  postspiking events within a 20 ms interval. Prespiking triggers neurotransmitter release from presynaptic terminals, whereas postspiking triggers a bpAP (backpropagating action potential) that travels back into the dendrites [\(Spruston](#page-14-10) *et al.*, 1995). Glutamate, which is a neurotransmitter released in response to prespiking, activates *N*-methyl-*D*-aspartate receptors (NMDARs), and mediates a  $Ca^{2+}$  influx to postsynaptic spines via the channel activity of NMDARs. Because of the timing of prespiking  $\rightarrow$  postspiking, the activation of the NMDARs coincides with a bpAP. The simultaneous bpAP removes the voltagedependent  $Mg^{2+}$  block of the NMDAR channels, and NM-DAR activation leads to a larger  $Ca^{2+}$  influx via NMDAR channels than would be induced by prespiking alone [\(Koester and Sakmann, 1998;](#page-12-12) [Schiller](#page-13-10) *et al.*, 1998). Therefore, the NMDAR possesses properties, which enable it to function as a detector for the timing of prespiking  $\rightarrow$  postspiking. In the spines, Ca<sup>2+</sup> binds to calmodulin, producing  $Ca^{2+}/calmodulin$ .  $Ca^{2+}/calmodulin$  activates multiple kinases including CaMKII ( $Ca^{2+}/cal$ realmodulin-dependent protein kinase II, see below; Wang *et al.*[, 2005;](#page-14-11) [Tzounopoulos](#page-14-12) *et*  $al$ [, 2007\)](#page-14-12) and PKA (protein kinase A) via  $Ca^{2+}$ -dependent adenylyl cyclase (Seol *et al.*[, 2007\)](#page-14-13). These kinases regulate  $\alpha$ -amino-3-hydoxy-5-methyl-4-isoxazolepropionic acid receptors (AMPARs) (Seol *et al.*[, 2007\)](#page-14-13) by increasing AMPAR conductance [\(Benke](#page-11-7) *et al.*, 1998), and/or the delivery of AM-PARs to postsynaptic sites [\(Hayashi](#page-12-13) *et al.*, 2000). Computational models of tLTP induction have been developed based on the signaling mechanisms described above [\(Karmarkar](#page-12-14) [and Buonomano, 2002;](#page-12-14) [Shouval](#page-14-14) *et al.*, 2002).

The timing detection of prespiking $\rightarrow$  postspiking by NMDARs involves several mechanisms. The voltagedependent removal of the Mg<sup>2+</sup> block of NMDAR channels is facilitated by a boost in the amplitudes of bpAPs induced by stimulation with prespiking→postspiking [\(Hoffman](#page-12-15) *et al.*[, 1997;](#page-12-15) [Magee and Johnston, 1997;](#page-13-0) [Watanabe](#page-14-15) *et al.*, 2002; [Sjostrom and Hausser, 2006\)](#page-14-5) occurring within a 20 ms interval [\(Migliore](#page-13-11) *et al.*, 1999; [Stuart and Hausser, 2001\)](#page-14-16). This, in turn, leads to a larger  $Ca^{2+}$  influx, leading to a timing window in the response range of tLTP (20 ms). The boost of bpAP is mediated by fast  $Na<sup>+</sup>$  channels in the neocortex [\(Stuart and](#page-14-16) Hausser,  $2001$ ), and by A-type K<sup>+</sup> channels in hippocampal CA1 neurons [\(Hoffman](#page-12-15) *et al.*, 1997; [Watanabe](#page-14-15) *et al.*, 2002).  $Mg^{2+}$ , in addition to providing a voltage-dependent block, also reduces the affinity of the NMDAR for glutamate and reduces the open probability of the NMDAR channels. This also contributes to producing the timing window of tLTP within a 20 ms interval [\(Kampa](#page-12-16) *et al.*, 2004). Together, these mechanisms could lead to a consistently larger  $Ca^{2+}$  influx via NMDARs by causing prespiking→postspiking within a 20 ms interval (see also [Fuenzalida](#page-12-17) *et al.*, 2007). The function of these mechanisms, however, may vary depending on the dendritic branching pattern and dendritic location involved. These have been demonstrated by computer simulations [\(Schaefer](#page-13-12) *et al.*, 2003; [Urakubo](#page-14-17) *et al.*, 2004). Experimental work has shown that pairings of prespiking $\rightarrow$ single postspiking events at some synapses do not induce tLTP and that a burst of postspiking is required (Pike *et al.*[, 1999;](#page-13-3) [Sjos](#page-14-1)trom *et al.*[, 2001;](#page-14-1) [Watanabe](#page-14-15) *et al.*, 2002; [Kampa](#page-12-18) *et al.*, 2006; [Nevian and Sakmann, 2006;](#page-13-13) [Wittenberg and Wang, 2006\)](#page-14-3). This may be because a burst of postspiking is sufficient to remove the  $Mg^{2+}$  block of NMDAR channels for tLTP, whereas single postspiking is not [\(Nevian and Sakmann,](#page-13-13) [2006\)](#page-13-13).

### **B. Signaling mechanisms of induction of tLTD**

The signaling mechanisms of the induction of tLTD are relatively unclear in comparison with those of tLTP, but evidence has emerged suggesting that at least two alternative signaling mechanisms are involved in its induction. This results in two forms of tLTD: a form of postsynaptic NMDAR-dependent tLTD [\(Froemke and Dan, 2002;](#page-12-1) [Corlew](#page-11-8) *et al.*, 2007; [Ura](#page-14-18)kubo *et al.*[, 2008\)](#page-14-18) and a form of postsynaptic NMDARindependent tLTD [\(Sjostrom](#page-14-19) *et al.*, 2003; [Bender](#page-11-3) *et al.*, [2006;](#page-11-3) [Nevian and Sakmann, 2006;](#page-13-13) [Corlew](#page-11-8) *et al.*, 2007; [Rodriguez-Moreno and Paulsen, 2008\)](#page-13-14). These two distinct signaling mechanisms of tLTD induction depend on the developmental stage involved [\(Corlew](#page-11-8) *et al.*, 2007) and are likely to depend on the types of synapses [\(Tzounopoulos](#page-14-12) *et al.*[, 2007;](#page-14-12) [Brasier and Feldman, 2008\)](#page-11-9) and neurons involved (for a review, see [Corlew](#page-11-10) *et al.*, 2008). Biophysical models have been proposed to explain the mechanisms of both the postsynaptic NMDAR-dependent form [\(Karmarkar and](#page-12-14) [Buonomano, 2002;](#page-12-14) [Shouval](#page-14-14) *et al.*, 2002; Rubin *et al.*[, 2005;](#page-13-15) [Kubota and Kitajima, 2008;](#page-12-19) [Urakubo](#page-14-18) *et al.*, 2008) and the postsynaptic NMDAR-independent form of tLTD [\(Kar](#page-12-14)[markar and Buonomano, 2002\)](#page-12-14).

Postsynaptic NMDAR-dependent tLTD. tLTD occurs at synapses in layer II/III in the visual cortex and is dependent on postsynaptic NMDAR activity [\(Froemke](#page-12-5) *et al.*, 2005; [Corlew](#page-11-8) *et al.*, 2007; [Urakubo](#page-14-18) *et al.*, 2008). Within a 40 ms postspiking→prespiking interval, the preceding bpAP caused by postspiking suppresses subsequent NMDAR activation caused by prespiking [\(Froemke](#page-12-5) *et al.*, 2005). The suppression of NMDAR activation leads to a reduced  $Ca^{2+}$  influx via NMDAR channels, compared with when prespiking occurs alone [\(Koester and Sakmann, 1998;](#page-12-12) [Nevian and Sak](#page-13-16)[mann, 2004\)](#page-13-16). This reduced  $Ca^{2+}$  influx activates phosphatases such as calcineurin (Wang *et al.*[, 2005\)](#page-14-11) and PP1 (protein phosphatase 1) (for a review, see [Lisman \(2001\)\)](#page-13-17) without activating kinases. Evidence has emerged that the activation of phosphatases can lead to the induction of postsynaptically-expressed tLTD (Seol *et al.*[, 2007;](#page-14-13) [Pawlak](#page-13-2) [and Kerr, 2008\)](#page-13-2) via the following processes: a decrease in AMPAR conductance via dephosphorylation (Lee *[et al.](#page-12-20)*, [1998;](#page-12-20) Banke *et al.*[, 2000\)](#page-11-11), removal of AMPARs from the postsynaptic sites [\(Ashby](#page-11-12) *et al.*, 2004), and internalization of AMPARs by endocytosis [\(Beattie](#page-11-13) *et al.*, 2000; Lee *[et al.](#page-13-18)*, [2002;](#page-13-18) for a review, see [Cousin and Robinson, 2001\)](#page-11-14). Postsynaptic NMDAR-dependent tLTD has been modeled on the basis of these signaling mechanisms [\(Urakubo](#page-14-18) *et al.*, [2008\)](#page-14-18).

The amplitude of postsynaptic NMDAR-dependent tLTD is correlated with the suppression of NMDAR activation [\(Froemke](#page-12-5) *et al.*, 2005). This suggests that the detection of postspiking→prespiking timing for postsynaptic NMDARdependent tLTD is achieved by NMDAR suppression. This suppression requires the activity of postsynaptic  $Ca^{2+}$ , voltae-gated calcium channels (VGCCs), and calcineurin [\(Froemke](#page-12-5) *et al.*, 2005).  $Ca^{2+}$  binds to calmodulin and activates calcineurin. The Ca<sup>2+</sup>/calmodulin and active calcineurin then interact with the intracellular domains of NM-DARs, which negatively regulate NMDAR activation [\(Tong](#page-14-20) *et al.*[, 1995;](#page-14-20) [Ehlers](#page-12-21) *et al.*, 1996; Zhang *et al.*[, 1998b;](#page-14-21) [Krupp](#page-12-22) *et al.*[, 1999;](#page-12-22) [Umemiya](#page-14-22) *et al.*, 2001; [Rycroft and Gibb, 2002,](#page-13-19) [2004\)](#page-13-20). Importantly, the decay time-course of the  $Ca^{2+}$  influx via VGCCs is consistent with the timing window of tLTD (for a review, see [Helmchen, 2002\)](#page-12-23). Therefore, the detection of postspiking→prespiking timing for tLTD can be achieved by the following signaling cascade: VGCC activation leads to

an increase in intracellular  $Ca^{2+}$  and a subsequently increased level of active calmodulin/calcineurin, which suppresses NMDAR activation.

We modeled the mechanism by which  $Ca^{2+}/cal$ calmodulin, produced by  $Ca^{2+}$  influx via VGCCs, suppresses NMDARs [\(Urakubo](#page-14-18) *et al.*, 2008) and found that both prespiking and postspiking induce a  $Ca^{2+}$  influx via both NMDARs and VGCCs, respectively.  $Ca^{2+}$  influx via both NMDARs and VGCCs similarly suppresses NMDARs, and changes in synaptic strengths are the same regardless of spike timing [\(Ura](#page-14-18)kubo *et al.*[, 2008\)](#page-14-18). This indicates that an additional mechanism is required for timing detection, by which a  $Ca^{2+}$  influx from VGCCs (but not from NMDARs) suppresses NM-DARs. We have proposed that an allosteric kinetics of NM-DARs, in which glutamate binding and calmodulin binding differ depending on the order of their binding, provides such a mechanism [see [Urakubo](#page-14-18) *et al.*, 2008 and Fig. [2\(A\)\]](#page-4-0). We supposed that a  $Ca^{2+}$  influx suppresses glutamate-unbound NMDARs, but not glutamate-bound NMDARs. This would mean that a  $Ca^{2+}$  influx via VGCCs, but not via NMDARs, could suppress NMDARs with the timing of postspiking  $\rightarrow$  prespiking. This would lead to a reduced Ca<sup>2+</sup> influx via NMDAR channels, and thus to tLTD. In the model incorporating the allosteric kinetics, NMDARs were successfully suppressed only by postspiking→prespiking within a 40 ms interval, but not by other timing intervals [Figs. [2\(B\)](#page-4-0) and [2\(C\)\]](#page-4-0). This leads to a timing window in the response range of tLTD, and our model successfully reproduced the canonical form of STDP observed experimentally [\(Froemke and Dan,](#page-12-1) [2002\)](#page-12-1) [Fig. [2\(D\)\]](#page-4-0). Surprisingly, without parameter tuning, our model was also able to precisely reproduce synaptic plasticity induced by complex spike patterns, such as spike triplets, spike quadruplets, spike bursts, and spike pairs with various frequencies [Figs. [2\(E\)](#page-4-0) and [2\(F\)\]](#page-4-0) [\(Urakubo](#page-14-18) *et al.*, [2008\)](#page-14-18). Moreover, the changes in the kinetics of NMDARs induced by postspiking→prespiking stimulation observed in experimental studies is consistent with the allosteric kinetics of NMDARs in our model [\(Urakubo](#page-14-18) *et al.*, 2008). Overall, the results of our model indicate that the allosteric kinetics of NMDARs (or similar mechanisms) can function as a spike-timing detector in STDP.

The proposal that the mechanism of tLTD involves the allosteric kinetics of NMDARs still requires additional experimental support, and there are several caveats to the hypothesis. First, the allosteric kinetics of NMDARs have not been tested experimentally. Second, the hypothesis involves the assumption that timing detection depends on postsynaptic Ca<sup>2+</sup>. Although there is evidence that postsynaptic Ca<sup>2+</sup> is required for the suppression of NMDARs, we cannot exclude the possibility that postsynaptic  $Ca^{2+}$  is merely a prerequisite condition for NMDAR suppression by postspiking [\(Froemke](#page-12-5) *et al.*[, 2005\)](#page-12-5). Third, although the partial blockage of NM-DAR activation by pharmacological agents induces LTD, the suppression of NMDARs via postspiking → prespiking may

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Figure 2. Allosteric kinetics of NMDARs and synaptic plasticity induced by spike triplets. [(A), (C), (D), and (E)] Adapted with permission of the Society for Neuroscience ([Urakubo](#page-14-18) *et al.*, 2008), copyright (2008). (A) Hypothesis of the allosteric kinetics of NMDARs as a spike-timing detector ([Urakubo](#page-14-18) *et al.*, 2008). Ca<sup>2+</sup>/calmodulin binds to a glutamate-unbound NMDAR more rapidly or strongly than to a glutamate-bound NMDAR. (B) Ca<sup>2+</sup> time courses by prespiking → postspiking (left, black) and postspiking → prespiking (right, black) with the allosteric kinetics. Ca $^{2+}$  increase is given primarily by Ca $^{2+}$  influx via NMDAR channels and secondarily by Ca $^{2+}$  influx via VGCCs. Gray traces indicate Ca<sup>2+</sup> time courses by prespiking alone. (C) Spike-timing dependency of mean and maximum Ca<sup>2+</sup> concentration with the allosteric kinetics. Ca<sup>2+</sup> increase is primarily given by Ca<sup>2+</sup> influx via NMDAR channels. (D) The critical timing window of STDP with the allosteric kinetics. Synaptic plasticity induced by spike triplets (E) in the model with the allosteric kinetics ([Urakubo](#page-14-18) *et al.*, 2008) and (F) in experiments ([Froemke and Dan, 2002](#page-12-1)). Reprinted by permission, from Macmillan Publishers Ltd: Nature (Froemke and Dan, 2002), copyright (2002). In the left panels (pre-1 and post-2 triplets),  $t_1$  indicates the spike interval of the first postspiking event and the prespiking event, and  $t_2$  indicates the spike interval of the second postspiking event and the prespiking event. In the right panels (pre-2 and post-1 triplets),  $t_{\rm 1}$  indicates the spike interval of the first prespiking event and the postspiking event, and  $t<sub>2</sub>$  indicates the spike interval of the postspiking event and the second prespiking event.

not be related to tLTD [\(Froemke](#page-12-5) *et al.*, 2005; [Urakubo](#page-14-18) *et al.*, [2008\)](#page-14-18). Further study is necessary before we can conclude that the mechanism of postspiking $\rightarrow$  prespiking timing detection in tLTD involves the allosteric kinetics of NMDARs. However, the finding that our model was able to make accurate predictions about synaptic plasticity induced by complex spike patterns implicates this mechanism, or one that is functionally similar, as a timing detection mechanism in tLTD.

A similar hypothesis for postsynaptic NMDARdependent tLTD has been proposed in biophysical models of STDP for hippocampal CA1 neurons [\(Karmarkar and](#page-12-14)

[Buonomano, 2002;](#page-12-14) [Shouval](#page-14-14) *et al.*, 2002). These models capture the characteristics of tLTD in hippocampal CA1 neurons, including the additional tLTD induced by the pairings of prespiking  $\rightarrow$  postspiking with a 20 ms interval [\(Nish](#page-13-21)iyama *et al.*[, 2000;](#page-13-21) [Wittenberg and Wang, 2006\)](#page-14-3). However, one study has shown that tLTD in hippocampal CA1 neurons is insensitive to NMDARs but is sensitive to mGluRs (metabotropic glutamate receptors) [\(Normann](#page-13-22) *et al.*, 2000). Further experiments are required to determine whether tLTD in hippocampal CA1 neurons is dependent on postsynaptic NMDAR activity.

Postsynaptic NMDAR-independent tLTD. At some other glutamate synapses, including those in the somatosensory cortex [\(Bender](#page-11-3) *et al.*, 2006; [Nevian and Sakmann, 2006;](#page-13-13) [Rodriguez-Moreno and Paulsen, 2008\)](#page-13-14) and the visual cortex [\(Sjostrom](#page-14-19) *et al.*, 2003; [Corlew](#page-11-8) *et al.*, 2007), it has been found that tLTD is insensitive to postsynaptic NMDARs. Such postsynaptic NMDAR-independent tLTD is sensitive to mGluRs [\(Bender](#page-11-3) *et al.*, 2006; [Nevian and Sakmann, 2006\)](#page-13-13), VGCCs [\(Bender](#page-11-3) *et al.*, 2006), cannabinoid receptors [\(Sjos](#page-14-19)trom *et al.*[, 2003;](#page-14-19) [Bender](#page-11-3) *et al.*, 2006; [Nevian and Sakmann,](#page-13-13) [2006\)](#page-13-13), and presynaptic NMDARs [\(Rodriguez-Moreno and](#page-13-14) [Paulsen, 2008\)](#page-13-14). At these synapses, prespiking leads to mGluR activation, and mGluRs activate G-protein coupled signaling mechanisms, resulting in PLC (phospholipase C) and  $IP_3R$  (inositol trisphosphate receptors) activations [\(Bender](#page-11-3) *et al.*, 2006; [Nevian and Sakmann, 2006\)](#page-13-13). Postspiking, in turn, leads to a  $Ca^{2+}$  influx via VGCCs, and  $Ca^{2+}$  positively regulates PLC and IP3R activations [\(Hashimotodani](#page-12-24) *et al.*[, 2005;](#page-12-24) [Bender](#page-11-3) *et al.*, 2006; [Nevian and Sakmann, 2006\)](#page-13-13). The activation of these molecules leads to the release of retrograde messengers (e.g., endocannabinoids) from postsynaptic to presynaptic neurons [\(Sjostrom](#page-14-19) *et al.*, 2003; [Bender](#page-11-3) *et al.*[, 2006;](#page-11-3) [Nevian and Sakmann, 2006\)](#page-13-13). This retrograde signal decreases the probability of presynaptic neurotransmitter release in concert with presynaptic NMDA autoreceptors [\(Rodriguez-Moreno and Paulsen, 2008\)](#page-13-14), resulting in tLTD [\(Sjostrom](#page-14-19) *et al.*, 2003; [Bender](#page-11-3) *et al.*, 2006; [Corlew](#page-11-8) *et al.*[, 2007\)](#page-11-8). In the somatosensory cortex, tLTD is induced by the pairings of postspiking  $\rightarrow$  prespiking, even when the Ca<sup>2+</sup> influx exceeds the threshold for tLTP [\(Nevian and Sakmann,](#page-13-13) [2006\)](#page-13-13). This may be because tLTD counteracts tLTP under these conditions. Endocannabinoids [\(Sjostrom](#page-14-19) *et al.*, 2003) and PLCB [\(Hashimotodani](#page-12-25) *et al.*, 2007) have both been proposed as possible spike-timing detectors for postsynaptic NMDAR-insensitive tLTD. Further study is necessary to address how these molecules discriminate the timing between prespiking and postspiking.

#### **II. SIGNALING MECHANISMS OF MAINTENANCE**

Although stimulation for STDP is transient, changes in synaptic strengths such as postsynaptic AMPAR accumulation and increased neurotransmitter release probability are maintained over hours. This indicates that mechanisms of maintenance must exist by which the changed synaptic strengths are stabilized. The maintenance of plasticity can be generally categorized into early and late phases (for reviews, see [Malenka and Bear, 2004](#page-13-23) and [Blitzer, 2005\)](#page-11-15). The late-phase of maintenance requires gene transcription and protein synthesis. The early phase of maintenance, where the amplitude of changed synaptic strengths initially becomes stable, is likely to be regulated by signaling molecules. Because signaling mechanisms of STDP maintenance have not been extensively investigated, here we focus on the signaling mechanisms of the maintenance of conventional LTP and LTD, which may be similar to those of STDP.

An additional important question regarding the signaling mechanisms of STDP maintenance is whether the amplitude of tLTP and tLTD are graded or binary. The characteristics of graded and binary responses differ substantially; graded responses are continuous and fragile against noise, whereas binary responses are discrete and robust against noise. The canonical form of STDP observed experimentally suggests that tLTP and tLTD must be graded. Some experimental results, however, suggest that LTP is binary [\(Petersen](#page-13-24) *et al.*, 1998; [O'Connor](#page-13-25) *et al.*, 2005). This inconsistency may be reconciled if the amplitudes of graded tLTP and tLTD consist of an ensemble of binary tLTP or tLTD. This issue is important because the different characteristics between graded and binary responses would be expected to lead to substantial differences in the way that memory is stored in the brain. Here, we summarize a possible mechanism of the early phase of maintenance and discuss whether tLTP and tLTD are graded or binary.

# **A. Signaling mechanisms and binary or graded features of maintenance**

The persistent phosphorylation of CaMKII, which leads to persistent changes in synaptic strength, has been proposed as one of the mechanisms of the early phase of maintenance of LTP [\(Okamoto and Ichikawa, 2000;](#page-13-26) [Zhabotinsky, 2000;](#page-14-23) [Lis](#page-13-27)[man and Zhabotinsky, 2001\)](#page-13-27). In this theory, the persistent phosphorylation of CaMKII results from the bistablity of CaMKII phosphorylation. CaMKII is a holoenzyme composed of 12 subunits, and  $Ca^{2+}/c$ almodulin-bound subunits cooperatively phosphorylate adjacent subunits [Fig. [3\(A\)\]](#page-6-0). This autophosphorylation of the CaMKII holoenzyme generates two stable steady states: a predominantly unphosphorylated state and an almost fully phosphorylated state [Fig.  $3(B)$ ]. If LTP induction stimulation triggers a Ca<sup>2+</sup> increase and  $Ca<sup>2+</sup>$  exceeds a certain threshold, CaMKII is changed from an unphosphorylated state to an almost fully phosphorylated state and remains persistently phosphorylated after the stimulation is removed [Fig.  $3(C)$ ]. Moreover, evidence has emerged suggesting that the bistable phosphorylation of CaMKII could be an underlying mechanism of depotentiation, which is the reversible transition of a synapse from a potentiated state to a naïve state by LTD stimulation [\(Hesse](#page-12-26) [and Teyler, 1976;](#page-12-26) Lee *et al.*[, 2000;](#page-13-28) [Huang](#page-12-27) *et al.*, 2001; [Zhou](#page-14-24) *et al.*[, 2003;](#page-14-24) [Graupner and Brunel, 2007;](#page-12-28) [Pi and Lisman,](#page-13-29) [2008\)](#page-13-29). The modest  $Ca^{2+}$  increase induced by LTD stimulation leads to PP1 activation. PP1, in turn, transiently erases the upper stable steady state of CaMKII phosphorylation. This causes the transition of CaMKII phosphorylation from the almost fully phosphorylated state to the unphosphorylated state, resulting in depotentiation. The results of the experiments regarding CaMKII bistability are equivocal. Some

<span id="page-6-0"></span>

**Figure 3. The possible roles of CaMKII and AMPAR clustering in maintenance of LTP and LTD. [(A)–(C)] CaMKII bistability ([Lisman and](#page-13-27)** [Zhabotinsky, 2001](#page-13-27)). (A) CaMKII is composed of 12 subunits, and the subunits that bind to Ca<sup>2+</sup>/calmodulin can phosphorylate neighboring Ca<sup>2+</sup>/calmodulin-bound subunits (1). Once a subunit is phosphorylated, the subunit can phosphorylate neighboring Ca<sup>2+</sup>/calmodulin-bound subunits regardless of whether the subunit binds to  $Ca^{2+}/c$ almodulin (2). The phosphorylated subunits are dephosphorylated by PP1 (3). (B) The cooperative phosphorylation mechanism makes three balanced states in rates of phosphorylation (red line) and dephosphorylation (blue line). One is unstable (open circle) and two are stable (filled circles). (C) Transient increase (40 s) of Ca<sup>2+</sup>/calmodulin as LTP stimulation (arrow) leads to transition of CaMKII from the unphosphorylated stable steady state to the fully phosphorylated stable steady state, depending on the amplitudes of the stimulation. The simulation is based on the simple CaMKII model by [Dupont](#page-12-31) *et al.* (2003). [(d) and (e)] AMPAR clustering ([Shouval, 2005](#page-14-25)). Reproduced with permission, *Proceedings of National Academy of Sciences, U.S.A.*, copyright (2005). (D) Insertion rate of new AMPARs to grids in a lattice space (right panel) is computed from present occupation state of AMPARs (gray, left panel) and the probability of inserting a new AMPAR around an AMPAR-occupied grid (center panel). In the center panel, white grids denote the higher probability of inserting a new AMPAR. Convolution operator (\*) denotes that the insertion probability of an AMPAR (center panel) is applied to all AMPAR-occupied grids (right panel). (E) The AMPAR dynamics keeps the AMPAR number stable after an increase and decrease by transient stimulation, which corresponds to LTP and LTD (left and right, respectively). One unit time is the mean dwell time of an AMPAR in the lattice space.

experiments support the bistability of CaMKII [\(Fukunaga](#page-12-29) *et al.*[, 1993;](#page-12-29) Barria *et al.*[, 1997;](#page-11-16) [Sanhueza](#page-13-30) *et al.*, 2007), whereas others do not [\(Malinow](#page-13-31) *et al.*, 1989; [Otmakhov](#page-13-32) *et al.*, 1997; Lee *et al.*[, 2009\)](#page-13-33). Further study is necessary to draw firm conclusions about the existence and role of CaMKII bistability in the early phase of the maintenance of LTP.

Although a single holoenzyme of CaMKII can show bistable phosphorylation, an entire population of CaMKII holoenzymes in a postsynaptic spine can show either bistable or graded phosphorylation. The results of a recent experiment suggest the existence of interholoenzyme autophosphorylation of CaMKII (Rose *et al.*[, 2009\)](#page-13-34). This autophosphorylation between holoenzymes results in the bistable phosphorylation of an entire population of CaMKII molecules. On the other hand, other experiments have not found interholoenzyme autophosphorylation of CaMKII to occur [\(Hanson](#page-12-30) *et al.*, 1994). If interholoenzyme autophosphorylation does not take place, the phosphorylation state of each holoenzyme could be different, resulting in the graded phosphorylation of a population of CaMKII molecules in a spine. Note that CaMKII phosphorylation is known to be ultrasensitive to  $Ca^{2+}$  stimulation [\(Bradshaw](#page-11-17) *et al.*, 2003). Therefore, even without interholoenzyme autophosphorylation, a population of CaMKII molecules can show binary (all-or-none) phosphorylation. Therefore, if LTP does indeed rely on CaMKII phosphorylation (for a review, see [Lisman](#page-13-35) *et al.*, [2002\)](#page-13-35), LTP is likely to be binary.

As another possible mechanism of the early phase of the maintenance of LTP and LTD, a computational model has demonstrated that an interaction between AMPARs associated with PSD (postsynaptic density) and free AMPARs can produce graded and stable AMPAR accumulations at the PSD [\(Shouval, 2005\)](#page-14-25). In this model, the PSD is assumed to be a simple lattice space, which can anchor AMPARs. AM-PARs are inserted into vacant grids of the lattice at a rate that follows a function in which the insertion rate of an AMPAR into a grid in the vicinity of other AMPARs is larger than that for a grid isolated from other AMPARs [Fig.  $3(D)$ ]. The inserted AMPARs are removed from the grids at a constant rate. With these assumptions, the AMPAR number is kept stable even after an increase or decrease in the AMPAR number caused by transient stimulation [Fig.  $3(E)$ ]. The AMPAR number at the PSD is constant regardless of dynamic AM-PAR trafficking, and AMPARs are transiently trapped by the PSD. Both of these features of the model are consistent with experimental observation [\(Ehlers](#page-12-32) *et al.*, 2007). According to this model, LTP and LTD can be graded.

Several additional hypotheses for the maintenance of LTP or LTD have been proposed. A modeling study has suggested that a two-step kinase and phosphatase cycle of AM-PARs can result in bistability in AMPAR number at the PSD. This would lead to binary LTD [\(Hayer and Bhalla, 2005\)](#page-12-33). Another modeling study has revealed that a kinase and phosphatase cycle can enable the maintenance of graded LTP and LTD, if the kinase and phosphatase are activated by stimulation with high Hill coefficients  $(\geq 4)$ , and if the reactions are negligible in the basal state [\(Delord](#page-12-34) *et al.*, 2007). In addition, one modeling study has revealed that autophosphorylation of PP2A (protein phosphatase 2 A) can function as a bistable switch that could underlie LTD [\(Pi and Lisman, 2008\)](#page-13-29). The existence of this switch would allow binary LTD to take place.

Recent experiments have revealed that synaptic plasticity is associated with structural plasticity of postsynaptic spines. Changes in spine structure are bidirectional, with stimuli that induce LTP causing spine enlargement [\(Matsuzaki](#page-13-36) *et al.*, [2004;](#page-13-36) [Nagerl](#page-13-37) *et al.*, 2004; [Okamoto](#page-13-38) *et al.*, 2004; [Yang](#page-14-26) *et al.*, [2008\)](#page-14-26) and with stimuli that induce LTD causing spine shrinkage [\(Nagerl](#page-13-37) *et al.*, 2004; [Okamoto](#page-13-38) *et al.*, 2004; [Zhou](#page-14-27) *et al.*[, 2004;](#page-14-27) Wang *et al.*[, 2007\)](#page-14-28). Spine enlargement occurs in a graded manner [\(Okamoto](#page-13-38) *et al.*, 2004) and seems to be necessary for LTP induction [\(Matsuzaki](#page-13-36) *et al.*, 2004; [Okamoto](#page-13-39) *et al.*[, 2007;](#page-13-39) Yang *et al.*[, 2008\)](#page-14-26). Spine shrinkage shares mechanisms with LTD induction (Zhou *et al.*[, 2004\)](#page-14-27) but seems to have less of a direct causal link to LTD induction (Yang *et al.*[, 2008\)](#page-14-26).

No biophysical models have been proposed for the maintenance of presynaptically expressed LTP and LTD, because the underlying molecular mechanisms are poorly understood. The mechanisms of maintenance of presynaptically expressed LTP and LTD may involve actin polymerization and depolymerization (for a review, see [Cingolani and Goda,](#page-11-18) [2008\)](#page-11-18). There are currently no experiments that provide an answer to the question of whether presynaptically expressed synaptic plasticity is binary or graded. Further study is required to explore the mechanisms of maintenance of presynaptically expressed LTP and LTD and to examine whether such LTP or LTD is binary or graded.

### **B. Additional features of maintenance**

Additional features of the maintenance of STDP must be taken into account for an STDP model to provide a synaptic learning rule. Our model operates on the assumption that a synapse has three strength states: LTP, LTD, and naïve states. If synaptic strength operated in a binary fashion, however, then synapses would possess only two strength states, and LTP and LTD would be determined simply by the ratio of the numbers of unpotentiated and potentiated synapses. However, in calcineurin  $A\alpha$  knockout mice, LTD can be induced even though depotentiation is not observed [\(Zhuo](#page-14-29) *et al.*, [1999\)](#page-14-29). This finding is in accord with the notion that synaptic connections have three stable strength states, rather than just two binary states.

Spontaneous spiking after induction of tLTP or tLTD leads to depotentiation or de-depression, respectively, and causes potentiated or depressed synapses to return to their naïve states (Zhou *et al.*[, 2003\)](#page-14-24). Moreover, after the induction of LTP and LTD by repetitive prespiking, hippocampal CA1 neurons return to their naïve states more easily relative to other states [\(Mulkey](#page-13-40) *et al.*, 1993; [Heynen](#page-12-35) *et al.*, 2000; [Krucker](#page-12-36) *et al.*, 2002). Conversely, in the retinotectal projection of the *Xenopus* tadpole, LTP is stabilized by repetitive stimulation with 5 min intervals that causes the induction of tLTP (Zhou *et al.*[, 2003\)](#page-14-24) and is also stabilized over 15 min after induction in hippocampal CA1 synapses [\(Staubli and](#page-14-30) [Chun, 1996\)](#page-14-30). In addition, the depressed synapses may be eliminated [\(Montgomery and Madison, 2002;](#page-13-41) [Luthi](#page-13-42) *et al.*, [2004;](#page-13-42) [Bastrikova](#page-11-19) *et al.*, 2008).

Some theoretical studies have suggested that the application of random synaptic inputs to a neuron with STDP as a synaptic learning rule eventually leads to a bimodal distribution of synaptic strengths (Song *et al.*[, 2000;](#page-14-31) [Cateau and Fu](#page-11-20)[kai, 2003\)](#page-11-20). The predicted bimodal distribution, however, is inconsistent with the unimodal distribution of synaptic strengths observed in *in vivo* experiments [\(van Rossum](#page-14-32) *et al.*, [2000\)](#page-14-32). This bimodal distribution of synaptic strengths can become unimodal if the same spike pairings induce weaker tLTP at the stronger synapses [\(van Rossum](#page-14-32) *et al.*, 2000). Furthermore, an alternative explanation can be provided by additional features of maintenance. Even if the distribution of synaptic strengths, which are stable during maintenance, becomes bimodal, the synapses that are associated with the weaker peak of the bimodal distribution may be subjected to elimination, resulting in a unimodal distribution of the remaining synapses that are associated with the stronger peak.

### **III. TEMPORAL AND SPATIAL ASPECTS OF STDP**

In the methodology of many *in vitro* STDP experiments, the intervals between prespiking and postspiking pairings are constant and are applied to the same dendritic site. However, as mentioned earlier, neurons *in vivo* are known to fire spikes at irregular intervals [\(Softky and Koch, 1993\)](#page-14-4). Moreover, multiple synaptic inputs occur at different sites along dendrites (for a review, see [Spruston, 2008\)](#page-14-33). Here, we review STDP taking into account temporal features involving complex spike patterns and spatial features involving the interac-

tion of multiple synaptic inputs at different sites along the dendrites.

### **A. Temporal aspects of STDP**

In the canonical form of STDP, the repetitive pairings of prespiking and postspiking can be separated into interactions of potentiation effects of prespiking→postspiking and depression effects of postspiking→prespiking. The linear summation of these potentiation and depression effects reproduces the canonical form of STDP with spike pairs but is not able to reproduce the results observed when synaptic plasticity is induced by spike triplets (a set of three spiking events including either two prespiking and one postspiking events, or one prespiking and two postspiking events) in layer II/III neurons of the visual cortex [\(Froemke and Dan, 2002\)](#page-12-1) and cultured hippocampal neurons (Wang *et al.*[, 2005\)](#page-14-11). This indicates that there is a nonlinear interaction between spikes in STDP.

Although the canonical form of STDP elicited by pairings of a single prespiking event with a single postspiking event are similar between layer II/III neurons [\(Froemke and](#page-12-1) [Dan, 2002\)](#page-12-1) and cultured hippocampal neurons [\(Bi and Poo,](#page-11-0) [1998\)](#page-11-0), synaptic plasticity induced by spike triplets differs substantially between these two types of neurons [see [Fro](#page-12-1)[emke and Dan, 2002](#page-12-1) and Wang *et al.*[, 2005,](#page-14-11) and also Figs.  $2(F)$ ,  $4(A)$ , and  $4(B)$ ]. The amplitude of synaptic plasticity induced by spike triplets is a function of two variables  $(t_1, t_2)$ , where  $t_1$  represents the relative timing of the first prespiking event to the postspiking event (two prespiking and one postspiking) or the timing of the prespiking event to the first postspiking event (one prespiking and two postspiking), and  $t_2$  represents the relative timing of the second prespiking event to the postspiking event (two prespiking and one postspiking) or the timing of the prespiking event to the second postspiking event (one prespiking and two postspiking) [Figs.  $2(F)$ ,  $4(A)$ , and  $4(B)$ ]. In layer II/III neurons of the visual cortex, synaptic plasticity induced by spike triplets can be phenomenologically explained by nonlinear interactions of two independent spike pairs [Figs.  $2(F)$  and  $4(A)$ ] [\(Fro](#page-12-1)[emke and Dan, 2002\)](#page-12-1). The nonlinear interactions of two independent spike pairs can be formalized as a summation of independent spike pairs with a multiplication of spike efficacy, which is transiently "decreased" by the preceding spike in a presynaptic and a postsynaptic neuron [Fig.  $4(A)$ ] [\(Fro](#page-12-1)[emke and Dan, 2002;](#page-12-1) [Froemke](#page-12-37) *et al.*, 2006). Similarly, in cultured hippocampal neurons, synaptic plasticity induced by spike triplets has been found to show nonlinear interactions between two independent spike pairs. However, synaptic plasticity induced by spike triplets is different from that in layer II/III neurons of the visual cortex [Fig. [4\(B\)\]](#page-8-0) [\(Wang](#page-14-11) *et al.*[, 2005\)](#page-14-11). For example, by postspiking  $\rightarrow$  prespiking  $\rightarrow$  postspiking pairings (−5 ms, 5 ms), tLTP is induced in cultured hippocampal neurons, whereas tLTD is induced in layer II/III of the visual cortex [Fig.  $4(B)$ ]. Also, by prespiking→postspiking→prespiking pairings (5 ms, −5

<span id="page-8-0"></span>

**Figure 4. Difference of synaptic plasticity induced by spike triplets in layer II/III neurons and cultured hippocampal neurons.** (A) Synaptic plasticity induced by spike triplets in a phenomenologi-cal model for layer II/III visual cortex ([Froemke](#page-12-37) et al., 2006). Definition of  $t_1$  and  $t_2$  and the corresponding experimental data are shown in [F](#page-4-0)ig.  $2(F)$ . Red line in the left panel corresponds to a red line in  $(C)$ , which shows the timing window of STDP with pairings of a prespiking event and two postspiking events, the latter of which have a 10 ms interval. (B) Synaptic plasticity induced by spike triplets in a phe-nomenological model for cultured hippocampal neurons ([Pfister and](#page-13-43) [Gerstner, 2006](#page-13-43)). Colored circles indicate experimental data ([Wang](#page-14-11) et al.[, 2005](#page-14-11)). (C) The timing windows of STDP with single prespiking and postburst spiking in the phenomenological models ([Wang](#page-14-11) et al., [2005;](#page-14-11) [Froemke](#page-12-37) et al., 2006).  $\Delta t$  indicates the interval between the prespiking event and the first postspiking event. Black lines show the conventional STDP induced by pairings of a single prespiking event and a single postspiking event; red lines show synaptic plasticity induced by pairings of a single prespiking event and two postspiking events, the latter of which have a 10 ms interval; and blue lines show synaptic plasticity induced by pairings of a single prespiking event and three postspiking events, the latter of which have 10 ms intervals.

ms), no plasticity is induced in cultured hippocampal neurons, whereas tLTP is induced in layer II/III of the visual cortex [Fig. [4\(B\),](#page-8-0) right, circles]. In cultured hippocampal neurons, synaptic plasticity induced by spike triplets can also be phenomenologically formalized as a summation of the effects of two independent spike pairs with a multiplication of spike efficacy. However, unlike in layer II/III of the visual cortex, spike efficacy in cultured hippocampal cells is transiently "increased" by the preceding spike in presynaptic and postsynaptic neurons [Fig. [4\(B\)\]](#page-8-0) [\(Pfister and Gerstner,](#page-13-43) [2006\)](#page-13-43). Both phenomenological models in layer II/III of the visual cortex and cultured hippocampal neurons can explain synaptic plasticity induced by complex spike patterns [\(Fro](#page-12-1)[emke and Dan, 2002;](#page-12-1) [Froemke](#page-12-37) *et al.*, 2006; [Pfister and Ger](#page-13-43)[stner, 2006\)](#page-13-43). This implies that there are distinct signaling mechanisms for STDP in each of these two types of neurons.

These phenomenological models explain the distinct characteristics of STDP in layer II/III neurons in the visual cortex and cultured hippocampal neurons. In particular, they describe the effects of a prespiking event on postburst spiking, which is a series of multiple postspiking events. For example, consider synaptic plasticity induced by spike triplets with a single prespiking event and two postspiking events, where the interval of the latter is 10 ms [Figs.  $4(A)$  and  $4(B)$ , red lines]. In layer II/III of the visual cortex, because the synaptic efficacy is transiently decreased by the preceding spiking, the effect of a second postspiking event is decreased by the first postspiking event. Therefore, the first postspiking event always becomes dominant compared with the following postspiking event in postburst spiking, so that the timing between the first postspiking event and the prespiking event determines the change in synaptic strength [Fig. [4\(C\),](#page-8-0) left]. By contrast, in cultured hippocampal neurons, because synaptic efficacy is transiently increased by the preceding spiking, the effect of the second postspiking event is increased by the first postspiking event. Therefore, the last postspiking event always becomes dominant compared with the preceding postspiking event in postburst spiking. This means that the timing between the last postspiking event and the prespiking event determines the resultant change in synaptic strength [Fig. [4\(B\),](#page-8-0) right]. Because burst-spiking is often observed *in vivo* (for a review, see [Steriade, 2004\)](#page-14-34), the first and last postspiking events on synaptic efficacy are likely to be physiologically dominant in layer II/III and hippocampal neurons, respectively. This suggests that distinct signaling mechanisms underlie each of these types of synaptic plasticity. Thus, the description of synaptic plasticity induced by spike triplets in phenomenological models highlights specific features of synaptic plasticity in layer II/III and hippocampal neurons. This modeling is an important first step toward understanding how synaptic plasticity is induced by natural firing patterns*in vivo* and toward describing a general synaptic learning rule.

Biophysical models of signaling mechanisms of synaptic plasticity have been proposed for both layer II/III of the vi-sual cortex [\(Urakubo](#page-14-18) *et al.*, 2008) and for cultured hippocampal neurons (Rubin *et al.*[, 2005\)](#page-13-15). Models for both of these cell types have been found to reproduce synaptic plasticity induced by spike triplets. In the biophysical model for layer II/III neurons, synaptic plasticity by spike triplets has been explained by a suppression of NMDAR activation by preceding prespikes or postspikes [\(Urakubo](#page-14-18) *et al.*, 2008). This NM-DAR suppression by postspiking is similar to the transient decrease in synaptic efficacy by the preceding spiking in the phenomenological model for layer II/III neurons [\(Froemke](#page-12-1) [and Dan, 2002;](#page-12-1) [Froemke](#page-12-37) *et al.*, 2006). In the biophysical model for cultured hippocampal neurons, synaptic plasticity induced by spike triplets is explained by the detection of the postsynaptic  $Ca^{2+}$  time-course via downstream signaling molecules (Rubin *et al.*[, 2005\)](#page-13-15). However, the signaling mechanisms responsible for causing transient increases in synaptic efficacy in response to the preceding spiking are not simple or obvious in this biophysical model.

#### **B. Spatial aspects of STDP**

Because bpAPs do not typically propagate into distal apical dendrites, prespiking→postspiking pairings fail to induce tLTP at distal apical synapses [\(Golding](#page-12-6) *et al.*, 2002; [Sjostrom](#page-14-5) [and Hausser, 2006\)](#page-14-5). However, tLTP is induced by prespiking→postspiking pairings at distal apical synapses when excitatory inputs are added to proximal apical dendrites in the neocortex [\(Letzkus](#page-13-5) *et al.*, 2006; [Sjostrom and](#page-14-5) [Hausser, 2006\)](#page-14-5). This appears to be due to the boosting of bpAPs by simultaneous excitatory inputs at proximal apical dendrites, which propagate into distal apical synapses [\(Hoff](#page-12-15)man *et al.*[, 1997;](#page-12-15) [Magee and Johnston, 1997;](#page-13-0) [Stuart and](#page-14-16) [Hausser, 2001\)](#page-14-16). Thus, excitatory inputs from proximal apical synapses can act as a "gate signal" for tLTP at distal apical synapses by prespiking and postspiking. Such bpAP boosting has been observed *in vivo* as a supralinear  $Ca^{2+}$  influx in dendrites [\(Waters and Helmchen, 2004\)](#page-14-35).

In addition, LTP at distal apical synapses can be induced by cooperative synaptic inputs, which lead to local dendritic spiking [\(Golding](#page-12-6) *et al.*, 2002). Similarly, LTP at distal basal synapses is also induced by cooperative prespiking rather than simple prespiking $\rightarrow$ postspiking pairings. Cooperative prespiking has been found to lead to local NMDAR spikes, in the presence of BDNF (brain-derived neurotrophic factor) [\(Gordon](#page-12-38) *et al.*, 2006; but see Holthoff, 2004). Thus, LTP at distal synapses depends not only on the timing of prespiking and postspiking, but also on the spike timing between multiple prespiking events.

Moreover, there is evidence that the features of STDP differ depending on the location of dendrites. In layer II/III of the visual cortex, the tLTP amplitude at middle apical synapses is lower than that at proximal apical synapses, and the tLTD timing window at middle apical synapses is broader

than that at proximal apical synapses [\(Froemke](#page-12-5) *et al.*, 2005). In the somatosensory cortex, STDP exhibits the canonical form at proximal apical synapses, whereas STDP exhibits the reversed form at distal apical synapses where tLTP and tLTD are induced by postspiking $\rightarrow$ prespiking and prespiking→postspiking, respectively [\(Letzkus](#page-13-5) *et al.*, [2006\)](#page-13-5).

Incorporating these spatial features of STDP into biophysical models will allow us to explore the complex interaction between the spatial and temporal information involved in spiking.

# **IV. REQUIREMENT OF A HOMEOSTATIC MECHANISM OF STDP** *IN VIVO*

STDP shows a similar canonical form both *in vitro* and *in vivo* (Zhang *et al.*[, 1998a;](#page-14-0) [Yao and Dan, 2001;](#page-14-36) [Wolters](#page-14-37) *et al.*, [2003;](#page-14-37) [Celikel](#page-11-21) *et al.*, 2004; Yao *et al.*[, 2004;](#page-14-38) [Meliza and Dan,](#page-13-44) [2006;](#page-13-44) [Cassenaer and Laurent, 2007\)](#page-11-4). This makes sense if the membrane potential of neurons *in vivo* is maintained around the resting membrane potential, in conditions similar to those of neurons *in vitro* (Zhang *et al.*[, 1998a\)](#page-14-0). However, membrane potentials of neurons *in vivo* are likely to fluctuate because of excitatory and inhibitory inputs from other neurons [\(Yao and Dan, 2001;](#page-14-36) [Wolters](#page-14-37) *et al.*, 2003; [Celikel](#page-11-21) *et al.*, [2004;](#page-11-21) Yao *et al.*[, 2004;](#page-14-38) [Meliza and Dan, 2006;](#page-13-44) [Cassenaer and](#page-11-4) [Laurent, 2007\)](#page-11-4). Membrane potential fluctuations affect NM-DAR and VGCC activities (which are dependent on membrane potential), and thereby change the  $Ca^{2+}$  influx, which is a major determinant of tLTP and tLTD (see above). Therefore, under conditions of membrane potential fluctuation, STDP *in vivo* is likely to differ from STDP *in vitro*, even with the same spike pairings. In particular, membrane potentials of neurons *in vivo* sometimes hover near a spiking threshold because of ongoing excitatory inputs (for a review, see [Des](#page-12-39)texhe *et al.*[, 2003\)](#page-12-39), and under these conditions, lessening the voltage-dependent Mg<sup>2+</sup> block of the NMDAR would lead to a larger  $Ca^{2+}$  influx via NMDAR channels. According to the present biophysical models of STDP, tLTP is induced regardless of spike timing in these synapses, because the large  $Ca^{2+}$ influx exceeds the threshold for tLTP induction [\(Karmarkar](#page-12-14) [and Buonomano, 2002;](#page-12-14) [Shouval](#page-14-14) *et al.*, 2002; [Rubin](#page-13-15) *et al.*, [2005;](#page-13-15) [Urakubo](#page-14-18) *et al.*, 2008). In contrast, uncorrelated pairings of prespiking and postspiking induce no plasticity in STDP *in vivo* [\(Celikel](#page-11-21) *et al.*, 2004; [Meliza and Dan, 2006;](#page-13-44) [Cassenaer and Laurent, 2007\)](#page-11-4). Despite the theoretical effects of membrane potential fluctuations, experimentally STDP has been found to show a similar canonical form both *in vitro* and *in vivo*. This suggests the existence of homeostatic mechanism *in vivo*, which compensates for fluctuations of membrane potential. Taken together, these findings demonstrate the utility of biophysical models for extending theoretical knowledge of the mechanisms underlying synaptic plasticity.

## **V. CONCLUDING REMARKS**

STDP has been robustly observed *in vivo* in many species, including *Xenopus laevis* tadpoles (Zhang *et al.*[, 1998a\)](#page-14-0), *Schistocerca americana* locusts [\(Cassenaer and Laurent,](#page-11-4) [2007\)](#page-11-4), rats [\(Celikel](#page-11-21) *et al.*, 2004; [Meliza and Dan, 2006;](#page-13-44) [Ja](#page-12-40)cob *et al.*[, 2007\)](#page-12-40), ferrets [\(Dahmen](#page-11-22) *et al.*, 2008), cats [\(Schuett](#page-14-39) *et al.*[, 2001;](#page-14-39) [Yao and Dan, 2001;](#page-14-36) Fu *et al.*[, 2002;](#page-12-41) Yao *[et al.](#page-14-38)*, [2004\)](#page-14-38), and humans [\(Yao and Dan, 2001;](#page-14-36) Fu *et al.*[, 2002;](#page-12-41) [Wolters](#page-14-37) *et al.*, 2003). In particular, STDP *in vivo* has been well studied in the cat visual cortex [\(Schuett](#page-14-39) *et al.*, 2001; [Yao](#page-14-36) [and Dan, 2001;](#page-14-36) Yao *et al.*[, 2004\)](#page-14-38), rat somatosensory cortex [\(Celikel](#page-11-21) *et al.*, 2004; Jacob *et al.*[, 2007\)](#page-12-40), and the retinotectal projection of the *Xenopus laevis* tadpole [\(Zhang](#page-14-0) *et al.*, [1998a;](#page-14-0) [Engert](#page-12-4) *et al.*, 2002; Zhou *et al.*[, 2003;](#page-14-24) [Mu and Poo,](#page-13-4) [2006;](#page-13-4) [Vislay-Meltzer](#page-14-40) *et al.*, 2006). Nevertheless, some authors are critical of STDP *in vivo* (for a review, see [Lisman](#page-13-7) [and Spruston, 2005\)](#page-13-7). They have pointed out that tLTP is not unconditionally induced by prespiking $\rightarrow$  postspiking pairings, but only occurs in the presence of sufficient depolarization such as that caused by large excitatory postsynaptic potentials (EPSPs) [\(Sjostrom](#page-14-1) *et al.*, 2001). Furthermore, some authors have also pointed out that LTP and LTD *in vivo* depend not only on precise timing between prespiking and postspiking, but also on the timing between prespiking and other postsynaptic depolarization events, such as dendritic spikes induced by prespiking [\(Golding](#page-12-6) *et al.*, 2002; [Sjostrom](#page-14-41) *et al.*[, 2004;](#page-14-41) [Hardie and Spruston, 2009\)](#page-12-42). Despite this, biophysical models can potentially provide a framework for unifying various types of synaptic plasticity, describing plasticity that depends not only on precise timing between prespiking and postspiking, but also plasticity dependent on the timing between prespiking and other postsynaptic depolarization. We propose that biophysical models can be applied to both of these types of synaptic plasticity.

The signaling mechanisms of STDP are mediated by a number of signaling molecules, and STDP depends not only on the temporal interaction of prespiking and postspiking events, but also on spatial interaction at the dendrites. Thus, STDP involves multiple layers of complexity. One possible approach to handling the complex nature of STDP is detailed biophysical modeling that can explicitly incorporate experimental findings by basing features of the model on biophysical mechanisms. The accumulation of experimental evidence makes it possible to construct realistic electrophysiological and morphological models of neurons as well as kinetic models of intracellular biochemical reactions (e.g., [Bhalla](#page-11-23) [and Iyengar, 1999;](#page-11-23) [Kuroda](#page-12-43) *et al.*, 2001; [Poirazi](#page-13-45) *et al.*, 2003; [Schaefer](#page-13-12) *et al.*, 2003). While these models may have limitations in their mechanics and parameters, they have nevertheless been proved useful for prediction. Biophysical modeling is a powerful technique, particularly in cases where rich experimental data are available. A biophysical model that is sufficiently constrained by experimental data can provide quantitative verification of experimentally proposed mechanisms and can facilitate experimental studies by proposing potential mechanisms to fill gaps in the experimental evidence. For example, the involvement of allosteric kinetics of NMDARs was logically predicted by a biophysical model and was subsequently found to be consistent with experimental data [\(Urakubo](#page-14-18) *et al.*, 2008).

We note that phenomenological models of STDP, which are based on experiments *in vitro*, may not reliably predict STDP *in vivo*, because of membrane potential fluctuations [\(Sjostrom](#page-14-1) *et al.*, 2001; [Froemke and Dan, 2002;](#page-12-1) [Froemke](#page-12-37) *et al.*[, 2006;](#page-12-37) [Pfister and Gerstner, 2006\)](#page-13-43). Phenomenological models are based on the principle of "Occam's razor," which holds that simpler models are better than complex ones and that fewer parameters are better than more. This modeling approach, generally used for statistical models, is effective in making inferences about synaptic plasticity under conditions where experimental data are available but is not suitable for making predictions in novel environments. To predict synaptic plasticity in a novel environment, phenomenological models first require experimental data from tests in that environment. In contrast, biophysical models are based on not only experimental data but also on prior knowledge of signaling mechanisms and are therefore more powerful for the prediction of synaptic plasticity in novel environments. Once a detailed biophysical model is described, it can be simplified by pruning redundant mechanisms in a way that preserves its fundamental framework. By extracting the essential parts of the model, we are able to interpret the functional roles of synaptic plasticity in neuronal circuits *in vivo* more realistically.

The brain can be considered to be a memory-driven system. Unlike a computer, the brain stores memory as a pattern of synaptic strengths associated with neurons as simple computational units. Input signals through synapses allow neurons to process information and to further modify synaptic strengths. Differently modified synapses guide input signals to the neuron in different ways to enable more efficient information processing. Although the activity-dependent modification of synaptic strengths cannot be easily described by a simple synaptic learning rule due to the complex signaling machinery involved, the biophysical modeling approach has the potential to bridge the gap between the phenomenological and mechanistic aspects of synaptic changes, and may potentially lead to a complete understanding of synaptic plasticity in neuronal circuits.

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