# Pemphigus vulgaris antigen mRNA quantification for the staging of sentinel lymph nodes in head and neck cancer

J Solassol<sup>1,6</sup>, V Burcia<sup>2,6</sup>, V Costes<sup>3,6</sup>, J Lacombe<sup>1,6</sup>, A Mange<sup>1,6</sup>, E Barbotte<sup>4,6</sup>, D de Verbizier<sup>5,6</sup>, C Cartier<sup>2,6</sup>, M Makeieff<sup>2,6</sup>, L Crampette<sup>2,6</sup>, N Boulle<sup>1,6</sup>, T Maudelonde<sup>1,6</sup>, B Guerrier<sup>2,6</sup> and R Garrel<sup>\*,2,6</sup>

<sup>1</sup>Cellular Biology Department, Montpellier Teaching Hospital, Montpellier, France; <sup>2</sup>Head and Neck Surgery Department, Guy de Chauliac Hospital, Montpellier Teaching Hospital, Montpellier, France; <sup>3</sup>Pathology Department, Guy de Chauliac Hospital, Montpellier Teaching Hospital, Montpellier, France; <sup>4</sup>Medical Statistics Department, Guy de Chauliac Hospital, Montpellier Teaching Hospital, France; <sup>5</sup>Nuclear Medicine Department, Guy de Chauliac Hospital, Montpellier, France; <sup>6</sup>University of Montpellier, France

BACKGROUND: Molecular diagnosis has been proposed to enhance the intra-operative diagnosis of sentinel lymph node (SLN) invasion in head and neck squamous cell carcinoma (HNSCC). Although cytokeratin (CK) mRNA quantification with real-time reverse transcriptase-PCR (QRT-PCR) has produced encouraging results, the more discriminating markers remain to be identified. METHODS: Pemphigus vulgaris antigen (PVA), squamous cell carcinoma antigen (SCCA), and CK17 mRNA were quantified using QRT-PCR, and the results were compared with an extensive histopathological examination of the entire SLNs on 78 SLNs harvested from 22 patients with HNSCC.

RESULTS: SCCA and CK17 quantification showed significantly higher mRNA values for macrometastases (MAs) than for either negative or isolated tumour cell (ITC) SLNs (P < 0.01). Pemphigus vulgaris antigen allowed the discrimination of all MAs and micrometastases from both negative and ITC SLNs (P < 0.001). For the neck staging of patients, considering metastatic vs non-metastatic status, receiver-operating characteristic curve analysis found areas under the curve of 93.8, 97.9, and 100% for CK17, SCCA, and PVA, respectively. With PVA, a cutoff value of 562 copies per 100 ng of cDNA permitted the correct distinction between patients with positive as opposed to negative neck nodes in all cases.

CONCLUSION: PVA seems to be a highly promising marker for accurate intra-operative SLN staging in HNSCC by QRT-PCR. British Journal of Cancer (2010) **102,** 181–187. doi:10.1038/sj.bjc.6605470 www.bjcancer.com Published online 8 December 2009

© 2010 Cancer Research UK

Keywords: diagnostic accuracy; Pemphigus vulgaris antigen; sentinel lymph nodes; immunohistochemistry; head and neck cancer

In cN0 head and neck squamous cell carcinoma (HNSCC), use of the sentinel lymph node (SLN) technique has proved to be valuable in selecting pN + patients for therapeutic neck dissection while sparing unneeded extended neck surgery for pN0 patients. However, the main pitfall of this strategy is that histopathological diagnosis based on serial sections with immunohistochemistry (SS-IHC) is far too time consuming to be made use of during surgery in routine practice (van Diest et al, 2001). A delayed pN diagnosis compels pN + patients (up to 40% of cases) to undergo further additional surgery with an increased risk of post-operative complications and a damaged functional outcome (Kuntz and Weymuller, 1999; Schiefke et al, 2009). In this context, real-time reverse transcriptase-PCR (RT-PCR) may be helpful because it is operator independent and, with some adaptation, can be automated and rapidly performed (Raja et al, 2002; Hamakawa et al, 2004). In a previous study, we demonstrated that cytokeratin

Received 3 September 2009; revised 29 October 2009; accepted 9 November 2009; published online 8 December 2009

(CK)17 mRNA quantification could be evaluated in SLNs by semiquantitative RT-PCR and that neck staging could be performed with relevant sensitivity and specificity when compared with SS-IHC staging (Garrel et al, 2006). However, minute micrometastases (MIs) sized < 450  $\mu$ m produced signals similar to those of negative SLN controls and were thus undiagnosed by CK17 mRNA level quantification, showing the limitation of this mRNA marker for the detection of metastases in SLNs. Therefore, molecular test accuracy had to be improved so as to minimise the risk of 'falsenegative' cases before proposing an effective clinical intraoperative application of such an approach. Recently, Pemphigus vulgaris antigen (PVA) and squamous cell carcinoma antigen (SCCA) have been highlighted as potential tumour-specific mRNA markers for the molecular staging of cervical lymph nodes in HNSCC (Ferris et al, 2005). However, to our knowledge, the reliability and accuracy of these markers has not been evaluated elsewhere for the molecular diagnosis of occult SLN metastases.

The aims of this study were (1) to develop sensitive and reproducible quantitative RT-PCR (QRT-PCR) assays for PVA, SCCA, and CK17 mRNAs for detecting and quantifying metastases in SLNs of HNSCC; (2) to investigate whether macrometastates (MAs) and MIs, including those sized  $<450\,\mu\text{m}$ , can be distinguished from isolated tumour cells (ITCs) and negative nodes using these assays; and (3) to identify the most accurate

<sup>\*</sup>Correspondence: Dr R Garrel, Head and Neck Surgery Department, Pole of Neuroscience Head and Neck, Guy de Chauliac Hospital, Montpellier Teaching Hospital, Montpellier 34295 France; E-mail: r-garrel@chu-montpellier.fr



marker for molecular analysis of SLNs, compared with an extensive histopathological examination of serial sections.

#### MATERIALS AND METHODS

## Demographic populations

Between March 2006 and December 2008, every patient seen at the Head and Neck department of the Montpellier Teaching Hospital with an untreated oral or oropharyngeal squamous cell carcinoma (cN0M0) was asked for their consent to be a participant in this study. The study received ethical approval from the clinical research board of the hospital. A total of 22 consecutive patients were included and none refused to participate. The clinical, demographic, and histopathological characteristics of the population are reported in Table 1. Inclusion criteria were the same as those described in a previous study (Garrel *et al*, 2005).

### SLN detection

Sentinel lymph nodes were detected using a  $\gamma$ -probe (X-PROBE Clerad-ARIES, Chatillon, France) after a peri-tumoural radiotracer injection (Nanocis, CIS BIO International, Saclay, France) and lymphoscintigraphy was performed on the day of surgery, as previously described (Garrel *et al*, 2005). Overall, 78 SLN samples were collected. The average number of SLNs per patient was 3.54 (range, 1–7) and the average number of SLNs per neck side was 2.44. Neck dissections were performed for all patients, according to the primary location for a total of 571 nodes.

# SLN histopathological workup

After excision, SLNs were split and each half was embedded with OCT, snap-frozen in pre-cooled isopentane, and stored at  $-80^{\circ}$ C. Each frozen lymph node was sampled in its entirety without any paraffin embedding. Two  $5-\mu$ m-thick frozen slices were collected

every 250  $\mu$ m under RNase-free conditions (one slide for haematoxylin–eosin (HE) stain and the other for pancytokeratin IHC). For example, a 1-cm node would be sectioned 2  $\times$  40 times. The rest of the lymph node tissue was blended and sent for QRT–PCR analysis. Immunohistochemistry used primary antibodies anti-CKs AE1/AE3 (Novocastra Laboratories Ltd, Newcastle, UK) and was performed using the Ventana DAB Detection Kit with the BENCHMARK XT Ventana Medical Systems Automate (Illkirch, France).

#### SLN and patient staging

Results were expressed according to Hermanek's classification, that is, ITCs  $< 200 \,\mu\text{m}$ , MI  $\leq 2 \,\text{mm}$ , and MA  $> 2 \,\text{mm}$  (Hermanek et al, 1999). Of 78 SLN samples, 9 (11.54%) were positive for tumour, that is, containing at least 1 MI (n=5) or MA (n=4). The number of metastases per SLN was one each in five cases, and two, three, and four in one case each. Of the 78 SLN samples, 69 were negative for tumour, that is, containing ITC only (n = 12) or no tumoural cell (n = 57). Patient necks were staged by taking into account the maximum size of metastases found in their SLNs. Six patients (27.3%) were therefore staged SLN+ because of the presence of an MI or an MA in at least one of their SLNs. A total of 16 patients (72.7%) were staged SLN- because of the presence of ITC only (n=6) or because of the absence of any tumoural cells (n = 10). Isolated tumour cells were not considered pathological, given that the clinical relevance of such a status is unknown. In all, 11 control lymph nodes from non-cancerous patients were analysed following the same procedure and were similarly entered for molecular analysis.

#### Total RNA extraction and RT

Lymph node tissue remaining after tissue sectioning for immunohistochemical analysis was used for real-time RT-PCR quantification of target mRNA. For this, total RNA was extracted from frozen tissues using the RNeasy mini kit (Qiagen, Valencia, CA, USA) according to the manufacturer's instructions. RNA integrity was

 Table I
 Clinicopathological characteristics of the HNSCC population

Patient no.	Age (years)	Gender	Tumour location	сТММ	No. SLN	SLN location <sup>a</sup>	Histopathology of SLN <sup>b</sup>	Bilateral neck dissection	No. of non-SLN (neck dissection)	Final pN stage <sup>c</sup>
1	29	М	ОТ	$T_2N_0M_0$	4	IB, IIA	MI	No	29	$pN_1$
2	58	Μ	OT	$T_1N_0M_0$	3	III	MA	No	25	$pN_1$
3	51	Μ	OC	$T_1N_0M_0$	7	IB, II A, III	MI	Yes	27	$pN_1$
4	53	Μ	OT	$T_2N_0M_0$	5	IB, IIA, III	0	Yes	29	$pN_0$
5	37	Μ	OT	$T_2N_0M_0$	3	IB, IIA, IV	MA	No	18	$pN_1$
6	44	Μ	OC	$T_2N_0M_0$	4	IA,IB, III	MA	Yes	84	pN <sub>2b</sub> R+
7	55	Μ	OC	$T_2N_0M_0$	3	IB, III	ITC	Yes	36	$pN_0$
8	47	F	OT	$T_1N_0M_0$	1	III	ITC	No	16	$pN_0$
9	59	Μ	OP	$T_2N_0M_0$	2	III	0	No	21	$pN_0$
10	61	Μ	OC	$T_2N_0M_0$	3	IB, IIA	0	Yes	40	$pN_0$
11	57	Μ	OC	$T_1N_0M_0$	5	IB, IIA, III, IV	0	Yes	36	$pN_0$
12	31	F	OC	$T_2N_0M_0$	4	IIA, IV	ITC	No	17	$pN_0$
13	66	Μ	OT	$T_1N_0M_0$	3	IB, IIA, III	ITC	No	17	$pN_0$
14	49	F	OT	$T_2N_0M_0$	3	III	0	Yes	8	$pN_0$
15	79	Μ	OP	$T_1N_0M_0$	4	IIA	0	No	3	$pN_0$
16	75	F	OP	$T_2N_0M_0$	4	II A,III	0	No	5	$pN_0$
17	62	Μ	OT	$T_2N_0M_0$	2	III	0	No	22	$pN_0$
18	60	F	OC	$T_1N_0M_0$	5	IA, IB, IV	0	Yes	13	$pN_0$
19	61	Μ	OC	$T_2N_0M_0$	3	IA, III	MI	Yes	44	pN2b
20	59	F	OP	$T_2N_0M_0$	2	IIA, IIB	ITC	No	13	$pN_0$
21	56	F	OP	$T_1N_0M_0$	4	II A,III	0	No	17	$pN_0$
22	49	Μ	OC	$T_1N_0M_0$	4	IB, IIA, V	ITC	Yes	22	$pN_0$

Abbreviations: cTNM=clinical tumour node-metastasis stage; FOM=floor of mouth; HNSCC=head and neck squamous cell carcinoma; ITC=isolated tumour cell; MA=macrometastasis; MI=micrometastasis; OP=oropharynx; OT=oral tongue; SLN=sentinel lymph node; SR=sex ratio; MR=mean; M

Molecular Diagnostics

verified using a 2100 Bioanalyzer (Agilent Technologies, Santa Clara, CA, USA). For first-strand cDNA synthesis,  $5\,\mu g$  of total RNA, 200 ng of random hexamers, and  $1\,\mu l$  dNTP mix ( $10\,m M$ ) were incubated for 5 min at  $65\,^{\circ}$ C in a total volume of  $13\,\mu l$  in RNAse-free water. A volume of  $4\,\mu l$  of  $5\,\times$  first-strand buffer,  $1\,\mu l$  of  $1\,M$  DTT, 40 UI of RNAseOUT Recombinant Ribonuclease Inhibitor (Invitrogen, Carlsbad, CA, USA), and 200 Units of Superscript III (Invitrogen) were then added to make a total volume of  $20\,\mu l$ . The mix was then incubated for  $5\,m$  in at  $25\,^{\circ}$ C and thereafter for 60 min at  $60\,^{\circ}$ C. The reaction was stopped by incubating at  $70\,^{\circ}$ C for  $15\,m$ in. Complementary DNAs were frozen at  $-20\,^{\circ}$ C until use.

## Real-time QRT-PCR analysis

Gene-specific oligonucleotide primers, amplicon length, and PCR conditions for all primers tested are shown in Supplementary Table 1. PCRs were performed in 20  $\mu$ l final volumes in capillary tubes in a LightCycler instrument (Roche Diagnostic, Mannheim, Germany). Reaction mixtures contained 2 µl of LightCycler FastStart DNA mastermix for SYBR Green I (Roche Diagnostic),  $0.5 \,\mu\text{M}$  of each primer, and  $2 \,\mu\text{l}$  of template DNA. All capillaries were sealed, centrifuged at 500 g for 5 s, and then amplified in a LightCycler instrument, with activation of polymerase (95°C for 10 min), followed by 45 cycles of 10 s at 95°C, 10 s at the annealing temperature, and 9 s at 72°C. The temperature transition rate was 20°C per s for all steps. The double-stranded PCR product was measured during the 72°C extension step by detection of fluorescence associated with the binding of SYBR Green I to the product. Fluorescence curves were analysed using LightCycler software version 3.5.

Data with regard to *CK17*, *PVA*, and *SCCA* were normalised according to data obtained from three housekeeping genes, including  $\beta_2$ -microglobulin ( $\beta_2$ -MG), *TBP*, and *RS9*. Only CK17, SCCA, and PVA mRNA quantification results normalised with TBP mRNA expression are presented in this paper, but similar results were found using the other two housekeeping genes (data not shown).

### Standard curves

Human  $\beta_2$ -MG, RS9, TBP, CK17, PVA, and SCCA cDNAs were obtained from a normal lymph node, amplified with the indicated primers, and cloned into the pCR2.1 vector (TOPO TA Cloning Kit; Invitrogen). Plasmids were then digested with HindIII and XbaI restriction enzymes (Boehringer, Mannheim, Germany), extracted from 3% agarose gel, reamplified, and purified (PCR Purification Kit; Qiagen). The purified fragment solution was measured in a spectrophotometer, and the molecule number was calculated. Serial dilutions were then prepared, which ranged from  $10^6$  to  $10^1$   $\beta_2$ -MG, RS9, TBP, CK17, PVA, and SCCA molecules per 100 ng of cDNA in a background of herring sperm DNA in Tris-EDTA buffer, pH 8.0.

A calibration curve was generated by analysis of plasmid dilutions for each target. For this purpose, each calibrator was correlated to its threshold cycle value (Ct), that is, the cycle number when a given sample becomes positive, defined as a measured fluorescence > 10 s.d. above the background fluorescence. Supplementary Figure 1 shows the amplification plot of the calibrators (Supplementary Figure 1A), the calibration curve (Supplementary Figure 1B), and the corresponding results when the melting curve was determined (Supplementary Figure 1C). For each analysis, concentrations of calibrators and samples were calculated from the calibration curve generated. The assay quantitatively detected 10 copies per 100 ng of cDNA for each target. Each measurement was performed in triplicate and was repeated at least twice. Thus, when the results obtained from  $5 \times 4$ replicates of each calibrator and sample were considered, the intraassay CV was <5%, whereas the inter-assay CV was <10%, as calculated by variation from the mean.

# Statistical analysis

A comparison of the different markers between each SLN group was carried out using a non-parametric Kruskal–Wallis test. Differences were considered statistically significant when P < 0.05. Multivariate analysis was based on receiver-operating characteristic (ROC) curves, which allow the characterisation of the discrimination between two well-defined populations. Statistical analyses were performed using STATA 10.0 software (StataCorp 2007; Release 10, College Station, TX, USA) and mROC software (Kramar *et al*, 2001). Quantitative PCR data were analysed with the unpaired Mann–Whitney test using GraphPad InStat (version 3.06). The level of statistical significance was set at the value of P < 0.05.

# **RESULTS**

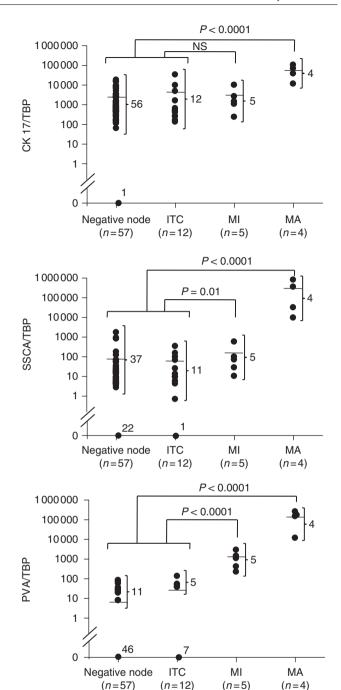
# Sensitivity of quantitative real-time RT-PCR assay

To estimate the sensitivity of QRT-PCR assays, serial dilution experiments were carried out for CK17, SCCA, and PVA. A volume of 100 ng of cDNA derived from a cDNA pool of three histologically positive SLNs was diluted from 101 to 106 and subjected to QRT-PCR assay. In Supplementary Figure 1A, amplification plots for the sample containing serial dilutions of cDNA derived from this pool are overlaid. Standard curves were constructed from plots for the calculated Ct values of each reaction. Threshold values obtained with the standard dilution series revealed quantification of CK17, SCCA, and PVA total RNA, with a linear range (r>0.99) from  $10^1$ to 10<sup>6</sup> copies per 100 ng cDNA in repeated experiments (Supplementary Figures 1A and B). All assays of a pool of positive SLN cDNAs ailed to generate results because of amplification of the processed pseudogenes, as observed with the melting curves (Supplementary Figure 1C). Three housekeeping genes, RS9, TBP, and  $\beta_2$ -MG, were quantified as internal standards and similar results were obtained (data not shown).

# Correlation of SLN quantitative CK17, SCCA, and PVA mRNA expressions and histopathological findings

Among the 89 lymph nodes (78 SLNs and 11 control nodes), 88 (98.8%), 64 (72.7%), and 27 (30.3%) showed CK17 (3.1  $\times$  10<sup>5</sup> – 7 copies per 100 ng cDNA), SCCA  $(9.4 \times 10^4 - 2 \text{ copies per } 100 \text{ ng})$ cDNA), and PVA  $(6.4 \times 10^4 - 3 \text{ copies per } 100 \text{ ng cDNA}) \text{ mRNA}$ expressions, respectively. In all, 3 of 4 MA and 0 of 5 MI SNLs expressed CK17/TBP mRNA values that were higher than the maximum value obtained for ITC (n = 12) and the negative control (n = 57). All MA SLN samples expressed higher SCCA/TBP mRNA values compared with those obtained from other groups. Interestingly, PVA/TBP mRNA values were higher for both MA and MI SNLs, including two MI SLNs sized  $<450 \,\mu\text{m}$ , compared with the maximum mRNA value obtained for the negative-SLN group. To exclude false negatives, optimal threshold values were calculated to maximise sensitivity to 100% (CK17 = 236.6; SSC = 9.9; PVA = 179.8). Values above or equal to these 'cutoff' values were defined as QRT-PCR positive. The results of the comparison between histological examination and the absolute QRT-PCR method using a 'cutoff' value are shown in Table 2. No significant difference was found in the mRNA levels measured with QRT-PCR between the negative group (SLN without metastasis) and a group of 11 control LNs (non-cancerous patients) for CK17, SCCA, and PVA (P>0.05) (data not shown). The MA SLN group showed mean expression levels of CK17 that were higher than those of the negative control node group (negative node and ITC) (P < 0.01), whereas MI SLNs expressed CK17 mRNA levels that were not significantly different from those of the negative control node group (Figure 1). Similar results were obtained for SCCA, with a significant difference observed only between the MA group and the negative node group (P < 0.01) (Figure 1). However, interestingly, PVA showed a higher





**Figure 1** Absolute RT–PCR quantification of CK17, SCCA, and PVA mRNA in 78 SLNs. SLN status is determined according to histological status. mRNA quantification is expressed relative to the TBP housekeeping gene. Horizontal line, median. ITC, isolated tumour cell; MI, micrometastasis, MA, macrometastasis.

mRNA expression in the MA and MI SLN groups than in the negative control node group, with significant differences not only between MA (P < 0.001) but also between MI SLN and controls (P < 0.001) (Figure 1). None of the three tested markers allowed the ITC group to be distinguished from negative controls (P > 0.05).

# Correlation of patient staging with quantitative CK17, SCCA, and PVA mRNA expression

The CK17/TBP, SCCA/TBP, and PVA/TBP mRNA values were next used to analyse data according to patient status as determined by

serial sections and IHC analyses (Table 2). A significant difference in mRNA levels in patients with metastasis-positive SLNs compared with metastasis-negative SLNs was found for CK17 (P < 0.005), SCCA (P < 0.0001), and PVA (P < 0.0001). Receiveroperating curve analyses showed an area under the curve of 93.8% (95% CI (83.7-100)) for CK17, 97.9% (95% CI (92.8-100)) for SCCA, and 100.0% for PVA (Figure 2). Receiver-operating curve analyses for CK17 allowed the determination of a 'cutoff' value of 1436 with 81.2% specificity (95% CI (54.3-95.9)), 100% sensitivity (95% CI (54.1-100)), and 86.3% accuracy. For SCCA, a 'cutoff' value of 48 was calculated, with a specificity of 93.7 (95% CI (69.8 – 99.8)), a sensitivity of 100% (95% CI (54.1–100)), and an accuracy of 95.4. For PVA, 100% specificity (95% CI (79.4-100)), sensitivity (95% CI (54.1-100)), and accuracy were obtained with a 'cutoff' value of 562 (Figure 3). For an SLN invasion prevalence of 27.3%, the positive predictive values of CK17, SCCA, and PVA were 79.3, 91.2, and 100%, respectively.

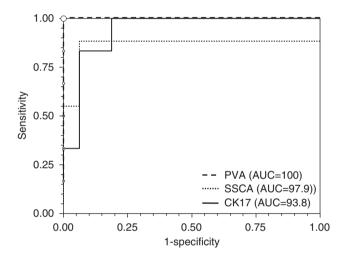
#### **DISCUSSION**

At present, the missing link in the SLN strategy, particularly in HNSSC, seems to hinge on the accuracy of the intra-operative diagnosis of neck involvement. The SLN is defined as the first element that receives lymphatic drainage from an anatomical area harbouring a primary malignant tumour. Overall, 95% of cancer cells metastasise to SLNs (Zurrida et al, 2001). Sentinel lymph nodes are easily identified by injecting radioisotope or blue dye around the primary tumour. However, an important issue that needs to be solved in establishing the method is the diagnostic accuracy of MI. The worldwide 'gold standard' is HE staining. Lymph nodes are usually diagnosed as either metastatic or non-metastatic by examining one or two sections of the maximal cut surface. However, as the median size of the metastatic tissue to be diagnosed is  $\sim$  350  $\mu$ m, the sensitivity of routine frozen sectioning is only 20% (Atula et al, 2009). Semi-serial sectioning, associated with IHC, has a higher detection rate of MI than examination of only a few sections, and can reach the sensitivity of intra-operative fine-sectioned frozen sectioning of 93% (Tschopp et al, 2005). However, this approach is very time consuming and the inevitable loss of material can lead to some MIs being missed (van Diest et al, 2001). Furthermore, as the results reported are partly due to a high degree of attention and skill being provided by pathologists during clinical studies, such results are not likely to be achievable in a routine clinical setting (van Diest et al, 2001). Molecular diagnosis with QRT-PCR may be a substitute for histopathology for intra-operative SN analysis. Indeed, this rapid technique seems to be a valuable tool for the detection of lymph node invasion of breast adenocarcinomas (Kataoka et al, 2000), skin melanomas (Blaheta et al, 2001), and HNSCC (Hamakawa et al, 2000, 2004; Becker et al, 2004; Shores et al, 2004). We have previously shown that CK17 mRNA quantification could accurately determine sentinel node staging (Garrel et al, 2006). However, this marker did not permit the detection of MIs sized < 450  $\mu$ m. As all undiagnosed MIs were associated with larger MIs or MAs, exact neck staging was finally achieved. Therefore, as the CK17 mRNA 'cutoff' value separating positive and negative nodes overlapped, more robust tumour-related markers clearly need to be validated. Recently, Ferris et al (2005) screened 40 potential markers using primary tumour and gross metastatic deposits and compared these with benign nodes. Among the screened markers, SCCA and PVA mRNA quantification provided a remarkable discrimination between positive and benign lymph nodes, and were thus proposed as potentially relevant markers for the staging of cervical lymph nodes in HNSCC. Despite this encouraging work, no studies have thus far addressed the possibility of intra-operative SCCA and PVA QRT-PCR analysis of SLNs in patients with HNSCC. We addressed this issue in this report and determined the diagnostic accuracy of the molecular diagnosis.

**Table 2** RT-PCR marker analysis according to lymph node staging (n = 78) or patient staging (n = 22)

		Patient staging				
	Negative node (n = 57)	ITC (n = I2)	MI (n = 5)	MA (n = 4)	SLN- (n = 16)	SLN+ (n = 6)
CK17						
Negative	9 (15.8%)	2 (16.6%)	0 (0%)	0 (0%)	13 (81%)	0 (0%)
Positive	48 (84.2%)	10 (83.3%)	5 (100%)	4 (100%)	3 (19%)	6 (100%)
SSCA						
Negative	36 (63.1%)	6 (50%)	0 (0%)	0 (0%)	15 (94%)	0 (0%)
Positive	21 (36.8%)	6 (50%)	5 (100%)	4 (100%)	I (6%)	6 (100%)
PVA						
Negative	57/57 (100%)	12/12 (100%)	0 (0%)	0 (0%)	16 (100%)	0 (0%)
Positive	0 (0%)	0 (0%)	5 (100%)	4 (100%)	0 (0%)	6 (100%)

Abbreviations: CK17 = cytokeratin 17; ITC = isolated tumour cell; MA = macrometastasis; MI = micrometastasis; RT - PCR = reverse transcriptase-PCR; SLN = sentinel lymph node; PVA = Pemphigus vulgaris antigen; SSCA = Squamous cell carcinoma antigen.

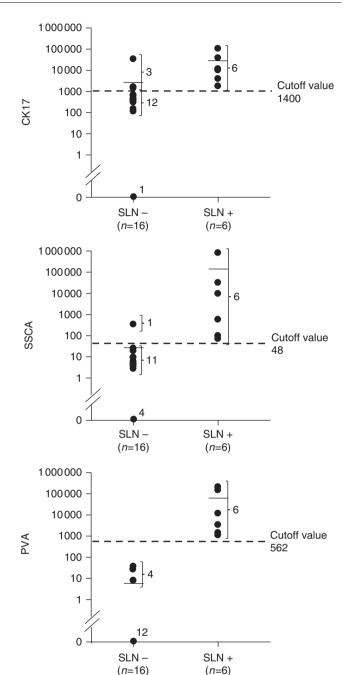


**Figure 2** Receiver-operating characteristic curves for CK17, SCCA, and PVA. The AUC for PVA was 100%.

It is clear that real-time evaluation allows the detection of a signal at the earliest stage of metastasis, providing a more accurate indication of small tumour deposit than older RT-PCR methods that measure only at a defined end point. In this study, we first developed highly sensitive and quantitative assays for CK17, SCCA, and PVA RT-PCR assays (Supplementary Figure 1). We designed our original primers to minimise amplification of illegitimate mRNA. The expression level of each marker gene was calculated relative to the standard curve and corrected for the input of cDNA on the basis of the control housekeeping gene. Standard curves were generated from a pool of positive SLNs to ensure that every marker gene tested is expressed at high levels, thereby creating reproducible and reliable experiments. The results showed that very low levels of the marker-related gene (until 10<sup>1</sup> copies per 100 ng cDNA for the three target genes, with  $r \ge 0.98$ ) could be detected. Second, we determined the reliability of real-time RT-PCR in comparison with histopathology data on 78 SLNs obtained from 22 HNSCC patients and 11 SLNs from non-cancerous patients. All MA SLNs were identified with SCCA and PVA mRNA quantification, whereas one of the four MA SLNs was not detected by real-time QRT-PCR of CK17 mRNA. In this latter case, the corresponding SLN was deemed positive by HE staining and IHC. In addition, PVA was the only marker that significantly distinguished small amounts of neoplastic tissue, that is, MI from negative nodes, including lesions < 450  $\mu$ m. Interestingly, the background level and dispersion of mRNA values were very low for this marker (Figure 1). The QRT-PCR method was therefore used to establish a 'cutoff' value to maximise the sensitivity of the test (100%). Specificity increased progressively between CK17 and SCCA and reached 100% for SLN staging by PVA mRNA quantification (Table 2). With QRT-PCR, no false-negative case for PVA was observed in either SLN+ or SLN- patients, whereas one and three patients were misclassified with SCCA and CK17, respectively(Figure 3). Finally, mRNA quantification of SCCA and PVA allowed 95.4 and 100% accuracy, respectively. This important finding is in agreement with the study by Ferris *et al* (2005), which reported a test accuracy of 100 and 99.1%, respectively. By providing this 100% discrimination between positive and negative SLNs, PVA could be proposed as an adequate marker for the QRT-PCR diagnosis of minute SLN invasion of HNSCC.

With regard to the issue of ITCs, there is growing evidence that in head and neck cancer, ITC could be associated with a worse prognosis compared with pN0 SLN, a finding that contrasts with that which is observed in breast adenocarcinoma (Atula et al, 2009). Consequently, we assessed the ability of PVA mRNA quantification to diagnose ITC by varying the PVA cutoff point. Selecting a lower cutoff value of 22.68, only two patients with ITC were reclassified as 'node-positive', whereas four patients remained 'node-negative'. Moreover, with this cutoff value, the specificity and sensibility rates of the test were 100 and 66.67%, respectively. Therefore, from these results, we concluded that, in our study, PVA is unable to distinguish ITC from 'node-negative' patients. The absence of any correlation between mRNA quantification and the presence of ITC can probably be explained by the histopathological workup of the lymph nodes used. The reference test, that is, serial sections every 250 µm with IHC, is clearly not accurate enough to diagnose all cases of ITC, leading to some false-negative cases in the pN0 group. However, irrespective of the reason for these findings, a more focused study on ITC with a deeper histopathological workup and a more accurate quantification of minute tumours in SLN is highlighted as a useful area for future research.

With regard to markers, SCCA is a member of the ovalbumin family of serine proteinase inhibitors. The SCCA protein is expressed in neutral and acidic forms, designated as SCCA (SERPINB3) and SCCA2 (SEPINB4). Both genes locate at 18q21.3 very closely, generating a cluster of serpins, together with plasminogen activator inhibitor type 2 and maspin, suggesting that either the SERPINB3 or the SEPINB4 gene could arise from the other by gene duplication (Schneider et al, 1995). For SCCA amplification by RT-PCR, we designed primers that could detect both mRNA isoforms. Squamous cell carcinoma antigen-1 was originally purified from squamous cell carcinoma of the uterine cervix (Kato and Torigoe, 1977), and subsequently it turned out that SCCA1 and SCCA2 were co-expressed broadly in the superficial and intermediate layers of normal squamous epithelium. The biological functions of SSCA still remain obscure,



**Figure 3** Patient staging: maximal expression of CK17, SCCA, and PVA for each individual patient as a function of serial step sectioning with immunohistochemistry. SLN, sentinel lymph node.

although it has been reported that these proteins confer resistance against tumour necrosis factor- $\alpha$ - or radiation-inducing apoptosis (Suminami *et al*, 2000; Murakami *et al*, 2001). Finally, the expression of SCCA2 in cancer has been associated with an

aggressive phenotype, and this gene has been used in several studies for the detection of squamous cell carcinoma metastases to lymph nodes (Kano et al, 2000). Pemphigus vulgaris antigen (also known as desmoglein 3 - DSG3) is one of the components of desmosome. Desmosomes are button-like points of intercellular contact that couple cytoskeletal elements to the plasma membrane at cell-to-cell or cell-to-substrate adhesions. A disorder related to DSG3 is pemphigus vulgaris (Amagai et al, 1991), an autoimmune disease associated with the production of autoantibodies against PVA responsible for the loss of cell-to-cell adhesion. Reports with regard to the clinical association of PVA expression with HNSCC cancer are few and seem inconsistent. Recently, Chung et al (2004) determined gene expression patterns from 60 HNSCC samples assayed on cDNA microarrays that allowed categorisation of these tumours with the clinical outcome of patients. Among the genes involved in the prognostic profile, PVA was found to be overexpressed in invasive cancer associated with a high metastatic potential. This result was confirmed more recently in a similar profiling approach (Chen et al, 2007). In our study, we observed that PVA was significantly expressed in SLN+ samples relative to SLN- samples. No cases with positive QRT-PCR and negative histopathology were observed. However, to avoid any such problem, careful dissection of the lymph node must be carried out so as to remove any contaminating non-lymphatic tissue. Finally, the morphological analysis of SS-IHC remains the gold standard for definitive histopathology to correct any erroneous diagnoses in cases of QRT-PCR inaccuracy and to search for histo-prognosis factors, such as capsular lymph node invasion. Therefore, if QRT-PCR analysis of SLNs is to be used in clinical practice, it should be included in multimodality diagnostic protocols similar to the one reported in this study.

We are aware that further investigations in larger cohorts are required to validate QRT-PCR of PVA mRNA and to establish inter-laboratory variations for an efficient detection, with high sensitivity and specificity, of metastatic disease in SLNs of patients with HNSCC. However, our findings have potentially important implications for the prospective assessment of molecular methodologies. We have provided additional data that could lead to better management of HNSCC patients by reducing the rate of false-negative SLNs using a QRT-PCR approach with quantification of PVA mRNA levels. On the basis of the present results, future studies assessing either an automated technique for mRNA quantification of PVA with QRT-PCR or using one-step nucleic acid amplification (Tsujimoto et al, 2007) may pave the way towards achieving worthwhile intra-operative molecular staging of SLNs in HNSCCs.

### **ACKNOWLEDGEMENTS**

This study was supported by grants from the Société Française de Carcinologie Cervico-Faciale 2007 and CHRU Montpellier AOI 2007.

Supplementary Information accompanies the paper on British Journal of Cancer website (http://www.nature.com/bjc)

# **REFERENCES**

Amagai M, Klaus-Kovtun V, Stanley JR (1991) Autoantibodies against a novel epithelial cadherin in pemphigus vulgaris, a disease of cell adhesion. *Cell* 67: 869 – 877

Atula T, Hunter KD, Cooper LA, Shoaib T, Ross GL, Soutar DS (2009) Micrometastases and isolated tumour cells in sentinel lymph nodes in oral and oropharyngeal squamous cell carcinoma. Eur J Surg Oncol 35: 532 – 538

Becker MT, Shores CG, Yu KK, Yarbrough WG (2004) Molecular assay to detect metastatic head and neck squamous cell carcinoma. Arch Otolaryngol Head Neck Surg 130: 21-27

I Solassol et al

- Blaheta HJ, Schittek B, Breuninger H, Garbe C (2001) Detection of micrometastasis in sentinel lymph nodes of patients with primary cutaneous melanoma. *Recent Results Cancer Res* 158: 137-146
- Chen YJ, Chang JT, Lee L, Wang HM, Liao CT, Chiu CC, Chen PJ, Cheng AJ (2007) DSG3 is overexpressed in head neck cancer and is a potential molecular target for inhibition of oncogenesis. *Oncogene* 26: 467-476
- Chung CH, Parker JS, Karaca G, Wu J, Funkhouser WK, Moore D, Butterfoss D, Xiang D, Zanation A, Yin X, Shockley WW, Weissler MC, Dressler LG, Shores CG, Yarbrough WG, Perou CM (2004) Molecular classification of head and neck squamous cell carcinomas using patterns of gene expression. *Cancer Cell* 5: 489-500
- Ferris RL, Xi L, Raja S, Hunt JL, Wang J, Gooding WE, Kelly L, Ching J, Luketich JD, Godfrey TE (2005) Molecular staging of cervical lymph nodes in squamous cell carcinoma of the head and neck. *Cancer Res* **65**: 2147 2156
- Garrel R, Dromard M, Costes V, Barbotte E, Comte F, Gardiner Q, Cartier C, Makeieff M, Crampette L, Guerrier B, Boulle N (2006) The diagnostic accuracy of reverse transcription-PCR quantification of cytokeratin mRNA in the detection of sentinel lymph node invasion in oral and oropharyngeal squamous cell carcinoma: a comparison with immuno-histochemistry. Clin Cancer Res 12: 2498–2505
- Garrel R, Makeieff M, Alovisetti C, Costes V, Comte F, Crampette L, Lallemant JG, Guerrier B (2005) Sentinel lymph nodes in oropharyngeal and oral carcinomas. Fr ORL 88: 108-115
- Hamakawa H, Fukuzumi M, Bao Y, Sumida T, Kayahara H, Onishi A, Sogawa K (2000) Keratin mRNA for detecting micrometastasis in cervical lymph nodes of oral cancer. *Cancer Lett* **160**: 115-123
- Hamakawa H, Onishi A, Sumida T, Terakado N, Hino S, Nakashiro KI, Shintani S (2004) Intraoperative real-time genetic diagnosis for sentinel node navigation surgery. *Int J Oral Maxillofac Surg* 33: 670–675
- Hermanek P, Hutter RV, Sobin LH, Wittekind C, , International Union Against Cancer (1999) Classification of isolated tumor cells and micrometastasis. *Cancer* 86: 2668-2673
- Kano M, Shimada Y, Kaganoi J, Sakurai T, Li Z, Sato F, Watanabe G, Imamura M (2000) Detection of lymph node metastasis of oesophageal cancer by RT-nested PCR for SCC antigen gene mRNA. *Br J Cancer* 82: 429 435
- Kataoka A, Mori M, Sadanaga N, Ueo H, Tsuji K, Rai Y, Barnard GF, Sugimachi K (2000) RT-PCR detection of breast cancer cells in sentinel lymph modes. *Int J Oncol* 16: 1147-1152
- Kato Ĥ, Torigoe T (1977) Radioimmunoassay for tumor antigen of human cervical squamous cell carcinoma. Cancer 40: 1621-1628
- Kramar A, Faraggi D, Fortune A, Reiser B (2001) mROC: a computer program for combining tumour markers in predicting disease states. *Comput Methods Programs Biomed* **66:** 199-207
- Kuntz AL, Weymuller Jr EA (1999) Impact of neck dissection on quality of life. Laryngoscope 109: 1334-1338

- Murakami A, Suminami Y, Hirakawa H, Nawata S, Numa F, Kato H (2001) Squamous cell carcinoma antigen suppresses radiation-induced cell death. *Br J Cancer* **84:** 851–858
- Raja S, El-Hefnawy T, Kelly LA, Chestney ML, Luketich JD, Godfrey TE (2002) Temperature-controlled primer limit for multiplexing of rapid, quantitative reverse transcription-PCR assays: application to intraoperative cancer diagnostics. *Clin Chem* **48**: 1329–1337
- Robbins KT, Clayman GL, Levine PA, Medina J, Sessions R, Shaha A, Som P, Wolf GT (2002) Neck dissection classification update: revisions proposed by the American Head and Neck Society and the American Academy of Otolaryngology-Head and Neck Surgery. Arch Otolaryngol Head Neck Surg 128: 751 758
- Schiefke F, Akdemir M, Weber A, Akdemir D, Singer S, Frerich B (2009) Function, postoperative morbidity, and quality of life after cervical sentinel node biopsy and after selective neck dissection. *Head Neck* 31: 503-512
- Schneider SS, Schick C, Fish KE, Miller E, Pena JC, Treter SD, Hui SM, Silverman GA (1995) A serine proteinase inhibitor locus at 18q21.3 contains a tandem duplication of the human squamous cell carcinoma antigen gene. *Proc Natl Acad Sci USA* 92: 3147-3151
- Shores CG, Yin X, Funkhouser W, Yarbrough W (2004) Clinical evaluation of a new molecular method for detection of micrometastases in head and neck squamous cell carcinoma. *Arch Otolaryngol Head Neck Surg* 130: 937-942
- Suminami Y, Nagashima S, Vujanovic NL, Hirabayashi K, Kato H, Whiteside TL (2000) Inhibition of apoptosis in human tumour cells by the tumour-associated serpin, SCC antigen-1. *Br J Cancer* 82: 981–989
- Tschopp L, Nuyens M, Stauffer E, Krause T, Zbaren P (2005) The value of frozen section analysis of the sentinel lymph node in clinically N0 squamous cell carcinoma of the oral cavity and oropharynx. *Otolaryngol Head Neck Surg* 132: 99–102
- Tsujimoto M, Nakabayashi K, Yoshidome K, Kaneko T, Iwase T, Akiyama F, Kato Y, Tsuda H, Ueda S, Sato K, Tamaki Y, Noguchi S, Kataoka TR, Nakajima H, Komoike Y, Inaji H, Tsugawa K, Suzuki K, Nakamura S, Daitoh M, Otomo Y, Matsuura N (2007) Onestep nucleic acid amplification for intraoperative detection of lymph node metastasis in breast cancer patients. *Clin Cancer Res* 13: 4807–4816
- van Diest PJ, Torrenga H, Meijer S, Meijer CJ (2001) Pathologic analysis of sentinel lymph nodes. Semin Surg Oncol 20: 238-245
- Zurrida S, Mazzarol G, Galimberti V, Renne G, Bassi F, Iafrate F, Viale G (2001) The problem of the accuracy of intraoperative examination of axillary sentinel nodes in breast cancer. *Ann Surg Oncol* 8: 817-820