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# Vaccination with SIV∆nef activates CD4+ T cells in the absence of CD4+ T cell loss

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# Abstract

**Background**—Pathogenic HIV and SIV infections characteristically deplete central memory CD4<sup>+</sup> T cells and induce chronic immune activation, but it is controversial whether this also occurs after vaccination with attenuated SIVs and whether depletion or activation of CD4<sup>+</sup> T cell play roles in protection against wild-type virus challenge.

**Methods**—Rhesus macaques were vaccinated with SIV∆nef and quantitative and phenotypic polychromatic flow cytometry analyses were performed on mononuclear cells from blood, lymph nodes and rectal biopsies.

**Results**—Animals vaccinated with SIV $\Delta$ nef demonstrated no loss of CD4<sup>+</sup> T cells in any tissue, and in fact CCR5<sup>+</sup> and CD28<sup>+</sup>CD95<sup>+</sup> central memory CD4<sup>+</sup> T cells were significantly increased. In contrast, CD4<sup>+</sup> T cell numbers and CCR5 expression significantly declined in unvaccinated controls challenged with SIVmac239. Also, intracellular Ki67 increased acutely as much as 3-fold over baseline in all tissues after SIV $\Delta$ nef vaccination then declined following primary infection.

**Conclusion**—We demonstrated in this study that SIV $\Delta$ nef vaccination did not deplete CD4<sup>+</sup> T cells but transiently activated and expanded the memory cell population. However, increases in numbers and activation of memory CD4<sup>+</sup> T cells did not appear to influence protective immunity.

# Keywords

Simian immunodeficiency virus; live attenuated SIV; T cell activation; Protective immunity

# Introduction

HIV infections and pathogenic SIV infections of rhesus macaques are typically characterized by a gradual depletion of CD4<sup>+</sup> T cells in the peripheral blood and acutely massive CD4<sup>+</sup> T cell loss in the gut mucosa [1–3]. More specifically, the predominant target cells for viral infection and subsequent loss are CD28<sup>+</sup> "central" memory CD4<sup>+</sup> T cells expressing the HIV/ SIV coreceptor CCR5 [2,4–8]. CD4<sup>+</sup> T cell loss is driven, in part, by another feature of progressive HIV/SIV infections, chronic immune activation, which provides a pool of activated CD4<sup>+</sup> T cells to serve as substrates for viral replication [9–11].

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Currently, vaccinations with live attenuated SIV are the only effective means of inducing sterile protection against lentivirus infections [12–15], and although it is unlikely a live attenuated HIV vaccine will ever be used in practice, this model has provided fundamental insights into the necessary components for protective immunity. Various attenuated SIV strains have been shown to induce potent humoral and cellular immune responses, all of which may contribute to sterilizing immunity [13,16–20]. Moreover, live attenuated SIVs are replication-competent, and the *nef*-deficient virus, SIVmac239 $\Delta$ nef, has been shown to infect predominantly CD4<sup>+</sup> T cells in vivo [21]. However, it is controversial whether infections with live attenuated SIVs results in a net cellular loss of CD4<sup>+</sup> T cells. Veazey et al. [3] showed that while the wild-type virus SIVmac239, from which SIVmac239Anef is derived, depleted CD4<sup>+</sup> T cells in gut mucosa, SIVmac239∆nef did not. Picker et al. [22] showed a similar lack of CD4<sup>+</sup> T cell depletion by SIVmac239Anef in bronchoalveolar lavage. In contrast, some previous studies have demonstrated evidence of CD4<sup>+</sup> T cell loss and disease progression in a small number of macaques infected with another attenuated SIV, SIVmac239A3 [23-25]. However, cell loss and disease progression were most prominent in neonatal macaques where the immune system is less mature and in macaques immunosuppressed by steroid administration. Furthermore, those studies primarily focused on evaluation of the bulk CD4<sup>+</sup> T cell population and lacked the advantage of more modern methods of cellular quantification.

The objective of this study was to enumerate and characterize the activation states of both bulk and CD28<sup>+</sup> memory CD4<sup>+</sup> T cells during the primary phase of infection with the live attenuated virus, SIVmac239 $\Delta$ nef. We also evaluated what role(s) changes in quantitative and activation states might have on sterile protection of SIVmac239 $\Delta$ nef-vaccinated macaques against SIVmac239 challenge.

## Material and methods

#### Animals and infections

A total of twelve male Indian rhesus macaques (*Macaca mulatta*) were used in this study. All animals were SIV-negative (naive) at the initiation of the study and were also free of simian retrovirus type D, SIV, simian T-lymphotrophic virus type 1, and herpes B virus. Ten animals were vaccinated intravenously with SIVmac239Δnef as previously described [14,15] and then intravenously challenged with 10 AID<sub>50</sub> of SIVmac239 [26] at either 5 or 15 weeks post-vaccination (five vaccinated macaques and one unvaccinated control at each time point). Both control animals became infected with SIVmac239, while none of the SIVmac239Δnef-vaccinated animals showed any evidence of infection with SIVmac239, nor any evidence of anamnestic responses (R.K.R. and R.P.J., manuscript in preparation). The two vaccine groups (5 and 15 week challenges) are combined herein for selected evaluations of cellular dynamics. All of the macaques were housed at the New England Primate Research Center and maintained in accordance with the guidelines of the Committee on Animals of the Harvard Medical School and the Guide for the Care and Use of Laboratory Animals.

#### **Cell processing**

Rhesus macaque PBMCs were isolated from EDTA-treated venous blood by LSM (MP Biomedicals, Solon, OH) density gradient centrifugation and tissue mononuclear cells were isolated from minced peripheral lymph node and rectal biopsies as described previously [27]. Cells were washed and resuspended in PBS supplemented with 2% FCS (Sigma-Aldrich, St. Louis, MO) for subsequent flow cytometric analysis.

#### Antibodies and flow cytometric analysis

Surface staining was carried out by standard procedures as described previously [28]. Except where noted, all mAb were obtained from BD Biosciences (San Diego, CA) and included: CD3

APC-Cy7 (clone SP34-2), CD4 AmCyan (clone L200, custom) or CD4 PerCP-Cy5.5 (SK3), CD8 $\alpha$  Alexa700 (clone RPA-T8), CD28 Texas Red (clone CD28.2 from Beckman-Coulter, Fullerton, CA), CD95 APC (clone DX2), CCR5 PE (clone 3A9), and TCR  $\gamma$ δ-FITC (clone B1). Intracellular staining for Ki67 (PE conjugate, clone B56) was performed on mononuclear cells using The Biolegend Fix/Perm kit (Biologend Inc., San Diego, CA) according to the manufacturer's suggested protocol. All acquisitions were made on an LSR II (BD Biosciences) and analyses were done using FlowJo software (Tree Star Inc., Ashland, OR).

#### Whole blood lymphocyte count assay

Fifty µl aliquots of whole blood were incubated with 50,050 fluorescent beads and a pool of lyophilized antibodies, or singularly for compensation tubes, for 20 minutes at room temperature and then lysed with FACSLyse (BD Biosciences) for 10 minutes. To preserve cell concentrations, no wash step was used. Acquisitions were performed on an LSR II and 5,000 beads were collected per sample. Analysis was performed using FlowJo software (Fig. 1A) and absolute cell numbers were calculated based on bead-to-cell frequencies (Fig. 1B) [29]. The following antibodies (all from BD) were used for compensation and sample tests: CD3 Alexa 700 (clone SP34-2), CD4 APC-Cy7 (clone L200), CD8 APC (clone SK1), CD14 PE-Cy7 (clone M5E2), CD20 PerCp-Cy5.5 (clone L27), CD45 PE (D058-1283), and HLA-DR FITC (clone L243). For some cell subsets (i.e., CD28<sup>+</sup> CD4<sup>+</sup> memory cells) absolute counts were determined using this assay in combination with cell frequency data derived from polychromatic flow cytometry.

#### Statistical analysis

All statistical and graphical analyses were done using GraphPad Prism software (GraphPad Software, Inc., La Jolla, CA). Nonparametric Wilcoxon and Mann-Whitney tests were used where indicated and P < 0.05 were assumed to be significant in all analyses.

# Results

#### Identification and quantification of CD4<sup>+</sup> T cells in rhesus macaques

As described in the Material and Methods section and shown in Fig. 1, absolute numbers of CD4<sup>+</sup> T cells were enumerated using a whole-blood bead-based assay and cell frequencies were determined using polychromatic flow cytometry (Fig 2A). In normal rhesus macaques (day 0) the median number of total circulating CD4<sup>+</sup> T cells was 834 cells/µl of blood (range 345–1415 cells/µl; n = 12) and the median frequency of CD4<sup>+</sup> T cells among total T cells was 67% (range, 36–78%). CD4<sup>+</sup> T cells were further delineated into naïve, central memory, and effector populations using CD95 (FAS) and the costimulatory molecule CD28 as previously described [30]. Employing this gating strategy, we also defined CD4<sup>+</sup> T cell subsets as CD28<sup>int</sup>CD95<sup>-</sup>, CD28<sup>bright</sup>CD95<sup>+</sup> and CD28<sup>-</sup>CD95<sup>+</sup> corresponding to naïve, central memory and effector memory cells, respectively (Fig. 2B). The median frequencies of each of these subsets as fractions of the total CD4<sup>+</sup> T cell population in normal rhesus macaques were: naïve – 58%, central – 41%, and effector – 1.6% (n =12).

#### SIVmac239∆nef vaccination does not deplete CD4<sup>+</sup> T cells

Ten normal rhesus macaques were vaccinated with SIV $\Delta$ nef. Subsequently, five macaques were challenged with SIVmac239 at 5 weeks and five at 15 weeks post-vaccination (Fig 3A). Neither animal group demonstrated any significant changes in CD4<sup>+</sup> T cell numbers or frequencies after vaccination or after challenge (Fig. 3A). Therefore, these ten animals were grouped collectively for further analyses (Fig. 3B, right panels). In contrast to SIVmac239 $\Delta$ nef-vaccinated animals, percentages of CD4<sup>+</sup> T cells declined in frequency by ~50% by week 5 post-challenge and remained reduced through 26 weeks post-challenge (Fig. 7).

3B, left panels). Similarly, the absolute numbers of CD4<sup>+</sup> T cells declined to 97 and 227 at weeks 25 (Mm # 353-99) and 26 (Mm # 313-97), respectively. Interestingly, CD28<sup>+</sup> memory CD4<sup>+</sup> T cells increased transiently in both frequency and absolute number over baseline levels (Fig. 4, right panels), reaching statistical significance at week one post-vaccination (P = 0.002 and P = 0.0273, respectively, Wilcoxon test). As expected, CD28<sup>+</sup>CD95<sup>+</sup> memory CD4<sup>+</sup> T cells declined rapidly during acute SIVmac239 infection, followed by a rebound period, and then gradually declined longitudinally (Fig. 4, left panels). By comparison there was ~ 40% increase in the frequency of CD28<sup>+</sup>CD95<sup>-</sup> naïve CD4<sup>+</sup> T cells two weeks after SIVmac239 infection of unvaccinated controls which was sustained throughout the observation period (Fig. 5A), likely due to decline in the frequency of central memory cells. This was not however observed after SIVmac239Δnef vaccination, where the naïve population was stable.

Since  $CD4^+$  T cell depletion in HIV and SIV infections is most prominent in the gut mucosa, we also examined the frequency of  $CD4^+$  T cells among the total T cell population in rectal biopsies (Fig. 6). When comparing pre-vaccination/infection biopsies to those taken 3 weeks post-SIV $\Delta$ nef-vaccination, the median values (Pre – 58.5%, week 3 – 58.0%) were equivalent, while the frequency of CD4<sup>+</sup> T cells in rectal biopsies had declined in both unvaccinated controls.

Because the chemokine receptor CCR5 also delineates memory cells and is a necessary coreceptor for SIV infection, we also evaluated its expression on circulating CD4<sup>+</sup> T cells (Fig. 2C). Interestingly, while the frequency of CCR5 expressing CD4<sup>+</sup> T cells eventually declined in wild-type infection (Fig. 5B), after SIVmac239 $\Delta$ nef vaccination, CCR5 expression significantly increased at one week post-vaccination (*P* = 0.0039, Wilcoxon test; means, week 0 – 3.55%, week 1 – 6.6%). Although not statistically significant, CCR5 expression remained elevated until ~ 18 weeks post-vaccination (mean at 18 weeks, 3.08%).

#### SIVmac239∆nef activates CD4<sup>+</sup> T cells after vaccination

An acute increase in CCR5 expression on CD4<sup>+</sup> T cells suggested SIV $\Delta$ nef vaccination could be activating these cells. To further evaluate this possibility we analyzed intracellular Ki67 expression in bulk CD4<sup>+</sup> T cells in PBMC, peripheral lymph nodes, and in rectal biopsies. Ki67 was upregulated acutely in all three tissues, but only significantly in PBMC and peripheral lymph nodes (Fig. 7). By 15 weeks post-vaccination, Ki67 expression had declined to near prevaccination levels, but in peripheral lymph nodes was still significantly higher than baseline. It is also interesting to note that basal levels of Ki67 expression were significantly lower in peripheral lymph nodes than in either PBMC (P = 0.0022, Mann Whitney test) or rectal biopsies (P = 0.0050).

# Discussion

In this study we comprehensively examined the cellular dynamics of memory and bulk  $CD4^+$  T cells after vaccination with the live attenuated SIV strain, SIVmac239 $\Delta$ nef. Unlike pathogenic lentivirus infections, SIVmac239 $\Delta$ nef-vaccinated animals demonstrated no loss of  $CD4^+$  T cells either in the periphery or in gut mucosa. However, we did find a transient increase in  $CD4^+$  T cell activation that declined coinciding with control of viremia, in contrast to the sustained increases in  $CD4^+$  T cell activation observed in HIV-1 and pathogenic SIV infections [9–11,31]. Although the transient induction of  $CD4^+$  T cell activation by SIVmac239 $\Delta$ nef did not appear to influence protection against wild-type virus challenge in this study, it could have implications for target cell availability, and ultimately vaccine efficacy.

Previous studies evaluating CD4<sup>+</sup> T cell loss in macaques infected with live attenuated SIVs have yielded conflicting results. Similar to our findings, Picker et al. [22] reported no evidence for loss of bulk or memory CD4<sup>+</sup> T cells in peripheral blood or bronchoalveolar lavage in

Reeves et al.

macaques vaccinated with SIVmac239 $\Delta$ nef. In contrast, naïve macaques vaccinated as infants with high doses of SIVmac239 $\Delta$ 3 almost uniformly progressed to simian AIDS [24], indicating that the infant immune system can be vulnerable to even attenuated lentivirus strains. However, vaccination of adult macaques with SIVmac239 $\Delta$ 3 was much less likely to cause disease – AIDS-like symptoms occurred in less than 20% of vaccinated macaques and only after a prolonged observation greater than 3 years or after steroid-induced immunosuppression [23– 25]. Another *nef*-defective SIV, SIVmacC8, was also found to cause CD4<sup>+</sup> T cell loss as early as 12 weeks, but only in 1 animal [32,33]. Although the number of macaques in these studies progressing to disease is small, we cannot exclude the possibility that some fraction of SIVmac239 $\Delta$ nef-vaccinated animals could develop some symptoms of disease, particularly since our original observation period was concluded at 40 weeks and Picker et al. [22] only evaluated animals up to 200 days post-vaccination.

Although SIVmac239Anef was derived over 15 years ago [14], the specific protective correlates remain poorly understood. Many immune components have been proposed to be involved in mediating protection – humoral immunity, cytoxic T lymphocytes, innate immune responses, and CD4<sup>+</sup> T cells [18,19]. Since SIVmac239Δnef primarily replicates in CD4<sup>+</sup> T cells in lymphoid tissue [21] a hypothesized mechanism of protection was that SIVmac239Anef might actually deplete target cells, decreasing permissivity to superinfection with wild-type viruses. However, we demonstrate herein that in fact the opposite is true, at least acutely, - the frequency of memory CD4<sup>+</sup> T cells transiently increases following SIVmac239 $\Delta$ nef vaccination. Therefore, we must consider the opposite hypothesis – that increased numbers of target cells could exacerbate infection rates after wild-type virus challenge. In this study, when vaccinated macaques were challenged at 5 weeks postvaccination (when levels of Ki67 and CCR5 expression were still elevated) all animals were sterilely protected, indicating that the increased target cell availability and activation did not results in superinfection with the SIVAnef parental virus, SIVmac239. However, in a previous kinetic study, when SIVmac239Anef-vaccinated macaques were challenged with the SIVmac239-related virus, SIVmac251 animals became infected at 5, but not 15 weeks vaccination [15]. Therefore, increases in activation or target cell availability might contribute to superinfection with viruses other than the parental strain, although the relative contribution of this effect is difficult to assess, since changes in SIV-specific immune responses also occur during this time frame.

While the question of whether live attenuated SIVs can induce AIDS-like disease in rhesus macaques remains controversial, our data show no evidence for this in primary infection or up to ~ 40 week post-vaccination. Also, since no loss of  $CD4^+$  T cells occurred in peripheral blood or in the gut mucosa, this suggests that these macaques are less likely to progress to immunodeficiency, since early  $CD4^+$  T cell loss often dictates disease course [1,2,22,34]. Furthermore, while our results indicate that acute increases in activated memory  $CD4^+$  T cells do not influence infection rates upon challenge with SIVmac239, we cannot exclude the possibility that the transient increase in  $CD4^+$  T cell activation may affect the susceptibility to challenge in other experimental settings. More detailed studies will be needed to assess the potential consequences of activation of the  $CD4^+$  T cell memory pool to protective immunity induced by live attenuated SIV.

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Reeves et al.



#### Figure 1.

Absolute lymphocyte determination by a whole-blood bead-based flow cytometry assay. (A) A representative gating strategy is shown identifying various lymphocyte subsets as well as fluorescent beads. (B) The absolute number of cells per volume of blood is calculated based on the number of events in each cell gate.

Reeves et al.



#### Figure 2.

Phenotypic identification of CD4<sup>+</sup> T lymphocyte subsets by polychromatic flow cytometry. Representative gating strategies are shown for identification of various subpopulations of CD4<sup>+</sup> T cells in whole PBMC. (A) First, lymphocytes were gated based on forward-versus-side-scatter characteristics and CD4<sup>+</sup>  $\alpha\beta$  T cells were identified as CD4<sup>+</sup>CD3<sup>+</sup>CD8<sup>-</sup> and negative for the  $\gamma\delta$  T cell receptor. Secondly, (B) naïve and central memory CD4<sup>+</sup> T cells were phenotyped as CD28<sup>+</sup>CD95<sup>-</sup> and CD28<sup>+</sup>CD95<sup>+</sup>, respectively. (C) Frequency of CCR5 expression was quantified on the bulk CD4<sup>+</sup> T cell population.

Reeves et al.



#### Figure 3.

Longitudinal analysis of circulating CD4<sup>+</sup> T cells in SIV $\Delta$ nef-vaccinated and SIVmac239infected rhesus macaques. (A) Frequencies (upper panels) and absolute numbers (lower panels) of circulating CD4<sup>+</sup>CD3<sup>+</sup> lymphocytes are shown for SIV $\Delta$ nef-vaccinated macaques challenged intravenously with SIVmac239 at either 5 or 15 weeks post-vaccination; grey arrows indicate 5 and 15 week challenges, respectively. (B) Frequencies and absolute numbers of CD4<sup>+</sup>CD3<sup>+</sup> lymphocytes in two unvaccinated controls challenged with SIVmac239 (left panels) and means  $\pm$  SEM of SIV $\Delta$ nef-vaccinated macaques (right panels) combined from (A). Dashed horizontal lines indicate means at day of vaccination (day 0).

Reeves et al.



#### Figure 4.

Longitudinal analysis of central memory CD4<sup>+</sup> T cells. Frequencies (upper panels) and absolute numbers (lower panels) of CD28<sup>+</sup>CD95<sup>+</sup> "central memory" CD4<sup>+</sup> T cells in two SIVmac239-infected macaques (left panels) and means  $\pm$  SEM of ten SIV $\Delta$ nef-vaccinated animals as shown in Figure 3.

Reeves et al.



#### Figure 5.

 $CD4^+$  T cell frequencies in the rectal mucosa of SIV-naïve, SIV $\Delta$ nef-vaccinated, and SIVmac239-infected rhesus macaques. Percentages of  $CD4^+$  T cells are shown as frequencies among total T cells in lymphocytes isolated from rectal biopsies performed at one week prior to and three weeks after SIV $\Delta$ nef vaccination and four weeks after SIVmac239 challenge (weeks 5 and 15 challenge groups are combined). Bars indicate medians of two to eight animals.

Reeves et al.



Figure 6. Longitudinal analysis of CD4<sup>+</sup> T cell subsets. Frequencies of (A) CCR5<sup>+</sup> and (B) CD28<sup>+</sup>CD95<sup>−</sup> naïve CD4<sup>+</sup> T cells in SIVmac239-infected and SIV∆nef-vaccinated macaques.

Reeves et al.

Page 15



#### Figure 7.

Ki67 expression in CD4<sup>+</sup> T cells after SIV $\Delta$ nef vaccination. Intracellular Ki67 expression was quantified in CD4<sup>+</sup> T cells by flow cytometry in lymphocytes isolated from blood (PBMC), peripheral lymph node biopsies (PLN), and rectal biopsies (RecBx) taken one week prior to and three weeks after SIV $\Delta$ nef vaccination. Bars indicate medians of six animals; \*, *P* < 0.05; \*\*, *P* < 0.01; Mann Whitney test.

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